# Lattice-based Broadcast Authenticated Searchable Encryption for Cloud Storage

Yibo Cao, Shiyuan Xu, Xiu-Bo Chen, Gang Xu, Siu-Ming Yiu, and Zongpeng Li

Abstract-For security issue, data in cloud is encrypted. Searching encrypted data (without decryption) is a practical and important problem. Public key authenticated encryption with keyword search (PAEKS) enables the retrieval of encrypted data, while resisting the insider keyword guessing attacks (IKGAs). Most PAEKS schemes only work with single-receiver model, exhibiting very limited applicability. To address this concern, there have been researches on broadcast authenticated encryption with keyword search (BAEKS) to achieve multi-receiver ciphertext search. But to our best knowledge, existing BAEKS schemes are not quantum resistant. In this paper, we propose latticebased BAEKS, the first post-quantum broadcast authenticated encryption with keyword search in multi-receiver model. In particular, we leverage several lattice sampling algorithms and rejection sampling technique to construct our BAEKS scheme. We also incorporate the minimal cover set technique and lattice basis extension algorithm to construct an enhanced version, namely FS-BAEKS, which addresses the secret key leakage problem. We give a rigorous security analysis of our schemes. For the efficiency of BAEKS and Test algorithms in our BAEKS scheme, the computational overheads are at least 2x and 89x faster than the state-of-the-art schemes respectively, which is practical for cloud storage systems.

*Index Terms*—Cloud storage, broadcast authenticated searchable encryption, lattice, forward security.

## I. INTRODUCTION

C LOUD storage provides users with searching and sharing their data between data senders and receivers without any geographical restrictions. It has numerous benefits, such as reducing local data maintenance, boosting data circulation, and improving service elasticity [1], [2]. Meanwhile, data privacy leakage problem in cloud storage is commonplace. In order to ensure data security as well as availability, a straightforward idea is to encrypt the data before outsourcing to the cloud server. Boneh et al. formalized the public key encryption with keyword search (PEKS) scheme [3], where a data receiver can search the keyword ciphertext uploaded by data sender, as depicted in Fig. 1. To resist the insider keyword guessing

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attacks (IKGAs), Huang et al. constructed public key authenticated encryption with keyword search (PAEKS) schemes [4] by involving the secret key of data sender in the encryption algorithm, which has attracted widespread attention.

Most PAEKS primitives are primarily designed for singlereceiver model [4], [5], [6], [7], [8], [9]. However, multireceiver model are more common in real-world cloud storage systems [10]. Specifically, in the cloud-assisted healthcare scenarios, electronic medical records (EMR) are stored in a cloud server [11], [12], and when different departments' physicians need to access the same patient's EMR, a PAEKS scheme that supports multiple users will be more convenient. To address this concern, braodcast authenticated encryption with keyword search (BAEKS) was proposed [13], [14], [15], supporting multiple receivers to search keyword ciphertext uploaded by a data sender.

Unfortunately, existing BAEKS schemes still face two challenges. On the one hand, with the advancement of quantum computing, the Shor quantum search algorithm [16] poses a significant threat to classical cryptography. To resist the quantum computing attacks, several researchers introduced lattice-based hardness to construct cryptographic schemes [6], [7], [8], [9], [17], [18], [19], [20]. However, to the best of our knowledge, all existing BAEKS schemes are vulnerable to quantum computing attacks. As such, how to design a BAEKS scheme that enjoy quantum-safety has become the first crucial challenge.

On the other hand, as for practical cloud applications, one malicious adversary has the ability to calculate a trapdoor corresponding to a specific keyword if it obtained the data receivers' secret key. Then, the adversary can send it to cloud server in order to match the keyword ciphertext, thereby significantly compromising the security of keywords. To address this concern, numerous researchers introduced the notion of forward security [19], [21], [8], [22], but there does not exist an existing forward secure BAEKS scheme as so far. To avoid the aforementioned secret key leakage issues, how to construct a forward secure BAEKS becomes the second challenge.

In this paper, we propose lattice-based BAEKS, the first broadcast authenticated encryption with keyword search over lattice. It supports multi-receiver ciphertext search and withstand the threat from cloud server (refers to IKGAs), protecting the data privacy in the cloud storage systems. Furthermore, we extend our BAEKS scheme to propose the FS-BAEKS scheme, which can solve the secret key leakage problems.

To solve the first challenge, we encrypt the keyword with a data sender's secret key and data receivers' public keys to support multi-receiver models. To calculate a search trapdoor,



Fig. 1. The ciphertext search model for cloud storage.

a straightforward idea is to use SamplePre or SampleLeft algorithm, however, it is unable for each receiver in the data receivers set to search the keyword ciphertext, which is a difficult issue to be concerned. In our design, we come with a non-trivial way, by utilizing the SampleBasis and GenSamplePre algorithms with inputting a data receiver's secret key (lattice basis matrix) to generate an appropriate search trapdoor. More specially, our scheme has efficient computational overhead than current BAEKS schemes [13], [14] in terms of BAEKS and Test algorithms. To address the second challenge, inspired by Yu et al. [21], we introduce the binary tree structure, minimal cover set technique and lattice basis extension algorithm to update the receivers' secret key. Consequently, even if the secret key of a specific receiver is compromised in current time period, the adversary is unable to generate a valid search trapdoor in the past time periods to search the ciphertext. In a nutshell, our contributions are summarized as follows:

- We present a novel scheme namely lattice-based broadcast authenticated encryption with keyword search (BAEKS) in a quantum setting, as well as defining system models, formal definitions and two security models for it. Then, in order to ensure the security of data receivers' secret key, we propose lattice-based forward secure broadcast authenticated encryption with keyword search (FS-BAEKS) as the enhanced verison of BAEKS. As far as we know, numerous existing PEKS primitives cannot support multi-receiver model, and are vulnerable to several attacks, e.g. quantum computing attacks, IKGAs, secret key leakage attacks. Our schemes have the ability to resist all of aforementioned attacks simultaneously.
- We construct BAEKS scheme leveraged lattice algebra structure, several lattice sampling algorithms and rejection sampling technique, which supports multi-receiver ciphertext search to protect the data privacy in cloud storage systems. Concretely, through the SampleBasis and GenSamplePre algorithms, each receiver in the data receivers set can generate a proper search trapdoor. Moreover, based on our BAEKS, the binary tree structure, minimal cover set technique and lattice basis extension

algorithm [21] is introduced, achieving time periods representation and data receivers' secret key update to construct FS-BAEKS scheme.

• Our BAEKS and FS-BAEKS schemes have been proven to be secure in IND-CKA and UF-IKGA models, which can be reduced to the LWE and SIS hardness in the random oracle model, respectively. Performance evaluation and comparison manifests that our BAEKS and FS-BAEKS schemes are more computationally efficient in terms of **BAEKS** and **Test** algorithms compared to the prior arts [13], [14]. In particular, for the computational overhead of our BAEKS scheme, the **BAEKS** algorithm delivers at least 4× and 2×, and the **Test** algorithm brings at least 62× and 89× faster over prior arts [13], [14], respectively. Moreover, the communication overhead has acceptable growth trend with the increment of receivers, time periods or security parameter.

#### II. RELATED WORKS

Huang et al. introduced a public-key authenticated encryption with keyword search (PAEKS) scheme to implement authentication through a data owner's secret key, which can ensure that the keyword encryption procedure can only be performed by the data owner, and demonstrated a rigorous prove for proposed scheme in the random oracle model (ROM) [4]. Liu et al. put forward a generic construction for PAEKS and an instantiation over lattice to achieve the anti-quantum property [6], and enhanced its security [23]. Furthermore, Cheng et al. pointed out some security issues [23], [15], and constructed two PAEKS schemes over lattice [7]. Yao et al. then constructed a CCA-secure PAEKS scheme over ideal lattice, and demonstrated that the resistance of PAEKS scheme to IKGAs is equivalent to the unforgeability of keyword ciphertexts [9].

Since encrypted messages can be decrypted by a group of specified data users, broadcast encryption (BE), initialized by Fiat et al. [24], is more practical compared to one-to-one encryption and is exclusively used in numerous scenarios (e.g. content subscription and digital rights management). To mitigate the public key certificates storage overhead, Delerablèe et al. put forward an identity-based broadcast encryption scheme (IBBE), which keeps the ciphertext size constant and realized the CCA security in the ROM [25]. After that, Boneh et al. provided a broadcast hierarchical identity-based encryption (HIBE) scheme with short ciphertext [26]. Gentry et al. implemented the security of IBBE under the standard model [27]. Ali et al. foresaw the combinability of BE and PEKS, and constructed a broadcast searchable keyword encryption scheme, which is a novel cryptographic primitive to search the keyword ciphertext encrypted by the public key of a group of specified data users [28]. Futhermore, an efficient broadcast encryption with keyword search (BEKS) is introduced by Kiayias et al., providing constant secret key and trapdoor size, and the server's storage overhead is independent of the number of data receivers, but is not resistant to IKGAs [10]. Enlightened by the concept of PAEKS, Liu et al. constructed a broadcast authenticated encryption with keyword search (BAEKS)

TABLE I Glossary

	Acronym	Definition				
$ \begin{split} i &= [d] & \text{the iteration of each element in set} \\ & \{1, 2, \cdots, d\} \text{ with variable } i \\ l & \text{the number of data receivers} \\ k & \text{the length of a keyword} \\ \tau & \text{the number of binary tree} \\ \tau & \text{the keyword owned by data sender} \\ \text{tk} & \text{the keyword owned by data sender} \\ \text{tk} & \text{the keyword to be searched by data receiver } \\ \text{tk} & \text{the keyword to be searched by data receiver } i \\ \text{where } i \in [l] \\ \text{(pk}_{R,i}, \mathbf{sk}_{R,i,t}) & \text{the public and secret keys of data receiver } i \\ \text{with time period } t \\ \text{CT} & \text{the keyword ciphertext} \\ \text{CT} & \text{the keyword ciphertext with time period } t \\ \text{TD} & \text{the search trapdoor calculated by data receiver} \\ i & \text{with time period } t, \text{ where } i \in [l] \\ \text{TD}_t & \text{the search trapdoor calculated by data receiver} \\ i & \text{with time period } t, \text{ where } i \in [l] \\ \end{array}$	[d]	the number set $\{1, \dots, d\}$				
$ \begin{cases} 1, 2, \cdots, d \} \text{ with variable } i \\ l & \text{the number of data receivers} \\ k & \text{the length of a keyword} \\ \tau & \text{the level number of binary tree} \\ T & \text{the number of time period, where } T = 2^{\tau} \\ \mathcal{W} & \text{the keyword owned by data sender} \\ \textbf{tk} & \text{the keyword owned by data sender} \\ \textbf{tk} & \text{the keyword owned by data sender} \\ \textbf{tk} & \text{the keyword to be searched by data receiver} \\ (\textbf{pk}_{S,}, \textbf{sk}_{S}) & \text{the public and secret keys of data receiver } i, \\ \textbf{where } i \in [l] \\ (\textbf{pk}_{R,i}, \textbf{sk}_{R,i,t}) & \text{the public key and secret key of data receiver } i \\ \textbf{with time period } t \\ \text{CT} & \text{the keyword ciphertext} \\ \text{CT}_t & \text{the keyword ciphertext} \\ \text{TD} & \text{the search trapdoor calculated by data receiver} \\ i & \text{with time period } t, \\ \text{TD}_t & \text{the search trapdoor calculated by data receiver} \\ i & \text{with time period } t, \\ \text{where } i \in [l] \end{cases} $	i = [d]	the iteration of each element in set				
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cryptographic primitive to resist to IKGAs, and the ciphertext and trapdoor security was proved under the DBDH assumption [13]. In 2023, Mukherjee introduced a more stronger security model, and ensured the ciphertext and trapdoor security in the standard model [14]. Emura et al. put forward a generic construction of fully anonymous BAEKS, which provides the anonymity and consistency of keyword ciphertext and supports multi-receiver model [15]. However, none of aforementioned schemes can resist to quantum computing attacks, and there exists no post-quantum BAEKS scheme as so far.

In 2019, a lattice-based forward secure public key with keyword search (FS-PEKS) scheme is proposed by Zhang et al., which utilized lattice basis delegation to update the secret key [29]. After that, Yu et al. introduced the binary tree structure, minimal cover set technique and lattice basis extension to construct an efficient FS-PEKS scheme over lattice [21]. Then, Yang et al. presented a forward secure identity-based PEKS, namely FS-IBEKS, which instantiated two schemes over lattice to ensure security in the ROM and standard model, respectively [30]. For PAEKS primitive, Xu et al. constructed a forward secure PAEKS over lattice, namely FS-PAEKS, to achieve the IND-CKA and IND-IKGA secure [8]. However, there does not exist BAEKS scheme with forward security till now.

To sum up, there exists a valuable requirement to construct a BAEKS scheme and extend it to FS-BAEKS for achieving the multi-receiver support, IKGAs-resilience, quantum-resistance, and forward security.

# **III. PRELIMINARIES**

We provide a concise summary of the notations. Table I clarifies the acronyms and descriptions utilized in this paper. Definition 1: [31] Suppose а matrix  $\mathbf{M}$ =  $(\mathbf{m}_1, \mathbf{m}_2, \cdots, \mathbf{m}_m)$ is composed of mlinearly independent vectors, the lattice  $\Lambda$  is defined as:  $\Lambda = \Lambda(\mathbf{M}) = \{x_1\mathbf{m}_1 + x_2\mathbf{m}_2 + \dots + x_m\mathbf{m}_m | x_i \in \mathbb{Z}, i \in [m]\},\$ where **M** is a lattice basis of  $\Lambda$ .

Definition 2: [32] Suppose three integers n, m, q, and a matrix  $\mathbf{M} \in \mathbb{Z}_q^{n \times m}$ , a q-ary integer lattice is defined as:

$$\Lambda_q(\mathbf{M}) := \{ \mathbf{v} \in \mathbb{Z}^m | \exists \mathbf{s} \in \mathbb{Z}_q^n, \mathbf{M}^\top \mathbf{s} = \mathbf{v} \mod q \}.$$
$$\Lambda_q^\perp(\mathbf{M}) := \{ \mathbf{v} \in \mathbb{Z}^m | \mathbf{M} \mathbf{v} = \mathbf{0} \mod q \}.$$
$$\Lambda_q^\mathbf{u}(\mathbf{M}) := \{ \mathbf{v} \in \mathbb{Z}^m | \mathbf{M} \mathbf{v} = \mathbf{u} \mod q \}.$$

Definition 3: Suppose a parameter  $\sigma \in \mathbb{R}^+$ , a center  $\mathbf{c} \in \mathbb{Z}^m$ , and any vector  $\mathbf{v} \in \mathbb{Z}^m$ , the discrete Gaussian distribution over  $\Lambda$  is defined as:  $\mathcal{D}_{\Lambda,\sigma,\mathbf{c}}(\mathbf{v}) = \frac{\rho_{\sigma,\mathbf{c}}(\mathbf{v})}{\rho_{\sigma,\mathbf{c}}(\Lambda)}$ , for  $\forall \mathbf{v} \in \Lambda$ , where  $\rho_{\sigma,\mathbf{c}}(\mathbf{v}) = \exp(-\pi \frac{\|\mathbf{v}-\mathbf{c}\|^2}{\sigma^2})$  and  $\rho_{\sigma,\mathbf{c}}(\Lambda) = \sum_{\mathbf{v}\in\Lambda} \rho_{\sigma,\mathbf{c}}(\mathbf{v})$ .

Definition 4: [33] Suppose several positive integer n,m,q, and an error distribution  $\chi$  that is usually regarded as the discrete Gaussian distribution, the LWE<sub> $n,m,q,\chi$ </sub> hardness is defined as distinguishing two pairs ( $\mathbf{M}, \mathbf{M}^{\top}\mathbf{s}+\mathbf{e}$ ) and ( $\mathbf{M}, \mathbf{v}$ ), where  $\mathbf{M} \leftarrow \mathbb{Z}_q^{n \times m}, \mathbf{s} \leftarrow \mathbb{Z}_q^n, \mathbf{e} \leftarrow \chi^m$ , and  $\mathbf{v} \leftarrow \mathbb{Z}_q^m$ .

Definition 5: [32] Suppose several positive integer n,m,q, the SIS<sub>n,m,q,\beta</sub> hardness is defined as finding a non-zero vector  $\mathbf{v} \in \mathbb{Z}^m \setminus \{\mathbf{0}\}$  s.t.  $\mathbf{A}\mathbf{v} = \mathbf{0}$  and  $\|\mathbf{v}\| \leq \beta$ , where  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ , and  $\beta \geq \sqrt{m}q^{n/m}$ .

*Lemma 1:* [34] Suppose a lattice  $\Lambda$  and its lattice basis  $\mathbf{T}_{\mathbf{A}}$ , we obtain:  $\Pr[\|\mathbf{v}\| > \sigma\sqrt{m} : \mathbf{v} \leftarrow \mathcal{D}_{\Lambda,\sigma}] \leq \operatorname{negl}(m)$ , where  $\sigma \geq \|\widetilde{\mathbf{T}_{\mathbf{A}}}\| \cdot \omega(\sqrt{\log m})$ , and  $\operatorname{negl}(\cdot)$  is a negligible function.

Lemma 2: [34] Suppose three positive integers n, m, q, where  $q \ge 2$ , and  $m \ge 5n \log q$ . After input several positive integers n, m, q, the probabilistic polynomial time (PPT) algorithm TrapGen(n, m, q) will calculate a uniform matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  together with a lattice basis  $\mathbf{T}_{\mathbf{A}} \in \mathbb{Z}_q^{m \times m}$  for  $\Lambda_q^{\perp}(\mathbf{A})$ , where  $\mathbf{A}$  is statistically close to uniform distribution on  $\mathbb{Z}^{n \times m}$  and  $\|\widetilde{\mathbf{T}}_{\mathbf{A}}\| \le m\omega(\sqrt{\log m})$ .

*Lemma 3:* [34] Suppose three positive integers n, m, q, where  $q \geq 2$ , and  $m \geq 2n \log q$ . After input a matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ , a lattice basis  $\mathbf{T}_{\mathbf{A}} \in \mathbb{Z}_q^{m \times m}$  for  $\Lambda_q^{\perp}(\mathbf{A})$ , and a Gaussian parameter  $\sigma \leq \|\widetilde{\mathbf{T}}_{\mathbf{A}}\| \cdot \omega(\sqrt{\log m})$ , the PPT algorithm SamplePre( $\mathbf{A}, \mathbf{T}_{\mathbf{A}}, \mathbf{u}, \sigma$ ) will calculate a vector  $\mathbf{e} \in \mathbb{Z}_q^m$ statistically close to  $\mathcal{D}_{\Lambda_q^n(\mathbf{A}), \sigma}$ , such that  $\mathbf{A}\mathbf{e} = \mathbf{u} \mod q$ .

Suppose four positive integers n, m, q, k, a matrix  $\mathbf{A} = (\mathbf{A}_1 | \cdots | \mathbf{A}_k) \in \mathbb{Z}_q^{n \times km}$ , and a set  $\mathcal{M} = \{i_1, i_2, \cdots, i_j\} \subset [k]$ , we set  $\mathbf{A}_{\mathcal{M}} := (\mathbf{A}_{i_1} | \mathbf{A}_{i_2} | \cdots | \mathbf{A}_{i_j}) \in \mathbb{Z}_q^{n \times jm}$ . Then, we introduce the Lemma 4 and 5 as follows:

Lemma 4: [35] Suppose four positive integers n, m, q, k, where  $q \ge 2$ , and  $m \ge 2n \log q$ . After input a matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times km}$ , a lattice basis  $\mathbf{T}_{\mathbf{A}_{\mathcal{M}}}$  for  $\Lambda_g^{\perp}(\mathbf{A}_{\mathcal{M}})$ , a set  $\mathcal{M} \subset [k]$ , and a Gaussian parameter  $L \ge \|\widetilde{\mathbf{T}_{\mathbf{A}_{\mathcal{M}}}}\| \cdot \sqrt{km} \cdot \omega(\sqrt{\log km})$ , the PPT algorithm SampleBasis( $\mathbf{A}, \mathbf{T}_{\mathbf{A}_{\mathcal{M}}}, \mathcal{M}, L$ ) will calculate a matrix  $\mathbf{T}'_{\mathbf{A}}$ , where  $\mathbf{T}'_{\mathbf{A}}$  is a lattice basis of  $\Lambda_q^{\perp}(\mathbf{A})$  and  $\|\widetilde{\mathbf{T}'_{\mathbf{A}}}\| \le L$  with overwhelming probability.

*Lemma 5:* [35] Suppose four positive integers n, m, q, k, where  $q \geq 2$ , and  $m \geq 2n \log q$ . After input a matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times km}$ , a lattice basis  $\mathbf{T}_{\mathbf{A}_{\mathcal{M}}}$  for  $\Lambda_q^{\perp}(\mathbf{A}_{\mathcal{M}})$ , a set  $\mathcal{M} \subset [k]$ , a vector  $\mathbf{u} \in \mathbb{Z}_q^n$ , and a Gaussian parameter  $\sigma \geq \|\widetilde{\mathbf{T}_{\mathbf{A}_{\mathcal{M}}}}\| \cdot \omega(\sqrt{\log km})$ , the PPT algorithm GenSamplePre $(\mathbf{A}, \mathbf{T}_{\mathbf{A}_{\mathcal{M}}}, \mathcal{M}, \mathbf{u}, \sigma)$  will output a vector  $\mathbf{e} \in \mathbb{Z}^{km}$  statistically close in  $\mathcal{D}_{\Lambda_q^u}(\mathbf{A}), \sigma$ , such that  $\mathbf{Ae} = \mathbf{u} \mod q$ .



①System initialization

Fig. 2. System models of our BAEKS scheme for cloud storage.

Lemma 6: [36] Suppose four positive integers n, m, m', q, two matrices  $\mathbf{A} \in \mathbb{Z}^{n \times m}$ ,  $\mathbf{A}' \in \mathbb{Z}^{n \times m'}$ . After input  $\mathbf{A}'' = (\mathbf{A} \mid \mathbf{A}') \in \mathbb{Z}_q^{n \times (m+m')}$ , and a basis  $\mathbf{T}_{\mathbf{A}} \in \mathbb{Z}_q^{m \times m}$  for  $\Lambda_q^{\perp}(\mathbf{A})$ , the deterministic polynomial time (DPT) algorithm ExtBasis $(\mathbf{A}'', \mathbf{S})$  will calculate a lattice basis  $\mathbf{T}_{\mathbf{A}''}$  for  $\Lambda_q^{\perp}(\mathbf{A}'') \subseteq \mathbb{Z}_q^{m \times m''}$ , where  $\|\mathbf{T}_{\mathbf{A}}\| = \|\mathbf{T}_{\mathbf{A}''}\|$ , m'' = m + m'. Lemma 7: [37] Suppose a vector space  $W = \{\mathbf{w} \in \mathbb{Z}^m : \|\mathbf{w}\| \leq T\}$ , a mapping  $h : W \to \mathbb{R}$ , a constant M, and a Gaussian parameter  $\sigma = \omega(T\sqrt{\log m})$ , where  $\mathbf{w} \leftarrow h$ , the following two distributions are defined as:

- 1) For  $\mathbf{w} \leftarrow h$ ,  $\mathbf{u} \leftarrow \mathcal{D}_{\sigma}^{m}$ , get  $(\mathbf{u}, \mathbf{w})$  with probability  $\frac{1}{M}$ .
- 2) For  $\mathbf{w} \leftarrow h$ ,  $\mathbf{u} \leftarrow \mathcal{D}^m_{\mathbf{w},\sigma}$ , get  $(\mathbf{u}, \mathbf{w})$  with probability  $\min(\frac{\mathcal{D}^m_{\sigma}}{M \cdot \mathcal{D}^m_{\mathbf{w},\sigma}}, 1)$ .

Moreover, the statistical distance between these two distributions is within  $\frac{2^{-\omega(\log m)}}{M}$ .

# **IV. FRAMEWORK DESCRIPTION**

The system models, formal definitions, and security models of our BAEKS scheme are described in this section.

## A. System Models

The system models of our BAEKS scheme are illustrated in Fig. 2, which contains four participating entities: trusted authority, data sender, data receivers, and cloud server.

- 1) **Trusted authority** (**TA**): TA is charged with executing the **Setup** algorithm to obtain the public parameters and calculate the public and secret keys for data sender and data receivers.
- 2) Data sender: Data sender owns massive data from different industries (e.g., medical data, logistics data, research data, etc.), extracts and encrypts the keywords from these data with its own secret key and a set of data receivers' public keys to calculate keyword ciphertext, and sends them to the cloud server.
- Data receivers: Data receivers consist of users from different industries (e.g., doctor, manufacturer, researcher, etc.). To facilitate our BAEKS implementation, we assume that there are at most *l* data receivers. When a data receiver has a search requirement (e.g., the doctor in Fig. 2), it generates a search trapdoor by calling the Trapdoor

algorithm, and uploads it to the cloud server. If there exists a matching ciphertext, it receives the search result from the cloud server.

4) Cloud server (CS): After receiving the keyword ciphertext from a data sender and the search trapdoor from a specific data receiver, CS executes the Test algorithm to match the keyword ciphertext and the search trapdoor. If the match is successful, CS sends the search result to the data receiver. Otherwise, CS sends Null to it.

# B. Formal Definitions

Our BAEKS scheme contains six algorithms  $\Pi_{BAEKS} = ($ **Setup**, **KeyGen**<sub>S</sub>, **KeyGen**<sub>R</sub>, **BAEKS**, **Trapdoor**, **Test**), the formal definitions of these algorithms are described as:

- *pp* ← Setup(1<sup>λ</sup>): After inputting a security parameter λ, this algorithm publishes a public parameter *pp*.
- (pk<sub>S</sub>, sk<sub>S</sub>) ← KeyGen<sub>S</sub>(pp, T<sub>A</sub>): After inputting the public parameter pp and a basis T<sub>A</sub>, this PPT algorithm publishes the public and secret keys (pk<sub>S</sub>, sk<sub>S</sub>) of a data sender.
- $(\mathbf{pk}_{R,i}, \mathbf{sk}_{R,i}) \leftarrow \mathbf{KeyGen}_R(pp)$ : For i = [l], after inputting the public parameter pp, this PPT algorithm publishes the public and secret keys  $(\mathbf{pk}_{R,i}, \mathbf{sk}_{R,i})$  of the data receiver i.
- CT ← BAEKS(pp, ck, sk<sub>S</sub>, {pk<sub>R,1</sub>, pk<sub>R,2</sub>, · · · , pk<sub>R,l</sub>}): After inputting the public parameter pp, a keyword ck ∈ W, a secret key sk<sub>S</sub> of data sender, a set of data receivers' public keys {pk<sub>R,1</sub>, pk<sub>R,2</sub>, · · · , pk<sub>R,l</sub>}, the data sender invokes this PPT algorithm to get the ciphertext CT corresponding to ck.
- TD  $\leftarrow$  **Trapdoor**(pp, **tk**, **pk**<sub>S</sub>, {**pk**<sub>R,1</sub>, **pk**<sub>R,2</sub>,  $\cdots$ , **pk**<sub>R,l</sub>}, **sk**<sub>R,\gamma</sub>): After inputting the public parameter pp, a keyword **tk**  $\in W$ , a public key **pk**<sub>S</sub> of data sender, a set of data receivers' public keys {**pk**<sub>R,1</sub>, **pk**<sub>R,2</sub>,  $\cdots$ , **pk**<sub>R,l</sub>}, and a secret key **sk**<sub>R,\gamma</sub> of data receiver  $\gamma$ , the data receiver  $\gamma$  invokes this PPT algorithm to get the trapdoor TD corresponding to **tk**.
- 1 or 0 ← Test(CT, TD): The server processes this DPT algorithm to test if CT and TD correspond to the same keyword. If yes, it outputs 1. Otherwise, it outputs 0.

# C. Security Models

In this section, we define two security models of BAEKS scheme, namely ciphertext indistinguiability against chosen keyword attacks (IND-CKA), and ciphertext unforgability against insider keyword guessing attacks (UF-IKGA).

1) IND-CKA security: For the first part, we define the IND-CKA security model  $\mathbf{Exp}_{BAEKS,\mathcal{A}}^{IND-CKA}(\lambda)$  as follows:

- 1) Setup: Given a security parameter  $\lambda$  and many LWE instances, a challenger C invokes the **Setup** $(1^{\lambda})$  algorithm to calculate pp. Then, C processes the KeyGen<sub>S</sub>(pp,  $T_A$ ) and **KeyGen**<sub>R</sub>(pp) algorithms to obtain a challenge sender's public and secret keys  $(\mathbf{pk}_{S}^{*}, \mathbf{sk}_{S}^{*})$  and the challenger receivers' public and secret keys  $(\mathbf{pk}_{R,i}^*, \mathbf{sk}_{R,i}^*)$ , where i = [l], respectively. Then, C returns pp,  $\mathbf{pk}_{S}^{*}$ ,  $\{\mathbf{pk}_{R,1}^*, \mathbf{pk}_{R,2}^*, \cdots, \mathbf{pk}_{R,l}^*\}$  to the adversary  $\mathcal{A}$ .
- 2) **Phase 1**:  $\mathcal{A}$  can adaptively perform three oracles in polynomial times.
  - a) Hash Queries  $\mathcal{O}_{H_1}$ : Given a keyword  $\mathbf{ck} \in \mathcal{W}$  from  $\mathcal{A}, \mathcal{C}$  maintains a list  $L_{H_1}$  and searches **ck** in it, and then returns the answer to  $\mathcal{A}$ .
  - b) Ciphertext Queries  $\mathcal{O}_{CT}$ : Given a keyword ck  $\in$  $\mathcal W$  and a set of data receivers' public keys  $\{\mathbf{pk}_{R,1}, \mathbf{pk}_{R,2}, \cdots, \mathbf{pk}_{R,l}\}$  from  $\mathcal{A}, \mathcal{C}$  invokes the  $BAEKS(pp, ck, sk_S^*, \{pk_{R,1}, pk_{R,2}, \cdots, pk_{R,l}\})$  algorithm to calculate the ciphertext CT and sends it to  $\mathcal{A}$ .
  - c) Trapdoor Queries  $\mathcal{O}_{TD}$ : Given a keyword  $\mathbf{tk} \in \mathcal{W}$ , a public key  $\mathbf{pk}_S$  of data sender, a set of data receivers' public keys { $\mathbf{pk}_{R,1}^*, \mathbf{pk}_{R,2}^*, \cdots, \mathbf{pk}_{R,l}^*$ } [l] from  $\mathcal{A}$ ,  $\mathcal{C}$  invokes the  $\in$ and  $\gamma$  $\mathbf{Trapdoor}(pp, \mathbf{tk}, \mathbf{pk}_S, \{\mathbf{pk}_{R,1}^*, \mathbf{pk}_{R,2}^*, \cdots, \mathbf{pk}_{R,l}^*\},\$  $\mathbf{sk}_{R,\gamma}^*$ ) to calculate the trapdoor TD and returns to  $\mathcal{A}$ .
- 3) Challenge:  $\mathcal{A}$  chooses two challenge keywords  $\mathbf{ck}_{0}^{*}, \mathbf{ck}_{1}^{*} \in \mathcal{W}$  which have not been queried in **Phase 1** as two challenge keywords, and sends them to C. After that, C selects a random bit  $\xi \in \{0,1\}$  and invokes the  $BAEKS(\mathbf{ck}_{\xi}^*, \mathbf{sk}_{S}^*, \{\mathbf{pk}_{R,1}^*, \mathbf{pk}_{R,2}^*, \cdots, \mathbf{pk}_{R,l}^*\})$ algorithm to obtain a challenge ciphertext  $CT^*_{\mathcal{E}}$ . Finally,  $\mathcal{C}$  returns  $\mathrm{CT}^*_{\mathcal{E}}$  to  $\mathcal{A}$ .
- 4) **Phase 2**: A executes these queries as above, neither  $\mathbf{ck}_0^*$ nor  $\mathbf{ck}_1^*$  can be queried.
- 5) **Guess**: A guess bit  $\xi' \in \{0,1\}$  is outputted by  $\mathcal{A}$ . If  $\xi' = \xi$ , we say that  $\mathcal{A}$  wins this game.

We define the advantage of  $\mathcal{A}$  to win the above game  $\mathbf{Exp}_{\mathsf{BAEKS},\mathcal{A}}^{\mathsf{IND-CKA}}(\lambda) \text{ as: } \mathrm{Adv}_{\mathsf{BAEKS},\mathcal{A}}^{\mathsf{IND-CKA}}(\lambda) = |\Pr[\xi' = \xi] - \frac{1}{2}|.$ 

Definition 6: Our BAEKS primitive satisfies IND-CKA security, if any PPT malicious adversary wins the above game  $\mathbf{Exp}_{BAEKS,\mathcal{A}}^{IND-CKA}(\lambda)$  with a negligible advantage.

2) UF-IKGA security: For the second part, we define the UF-IKGA security model  $\mathbf{Exp}_{\text{BAEKS},\mathcal{A}}^{\text{UF-IKGA}}(\lambda)$  as follows:

- 1) Setup: This part is same as the corresponding part in  $\mathbf{Exp}_{\mathrm{BAEKS},\mathcal{A}}^{\mathrm{IND}\text{-}\mathrm{CKA}}(\lambda).$
- 2) Phase 1: A can adaptively perform three oracles in polynomial times.
  - a) Hash Queries  $\mathcal{O}_{H_2}$ : Given a tuple  $(\mathbf{c}_1, b)$  corresponding to the keyword ciphertext CT from  $\mathcal{A}, \mathcal{C}$  maintains a

list  $L_{H_2}$  and searches  $(\mathbf{c}_1, b)$  in it, and then returns the answer to A.

- b) Ciphertext Queries  $\mathcal{O}_{CT}$ : This part is same as the corresponding part in  $\mathbf{Exp}_{\text{BAEKS},\mathcal{A}}^{\text{IND-CKA}}(\lambda)$ .
- c) Trapdoor Queries  $\mathcal{O}_{TD}$ : This part is same as the corresponding part in  $\mathbf{Exp}_{\text{BAEKS},\mathcal{A}}^{\text{IND-CKA}}(\lambda)$ .
- 3) Forgery:  $\mathcal{A}$  chooses a challenge keyword  $\mathbf{ck}^* \in \mathcal{W}$  and a number  $\gamma \in [l]$ , and then sends them to C. Subsequently,  $\mathcal{C}$  invokes the **Trapdoor**( $\mathbf{ck}^*, \mathbf{pk}^*_S, \{\mathbf{pk}^*_{R,1}, \mathbf{pk}^*_{R,2}, \mathbf{k}^*_{R,2}\}$  $\cdots$ ,  $\mathbf{pk}_{R,l}^*$ ,  $\mathbf{sk}_{R,\gamma}^*$ ) algorithm to obtain  $\mathrm{TD}^*$ , and returns it to  $\mathcal{A}$ . After that,  $\mathcal{A}$  forges a ciphertext  $CT^*$ corresponding to the challenge keyword ck<sup>\*</sup>, and wins this game if the  $Test(CT^*, TD^*)$  algorithm publishes 1.

define the advantage of  $\mathcal{A}$  to win We the above game  $\mathbf{Exp}_{\text{BAEKS},\mathcal{A}}^{\text{UF-IKGA}}(\lambda)$  as:  $\operatorname{Adv}_{\text{BAEKS},\mathcal{A}}^{\text{UF-IKGA}}(\lambda)$ = $|\Pr[\operatorname{Test}(\operatorname{CT}^*, \operatorname{TD}^*) = 1]|.$ 

Definition 7: Our BAEKS primitive satisfies UF-IKGA security, if any PPT adversary wins the above game  $\mathbf{Exp}_{\mathrm{BAEKS},\mathcal{A}}^{\mathrm{UF-IKGA}}(\lambda)$  with a negligible advantage.

## V. OUR PROPOSED BAEKS SCHEME

We describe our proposed BAEKS scheme in detail.

- A. Concrete Construction
  - Setup $(1^{\lambda})$ : A security parameter  $1^{\lambda}$  is inputted by the TA, and then the public parameter pp is outputted.
  - 1) Let the system parameters  $n, m, q, L, \sigma, k$ , and l.
  - 2) Invoke  $(\mathbf{A}, \mathbf{T}_{\mathbf{A}}) \leftarrow \mathsf{TrapGen}(n, m, q)$  to generate a uniformly matrix  $\mathbf{A} \in \mathbb{Z}_q^{n imes m}$  and a basis  $\mathbf{T}_{\mathbf{A}} \in \mathbb{Z}^{m imes m}$ for  $\Lambda_q^{\perp}(\mathbf{A})$ .

  - 3) Choose a vector u ← Z<sup>n</sup><sub>q</sub> uniformly.
    4) Define two hash functions H<sub>1</sub>: {0,1}<sup>k</sup> → Z<sup>n×m</sup><sub>q</sub>, and (1) Define the  $H_2: \mathbb{Z}_q^n \times \mathbb{Z}_q^{(l+1)m} \times \{0,1\} \to \{-1,0,1\}^m$ . 5) Output  $pp := (n,m,q,L,\sigma,k,l,\mathbf{A},\mathbf{u},H_1,H_2)$  as the
  - public parameter.
  - KeyGen<sub>S</sub>(pp, T<sub>A</sub>): The TA inputs a public parameter ppand a basis  $\mathbf{T}_{\mathbf{A}}$  and then returns the public and secret keys  $(\mathbf{pk}_S, \mathbf{sk}_S)$  to a data sender according to the following procedures.
  - 1) Invoke  $(\mathbf{A}_S, \mathbf{T}_{\mathbf{A}_S}) \leftarrow \mathsf{TrapGen}(n, m, q)$  to generate a uniformly matrix  $\mathbf{A}_S \in \mathbb{Z}_q^{n imes m}$  and a basis  $\mathbf{T}_{\mathbf{A}_S} \in$  $\mathbb{Z}^{m \times m}$  for  $\Lambda_q^{\perp}(\mathbf{A}_S)$ .
  - 2) Parse the matrix  $\mathbf{A}_{S} = (\mathbf{a}_{S,1}, \mathbf{a}_{S,2}, \cdots, \mathbf{a}_{S,m})$ , which each vector  $\mathbf{a}_{S,i} \in \mathbb{Z}^n$  for i = [m].
  - 3) For i = [m], sample a vector  $\mathbf{s}_i \in \mathbb{Z}_q^m$  as  $\mathbf{s}_i \leftarrow \mathsf{SamplePre}(\mathbf{A}, \mathbf{T}_{\mathbf{A}}, \mathbf{a}_{S,i}, \sigma), \text{ where } \mathbf{s}_i \text{ s.t.}$  $\mathbf{As}_i = \mathbf{a}_{S,i} \mod q$  and  $\mathbf{s}_i$  is statistically distributed in  $\mathcal{D}^m_{\Lambda^{\mathbf{a}_{S,i}}_{a}(\mathbf{A}),\sigma}$ .
  - 4) Let a matrix  $\mathbf{S} = (\mathbf{s}_1, \mathbf{s}_2, \cdots, \mathbf{s}_m) \in \mathbb{Z}^{m \times m}$ , where  $\mathbf{AS} = \mathbf{A}_s \mod q.$
  - 5) Output  $\mathbf{pk}_S := \mathbf{A}_S$  and  $\mathbf{sk}_S := (\mathbf{T}_{\mathbf{A}_S}, \mathbf{S})$  as the public and secret keys of data sender.
  - KeyGen<sub>R</sub>(pp): For i = [l], the TA inputs a public parameter pp and then returns the public and secret keys  $(\mathbf{pk}_{R,i}, \mathbf{sk}_{R,i})$  to the data receiver *i* according to the following procedures.

- Invoke (A<sub>R,i</sub>, T<sub>A<sub>R,i</sub>) ← TrapGen(n, m, q) to generate a uniformly matrix A<sub>R,i</sub> ∈ Z<sup>n×m</sup><sub>q</sub> and a basis T<sub>A<sub>R,i</sub> ∈ Z<sup>m×m</sup> for Λ<sup>⊥</sup><sub>q</sub>(A<sub>R,i</sub>).
  </sub></sub>
- 2) Output  $\mathbf{pk}_{R,i} := \mathbf{A}_{R,i}$  and  $\mathbf{sk}_{R,i} := \mathbf{T}_{\mathbf{A}_{R,i}}$  as the public and secret keys of data receiver.
- BAEKS(pp, ck, sk<sub>S</sub>, {pk<sub>R,1</sub>, pk<sub>R,2</sub>, · · · , pk<sub>R,l</sub>}): A data sender inputs a public parameter pp, a keyword ck ∈ W, a sender's secret key sk<sub>S</sub>, a set of receivers' public keys {pk<sub>R,1</sub>, pk<sub>R,2</sub>, · · · , pk<sub>R,l</sub>}, and then performs the following procedures.
- 1) Let a matrix  $\mathbf{A}_{R} = (\mathbf{A}_{R,1} \mid \mathbf{A}_{R,2} \mid \cdots \mid \mathbf{A}_{R,l}) \in \mathbb{Z}_{q}^{n \times lm}$ .
- 2) Calculate a matrix  $\mathbf{A}_{\mathbf{ck}} = (\mathbf{A}_R \mid H_1(\mathbf{ck})) \in \mathbb{Z}^{n \times (l+1)m}$ .
- Select a random vector v <sup>S</sup> Z<sup>n</sup><sub>q</sub> uniformly, a random number b ∈ {0,1}, two noise vectors x<sub>0</sub> <sup>S</sup> χ<sup>lm</sup>, x<sub>1</sub> <sup>S</sup> χ<sup>m</sup>, and a noise number x <sup>S</sup> χ.
- 4) Calculate a vector  $\mathbf{c}_1 = \mathbf{A}_{\mathbf{c}\mathbf{k}}^\top \mathbf{v} + (\mathbf{x}_0^\top \mid \mathbf{x}_1^\top)^\top \in \mathbb{Z}_q^{(l+1)m}$ , and a number  $c_2 = \mathbf{u}^\top \mathbf{v} + x + b \cdot \lfloor \frac{q}{2} \rfloor \in \mathbb{Z}_q$ .
- 5) Select a vector  $\mathbf{y} \stackrel{\$}{\leftarrow} \mathbb{Z}_q^m$  in  $\mathcal{D}_{\sigma}^m$  uniformly.
- 6) Calculate a vector  $\boldsymbol{\eta}_1 = H_2(\mathbf{A}\mathbf{y} \mod q, \mathbf{c}_1, b) \in \{-1, 0, 1\}^m$  and another vector  $\boldsymbol{\eta}_2 = \mathbf{S}\boldsymbol{\eta}_1 + \mathbf{y} \in \mathbb{Z}_q^m$  with the probability  $\min(\frac{\mathcal{D}_{\sigma}^m}{M \cdot \mathcal{D}_{\mathbf{S}\boldsymbol{\eta}_1,\sigma}^m}, 1)$ .
- 7) Output CT :=  $(\mathbf{c}_1, c_2, \boldsymbol{\eta}_1, \boldsymbol{\eta}_2)$  as the ciphertext corresponding to the keyword **ck**.
- Trapdoor(pp, tk, pk<sub>S</sub>, {pk<sub>R,1</sub>, pk<sub>R,2</sub>, · · · , pk<sub>R,l</sub>}, sk<sub>R,γ</sub>): A data receiver γ ∈ [l] inputs a public parameter pp, a keyword tk ∈ W, a sender's public key pk<sub>S</sub>, a set of receivers' public keys {pk<sub>R,1</sub>, pk<sub>R,2</sub>, · · · , pk<sub>R,l</sub>}, and secret keys sk<sub>R,γ</sub> with receiver γ, and then performs the following procedures.
  - 1) Calculate  $\mathbf{A}_{\mathbf{tk},\gamma} = (\mathbf{A}_{R,\gamma} \mid H_1(\mathbf{tk})) \in \mathbb{Z}_q^{n \times 2m}$  and  $\mathbf{A}_{\mathbf{tk}} = (\mathbf{A}_{R,1} \mid \cdots \mid \mathbf{A}_{R,l} \mid H_1(\mathbf{tk})).$
- 2) Invoke  $\mathbf{T}_{\mathbf{A}_{\mathbf{t}\mathbf{k},\gamma}} \leftarrow \mathsf{SampleBasis}(\mathbf{A}_{\mathbf{t}\mathbf{k},\gamma}, \mathbf{T}_{\mathbf{A}_{R,\gamma}}, \{1\}, L)$ to obtain a basis  $\mathbf{T}_{\mathbf{A}_{\mathbf{t}\mathbf{k},\gamma}} \in \mathbb{Z}^{2m \times 2m}$  for  $\Lambda_q^{\perp}(\mathbf{A}_{\mathbf{t}\mathbf{k},\gamma})$ .
- 3) Sample a vector  $\varepsilon_i \in \mathbb{Z}^{(l+1)m}$  as  $\varepsilon \leftarrow$ GenSamplePre( $\mathbf{A}_{\mathbf{tk}}, \mathbf{T}_{\mathbf{A}_{\mathbf{tk},\gamma}}, \{\gamma, l+1\}, \mathbf{u}, \sigma$ ), where  $\varepsilon$ s.t.  $\mathbf{A}_{\mathbf{tk}} \cdot \boldsymbol{\varepsilon} = \mathbf{u} \mod q$  and  $\varepsilon$  is statistically distributed in  $\mathcal{D}_{\Lambda^{\mathbf{u}}_{\mathbf{q}}(\mathbf{A}_{\mathbf{tk}})}^{(l+1)m}$ .
- Output TD := (ε, pk<sub>S</sub>) as the trapdoor corresponding to the keyword tk.
- **Test**(CT, TD): The cloud server inputs the ciphertext CT together with the trapdoor TD, and then processes the following procedures.
  - 1) Parse CT =  $(\mathbf{c}_1, c_2, \boldsymbol{\eta}_1, \boldsymbol{\eta}_2)$  and TD =  $(\boldsymbol{\varepsilon}, \mathbf{pk}_S = \mathbf{A}_S)$ .
- 2) Calculate a number  $d = c_2 \varepsilon^{\top} \mathbf{c}_1 \in \mathbb{Z}_q$ . If  $\left| d \lfloor \frac{q}{2} \rfloor \right| < \lfloor \frac{q}{4} \rfloor$ , set b' = 1. Otherwise, set b' = 0.
- 3) Check  $\|\boldsymbol{\eta}_2\| \leq 2\sigma\sqrt{m}$  and  $\boldsymbol{\eta}_1 \stackrel{?}{=} H_2(\mathbf{A}\boldsymbol{\eta}_2 \mathbf{A}_S\boldsymbol{\eta}_1, \mathbf{c}_1, b')$ . If these two conditions are satisfied, output 1. Otherwise, output 0.

# B. Parameters Setting and Correctness Analysis

•  $m \ge \lceil 5n \log q \rceil$  for the TrapGen lemma.

- $\sigma \geq km \cdot \omega(\log km)$  for SamplePre and GenSamplePre lemmas.
- $L \ge \mathcal{O}(m^{1.5}) \cdot \omega(\log km)$  for SampleBasis lemma.
- $\alpha q > 2\sqrt{n}$  for LWE hardness.
- $q\alpha\sigma(l+1)m\omega(\sqrt{\log[(l+1)m]}) + \mathcal{O}(\sigma(l+1)m) < \frac{q}{5}$  for the correctness.

Based on the above parameter settings, we analyze the correctness of our BAEKS. We set that the data sender owns its the public and secret keys  $(\mathbf{pk}_S := \mathbf{A}_S, \mathbf{sk}_S := (\mathbf{T}_{\mathbf{A}_S}, \mathbf{S}))$ , a keyword  $\mathbf{ck} \in \mathcal{W}$ , and its ciphertext  $CT = (\mathbf{c}_1, c_2, \eta_1, \eta_2)$ . Moreover, the data receiver  $\gamma$  owns its public keys and secret keys  $(\mathbf{pk}_{R,\gamma} := \mathbf{A}_{R,\gamma}, \mathbf{sk}_{R,\gamma} := \mathbf{T}_{A_{R,\gamma}})$ , and the searched keyword  $\mathbf{tk} \in \mathcal{W}$ , and corresponding search trapdoor  $TD = (\varepsilon, \mathbf{pk}_S)$ .

On the one hand, for the condition  $|d - \lfloor \frac{q}{2} \rfloor| < \lfloor \frac{q}{4} \rfloor$  in **Test** algorithm.

• If  $\mathbf{ck} = \mathbf{tk}$ , we have:

$$\begin{split} d &= c_2 - \boldsymbol{\varepsilon}^{\top} \mathbf{c}_1 \\ &= \mathbf{u}^{\top} \mathbf{v} + x + b \cdot \lfloor \frac{q}{2} \rfloor - \boldsymbol{\varepsilon}^{\top} (\mathbf{A}_{\mathbf{ck}}^{\top} \mathbf{v} + (\mathbf{x}_0^{\top} \mid \mathbf{x}_1^{\top})^{\top}) \\ &= \mathbf{u}^{\top} \mathbf{v} + x + b \cdot \lfloor \frac{q}{2} \rfloor - \boldsymbol{\varepsilon}^{\top} (\mathbf{A}_{\mathbf{tk}}^{\top} \mathbf{v} + (\mathbf{x}_0^{\top} \mid \mathbf{x}_1^{\top})^{\top}) \\ &= \mathbf{u}^{\top} \mathbf{v} + x + b \cdot \lfloor \frac{q}{2} \rfloor - \mathbf{u}^{\top} \mathbf{v} - ((\mathbf{x}_0^{\top} \mid \mathbf{x}_1^{\top}) \boldsymbol{\varepsilon}_i)^{\top} \\ &= b \cdot \lfloor \frac{q}{2} \rfloor + x - \boldsymbol{\varepsilon}_i^{\top} (\mathbf{x}_0^{\top} \mid \mathbf{x}_1^{\top})^{\top}, \end{split}$$

where  $x - \boldsymbol{\varepsilon}_i^\top (\mathbf{x}_0^\top \mid \mathbf{x}_1^\top)^\top$  is an error term, and it is bounded by:

$$|x - \boldsymbol{\varepsilon}_i^{\top}(\mathbf{x}_0^{\top} \mid \mathbf{x}_1^{\top})^{\top}| \leq |x| + |(\mathbf{x}_0^{\top} \mid \mathbf{x}_1^{\top})^{\top}|$$
  
$$\leq q\alpha\sigma(l+1)m\omega(\sqrt{\log[(l+1)m]}) + \mathcal{O}(\sigma(l+1)m).$$

To recover b correctly,  $|x - \varepsilon_i^{\top}(\mathbf{x}_0^{\top} | \mathbf{x}_1^{\top})^{\top}| < \frac{q}{5}$  needs to be fulfilled [38]. Then, we can obtain b' = 1.

• If  $\mathbf{ck} \neq \mathbf{tk}$ , we can obtain b' = 1 with negligible probability.

On the other hand, for the condition  $\eta_1 \stackrel{?}{=} H_2(\mathbf{A}\eta_2 - \mathbf{A}_S\eta_1, \mathbf{c}_1, b')$ , we have:

$$\mathbf{A}\boldsymbol{\eta}_2 - \mathbf{A}_S\boldsymbol{\eta}_1 = \mathbf{A}(\mathbf{S}\boldsymbol{\eta}_1 + \mathbf{y}) - \mathbf{A}_S\boldsymbol{\eta}_1$$
  
=  $\mathbf{A}_S\boldsymbol{\eta}_1 + \mathbf{A}\mathbf{y} - \mathbf{A}_S\boldsymbol{\eta}_1 = \mathbf{A}\mathbf{y} \mod q$ 

Then, when b' = 1, we can obtain:

$$\boldsymbol{\eta}_1 = H_2(\mathbf{A}\mathbf{y} \bmod q, \mathbf{c}_1, b') = H_2(\mathbf{A}\boldsymbol{\eta}_2 - \mathbf{A}_S\boldsymbol{\eta}_1, \mathbf{c}_1, b').$$

To sum up, our BAEKS scheme satisfies correctness, where **Test** algorithm has the ability to match the keyword ciphertext CT with the search trapdoor TD successfully. Then, the cloud server sends the data ciphertext corresponding to CT to the data receiver  $\gamma$  as the search result. After receiving it, the data receiver decrypts it, and generates the data plaintext corresponding to the keyword **tk**.

# C. Security Analysis

We demonstrate that BAEKS scheme is secure in the aforementioned security model, i.e. IND-CKA and UF-IKGA.

Theorem 1: Assume that the LWE<sub> $n,m,q,\chi$ </sub> hardness holds, our proposed lattice-based BAEKS scheme satisfies IND-CKA

security in the random oracle model. For any PPT adversary  $\mathcal{A}$ , if  $\mathcal{A}$  can compromise our scheme with a non-negligible advantage  $\epsilon_1$ , then we can construct a PPT challenger  $\mathcal{C}$  to solve the LWE<sub>n,m,q,\chi</sub> hardness with a non-negligible probability.

**Proof** If a PPT adversary  $\mathcal{A}$  who has the ability to break the IND-CKA security with a non-negligible advantage, we can construct a challenger  $\mathcal{C}$  who can solve the LWE<sub> $n,m,q,\chi$ </sub> hardness. The following procedures show the interaction between  $\mathcal{A}$  and  $\mathcal{C}$ .

Setup: To begin with, the challenger C obtains several LWE instances  $(b_j, \mathbf{a}_j) \in \mathbb{Z}_q \times \mathbb{Z}_q^n$  for  $j = 0, 1, \cdots, (l+1)m$ , such that all  $b_j$  are chosen randomly or equal to  $\mathbf{a}_j^{\mathsf{T}}\mathbf{v} + x_j$ , where  $\mathbf{v} \in \mathbb{Z}^n$  and  $x_j \stackrel{\$}{\leftarrow} \chi$ . Then, C invokes the Setup $(1^{\lambda})$  algorithm to obtain a public parameter  $pp = (\mathbf{A}, \mathbf{u}, H_1, H_2)$ , where  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ ,  $H_1 : \{0, 1\}^k \to \mathbb{Z}_q^{n \times m}$ ,  $H_2 : \mathbb{Z}_q^n \times \mathbb{Z}_q^{lm} \times \{0, 1\} \to \{-1, 0, 1\}^m$  and  $\mathbf{u} = \mathbf{a}_0$ . In addition, C executes  $(\mathbf{A}_S^*, \mathbf{T}_{\mathbf{A}_S}^*) \leftarrow \text{TrapGen}(n, m, q)$  to obtain the challenge public key  $\mathbf{pk}_S^* = \mathbf{A}_S^*$  of data sender. For  $\mathbf{A}_S^* = (\mathbf{a}_{S,1}^*, \mathbf{a}_{S,2}^*, \cdots, \mathbf{a}_{S,m}^*)$  and i = [m], C invokes  $\mathbf{s}_i^* \leftarrow \text{SamplePre}(\mathbf{A}, \mathbf{T}_{\mathbf{A}}, \mathbf{a}_{S,i}, \sigma)$  to obtain  $\mathbf{s}_i^*$ . After that, C obtains  $\mathbf{S}^* = (\mathbf{s}_1^*, \mathbf{s}_2^*, \cdots, \mathbf{s}_m^*) \in \mathbb{Z}^{m \times m}$ . Moreover, for i = [l], C sets the challenge receivers' public key  $\mathbf{pk}_{R,i}^* =$  $\mathbf{A}_{R,i}^* = (\mathbf{a}_{1+(i-1)m}, \mathbf{a}_{2+(i-1)m}, \cdots, \mathbf{a}_{m+(i-1)m})$ . Finally, Creturns pp,  $\mathbf{pk}_S^*$ ,  $\{\mathbf{pk}_{R,1}^*, \mathbf{pk}_{R,2}^*, \cdots, \mathbf{pk}_{R,l}^*\}$  to  $\mathcal{A}$ .

**Phase 1**: A executes these following queries adaptively:

- Hash Queries  $\mathcal{O}_{H_1}$ : In this phase,  $\mathcal{A}$  issues  $H_1$  queries at most  $q_{H_1}$ . Firstly, the challenger  $\mathcal{C}$  creates a empty list  $L_{H_1}$ , and selects  $j^* \in [q_{H_1}]$  as a challenge query. For the *j*-th query, if  $\mathbf{ck}_j$  has been queried,  $\mathcal{C}$  returns  $H_1(\mathbf{ck}_j)$  in  $L_{H_1}$  to  $\mathcal{A}$ . Otherwise, if  $j^* \neq$  $j, \mathcal{C}$  invokes  $\operatorname{TrapGen}(n, m, q)$  to generate a matrix  $\mathbf{A}_H \in \mathbb{Z}_q^{n \times m}$  and a basis  $\mathbf{T}_{\mathbf{A}_H} \in \mathbb{Z}^{m \times m}$ , lets  $H_1(\mathbf{ck}_j) = \mathbf{A}_H$  and  $\mathbf{T}_{H_1(\mathbf{ck}_j)} = \mathbf{T}_{\mathbf{A}_H}$ , and calculates  $L_{H_1} = L_{H_1} \cup \{\mathbf{ck}_j, H_1(\mathbf{ck}_j), \mathbf{T}_{H_1(\mathbf{ck}_j)}\}$ . Otherwise,  $\mathcal{C}$ sets  $H_1(\mathbf{ck}_{j^*}) \in \mathbb{Z}^{m \times m}$  randomly, and calculates  $L_{H_1} =$  $L_{H_1} \cup \{\mathbf{ct}_{j^*}, H_1(\mathbf{ck}_{j^*}), \mathbf{T}_{H_1(\mathbf{ck}_{j^*})}\}$ .
- Ciphertext Queries  $\mathcal{O}_{CT}$ :  $\mathcal{A}$  inputs the keyword  $\mathbf{ck} \in \mathcal{W}$  and  $\{\mathbf{pk}_{R,1}, \mathbf{pk}_{R,2}, \cdots, \mathbf{pk}_{R,l}\} = \{\mathbf{A}_{R,1}, \mathbf{A}_{R,2}, \cdots, \mathbf{A}_{R,l}\}$ . The challenger  $\mathcal{C}$  calculates  $\mathbf{A}_{\mathbf{ck}} = (\mathbf{A}_R \mid H_1(\mathbf{ck}))$  where  $\mathbf{A}_R = (\mathbf{A}_{R,1} \mid \mathbf{A}_{R,2} \mid \cdots \mid \mathbf{A}_{R,l})$ . Then,  $\mathcal{C}$  selects a random vector  $\mathbf{v} \stackrel{\$}{\leftarrow} \mathbb{Z}_q^n$ , a random number  $b \in \{0,1\}$ , two noise vectors  $\mathbf{x}_0 \stackrel{\$}{\leftarrow} \chi^{lm}, \mathbf{x}_1 \stackrel{\$}{\leftarrow} \chi^m$ , and a noise number  $x \stackrel{\$}{\leftarrow} \chi$ , computes  $\mathbf{c}_1 = \mathbf{A}_{\mathbf{ck}}^\top \mathbf{v} + (\mathbf{x}_0^\top \mid \mathbf{x}_1^\top)^\top$  and  $c_2 = \mathbf{u}^\top \mathbf{v} + x + b \cdot \lfloor \frac{q}{2} \rfloor$ . Furthermore,  $\mathcal{C}$  selects a vector  $\mathbf{y} \stackrel{\$}{\leftarrow} \mathbb{Z}_q^m$  in  $\mathcal{D}_{\sigma}^m$ , and computes  $\eta_1 = H_2(\mathbf{Ay} \mod q, \mathbf{c}_1, b)$  and  $\eta_2 = \mathbf{S}^* \eta_1 + \mathbf{y}$  with the probability  $\min(\frac{\mathcal{D}_{\sigma}^m}{M \cdot \mathcal{D}_{\mathfrak{S}\eta_1,\sigma}^m}, 1)$ . Finally,  $\mathcal{C}$  returns the ciphertext  $\mathrm{CT} = (\mathbf{c}_1, c_2, \eta_1, \eta_2)$  to  $\mathcal{A}$ .
- Trapdoor Queries  $\mathcal{O}_{\text{TD}}$ : For a chosen data receiver  $\gamma \in [l]$ ,  $\mathcal{A}$  inputs the keyword  $\mathbf{tk} \in \mathcal{W}$ ,  $\mathbf{pk}_{R,\gamma}^* = \mathbf{A}_{R,\gamma}^*$ ,  $\mathbf{pk}_S = \mathbf{A}_S$ . If  $\mathbf{tk} \neq \mathbf{ck}_{j^*}$ , the challenger  $\mathcal{C}$  gets the  $\{\mathbf{tk}, H_1(\mathbf{tk}), \mathbf{T}_{H_1(\mathbf{tk})}\}$  in  $L_{H_1}$ , calculates  $\mathbf{A}_{\mathbf{tk},\gamma} = (\mathbf{A}_{R,\gamma}^* \mid H_1(\mathbf{tk}))$  and  $\mathbf{A}_{\mathbf{tk}} = (\mathbf{A}_{R,1}^* \mid \cdots \mid$

**Challenge:**  $\mathcal{A}$  chooses  $\mathbf{ck}_{0}^{*}, \mathbf{ck}_{1}^{*} \in \mathcal{W}$  which have not been queried in **Phase 1**, and transmits it to the challenger  $\mathcal{C}$ . Then,  $\mathcal{C}$  selects  $\xi \in \{0, 1\}$ , and calculates a challenge ciphertext  $(\mathbf{c}_{1,\xi}^{*}, c_{2,\xi}^{*}) \in \mathbb{Z}^{(l+1)m} \times \mathbb{Z}_{q}$ , where:  $\mathbf{c}_{1,\xi}^{*} = (b_{1}, \cdots, b_{m}, \cdots, b_{lm+1}, \cdots, b_{(l+1)m})^{\top}$ , and  $c_{2,\xi}^{*} = b_{0} + b\lfloor \frac{q}{2} \rfloor, b \in \{0, 1\}$ . After that,  $\mathcal{C}$  calculates  $\eta_{1}^{*} = H_{2}(\mathbf{Ay} \mod q, \mathbf{c}_{1}^{*}, b) \in \{-1, 0, 1\}^{m}$  and  $\eta_{2}^{*} = \mathbf{S}^{*}\eta_{1} + \mathbf{y} \in \mathbb{Z}_{q}^{m}$  with the probability  $\min(\frac{\mathcal{D}_{\sigma}^{m}}{M \cdot \mathcal{D}_{\mathbf{S}\eta_{1},\sigma}^{*}}, 1)$ , and then returns  $\mathrm{CT}_{\xi}^{*} = (\mathbf{c}_{1}^{*}, c_{2}^{*}, \eta_{1}^{*}, \eta_{2}^{*})$  to  $\mathcal{A}$ .

**Phase 2**:  $\mathcal{A}$  executes these queries as above, and promises neither  $\mathbf{ck}_0^*$  nor  $\mathbf{ck}_1^*$  can be queried.

**Guess:**  $\mathcal{A}$  outputs a random bit  $\xi' \in \{0, 1\}$  after receiving  $\operatorname{CT}_{\xi}$ . If  $\xi' = \xi$ ,  $\mathcal{A}$  wins this game, and the challenger  $\mathcal{C}$  outputs 1 meaning  $(b_j, \mathbf{a}_j)$  is sampled from the LWE oracle. Otherwise,  $\mathcal{C}$  outputs 0 meaning  $(b_j, \mathbf{a}_j)$  is sampled from the uniform distribution  $\mathbb{Z}_q \times \mathbb{Z}_q^n$ .

**Analysis:** If  $\xi' = \xi$ , for j = [(l+1)m], the challenger C outputs 1 meaning  $(b_j, \mathbf{a}_j)$  is sampled from the LWE oracle, then  $CT_{\xi}$  is valid. Let  $\mathbf{x} = (x_1, \cdots, x_m, x_{m+1}, \cdots, x_{(l+1)m})^{\top}$ , we have:

$$\mathbf{c}_{1,\xi}^{*} = (b_{1}, \cdots, b_{m}, b_{m+1}, \cdots, b_{2m}, \cdots, b_{lm+1}, \cdots, b_{(l+1)m})^{\top}$$
$$= (\mathbf{a}_{1}, \cdots, \mathbf{a}_{m} \mid \mathbf{a}_{m+1}, \cdots, \mathbf{a}_{2m} \mid \cdots \mid \mathbf{a}_{lm+1},$$
$$\cdots, \mathbf{a}_{(l+1)m})^{\top} \mathbf{v} + (x_{1}, \cdots, x_{m}, \cdots, x_{(l+1)m})^{\top}$$
$$= (\mathbf{A}_{R,1} \mid \mathbf{A}_{R,2} \mid \cdots \mid \mathbf{A}_{R,l} \mid H_{1}(\mathbf{ck}_{\xi}))^{\top} \mathbf{v} + \mathbf{x}$$
$$= (\mathbf{A}_{R} \mid H_{1}(\mathbf{ck}_{\xi}))^{\top} \mathbf{v} + \mathbf{x}$$
$$= \mathbf{A}_{\mathbf{ck}}^{\top} \mathbf{v} + \mathbf{x}.$$

$$c_{2,\xi}^* = b_0 + b\lfloor \frac{q}{2} \rfloor = \mathbf{a}_0^\top \mathbf{v} + x_0 + b\lfloor \frac{q}{2} \rfloor = \mathbf{u}^\top \mathbf{v} + x_0 + b\lfloor \frac{q}{2} \rfloor.$$

In this case,  $\mathcal{A}$  has the advantage  $\epsilon_1$  to solve LWE hardness, thus  $\Pr[\xi' = \xi] = \frac{1}{2} + \epsilon_1$ . Otherwise,  $\mathcal{C}$  outputs 0 meaning  $(b_j, \mathbf{a}_j)$  is obtained from the uniform distribution over  $\mathbb{Z}_q \times \mathbb{Z}_q^n$ , we can get  $\Pr[\xi' = \xi] = \frac{1}{2}$ . To execute this process successfully, the challenger  $\mathcal{C}$  has advantage  $(1 - \frac{1}{q_{H_1}})\frac{\epsilon_1}{2}$  to solve the LWE<sub> $n,m,q,\chi$ </sub> hardness.

Theorem 2: Assume that the SIS<sub> $n,m,q,\beta$ </sub> hardness holds, our proposed lattice-based BAEKS primitive satisfies UF-IKGA security in the random oracle model. For any PPT adversary A, if A can compromise our scheme, then we can construct a PPT challenger C to solve the SIS<sub> $n,m,q,\beta$ </sub> hardness.

*Proof* If there exists  $\mathcal{A}$  who can break the UF-IKGA security, then we has the ability to construct  $\mathcal{C}$  who can find a solution of  $SIS_{n,m,q,\beta}$  hardness. The following procedures show the interaction between  $\mathcal{A}$  and  $\mathcal{C}$ .

Setup: To begin with, the challenger C invokes  $\operatorname{Setup}(1^{\lambda})$  to calculate the public parameter  $pp = (H_1, H_2, \mathbf{u})$ , where  $H_1 : \{0, 1\}^k \to \mathbb{Z}_q^{n \times m}, H_2 : \mathbb{Z}_q^{lm} \times \{0, 1\} \to \mathbb{Z}_q^m$ , and  $\mathbf{u} \overset{\$}{\leftarrow} \mathbb{Z}_q^n$ . In addition, C executes  $(\mathbf{A}_S^*, \mathbf{T}_{\mathbf{A}_S}^*) \leftarrow \operatorname{TrapGen}(n, m, q)$  to set the challenge public key  $\mathbf{pk}_S^* = \mathbf{A}_S^*$  of data sender. For  $\mathbf{A}_S^* = (\mathbf{a}_{S,1}^*, \mathbf{a}_{S,2}^*, \cdots, \mathbf{a}_{S,m}^*)$  and i = [m], C invokes

 $\mathbf{s}_{i}^{*} \leftarrow \mathsf{SamplePre}(\mathbf{A}, \mathbf{T}_{\mathbf{A}}, \mathbf{a}_{S,i}, \sigma)$  to obtain  $\mathbf{s}_{i}^{*}$ . Moreover, for  $i = [l], \mathcal{C}$  executes  $(\mathbf{A}_{R,i}^{*}, \mathbf{T}_{\mathbf{A}_{R,i}}^{*}) \leftarrow \mathsf{TrapGen}(n, m, q)$  to calculate the challenge receivers' public key  $\mathbf{pk}_{R,i}^{*} = \mathbf{A}_{R,i}^{*}$ . Finally,  $\mathcal{C}$  returns pp,  $\mathbf{pk}_{S}^{*}$ ,  $\{\mathbf{pk}_{R,1}^{*}, \mathbf{pk}_{R,2}^{*}, \cdots, \mathbf{pk}_{R,l}^{*}\}$  to  $\mathcal{A}$ .

**Phase 1**: A executes these following queries adaptively:

- Hash Queries  $\mathcal{O}_{H_2}$ : In this phase,  $\mathcal{A}$  issues  $H_2$  queries at most  $q_{H_2}$ . Firstly, the challenger  $\mathcal{C}$  creates an empty list  $L_{H_2}$ , and selects  $j^* \in [q_{H_2}]$  as challenge query. For the *j*-th query, if  $(\mathbf{c}_{1,j}, b_j)$  has been queried,  $\mathcal{C}$ returns  $H_2(\mathbf{A}\mathbf{y}_j \mod q, \mathbf{c}_{1,j}, b_j)$  in  $L_{H_2}$  to  $\mathcal{A}$ . Otherwise, if  $j^* \neq j$ ,  $\mathcal{C}$  selects  $\mathbf{y}_j \in \mathbb{Z}^m$  from a uniform distribution on  $\mathbb{Z}^m$ , and sends  $H_2(\mathbf{A}\mathbf{y}_j \mod q, \mathbf{c}_{1,j}, b_j)$  to  $\mathcal{A}$  and lets  $L_{H_2} = L_{H_2} \cup \{\mathbf{c}_{1,j}, b_j, H_2(\mathbf{A}\mathbf{y}_j \mod q, \mathbf{c}_{1,j}, b_j)\}$ . Otherwise,  $\mathcal{C}$  selects  $\mathbf{y}^* \in \mathbb{Z}^m$ , and sets  $\mathbf{c}_1^* = \mathbf{c}_1$ ,  $b^* = b$ , which is a part of the forged ciphertext. Finally,  $\mathcal{C}$  returns  $H_2(\mathbf{A}\mathbf{y}^* \mod q, \mathbf{c}_1^*, b^*)$  to  $\mathcal{A}$ , and lets  $L_{H_2} = L_{H_2} \cup \{\mathbf{c}_1^*, b^*, H_2(\mathbf{A}\mathbf{y}^* \mod q, \mathbf{c}_1^*, b^*)\}$ .
- Ciphertext Queries  $\mathcal{O}_{CT}$ :  $\mathcal{A}$  inputs the keyword  $\mathbf{ck} \in \mathcal{W}$  and  $\{\mathbf{pk}_{R,1}, \mathbf{pk}_{R,2}, \cdots, \mathbf{pk}_{R,l}\} = \{\mathbf{A}_{R,1}, \mathbf{A}_{R,2}, \cdots, \mathbf{A}_{R,l}\}$ .  $\mathcal{C}$  calculates  $\mathbf{A}_{\mathbf{ck}} = (\mathbf{A}_{R} \mid H_{1}(\mathbf{ck}))$ , where  $\mathbf{A}_{R} = (\mathbf{A}_{R,1} \mid \mathbf{A}_{R,2} \mid \cdots \mid \mathbf{A}_{R,l})$ . Then,  $\mathcal{C}$  selects a random vector  $\mathbf{v} \notin \mathbb{Z}_{q}^{n}$ , a random number  $b \in \{0,1\}$ , two noise vectors  $\mathbf{x}_{0} \notin \chi^{lm}, \mathbf{x}_{1} \notin \chi^{m}$ , and a noise number  $x \notin \chi$ , and computes  $\mathbf{c}_{1} = \mathbf{A}_{\mathbf{ck}}^{\top}\mathbf{v} + (\mathbf{x}_{0}^{\top} \mid \mathbf{x}_{1}^{\top})^{\top}$  and  $c_{2} = \mathbf{u}^{\top}\mathbf{v} + x + b \cdot \lfloor \frac{q}{2} \rfloor$ , and checks whether  $(\mathbf{c}_{1}, b)$  has been queried in list  $L_{H_{2}}$ . If not,  $\mathcal{C}$  selects  $\mathbf{y} \in \mathbb{Z}^{m}$  randomly, and calculates  $H_{2}(\mathbf{Ay} \mod q, \mathbf{c}_{1}, b)$  and sets  $L_{H_{2}} = L_{H_{2}} \cup \{\mathbf{c}_{1}, b, H_{2}(\mathbf{Ay} \mod q, \mathbf{c}_{1}, b)\}$ . After that,  $\mathcal{C}$  sets  $\eta_{1} = H_{2}(\mathbf{Ay} \mod q, \mathbf{c}_{1}, b)$ , and calculates  $\eta_{2} = \mathbf{S}^{*} \eta_{1} + \mathbf{y}$  with the probability  $\min(\frac{\mathcal{D}_{m}^{m}}{\mathcal{D}_{\mathbf{S}\eta_{1},\sigma}}, 1)$ . Finally,  $\mathcal{C}$  returns the ciphertext  $\mathrm{CT} = (\mathbf{c}_{1}, c_{2}, \eta_{1}, \eta_{2})$  to  $\mathcal{A}$ .
- Trapdoor Queries  $\mathcal{O}_{\text{TD}}$ : For a chosen data receiver  $\gamma \in [l]$ ,  $\mathcal{A}$  inputs the keyword  $\mathbf{tk} \in \mathcal{W}$ ,  $\mathbf{pk}_{R,\gamma}^* = \mathbf{A}_{R,\gamma}^*$ ,  $\mathbf{pk}_S = \mathbf{A}_S$ .  $\mathcal{C}$  calculates  $\mathbf{A}_{\mathbf{tk},\gamma} = (\mathbf{A}_{R,\gamma^*} \mid H_1(\mathbf{tk}))$  and  $\mathbf{A}_{\mathbf{tk}} = (\mathbf{A}_{R,1}^* \mid \cdots \mid \mathbf{A}_{R,l}^* \mid H_1(\mathbf{tk})) \in \mathbb{Z}^{n \times (l+1)m}$ , and obtains  $\mathbf{T}_{\mathbf{A}_{\mathbf{tk},\gamma}} \leftarrow \text{SampleBasis}(\mathbf{A}_{\mathbf{tk},\gamma}, \mathbf{T}_{\mathbf{A}_{R,\gamma}}^*, \{1\}, L)$ . Then,  $\mathcal{C}$  samples  $\varepsilon \leftarrow \text{GenSamplePre}(\mathbf{A}_{\mathbf{tk}}, \mathbf{T}_{\mathbf{A}_{\mathbf{tk},\gamma}}, \{\gamma, l+1\}, \mathbf{u}, \sigma)$ , such that  $\mathbf{A}_{\mathbf{tk}}\varepsilon = \mathbf{u} \mod q$ . Finally,  $\mathcal{C}$  returns the trapdoor  $\text{TD} = (\varepsilon, \mathbf{pk}_S)$  to  $\mathcal{A}$ .

**Forgery:**  $\mathcal{A}$  selects a challenge keyword  $\mathbf{ck}^*$  and a number  $\gamma \in [l]$ , and transmits it to  $\mathcal{C}$ .  $\mathcal{C}$  invokes the **Trapdoor** $(pp, \mathbf{ck}^*, \mathbf{pk}_S^*, \{\mathbf{pk}_{R,1}^*, \mathbf{pk}_{R,2}^*, \cdots, \mathbf{pk}_{R,l}^*\}, \mathbf{sk}_{R,\gamma}^*)$  algorithm to obtain TD<sup>\*</sup>, and sends it to  $\mathcal{A}$ . Then,  $\mathcal{A}$  calculates  $\mathrm{CT}^* = (\mathbf{c}_1^*, \mathbf{c}_2^*, \boldsymbol{\eta}_1^*, \boldsymbol{\eta}_2')$  as a forged ciphertext corresponding to  $\mathbf{ck}^*$ , and wins if **Test** $(\mathrm{CT}^*, \mathrm{TD}^*)$  algorithm outputs 1.

Analysis: Since  $CT^* = (\mathbf{c}_1^*, c_2^*, \eta_1^*, \eta_2')$  is a valid ciphertext, we can obtain  $(\mathbf{c}_1^*, b^*, H_2(\mathbf{Ay}^* \mod q, \mathbf{c}_1^*, b^*))$  in  $L_{H_2}$  such that  $\eta_1^* = H_2(\mathbf{Ay}^* \mod q, \mathbf{c}_1^*, b^*), \ \eta_2^* = \mathbf{S}^* \eta_1^* + \mathbf{y}$ . In this way, we have  $H_2(\mathbf{A}\eta_2^* - \mathbf{A}_S\eta_1^*, \mathbf{c}_1^*, b^*) = H_2(\mathbf{A}\eta_2' - \mathbf{A}_S\eta_1^*, \mathbf{c}_1^*, b^*)$ . If  $\mathbf{A}\eta_2^* - \mathbf{A}_S\eta_1^* \neq \mathbf{A}\eta_2' - \mathbf{A}_S\eta_1^*$ , it reflects that  $\mathcal{A}$  obtains a pre-image of hash function  $H_2$ . Otherwise, we get  $\mathbf{A}\eta_2^* - \mathbf{A}_S\eta_1^* = \mathbf{A}\eta_2' - \mathbf{A}_S\eta_1^*$ , thereby:  $\mathbf{A}(\eta_2' - \eta_2^*) = \mathbf{0}$ . In addition, we notice that  $\eta_2' - \eta_2^* \neq 0$  and  $\|\eta_2'\| \leq 2\sigma\sqrt{m}$ ,  $\|\boldsymbol{\eta}_2^*\| \leq 2\sigma\sqrt{m}$ , we can calculate:  $\|\boldsymbol{\eta}_2' - \boldsymbol{\eta}_2^*\| \leq 4\sigma\sqrt{m}$ ., and  $\boldsymbol{\eta}_2' - \boldsymbol{\eta}_2^*$  is a solution of  $\mathrm{SIS}_{q,n,m,\beta}$  hardness.

# VI. OUR PROPOSED FS-BAEKS SCHEME

In this section, our FS-BAEKS scheme is proposed as an enhanced version of our BAEKS described in Section V. *A. Concrete Construction* 



Fig. 3. The binary tree utilized to secret key update for data receivers, and the number of level  $\tau = 4$ .

- Setup $(1^{\lambda})$ : A security parameter  $1^{\lambda}$  is inputted by the TA, and then the public parameter pp is outputted according to the following procedures.
  - 1) Set the system parameters  $n, m, q, L, \sigma, k$ , and l.
  - 2) Initialize all nodes in the binary tree, set  $\tau$  as the depth of binary tree, and  $T = 2^{\tau}$ , as in Fig. 3 (A example at  $\tau = 4$ ).
  - 3) For the root node, invoke  $(\mathbf{A}, \mathbf{T}_{\mathbf{A}}) \leftarrow$ TrapGen(n, m, q) to generate a uniformly matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  and a basis  $\mathbf{T}_{\mathbf{A}} \in \mathbb{Z}^{m \times m}$  for  $\Lambda_q^{\perp}(\mathbf{A})$ .
- 4) Choose a vector  $\mathbf{u} \stackrel{s}{\leftarrow} \mathbb{Z}_q^n$  uniformly.
- 5) Choose several matrices  $\mathbf{A}_{R,i,1}^{(0)}, \mathbf{A}_{R,i,1}^{(1)}, \cdots, \mathbf{A}_{R,i,\tau}^{(0)}, \mathbf{A}_{R,i,\tau}^{(1)} \in \mathbb{Z}_q^{n \times m}.$
- 6) Define two hash functions  $H_1: \{0,1\}^k \to \mathbb{Z}_q^{n \times m}$  and  $H_2: \mathbb{Z}_q^n \times \mathbb{Z}_q^{(l+\tau+1)m} \times \{0,1\} \to \{-1,0,1\}^m$ .
- 7) Output  $pp := (n, m, q, L, \sigma, k, l, \tau, \mathbf{A}, \mathbf{A}_{R,i,1}^{(0)}, \mathbf{A}_{R,i,\tau}^{(1)}, \mathbf{A}_{R,i,\tau}^{(1)}, \mathbf{u}, H_1, H_2)$  as the public parameter.
- KeyGen<sub>S</sub>(pp, T<sub>A</sub>): The TA inputs a public parameter pp and a basis T<sub>A</sub> and then returns the public and secret keys (pk<sub>S</sub>, sk<sub>S</sub>) to a data sender according to the following procedures.
- 1) Invoke  $(\mathbf{A}_S, \mathbf{T}_{\mathbf{A}_S}) \leftarrow \mathsf{TrapGen}(n, m, q)$  to obtain a uniformly matrix  $\mathbf{A}_S \in \mathbb{Z}_q^{n \times m}$  and a basis  $\mathbf{T}_{\mathbf{A}_S} \in \mathbb{Z}^{m \times m}$  for  $\Lambda_q^{\perp}(\mathbf{A}_S)$ .
- 2) Parse the matrix  $\mathbf{A}_{S} = (\mathbf{a}_{S,1}, \mathbf{a}_{S,2}, \cdots, \mathbf{a}_{S,m})$ , which each vector  $\mathbf{a}_{S,i} \in \mathbb{Z}^{n}$  for i = [m].
- 3) For i = [m], sample a vector  $\mathbf{s}_i \in \mathbb{Z}_q^m$  as  $\mathbf{s}_i \leftarrow \text{SamplePre}(\mathbf{A}, \mathbf{T}_{\mathbf{A}}, \mathbf{a}_{S,i}, \sigma)$ , where  $\mathbf{s}_i$  s.t.  $\mathbf{As}_i = \mathbf{a}_{S,i} \mod q$  and  $\mathbf{s}_i$  is statistically distributed in  $\mathcal{D}_{\Lambda_q^{\mathbf{a}_{S,i}}(\mathbf{A}), \sigma}^{m}$ .
- 4) Let a matrix  $\mathbf{S} = (\mathbf{s}_1, \mathbf{s}_2, \cdots, \mathbf{s}_m) \in \mathbb{Z}^{m \times m}$ , where  $\mathbf{AS} = \mathbf{A}_s \mod q$ .
- 5) Output  $\mathbf{pk}_S := \mathbf{A}_S$  and  $\mathbf{sk}_S := (\mathbf{T}_{\mathbf{A}_S}, \mathbf{S})$  as the public and secret keys of the data sender.
- KeyGen<sub>R</sub>(pp): For i = [l], the TA inputs a public parameter pp and then returns the public and initial secret

key  $(\mathbf{pk}_{R,i}, \mathbf{sk}_{R,i})$  to data receivers *i* according to the following procedures.

- 1) Invoke  $(\mathbf{A}_{R,i,0}, \mathbf{T}_{\mathbf{A}_{R,i,0}}) \leftarrow \mathsf{TrapGen}(n, m, q)$  to generate a uniformly matrix  $\mathbf{A}_{R,i,0} \in \mathbb{Z}_q^{n \times m}$  and a basis  $\mathbf{T}_{\mathbf{A}_{R,i,0}} \in \mathbb{Z}^{m \times m} \text{ for } \Lambda_q^{\perp}(\mathbf{A}_{R,i,0}).$ 2) Output  $\mathbf{p}_{R,i} := \mathbf{A}_{R,i,0}$  and  $\mathbf{s}_{R,i,0} := \mathbf{T}_{\mathbf{A}_{R,i,0}}$  as a
- public and initial secret key of the data receiver.
- KeyUpdate<sub>R</sub>(pp,  $\mathbf{pk}_{R,i}$ ,  $\mathbf{sk}_{R,i,t}$ ): For i = [l], the TA inputs a public parameter pp, a public key  $\mathbf{pk}_{R,i}$  and secret key  $\mathbf{sk}_{R,i,t}$  of data receiver with time period t, then returns its secret key  $\mathbf{sk}_{R,i,t+1}$  with time period t + 1 to this data receiver according to the following procedures, where  $t \in \{0, 1, \dots, T-1\}$ . We set **bin**(t)as the  $\tau$  bits binary representation of t, Node(bin(t)) as the minimal cover set of leaf node bin(t), which denotes the smallest set that includes an common ancestor node of each leaf node in  $\{\mathbf{bin}(t), \mathbf{bin}(t+1), \cdots, \mathbf{bin}(T-1)\},\$ and does not include any ancestor nodes of each leaf node in  $\{\mathbf{bin}(0), \mathbf{bin}(1), \cdots, \mathbf{bin}(t-1)\}$ . For example, in Fig. 3,  $Node(0010) = \{001, 01, 1\}$ .
  - 1) Parse **bin** $(t) = (t_1, t_2, \cdots, t_{\tau}) \in \{0, 1\}^{\tau}$ .
- 2) Set the secret key  $\mathbf{sk}_{R,i,0}$  $\mathbf{T}_{\mathbf{A}_{R,i,0}}$ period 0, and  $\mathbf{sk}_{R,i,1}$ time with  $\{\mathbf{T}_{\mathbf{A}_{R,i,0001}},\mathbf{T}_{\mathbf{A}_{R,i,001}},\mathbf{T}_{\mathbf{A}_{R,i,01}},\mathbf{T}_{\mathbf{A}_{R,i,01}}\}$ with time period 1, due to Node(bin(1)) = Node(0001) = $\{0001, 001, 01, 1\}.$
- 3) Update the secret key  $\mathbf{sk}_{R,i,t}$  to  $\mathbf{sk}_{R,i,t+1}$  according to the following procedures:
  - a) Calculate the minimal cover set with time period Node(bin(t)) and Node(bin(t+1)),
  - b) Obtain the basis of the node in set Node(bin(t +1))  $\setminus$  Node(bin(t)), and remove the basis of the node in set Node(bin(t)) \ Node(bin(t+1)).
- 4) Invoke  $\mathbf{T}_{\mathbf{A}_{R,i,\Theta_j}} \leftarrow \mathsf{ExtBasis}(\mathbf{A}_{R,i,\Theta_j}, \mathbf{T}_{\mathbf{A}_{R,i,0}})$  or  $\mathbf{T}_{\mathbf{A}_{R,i,\Theta_j}} \leftarrow \mathsf{ExtBasis}(\mathbf{A}_{R,i,\Theta_j}, \mathbf{T}_{\mathbf{A}_{R,i,\Theta_\zeta}})$  to generate the aforementioned basis  $\mathbf{T}_{\mathbf{A}_{R,i,\Theta_j}}$ , where  $\Theta_j = (\theta_1, \cdots, \theta_{\zeta}, \cdots, \theta_j) \in \{0, 1\}^j$  as the nodes at *j*-th level,  $j \in [\tau]$ ,  $\zeta < j$ ,  $\mathbf{A}_{R,i,\Theta_j} = (\mathbf{A}_{R,i,0}|\mathbf{A}_1^{(\theta_1)}|\cdots|\mathbf{A}_j^{(\theta_j)}) \in \mathbb{Z}_q^{n\times(j+1)m}$  and  $\Theta_{\zeta} = (\theta_1,\cdots,\theta_{\zeta}) \in \{0,1\}^{\zeta}$ .
- 5) Return  $\mathbf{sk}_{R,i,t+1}$  as the secret key of data receiver iwith time period t + 1.
- **FS-BAEKS** $(pp, \mathbf{ck}, \mathbf{sk}_S, \{\mathbf{pk}_{R,1}, \mathbf{pk}_{R,2}, \cdots, \mathbf{pk}_{R,l}\}, t)$ : A data sender inputs a public parameter pp, a keyword  $\mathbf{ck} \in \mathcal{W}$ , a sender's secret key  $\mathbf{sk}_S$ , a set of receivers' public keys { $\mathbf{pk}_{R,1}, \mathbf{pk}_{R,2}, \cdots, \mathbf{pk}_{R,l}$ }, a time period t, and then performs the following procedures.
  - 1) Parse  $bin(t) = (t_1, t_2, \cdots, t_{\tau}) \in \{0, 1\}^{\tau}$ .
- 2) Let a matrix  $\mathbf{A}_{R} = (\mathbf{A}_{R,1} \mid \mathbf{A}_{R,2} \mid \cdots \mid \mathbf{A}_{R,l}) \in \mathbb{Z}_{q}^{n \times lm}$ , and  $\mathbf{A}_{t} = (\mathbf{A}_{1}^{(t_{1})} \mid \mathbf{A}_{2}^{(t_{2})} \mid \cdots \mid \mathbf{A}_{\tau}^{(t_{\tau})}) \in \mathbb{Z}_{q}^{n \times \tau m}$ .
- 3) Calculate a matrix  $\mathbf{A}_{\mathbf{ck},t} = (\mathbf{A}_R \mid \mathbf{A}_t \mid H_1(\mathbf{ck})) \in$  $\mathbb{Z}^{n \times (l+\tau+1)m}$
- 4) Select a random vector  $\mathbf{v} \stackrel{\$}{\leftarrow} \mathbb{Z}_{q}^{n}$  uniformly, a random number  $b \in \{0, 1\}$ , two noise vectors  $\mathbf{x}_0 \stackrel{\$}{\leftarrow} \chi^{lm}, \mathbf{x}_1 \stackrel{\$}{\leftarrow}$

 $\chi^{\tau m}, \mathbf{x}_2 \stackrel{\$}{\leftarrow} \chi^m$ , and a noise number  $x \stackrel{\$}{\leftarrow} \chi$ .

- 5) Calculate a vector  $\mathbf{c}_1 = \mathbf{A}_{\mathbf{ck},t}^\top \mathbf{v} + (\mathbf{x}_0^\top \mid \mathbf{x}_1^\top \mid \mathbf{x}_2^\top)^\top \in$  $\mathbb{Z}_q^{(l+\tau+1)m}$ , and a number  $c_2 = \mathbf{u}^\top \mathbf{v} + x + b \cdot \lfloor \frac{q}{2} \rfloor \in \mathbb{Z}_q$ .
- 6) Select a vector  $\mathbf{y} \stackrel{\$}{\leftarrow} \mathbb{Z}_q^m$  in  $\mathcal{D}_{\sigma}^m$  uniformly.
- 7) Calculate a vector  $\eta_1^q = H_2(\mathbf{Ay} \mod q, \mathbf{c}_1, b) \in$  $\{-1,0,1\}^m$  and another vector  $\boldsymbol{\eta}_2 = \mathbf{S}\boldsymbol{\eta}_1 + \mathbf{y} \in \mathbb{Z}_q^m$ with the probability  $\min(\frac{\mathcal{D}_{\sigma}^{m}}{M \cdot \mathcal{D}_{\mathbf{S}\eta_{1},\sigma}^{m}}, 1).$
- 8) Output  $CT_t := (\mathbf{c}_1, c_2, \boldsymbol{\eta}_1, \boldsymbol{\eta}_2)$  as the ciphertext corresponding to the keyword  $\mathbf{ck}$  with time period t.
- Trapdoor $(pp, \mathbf{tk}, \mathbf{pk}_S, \{\mathbf{pk}_{R,1}, \mathbf{pk}_{R,2}, \cdots, \mathbf{pk}_{R,l}\}, \mathbf{sk}_{R,\gamma,t})$ : A data receiver  $\gamma \in [l]$  inputs a public parameter pp, a keyword  $\mathbf{tk} \in \mathcal{W}$ , a public key  $\mathbf{pk}_S$  of data sender, a set of receivers' public keys  $\{\mathbf{pk}_{R,1}, \mathbf{pk}_{R,2}, \cdots, \mathbf{pk}_{R,l}\},\$ and secret keys  $\mathbf{sk}_{R,\gamma,t}$  with receiver  $\gamma$  and time period t, and then performs the following procedures.
- 1) Let a matrix  $\mathbf{A}_t = (\mathbf{A}_1^{(t_1)} \mid \mathbf{A}_2^{(t_2)} \mid \cdots \mid \mathbf{A}_{\tau}^{(t_{\tau})}) \in$  $\mathbb{Z}_q^{n \times \tau m}$ .
- 2) Calculate two matrices  $\mathbf{A}_{\mathbf{tk},\gamma,t} = (\mathbf{A}_{R,\gamma} \mid \mathbf{A}_{t} \mid$  $H_1(\mathbf{tk})) \in \mathbb{Z}_q^{n imes ( au+2)m}$  and  $\mathbf{A}_{\mathbf{tk},t} = (\mathbf{A}_{R,1} \mid \cdots \mid \mathbf{A}_{R,1} \mid \cdots \mid \mathbf{A}_{R,1} \mid \cdots \mid \mathbf{A}_{R,1} \mid \cdots \mid \mathbf{A}_{R,1} \mid \mathbf$  $\mathbf{A}_{R,l} \mid \mathbf{A}_t \mid H_1(\mathbf{tk})) \in \mathbb{Z}^{n \times (l+\tau+1)m}.$
- 3) If  $\mathbf{sk}_{R,\gamma,t}$  does not contain  $\mathbf{T}_{\mathbf{A}_{R,\gamma,t}}$ , invoke  $\mathbf{T}_{\mathbf{A}_{R,\gamma,t}} \leftarrow$ where  $\Theta_j$  is an ancestor node of **bin**(*t*) and  $j < \tau$ .
- 4) Invoke T<sub>A<sub>tk,γ,t</sub></sub> ← SampleBasis (A<sub>tk,γ,t</sub>, T<sub>A<sub>R,γ,t</sub>, {1}, L) to obtain a basis T<sub>A<sub>tk,γ,t</sub></sub> ∈ Z<sup>(τ+2)m×(τ+2)m</sup> for Λ<sup>⊥</sup><sub>q</sub>(A<sub>tk,γ,t</sub>).
  5) Sample a vector ε<sub>t</sub> ∈ Z<sup>(l+τ+1)m</sup> as ε<sub>t</sub> ←
  </sub>
- $\mathsf{GenSamplePre}(\mathbf{A_{tk,t}},\mathbf{T}_{\mathbf{A_{tk,\gamma,t}}},\{i,l+1,\cdots,l+\tau,l+1,\cdots,l+\tau,l+1,\cdots,l+1,$  $\tau + 1$ ,  $\mathbf{u}, \sigma$ ), where  $\varepsilon_t$  s.t.  $\mathbf{A}_{\mathbf{tk}, t} \varepsilon_t = \mathbf{u} \mod q$  and  $\varepsilon_t$  is statistically distributed in  $\mathcal{D}_{\Lambda_q^{\mathbf{u}}(\mathbf{A}_{\mathbf{tk}, t})}^{(l+\tau+1)m}$ .
- 6) Output  $TD_t := (\boldsymbol{\varepsilon}_t, \mathbf{pk}_S)$  as the trapdoor corresponding to the keyword tk.
- **Test** $(CT_t, TD_{i,t})$ : The cloud server inputs the ciphertext  $CT_t$  together with the trapdoor  $TD_t$ , and then processes the following procedures.
- 1) Parse  $CT_t = (\mathbf{c}_1, c_2, \boldsymbol{\eta}_1, \boldsymbol{\eta}_2)$  and  $TD_t = (\boldsymbol{\varepsilon}_t, \mathbf{pk}_S =$  $\mathbf{A}_{S}$ ).
- 2) Calculate a number  $d = c_2 \varepsilon_t^{\top} \mathbf{c}_1 \in \mathbb{Z}_q$ . If  $\left|d - \lfloor \frac{q}{2} \rfloor\right| < \lfloor \frac{q}{4} \rfloor$ , set b' = 1. Otherwise, set b' = 0.
- 3) Check  $\|\boldsymbol{\eta}_2\| \stackrel{!}{\leq} 2\sigma\sqrt{m}$  and  $\boldsymbol{\eta}_1 \stackrel{?}{=} H_2(\mathbf{A}\boldsymbol{\eta}_2 \boldsymbol{\eta}_2)$  $\mathbf{A}_{S}\boldsymbol{\eta}_{1}, \mathbf{c}_{1}, b'$ ). If two conditions are satisfied, output 1. Otherwise, output 0.

# B. Security Analysis

Theorem 3: Assume that the LWE<sub> $n,m,q,\chi$ </sub> hardness holds, our proposed lattice-based FS-BAEKS primitive satisfies IND-CKA security in the random oracle model. For any PPT adversary A, if A can compromise our scheme with a nonnegligible advantage  $\epsilon_2$ , then we can construct a PPT challenger C to solve the LWE<sub>n,m,q, $\chi$ </sub> hardness with a nonnegligible probability.

The constructions between our BAEKS and FS-Proof BAEKS are high symmetric, which only additionally introduced the time period t. Thus, this proof is omitted by us since it is similar to Theorem 1. 



Fig. 4. Computational overhead comparison between our BAEKS and FS-BAEKS schemes and other BAEKS schemes [13], [14].



Fig. 5. Computational overhead evaluation of our BAEKS and FS-BAEKS schemes with the number of data receivers l and security parameter n.

TABLE II
COMPUTATIONAL OVERHEAD EVALUATION

Schemes	BAEKS (s)		Trapdoor $(s)$		Test (ms)	
	n = 128	n = 256	n = 128	n = 256	n = 128	n = 256
Our BAEKS Our FS-BAEKS	0.091 0.122	2.921 3.027	0.248 0.324	1.568 1.140	2.68 4.12	43.51 42.27

Theorem 4: Assume that the  $SIS_{n,m,q,\beta}$  hardness holds, our proposed lattice-based FS-BAEKS primitive satisfies UF-IKGA security in the random oracle model. For any PPT adversary A, if A can compromise our scheme, then we can construct a PPT challenger C to solve the  $SIS_{n,m,q,\beta}$  hardness. *Proof* The constructions between our BAEKS and FS-BAEKS are high symmetric which only additionally introduced the time period t. Thus, this proof is omitted by us since it is similar to Theorem 2.

# VII. PERFORMANCE EVALUATION AND COMPARISON

We conduct a comparative analysis of the proposed BAEKS and FS-BAEKS schemes with other state-of-the-art BAEKS primitives in terms of computational and communication overhead. Our BAEKS and FS-BAEKS schemes were implemented in Python language with Numpy library, and all simulation experiments are accomplished on a laptop with 12-th Gen Intel(R) Core(TM) i7-12800HX CPU with 16 GB RAM under Windows 10. We set the parameters of our schemes as described in Section V.B, respectively, where q = 4096, k = 1000. When n = 128, we set m = 7680; when n = 256, we set m = 15360. Moreover, for schemes [13] and [14], the bilinear pairing is initialized by Type A elliptic curves:  $y^2 = x^3 + x$ , and the parameter p = 512.

## A. Computational overhead

As depicted in Fig. 4, we evaluate the computational overhead of our BAEKS and FS-BAEKS schemes when n = 128compared to the current state-of-the-art BAEKS schemes [13], [14] at **BAEKS**, **Trapdoor** and **Test** algorithms. In Fig. 4(a), the computational overhead of our BAEKS algorithm is more efficient than prior arts [13], [14]. In detail, when l = 20, our BAEKS scheme requires only 91.75ms to encrypt the keywords, while the others require 6782ms and 7614ms, respectively. Therefore, our BAEKS scheme is approximately  $74 \times$  and  $83 \times$  faster than [13] and [14]. Additionally, as the number of data receivers increases, our advantage will be further extended. Furthermore, the computational overhead of **BAEKS** algorithm in both our schemes is directly proportional to the number of data receivers and has a very moderate growth rate. This growth rate is sufficient to support search operations with a large number of data receivers in cloud storage systems. As for Fig. 4(b), the computational overhead of Trapdoor algorithm in both our schemes is slightly higher than that of [13] due to the sampling algorithm in lattice. However, our schemes offers a significant advantage over [14] as the number of data receivers increases. For instance, when l = 20, our BAEKS scheme only requires 248.99msto generate a search trapdoor, which is approximately  $3 \times$ 

TABLE III

COMMUNICATION OVERHEAD COMPARISON

Schemes	BAEKS	Trapdoor	Schemes	BAEKS (MB)		Trapdoor (MB)	
Liu et al. [13]	$ \mathbb{Z}_{n}  + (t+2) \mathbb{G}_{1} $	$ \mathbb{Z}_n $		n = 128	n = 250	n = 128	n = 250
Mukherjee [14]	$(l+1)(k+1) \mathbb{G}_1  + l \mathbb{G}_T $	$2(k+1) \mathbb{G}_2 $	Our BAEKS	0.24	0.49	1.64	6.09
Our BAEKS	$[(l+2)m+1] \mathbb{Z}_q  + 2m$	$(l+n+1)m \mathbb{Z}_q $	Our FS-BAEKS	0.27	0.53	1.66	6.13
Our FS-BAEKS	$[(l+\tau+2)m+1] \mathbb{Z}_q +2m$	$(l+\tau+n+1)m \mathbb{Z}_q $					

quicker than [14]. In Fig. 4(c), the computational overhead of Test algorithm in both our schemes remains relatively constant as the number of data receivers l increases. To be more specific, when l = 20, the execution time in our BAEKS/FS-BAEKS scheme is only 2.68ms/4.12ms, which is approximately  $706 \times / 459 \times$  and  $3435 \times / 2234 \times$  quicker than prior arts [13], [14], respectively. It is evident that our solutions significantly increases performance for the search operations with large amounts of cloud data.

Subsequently, we evaluate the computational overhead of our BAEKS and FS-BAEKS schemes with different security parameters n in Fig. 5. It can be found that the computational overhead of BAEKS, Trapdoor, and Test algorithms reasonably increases as n changes from 128 to 256. Specifically, the computational overhead at l = 20 is presented in Table II, which remains in the magnitude of milliseconds. Although the increase in the security parameter n may lead to a decrease in the efficiency, our BAEKS and FS-BAEKS schemes still maintain a significant advantage over [13] and [14] in terms of the **BAEKS** and **Test** algorithms. Moreover, the post-quantum security strength of our schemes is further enhanced, which is crucial for protecting the data privacy in cloud storage systems.

Notably, since the Setup,  $KeyGen_S$ , and  $KeyGen_B$  algorithms are executed less frequently than the BAEKS, Trapdoor, and Test algorithms in real-world applications, which have little relevance to the search efficiency in cloud storage systems. Consequently, we only consider the BAEKS, Trapdoor, and Test algorithms for evaluation and comparison.

## B. Communication overhead

For a BAEKS scheme, the transmission of keyword ciphertexts and search trapdoors among data senders, data receivers, and cloud server contributes to the communication overhead. This overhead relies on the size of the ciphertexts and trapdoors. In this way, we provide a theoretical comparison analysis of the communication overhead between our BAEKS and FS-BAEKS schemes and other state-of-the-art schemes [13] and [14] in Table III, where  $|\mathbb{G}_1|$ ,  $|\mathbb{G}_2|$ ,  $|\mathbb{G}_T|$ ,  $|\mathbb{Z}_p|$ , and  $|\mathbb{Z}_q|$  represent the bit length of elements in  $\mathbb{G}_1$ ,  $\mathbb{G}_2$ ,  $\mathbb{G}_T$ ,  $\mathbb{Z}_p$ , and  $\mathbb{Z}_q$ , respectively.

Our scheme is based on lattice hardness, involving sampling operations on high-dimensional matrices, which is different from the underlying constructions based on DL hardness. In this way, the size of our ciphertexts and trapdoors is larger than that of traditional DL-based schemes, which is a common issue. Therefore, as an acceptable trade-off for enhancing the security level to resist quantum computing attacks and secret key leakage attacks, the communication overhead of our BAEKS and FS-BAEKS schemes is higher compared to [13]

TABLE IV COMMUNICATION OVERHEAD EVALUATION

Schemes	<b>BAEKS</b> (MB) n = 128 $n = 256$		<b>Trapdoor</b> (MB) n = 128 $n = 2$		
Our BAEKS	0.24	0.49	1.64	6.09	
Our FS-BAEKS	0.27	0.53	1.66	6.13	

and [14]. However, in cloud storage systems, BAEKS entities typically prioritize two aspects. Firstly, BAEKS scheme enjoys quantum-safety. Secondly, the computational operations involved in ciphertext generation, trapdoor generation, and search processes are efficient. Accordingly, our schemes introduce an acceptable communication overhead while ensuring post-quantum security and computational efficiency.



Fig. 6. Communication overhead evaluation of our BAEKS and FS-BAEKS schemes with the number of data receivers l and security parameter n.



Fig. 7. Communication overhead evaluation of our FS-BAEKS with the time period t and security parameter n.

Fig. 6 illustrates the communication overhead of BAEKS and **Trapdoor** algorithms regarding to the security parameters n = 128 and n = 256, corresponding to the ciphertext and trapdoor size, respectively. The communication overhead of these two algorithms rises linearly as l is augmented. Moreover, the increment of the security parameter n does not produce an order-of-magnitude increase in the communication overhead, indicating that our BAEKS and FS-BAEKS schemes are scalable. As for Table IV, we give a specific communication overhead of our BAEKS and FS-BAEKS schemes at l = 20 and  $\tau = 2$ , e.g., when n = 256, the communication overhead of BAEKS algorithm in our FS-BAEKS scheme is  $[(20+2+2) \times 15360+1] \times 12 + 2 \times 15360 \approx 0.53$ MB.

For our FS-BAEKS scheme, the communication overhead of **BAEKS** and **Trapdoor** algorithms with  $\tau$  is shown in Fig. 7 with setting the number of data receivers l = 20. Although the communication overhead is raised as t is increased, our FS-BAEKS scheme achieves forward security, has the ability to solve the secret key leakage attacks in cloud storage systems, and is more oriented to practicality. On the other hand, more larger security parameter n leads to a more pronounced trend in the communication overhead with time period t. It is acceptable for boosting the post-quantum security strength of our FS-BAEKS scheme.

## VIII. CONCLUSION

In this paper, we propose a lattice-based BAEKS scheme, which provides secure and efficient ciphertext search in multireceiver model for cloud storage systems. Furthermore, we propose a forward-secure version of BAEKS called FS-BAEKS, successfully mitigating secret key leakage problems. Rigorous security analysis demonstrates that both scheme achieve IND-CKA and UF-IKGA security in the ROM. Comprehensive experimental evaluations also indicate that our schemes offer significant advantages at computational efficiency of BAEKS and Test algorithms. We acknowledge that further work is required to enhance the security level from the ROM to the standard model.

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