# Samaritan: Linear-time Prover SNARK from New Multilinear Polynomial Commitments

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#### Abstract

We study linear-time prover SNARKs and make the following contributions:

We provide a framework for transforming a univariate polynomial commitment scheme into a multilinear polynomial commitment scheme. Our transformation is *generic*, can be instantiated with any univariate scheme and improves on prior transformations like Gemini (EUROCRYPT 2022) and Virgo (S&P 2020) in all relevant parameters: proof size, verification complexity, and prover complexity. Instantiating the above framework with the KZG univariate polynomial commitment scheme, we get SamaritanPCS – the *first* multilinear polynomial commitment scheme with constant proof size and linear-time prover. SamaritanPCS is a drop-in replacement for the popular PST scheme, and improves upon PST in all relevant parameters.

We construct LogSpartan – a new multilinear PIOP for R1CS based on recent techniques for lookup arguments. Compiling this PIOP using SamaritanPCS gives Samaritan – a SNARK in the universal and updatable SRS setting. Samaritan has linear-time prover, logarithmic verification and logarithmic proof size. Concretely, its proof size is one of the smallest among other known linear-time prover SNARKs without relying on concretely expensive proof recursion techniques. For an R1CS instance with 1 million constraints, Samaritan (over BLS12-381 curve) has a proof size of 6.7KB.

We compare Samaritan with other linear-time prover SNARKs in the updatable setting. We asymptotically improve on the  $\log^2 n$  proof size of Spartan. Unlike Libra (CRYPTO 2019), the argument size of Samaritan is independent of the circuit depth. Compared to Gemini (EURO-CRYPT 2022), Samaritan achieves  $3 \times$  smaller argument size at 1 million constraints. We match the argument size of HyperPlonk, which is the smallest linear-time SNARK for the Plonkish constraint system, while achieving slightly better verification complexity.

We believe that our transformation and our techniques for applying lookups based on logarithmic derivatives to the multilinear setting are of wider interest.

### 1 Introduction

Proof systems have a rich history in cryptography [GMW86, For87, BGG<sup>+</sup>88] [GMR89]. Applications of proof systems include public-key encryption [NY90], digital signatures [CS97], secure multi-party computation [GMW87], and modern real-world applications such as ZCash [BCG<sup>+</sup>14] and Monero [NMT].

In this work, we focus on proof systems that are only *computationally sound*, called argument systems. In this setting, the communication complexity can be smaller than the length of the witness [BCC88], and are called *succinct arguments* [Kil92, Mic94]. More concretely, we focus on Succinct Non-interactive ARguments of Knowledge (SNARKs).

Succinct Arguments. A SNARK allows a prover to convince the verifier about the integrity of a computation such that the proof size and the verifier's work to check the proof do not scale with the size of the computation. For a relation  $\mathcal{R}$ , a prover can produce a proof  $\pi$  to attest to  $\mathcal{R}(x, w) = 1$  so that the verifier can check  $\pi$  non-interactively. Succinctness refers to the requirement that the size of  $\pi$  be  $O_{\lambda}(\log t)$  and the verifier's complexity be  $O_{\lambda}(n + \log t)$  where  $|\mathbf{x}| = n$  and t is the size of the verification circuit for  $\mathcal{R}$  (the number of gates in the circuit that checks  $\mathcal{R}(\mathbf{x}, \mathbf{w}) = 1$ ).

Micali [Mic94] constructed argument systems with communication complexity smaller than the length of the witness based on Probabilistically Checkable Proofs (PCP). This succinct argument was transformed by Kilian [Kil92] into a SNARK in the Random Oracle Model (ROM). Several early SNARKs [Gro10, Lip12, BCCT12, BCI<sup>+</sup>13, GGPR13, PHGR13, BCG<sup>+</sup>13, Lip13, BCTV14] with constant proof size and verification complexity are based on linear PCP compiled using a cryptographic tool like linear-only encodings (for instance, exponentiation in a bilinear group).

Modular SNARK Constructions. A well-studied and modular approach for designing efficient argument systems consists of two components: an information theoretic protocol in an idealized model (e.g., probabilistically checkable proof or PCP, linear PCP, interactive oracle proofs or IOPs), and a cryptographic compiler. The information-theoretic protocol is then compiled cryptographically to obtain an argument system.

Many recent popular SNARKs [CHM<sup>+</sup>20, GWC19] use Polynomial Interactive Oracle Proofs (PIOPs) as the information-theoretic component. In a PIOP, the prover and the verifier interact where the prover provides oracle access to a set of polynomials, and the verifier sends random challenges. The verifier can then query these polynomial oracles at challenge points to obtain evaluations, and in the end output accept or reject. A PIOP is then compiled into a succinct argument system using a cryptographic tool called a Polynomial Commitment Scheme (PCS). A PCS allows a prover to commit to a polynomial f of bounded degree such that a verifier can query for evaluations P(x) together with proofs that the provided evaluations are indeed consistent with the commitment. Compiling a PIOP with a PCS involves realizing the polynomial oracles using polynomial commitments and the queries using PCS evaluation proofs. This yields a public-coin succinct argument, which is then compiled using Fiat-Shamir [FS87] to obtain a SNARK in the Random Oracle Model (ROM).

**Models for SNARKs.** Typical SNARKs are either in the Structured Reference String (SRS) model or in idealized models (like ROM, Generic Group Model or GGM, Algebraic Group Model or AGM). Some SNARKs in the SRS model have a randomized preprocessing phase with secret random coins and therefore a *trusted setup*. SNARKs where the setup phase only uses public randomness and verifier randomness consists of only *public coins* are called *transparent*. In SNARKS with

*Updatable* SRS, the one-time setup can be used to prove statements about any computation, as opposed to a circuit-dependent setup required in preprocessing-based SNARKs. The Updatable SRS is *updatable* if there is a mechanism for parties to update by contributing to the randomness of the SRS, and an SRS is trusted as long as at least one of the updates is honest.

Univariate and Multilinear PCS. SNARKs compiled using the PCS-based modular approach outlined above inherit the complexity and setup assumptions from the underlying PCS. Examples of SNARKs compiled using univariate PCS include Sonic [MBKM19], Marlin [CHM<sup>+</sup>20], Plonk [GWC19]. Using the KZG [KZG10] univariate PCS yields SNARKs in the updatable SRS model with constant proof size and constant verification complexity, and a prover that is  $O(n \log n)$  where n is the size of the computation (often represented by the size of the circuit or the number of R1CS constraints). More recently, SNARKs have been obtained by compiling multilinear PIOPs using multilinear PCS. Prominent examples include Hyrax [WTs<sup>+</sup>18], Libra [XZZ<sup>+</sup>19], Spartan [Set20], Kopis [SL20], Xiphos [SL20], Gemini [BCHO22], Orion [XZS22], Brakedown [GLS<sup>+</sup>23] and Hyperplonk [CBBZ23].

**SNARKs with Linear-time Prover.** While early research on SNARKs focused on reducing argument size and verification time, many recent works have focused on optimizing prover time. This is especially important for applications requiring large computations [rol21, Lab17] where the prover effort can be a bottleneck. Several recent SNARKs based on multilinear PIOPs and multilinear PCS achieve linear-time prover [WTs<sup>+</sup>18, XZZ<sup>+</sup>19, Set20, SL20, CBBZ23] [BCHO22, XZS22, GLS<sup>+</sup>23]. In this paper, we focus on constructing a SNARK where the *prover time is linear* in the size of the circuit, while retaining the (concrete) verification efficiency and argument size of comparable SNARKs.

### 1.1 Our Contributions

In this paper, we propose Samaritan – a SNARK with updatable setup, linear-time prover, logarithmic proof size, and logarithmic verification. Samaritan improves over state-of-the-art linear-time prover SNARKs in (at least one of) the following two respects: (i) the verifier in Samaritan only performs *constant many* cryptographic operations (and logarithmic field work), and (ii) Samaritan achieves concretely small proof size. Table 1 presents a comparison of Samaritan with state-ofthe-art linear-time prover SNARKs. Samaritan achieves concretely better proof size than all of the SNARKs listed in the table, with the exception of the instantiation of HyperPlonk [CBBZ23] using PST [PST13]<sup>1</sup> (note that HyperPlonk targets the Plonkish constraint system and not R1CS). However, PST incurs expensive verification due to logarithmically many pairing checks, while verification in Samaritan only requires *constant* cryptographic work.

Technically, we show how to leverage recent developments in *lookup arguments* based on logarithmic derivatives of polynomials to construct a new multilinear PIOP with highly compact proof size, which we call the LogSpartan PIOP. Additionally, we propose a new multilinear PCS called SamaritanPCS that is the first (to the best of our knowledge) multilinear PCS with *constant-sized evaluation proofs* and linear time evaluation. Compiling the LogSpartan PIOP using SamaritanPCS yields Samaritan. We also obtain a new instantiation of HyperPlonk by substituting PST or Gemini+KZG with SamaritanPCS that has the smallest concrete proof size among all linear-time prover SNARKs in Table 1. We elaborate on our contributions below.

<sup>&</sup>lt;sup>1</sup>As per [CBBZ23] the proof size is 4.7KB; however, this number does not account for multilinear oracle queries. We report the corrected number, as confirmed by the authors of [CBBZ23].

Table 1: Comparison of SNARKs with linear-time prover. Here, n denotes the number of R1CS constraints (or the number of gates in a circuit), and ( $\mathbb{F}$ ,  $\mathbb{G}_1$ ,  $\mathbb{G}_2$ ,  $\mathbb{G}_T$ ) denote the field and groups underlying a bilinear pairing.  $\mathbb{P}$  denotes a pairing evaluation. We report concrete proof sizes with respect to the BLS12-381 curve. Note that the concrete proof size for Libra depends on the circuit depth. Samaritan achieves concretely better proof size than all of the SNARKs listed in the table, with the exception of HyperPlonk + PST. However, PST incurs expensive verification due to logarithmically many pairing checks, while verification in Samaritan only requires *constant* cryptographic work. We also obtain a new instantiation of HyperPlonk using SamaritanPCS that has the smallest concrete proof size among all linear-time prover SNARKs in this table.

SNARK	Prover Time	Verifier Time	Proof Size	$n = 2^{20}$	Setup
Spartan $[Set20] + KZG [KZG10]$		$O(\log^2 n) \mathbb{F}, O(\log n) \mathbb{G}_1$	$O(\log^2 n) \mathbb{F}$	50KB	
Gemini [BCHO22]	1	$O(\log n) \mathbb{G}_1$	$O(\log n) \mathbb{G}_1$	18KB	
HyperPlonk [CBBZ23] + PST [PST13]	1	$O(\log n \log(\log n)) \mathbb{F}, O(\log n) \mathbb{P}$	$O(\log n) \mathbb{G}_1$	5.5KB	Updatable
HyperPlonk + Gemini + KZG	$O(n) \mathbb{G}_1$	$O(\log n \log(\log n)) \mathbb{F}, O(\log n) \mathbb{G}_1$	$O(\log n) \mathbb{G}_1$	6.9KB	
Libra [XZZ+19]		$O(d \log n) \mathbb{F}, O(\log n) \mathbb{G}_1$	$O(d \log n) \mathbb{G}_1$	-	
Kopis [SL20]	1	$O(\sqrt{n}) \mathbb{G}_T$	$O(\log n) \mathbb{G}_T$	39KB	Transparont
Xiphos [SL20]	]	$O(\log n) \mathbb{G}_T$	$O(\log n) \mathbb{G}_T$	61KB	Transparent
Samaritan (this work)	1	$O(\log n) \mathbb{F}, O(1) \mathbb{G}_1$	$O(\log n) \mathbb{F}, O(1) \mathbb{G}_1$	6.7KB	Undetable
HyperPlonk + SamaritanPCS (this work)	]	$O(\log n \log(\log n)) \mathbb{F}, O(\log n) \mathbb{G}_1$	$O(\log n) \mathbb{G}_1$	5.2KB	opuatable

**LogSpartan PIOP.** The starting point of the LogSpartan PIOP is the multilinear PIOP underlying Spartan [Set20] – a popular zkSNARK with an efficient prover and a succinct verifier. All known variants of Spartan have large concrete proof size due to the presence of  $O(\log^2 n)$  F elements (F being the base finite field). In this work, we introduce LogSpartan PIOP that uses a lookup argument based on logarithmic derivatives of polynomials [Hab22a] and reduces the proof size of the Spartan PIOP from  $O(\log^2 n)$  to  $O(\log n)$  while retaining the same prover efficiency. Technically, we show how to replace memory-checking techniques used to realize a sparse polynomial commitment (to R1CS matrices) in the Spartan PIOP with a lookup argument-based protocol. We also point out that LogSpartan does not degrade the zero-knowledge properties of the original Spartan PIOP since our modifications to the Spartan PIOP only involve proving evaluations of public R1CS matrices.

**Comparing LogSpartan with Followups to Spartan.** We highlight certain existing attempts to reduce the argument size of Spartan in the discussion below. While some of these target transparent instantiations of Spartan, our focus is on the updatable setting. Nonetheless, the challenges of reducing the argument size are similar in both settings.

The  $O(\log^2 n)$  proof size of the Spartan PIOP results primarily from the layered GKR circuit evaluation used in the underlying Spark commitment scheme. Certain followup works such as Quarks [SL20] attempt to reduce the proof size by using *inner pairing product* (IPP)-based commitments instead. This approach requires balancing degradation in the prover performance of Spartan against the gains in proof-size, which requires committing to more vectors than the GKR protocol. While asymptotically the enhancements ensure  $O(\log n)$  proof-size, concretely the proof-sizes are still > 35 KBs, with around  $3\times$  degradation in the prover performance. This is primarily due to target group ( $\mathbb{G}_T$ ) elements involved in IPP based arguments (which can be up to 12 times larger than  $\mathbb{G}_1$  elements) in addition to pairing computations required for the prover. On the other hand, as mentioned earlier, LogSpartan *does not degrade* prover efficiency.

Testudo [CGG<sup>+</sup>23] also uses IPP based commitments, but overcomes the blow-up in proof size by recursively composing sum-check verification and IPP verification with a Groth16 [Gro16] SNARK, which results in constant proof size. However, such a recursive composition requires non-native operations (such as target group scalar multiplications) to be encoded inside arithmetic circuits which makes it practically challenging and limits the choice of elliptic curves to instantiate the scheme. LogSpartan imposes no such restrictions, while also avoiding potential issues (such as recently reported in [KRS25]) with proving security in the ROM.

Finally, other recent efforts [GNS24] have used "dual commitments" to move certain parts of the verification such as the grand-product check to those over univariate polynomials. However, this comes at a considerable overhead for the prover as compared to LogSpartan.

Multilinear PCS	Commit + Eval Time	Verifier Time	Proof Size	$n = 2^{20}$	Setup
PST [PST13]	$O(n) \mathbb{G}_1$	$O(\log n) \mathbb{P}$	$O(\log n) \mathbb{G}_1$	1KB	Updatable
Gemini+KZG [BCHO22]	$O(n) \mathbb{G}_1$	$O(\log n) \mathbb{G}_1$	$O(\log n) \mathbb{G}_1$	2.3KB	Updatable
Virgo+KZG [ZXZS20]	$O(n\log n)\mathbb{F}, O(n)\mathbb{G}_1$	$O(\log^2 n) \mathbb{F}, O(\log n) \mathbb{G}_1$	$O(\log^2 n) \mathbb{F}, O(\log n) \mathbb{G}_1$	$\geq 10 \text{KB}$	Updatable
Hyrax [WTs <sup>+</sup> 18]	$O(n) \mathbb{G}_1$	$O(\sqrt{n}) \mathbb{G}_1$	$O(\sqrt{n}) \mathbb{G}_1$	140KB	Transparent
Dory [Lee21]	$O(n) \mathbb{G}_1, O(\sqrt{n}) \mathbb{P}$	$O(\log n) \mathbb{G}_T$	$O(\log n) \mathbb{G}_T$	$\approx 24 \text{KB}$	Transparent
Bulletproofs [BBB <sup>+</sup> 18]	$O(n)\mathbb{G}_1$	$O(n) \mathbb{G}_1$	$O(\log n) \mathbb{G}_1$	1.8KB	Transparent
SamaritanPCS (this work)	$O(n) \mathbb{G}_1$	$O(\log n) \mathbb{F}, O(1) \mathbb{G}_1$	$O(1) \mathbb{G}_1$	0.67KB	Updatable

Table 2: Comparison of Multilinear PCS with linear-time prover. Here, n denotes the number of variables in the multilinear polynomial,  $(\mathbb{F}, \mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T)$  again denote the field and groups underlying a bilinear pairing, and  $\mathbb{P}$  denotes a pairing evaluation. SamaritanPCS improves substantially upon all of the other schemes, both in terms of proof size and verifier time.

New Compiler for Multilinear PCS from Univariate PCS. As a second technical contribution, we present a new generic transformation for realizing a multilinear PCS from *any* univariate PCS. Our transformation improves upon prior generic compilers for obtaining multilinear PCS from univariate PCS such as Virgo [ZXZS20] and Gemini [BCHO22] in terms of the number of auxiliary oracles that need to be constructed and queried. We then discuss two concrete instances of our proposed compiler based on: (i) any *homomorphic* univariate PCS, and (ii) the KZG univariate PCS with updatable setup. Notably, the second instantiation based on the KZG univariate PCS, which we call SamaritanPCS, is the first (to the best of our knowledge), multilinear PCS with *constant-sized evaluation proofs* and linear time evaluation. In fact, SamaritanPCS is a drop-in replacement for the popular PST scheme, and improves upon PST in all relevant parameters (see Table 2 for a comparison). We discuss the instantiations below.

**Transformation with Homomorphic Univariate PCS.** When instantiated with a homomorphic univariate PCS, our transformation yields a multilinear PCS where generating an evaluation proof only incurs an additive overhead of O(n) F-operations over generating an evaluation proof in the underlying univariate PCS (*n* being the number of variables in the multilinear PCS as well as the degree-bound for the univariate PCS). In contrast, when instantiated with a (homomorphic) univariate PCS, the compiler in Gemini [BCHO22] incurs  $3 \times multiplicative$  blowup over the underlying univariate PCS for evaluation proof generation, while the compiler in Virgo [ZXZS20] incurs a quasilinear  $O(n \log n)$  additive overhead in addition to the  $3 \times$  multiplicative overhead. In terms of evaluation proof size, our transformation incurs  $O(\log n)$  additive overhead over the (asymptotic) proof size for the underlying univariate PCS. This matches the (asymptotic) proof size for the compiler in Gemini, but is better than  $O(\log^2 n)$  proof size overhead incurred by Virgo.

**Instantiation from KZG: SamaritanPCS.** We showcase a further optimized instantiation of our generic transformation based on the KZG univariate PCS. We call the resulting multilinear PCS SamaritanPCS. Notably, the evaluation proof in SamaritanPCS incurs only a *constant* overhead over the evaluation proof size of the KZG univariate PCS, thus resulting in constant overall proof size while retaining linear-time evaluation. Verification requires  $O(\log n)$  F-operations, O(1) scalar multiplications, and a single pairing operation. SamaritanPCS has updatable setup and is efficient over non FFT-friendly elliptic curve groups. We prove its security in the algebraic group model (AGM).

Table 2 compares SamaritanPCS with several multilinear PCS with linear-time evaluation. SamaritanPCS improves upon all of these schemes in terms of proof size and verifier time. Notably, SamaritanPCS substantially improves over PST, which has the smallest proof size among existing multilinear PCS, and requires  $O(\log n)$  pairings for verification. SamaritanPCS also improves significantly over the multilinear PCS obtained by instantiating the compiler in Gemini with the KZG univariate PCS, which has logarithmic proof size and requires  $O(\log n)$  scalar multiplications in  $\mathbb{G}_1$  for verification.

We remark that our transformation can also be instantiated using univariate PCS with transparent setup (such as DARK [BFS20], Dory [Lee21], or non-homomorphic, FRI-based multilinear PCS [BBHR18]) to obtain multilinear PCS with transparent setup. We leave analyzing the concrete efficiency of such instantiations to future work.

**Compiling to SNARK.** Compiling LogSpartan PIOP with different multilinear PCS yields linear-prover SNARKs with varying proof sizes and verification overheads. In particular, as mentioned earlier, compiling the LogSpartan PIOP using SamaritanPCS yields the Samaritan SNARK in the updatable setting. See Table 1 for a summary of Samaritan and comparison with several existing linear-time prover SNARKs.

### 2 Overview of Results and Techniques

In this section, we present an overview of our main results and techniques.

### 2.1 LogSpartan: PIOP with Log-Up based Lookups

In this subsection, we provide an overview of our new Spartan PIOP based on Log-Up based lookups, which we call the LogSpartan PIOP. We begin by providing a brief recap of the Spartan PIOP for ease of exposition. Readers familiar with the details of the Spartan PIOP can skip this.

**Revisiting the Spartan PIOP.** For integers  $m, n, k \in \mathbb{N}$  and matrices  $A, B, C \in \mathbb{F}^{m \times n}$ , Spartan presents an argument of knowledge for the following relation:

$$\mathcal{R}_{m,n,k}^{\mathsf{RICS}} = \{ (\mathbf{x}, \mathbf{w}) \in \mathbb{F}^k \times \mathbb{F}^{n-k-1} : A\mathbf{z} \circ B\mathbf{z} = C\mathbf{z} \text{ where } \mathbf{z} = (1, \mathbf{x}, \mathbf{w}) \}$$

In Spartan, the authors make the simplification that  $|\mathbf{x}| + 1 = n/2 = |\mathbf{w}|$ , which allows them to conveniently express the multilinear extension (MLE) of the vector  $\mathbf{z}$  as  $\tilde{z} = (1 - X_{\mu})(1, \mathbf{x}) + X_{\mu}\tilde{w}$ . We will also make the same simplification and further consider R1CS instances with m = n, using n to denote both. Neither impacts generality, as the matrices or the witness can be zero-padded to match the dimensions. As seen later, we commit to sparse representation of matrices, so zero-padding does not impact concrete efficiency of the protocol. We will henceforth ignore public input, and simply use  $\mathbf{z}$  to denote the entire witness. With these considerations, we define the R1CS relation as:

$$\mathcal{R}_n^{\mathsf{RICS}} = \{ \left( (A, B, C), \mathbf{z} \right) \in (\mathbb{F}^{n \times n})^3 \times \mathbb{F}^n : A\mathbf{z} \circ B\mathbf{z} = C\mathbf{z} \}$$
(1)

Let  $\mu = \log n$ . We view matrices A, B and C as functions  $B_{2\mu} \to \mathbb{F}$ , and view the witness  $\mathbf{z}$  as function  $B_{\mu} \to \mathbb{F}$ . Let  $\widetilde{A}, \widetilde{B}, \widetilde{C}$  and  $\widetilde{z}$  be MLEs of matrices A, B, C and vector  $\mathbf{z}$  respectively. Then, the relation in Equation (1) implies the following multilinear PIOP:

$$\left(\sum_{\mathbf{y}\in B_{\mu}}\widetilde{A}(\mathbf{x},\mathbf{y})\widetilde{z}(\mathbf{y})\right)\cdot\left(\sum_{\mathbf{y}\in B_{\mu}}\widetilde{B}(\mathbf{x},\mathbf{y})\widetilde{z}(\mathbf{y})\right)-\left(\sum_{\mathbf{y}\in B_{\mu}}\widetilde{C}(\mathbf{x},\mathbf{y})\widetilde{z}(\mathbf{y})\right)=\mathbf{0}\,\forall\mathbf{x}\in B_{\mu}$$

With overwhelming probability over the choice of  $\tau \leftarrow \mathbb{F}^{\mu}$ , the above is equivalent to the following sum-check:

$$\sum_{\mathbf{x}\in B_{\mu}} \widetilde{eq}(\mathbf{x},\tau) \left[ \left( \sum_{\mathbf{y}\in B_{\mu}} \widetilde{A}(\mathbf{x},\mathbf{y})\widetilde{z}(\mathbf{y}) \right) \cdot \left( \sum_{\mathbf{y}\in B_{\mu}} \widetilde{B}(\mathbf{x},\mathbf{y})\widetilde{z}(\mathbf{y}) \right) - \left( \sum_{\mathbf{y}\in B_{\mu}} \widetilde{C}(\mathbf{x},\mathbf{y})\widetilde{z}(\mathbf{y}) \right) \right] = 0$$
(2)

Naively, the matrices A, B and C are defined over the hypercube of size  $n^2$ , and thus the prover complexity in the resulting sum-check instance would be  $\Omega(n^2)$ . To avoid this quadratic overhead, Spartan [Set20] proposes *sparse commitment scheme* Spark for the R1CS matrices, which allows one to execute the above sum-check in cost O(K) where K is the upper bound on the number of non-zero entries in A, B and C. Typically we assume K = O(n). For matrix  $M \in \{A, B, C\}$ , we write  $M = (M(x_k, y_k), x_k, y_k)_{k \in [K]}$  where  $(x_k, y_k), k \in [K]$  is a canonical ordering of the non-zero positions of M. Then, for  $\kappa = \log K$ , we compute multilinear extensions of the functions  $\mathsf{val}_M, \mathsf{R}_M$ and  $\mathsf{C}_M$  from  $B_\kappa$  to  $\mathbb{F}$  defined by  $\mathsf{val}_M(\langle k \rangle_\kappa) = M(x_k, y_k), \mathsf{R}_M(\langle k \rangle_\kappa) = x_k$  and  $\mathsf{C}_M(\langle k \rangle_\kappa) = y_k$ . We view M as the triple  $(\widetilde{\mathsf{val}}_M, \widetilde{\mathsf{R}}_M, \widetilde{\mathsf{C}}_M)$ . We can write the MLE  $\widetilde{M}$  of the matrix  $M \in \{A, B, C\}$ as:

$$\widetilde{M}(\mathbf{x}, \mathbf{y}) = \sum_{\mathbf{k} \in B_{\kappa}} \widetilde{\mathsf{val}}_{M}(\mathbf{k}) \cdot \widetilde{eq}_{\mu}(\mathbf{x}, \langle \widetilde{\mathsf{R}}_{M}(\mathbf{k}) \rangle_{\mu}) \cdot \widetilde{eq}_{\mu}(\mathbf{y}, \langle \widetilde{\mathsf{C}}_{M}(\mathbf{k}) \rangle_{\mu})$$

With the preceding representation of R1CS matrices, we can execute the sum-check in Equation (2). Using challenges  $\mathbf{r}_x \in \mathbb{F}^{\mu}$  in a sum-check protocol, the verifier can reduce the claim in Equation 2 to the following claims for some  $v_A, v_B, v_C$  and  $v \in \mathbb{F}$ .

$$\sum_{\mathbf{y}\in B_{\mu}} \widetilde{M}(\mathbf{r}_{x},\mathbf{y})\widetilde{z}(\mathbf{y}) = v_{M} \text{ for } M \in \{A, B, C\}$$
$$\widetilde{eq}(\mathbf{r}_{x},\tau)(v_{A}\cdot v_{B} - v_{C}) = v$$

Using another instance of sum-check with verifier challenges  $\mathbf{r}_y \in B_\mu$ , the claim  $\sum_{\mathbf{y} \in B_\mu} \widetilde{M}(\mathbf{r}_x, \mathbf{y}) \widetilde{z}(\mathbf{y}) = v_M$  reduces to the claims

$$\overline{M}(\mathbf{r}_x,\mathbf{r}_y)\widetilde{z}(\mathbf{r}_y)=\overline{v}_M \text{ for } M\in\{A,B,C\}$$

Now, we can write  $\widetilde{M}(\mathbf{r}_x, \mathbf{r}_y)$  in terms of the MLEs  $\widetilde{\mathsf{val}}_M, \widetilde{\mathsf{R}}_M$  and  $\widetilde{\mathsf{C}}_M$  as

$$\widetilde{M}(\mathbf{r}_x, \mathbf{r}_y) = \sum_{\mathbf{k}\in B_{\kappa}} \widetilde{\mathsf{val}}_M(\mathbf{k}) \cdot \widetilde{eq}_{\mu}(\mathbf{r}_x, \langle \widetilde{\mathsf{R}}_M(\mathbf{k}) \rangle_{\mu}) \cdot \widetilde{eq}_{\mu}(\mathbf{r}_y, \langle \widetilde{\mathsf{C}}_M(\mathbf{k}) \rangle_{\mu})$$
(3)

To prove the correctness of the above computation of  $\widehat{M}(\mathbf{r}_x, \mathbf{r}_y)$  using Equation (3), the original protocol of [Set20] computes the summation inside a log *n*-layered arithmetic circuit whose correctness is checked using GKR based protocol. The circuit also establishes the correctness of the values  $\widetilde{eq}_{\mu}(\mathbf{r}_x, \langle \widetilde{\mathsf{R}}_M(\mathbf{k}) \rangle_{\mu})$  and  $\widetilde{eq}_{\mu}(\mathbf{r}_y, \langle \widetilde{\mathsf{C}}_M(\mathbf{k}) \rangle_{\mu})$  using memory-checking techniques, where these values are treated as being fetched from tables of size *n* given by evaluations  $(\widetilde{eq}_{\mu}(\mathbf{r}_x, \mathbf{y}))_{\mathbf{y} \in B_{\mu}}$ and  $(\widetilde{eq}_{\mu}(\mathbf{r}_y, \mathbf{y}))_{\mathbf{y} \in B_{\mu}}$ . A substantially different approach to achieve *holographic* IOP for R1CS, where the verifier has oracle access to sparse representations of R1CS matrices is explored in Gemini [BCHO22], building upon techniques in [BCG20]. Here the R1CS relation is decomposed into scalar product and hadamard product relations over the vector oracles. The holographic IOP for the linear check relation  $A\mathbf{z} = \mathbf{v}$  is obtained in [BCG20] using the tensor IOP framework in conjunction with lookup protocol over vectors based on Plookup [GW20]. The algebraic characterization in Plookup is more amenable to univariate IOPs, which are employed in [BCHO22]. To avoid  $O(n \log n)$  polynomial multiplication inherent in univariate sum-check based approaches, the authors in [BCHO22] formulate multilinear IOPs for univariate sum-check to obtain linear time prover. In contrast to both the prior approaches, we use recently explored logarithmic derivative based lookups [EFG22, Hab22b] as a more "algebraic" alternative to the "combinatorial" memorychecking techniques in [Set20] which enables efficient multilinear PIOPs.

**Our Technique: Oracle Composition Using Log-Derivatives.** The key challenge in proving the correct evaluation of MLE of sparse matrix M using Equation (3) is to prove correctness of the oracle  $\tilde{f}_M : B_{\kappa} \to \mathbb{F}$ , defined by  $\mathbf{y} \mapsto \tilde{eq}(\mathbf{r}_x \langle \tilde{\mathsf{R}}_M(\mathbf{y}) \rangle)$ . Our core technical observation is that the oracle  $\tilde{f}$  can be treated as a composition of the following oracles

$$\widetilde{eq}(\mathbf{r}_x, \cdot) : B_\mu \to \mathbb{F}, \quad \mathsf{R}_M(\cdot) : B_\kappa \to \mathbb{F}$$

where the function  $\langle \cdot \rangle$  maps the co-domain of  $\widetilde{\mathsf{R}}_M$  to the domain of  $\widetilde{eq}(\mathbf{r}_x, \cdot)$ . We first present a PIOP for the oracle composition relation in isolation. We then apply this PIOP for oracle composition to obtain a more efficient variant of the Spartan PIOP. We expand further on our techniques below.

Oracle Composition. For integers  $\mu, \kappa$ , we define the oracle relation  $\mathcal{R}_{\mu,\kappa}^{\mathsf{comp}}$  as the set of pairs  $(\mathbf{x}, \mathbf{w})$  where:

- $\mathbf{x} = (\llbracket \widetilde{f} \rrbracket, \llbracket \widetilde{g} \rrbracket, \llbracket \widetilde{h} \rrbracket)$  is the statement, and
- $\mathbf{w} = (\tilde{f}, \tilde{g}, \tilde{h})$  is the witness

such that  $\tilde{g}$ , is a  $\mu$ -variate multilinear polynomial and  $\tilde{f}, \tilde{h}$  are  $\kappa$ -variate multilinear polynomials satisfying

$$f(\mathbf{y}) = \widetilde{g}(\langle h(\mathbf{y}) \rangle_{\mu})$$

Here  $\llbracket \cdot \rrbracket$  denotes the oracle access to the polynomial. We define oracle composition formally in Definition 4.1 (Section 4.1).

Viewing Oracle Composition as Indexed-Lookup. It turns out that the oracle composition above can be viewed as an indexed-lookup relation over the evaluation vectors of the multilinear polynomials over their respective domains. Concretely, for integers m, n, we say that a triple of vectors  $(\mathbf{t}, \mathbf{v}, \mathbf{a})$ , where  $\mathbf{t} = (t_1, \ldots, t_n) \in \mathbb{F}^n$ ,  $\mathbf{v} = (v_1, \ldots, v_m) \in \mathbb{F}^m$  and  $\mathbf{a} = (a_1, \ldots, a_m) \in \mathbb{F}^m$ , satisfy the indexed lookup relation if  $v_i = t_{a_i}$  for all  $i \in [m]$  (here,  $\mathbf{t}$  is the table/vector being looked up from,  $\mathbf{v}$  is the vector consisting of looked up entries from  $\mathbf{t}$ , and  $\mathbf{a}$  is the vector of lookup indices). See Definition 4.2 for a formal exposition.

We now recall a result on logarithmic derivatives of polynomials introduced in [Hab22a] for proving indexed lookup relation. The result, which appears later in Lemma 4.1, states that for integers m, n and a field  $\mathbb{F}$  of characteristic p > n, a triple of vectors  $(\mathbf{t}, \mathbf{v}, \mathbf{a}) \in \mathbb{F}^n \times \mathbb{F}^m \times \mathbb{F}^m$ satisfy the indexed lookup relation if and only if there exists vector  $\mathbf{m} = (m_1, \ldots, m_n) \in \mathbb{F}^n$  such that the following relation holds:

$$\sum_{i=1}^{n} \frac{m_i}{X + iY + t_i} = \sum_{i=1}^{m} \frac{1}{X + a_iY + v_i}$$

Now, identifying the vectors in the above result [Hab22a] with the evaluations of multilinear polynomials, we observe that the relation  $\tilde{f}(\mathbf{y}) = \tilde{g}(\langle \tilde{h}(\mathbf{y}) \rangle)$  is implied by the existence of multilinear polynomial  $\tilde{\chi}$  (which interpolates the vector  $(m_{\mathbf{y}})_{\mathbf{y}\in B_{\mu}}$  in the above result from [Hab22a]) satisfying the identity of rational functions:

$$\sum_{\mathbf{y}\in B_{\mu}} \frac{\widetilde{\chi}(\mathbf{y})}{X + \widetilde{\mathsf{id}}_{\mu}(\mathbf{y})Y + \widetilde{g}(\mathbf{y})} = \sum_{\mathbf{y}\in B_{\kappa}} \frac{1}{X + \widetilde{h}(\mathbf{y})Y + \widetilde{f}(\mathbf{y})}$$
(4)

In Section 4.1, we show how to design a PIOP that allows the verifier to check the above identity probabilistically.

Homogenized Check over Single Hypercube. Observe that the above identity involves summation over two distinct hypercubes  $B_{\mu}$  and  $B_{\kappa}$ . We now show that it is possible to homogenize the above check so that it only involves summation over a single hypercube  $B_{\nu}$ , where  $\nu = \max(\mu, \kappa)$ . Let  $\delta_{\mu} = \nu - \mu$  and  $\delta_{\kappa} = \nu - \kappa$ . Note that one can vacuously view the  $\mu$ -variate and  $\kappa$ -variate multilinear polynomials as  $\nu$ -variate multilinear polynomials. It follows that the identity in Equation (4) is equivalent to the following homogenized identity:

$$\sum_{\mathbf{y}\in B_{\nu}} \left( 2^{\delta_{\kappa}} \cdot \frac{\widetilde{\chi}(\mathbf{y})}{\alpha + \beta \widetilde{\mathsf{id}}_{\mu}(\mathbf{y}) + \widetilde{g}(\mathbf{y})} - 2^{\delta_{\mu}} \cdot \frac{1}{\alpha + \beta \widetilde{h}(\mathbf{y}) + \widetilde{f}(\mathbf{y})} \right) = 0$$

We use such homogenization for sum-check PIOPs where applicable to reduce communication as sum-check PIOPs over identical hypercubes can be batched without incurring additional communication.

**LogSpartan:** PIOP using Log-Derivative Based Lookups. We now use the techniques introduced above to give an efficient evaluation proof for the sparse multilinear polynomials encoding the R1CS matrices. Concretely, we show that to establish correctness of the claim  $\widetilde{M}(\mathbf{r}_x, \mathbf{r}_y) = v$  in Equation (3), the prover can send oracles  $[\widetilde{f}_M]$ ,  $[\widetilde{g}_M]$  for the multilinear polynomials  $\widetilde{f}_M : B_{\kappa} \to \mathbb{F}$ and  $\widetilde{g}_M : B_{\kappa} \to \mathbb{F}$ , and then prove the following three identities:

•  $\sum_{\mathbf{k}\in B_{\kappa}} \widetilde{\operatorname{val}}_{M}(\mathbf{k}) \cdot \widetilde{f}_{M}(\mathbf{k}) \cdot \widetilde{g}_{M}(\mathbf{k}) = v.$ 

• 
$$\widetilde{f}_M(\mathbf{k}) = \widetilde{eq}_\mu(\mathbf{r}_x, \langle \mathsf{R}_M(\mathbf{k}) \rangle_\mu).$$

•  $\widetilde{g}_M(\mathbf{k}) = \widetilde{eq}_\mu(\mathbf{r}_y, \langle \mathsf{C}_M(\mathbf{k}) \rangle_\mu).$ 

The first identity can be proved via a simple application of the sum-check protocol. Additionally, the second and third identities are essentially instances of the oracle composition techniques discussed above.

In Section 4.2, we present a PIOP that aggregates proving each of the above identities for each  $M \in \{A, B, C\}$ , using random aggregation challenges. Finally, by invoking this PIOP for the aggregated relation inside the Spartan PIOP, we obtain a PIOP for the R1CS satisfiability relation  $\mathcal{R}_n^{\mathsf{R1CS}}$ . We call the resulting protocol the LogSpartan PIOP. The complete protocol is summarized in Figure 3 in Section 4.2.

**Compiling to SNARK.** The LogSpartan PIOP outlined above can be compiled into a SNARK using any multilinear polynomial commitment scheme (PCS). This is captured formally in Theorem 4.1 in Section 4.3. In the next subsection, we discuss a special multilinear PCS called SamaritanPCS that we use to compile LogSpartan into Samaritan.

#### 2.2 Multilinear PCS from Homomorphic Univariate PCS

In this subsection, we present an overview of our framework for obtaining a multilinear PCS from a homomorphic univariate PCS. Our starting point is a strawman solution which expresses polynomial evaluation as a scalar product between the coefficient vector of the polynomial and the vector of evaluations of basis polynomials at the evaluation point. The latter vector exhibits a tensor structure. The approach in Gemini [BCHO22] is to cast this as a tensor IOP query [BCG20], where the prover exhibits oracles encoding vectors in successively collapsed tensor codes. On the other hand, the approach in Virgo [ZXZS20] is to view the two vectors in the scalar product as univariate polynomials interpolating the vectors over a smooth subgroup of  $\mathbb{F}$ . The evaluation claim relies on application of univariate sum-check, which requires the prover to prove evaluation of random query the polynomial determined by the evaluation point. This step is then performed by invoking GKR protocol on  $O(n \log n)$  sized layered circuit with depth  $O(\log n)$ . Our initial approach is similar to [ZXZS20], in that we reduce the evaluation claim to checking an identity over related univariate polynomials. Later, to avoid the  $O(n \log n)$  cost incurred by multiplying polynomials of size O(n), we use the correspondence between multilinear polynomials and univariate polynomials to reduce evaluation claim for polynomial of size n, to  $\log n$  evaluation claims about polynomials of size  $O(n/\log n)$ . Then, under homomorphic commitment polynomial commitments, we can batch these claims into one evaluation claim over a polynomial of size  $O(n/\log n)$ ; to which we can apply the original quasi-linear approach. Later, in Section 5.3, we recognize that above batching is succinctly captured by a bivariate polynomial, which allows us to reduce the logarithmic communication to O(1), assuming a bivariate polynomial commitment scheme with constant proof size. We design this scheme using KZG as the univariate PCS, following a sketch in  $[ZBK^+22]$ . We start with the description of *quasi-linear* protocol, which we call the *core* protocol.

**The Core Protocol.** Let  $n = 2^{\mu}$  for some  $\mu \in \mathbb{N}$  and let  $B_{\mu}$  denote the hypercube  $\{0, 1\}^{\mu}$ . Let  $\tilde{eq}_i, i \in [n]$  denote the basis of  $\mathbb{F}^{\leq 1}[X_1, \ldots, X_{\mu}]$  where  $\tilde{eq}_i(\mathbf{x}) = \tilde{eq}_{\mu}(\langle i \rangle, \mathbf{x})$ . We use the following isomorphism between multilinear polynomials and univariate polynomials  $\mathbb{F}[X]$  of degree at most n. More precisely, we define the isomorphism of the  $\mathbb{F}$ -vector spaces as:

$$\varphi: \mathbb{F}^{\leq 1}[X_1, \dots, X_{\mu}] \longrightarrow \mathbb{F}^{< n}[X]$$
$$\sum_{i=1}^n f_i \widetilde{eq}_i(X_1, \dots, X_{\mu}) \mapsto \sum_{i=1}^n f_i X^{i-1}$$

We use the above isomorphism to commit to multilinear polynomials using a univariate PCS. For  $\tilde{f} \in \mathbb{F}^{\leq 1}[X_1, \ldots, X_{\mu}]$ , we use  $\hat{f}$  to denote the univariate polynomial  $\varphi(\tilde{f})$ . Let uPC be a univariate polynomial commitment scheme. We define the multilinear polynomial commitment scheme mPC using uPC as a black-box. For a  $\mu$ -variate multilinear polynomial  $\tilde{f}$ , we define mPC.Com $(\tilde{f}) \rightarrow (\text{cm}_f, \tilde{\omega})$ , where  $(\text{cm}_f, \tilde{\omega}) \leftarrow \text{uPC.Com}(\text{pp}, \hat{f})$ .

The Evaluation Protocol. We now present an overview of the evaluation protocol for a multilinear polynomial committed as outlined above. Let  $\mathbf{z} = (z_1, \ldots, z_{\mu})$  be the evaluation point. The evaluation claim  $\tilde{f}(\mathbf{z}) = v$  is equivalent to proving inner product  $\langle \mathbf{f}, \phi_z \rangle = v$  where  $\mathbf{f}$  is the coefficient vector of  $\tilde{f}$  and  $\phi_z = (\tilde{eq}_1(\mathbf{z}), \ldots, \tilde{eq}_n(\mathbf{z}))$ . The vector  $\phi_z$  can be described as the tensor product:

$$\phi_z = \begin{pmatrix} 1 - z_1 \\ z_1 \end{pmatrix} \otimes \cdots \otimes \begin{pmatrix} 1 - z_\mu \\ z_\mu \end{pmatrix}$$

Let  $\hat{\Psi}(X; \mathbf{z})$  be the univariate polynomial with  $\phi_z$  in reverse order as the coefficient vector. It can be seen that

$$\hat{\Psi}(X;\mathbf{z}) = (z_1 + (1-z_1)X) \cdot (z_2 + (1-z_2)X^2) \cdots (z_{\mu} + (1-z_{\mu})X^{2^{\mu-1}}).$$

The claimed dot product v is then the coefficient of  $X^{n-1}$  in the product  $\hat{f}(X) \cdot \hat{\Psi}(X; \mathbf{z})$ , which can be shown by the prover by exhibiting univariate oracles  $\hat{h}(X)$  and  $\hat{g}(X)$  of degree at most (n-2)such that:

$$\hat{f}(X) \cdot \hat{\Psi}(X; \mathbf{z}) = X^n \hat{h}(X) + v X^{n-1} + g(X)$$

The above identity can be checked at a random point by querying the univariate oracles  $\hat{f}, \hat{g}$  and  $\hat{h}$ , whereas the verifier can evaluate  $\hat{\Psi}(X; \mathbf{z})$  itself in  $O(\log n)$  F-operations. We note that the prover can compute the product  $\hat{f}(X) \cdot \hat{\Psi}(X; \mathbf{z})$  using  $O(n \log n)$  field multiplications without using FFT by successive multiplication with factors of  $\hat{\Psi}(X; \mathbf{z})$  and subsequently compute  $\hat{h}$  and  $\hat{g}$ .

**Obtaining Linear Time Prover.** We introduce certain amortization techniques to reduce prover complexity from  $O(n \log n)$  in the above protocol to O(n). Let  $n = \ell m$ ,  $\nu = \log \ell$  and  $\kappa = \log m$ , and thus  $\mu = \nu + \kappa$ . We view the coefficient vector **f** of the multilinear polynomial  $\tilde{f}$  as an  $\ell \times m$  matrix:

$$\widetilde{f}(\mathbf{y}, \mathbf{x}) = \sum_{\tau \in B_{\nu}} \widetilde{eq}_{\nu}(\tau, \mathbf{y}) \widetilde{f}(\tau, \mathbf{x}) = \sum_{i=1}^{\ell} \widetilde{eq}(\langle i \rangle, \mathbf{y}) \widetilde{f}(\langle i \rangle, \mathbf{x})$$

Next, we define  $\widetilde{g}_i(\mathbf{x}) = \widetilde{f}(\langle i \rangle, \mathbf{x})$  as  $\kappa$ -variate multilinear polynomials for  $i \in [\ell]$  and write  $\widehat{f}(X)$  in base  $X^m$  as:

$$\hat{f}(X) = \hat{g}_1(X) + X^m \hat{g}_2(X) + \dots + X^{m(\ell-1)} \hat{g}_\ell(X)$$

where the polynomials  $\hat{g}_i(X)$  are uniquely determined polynomials of degree  $\langle m$ . Observe that if we write the coefficient vector  $\mathbf{f} = (\mathbf{g}_1, \ldots, \mathbf{g}_\ell)$ , with  $\mathbf{g}_i \in \mathbb{F}^m$ , then  $\mathbf{g}_i$  is the common coefficient vector of the multilinear polynomial  $\tilde{g}_i(\mathbf{x})$  and  $\hat{g}_i(X) \in \mathbb{F}^{\langle m}[X]$ .

Evaluation Proof. We now present a high-level overview of how to prove an evaluation of a multilinear polynomial committed using the above technique. To prove the claim  $\tilde{f}(\mathbf{z}) = v$ , the prover proceeds as follows:

- It first sends to the verifier commitments  $\mathsf{cm}_1, \ldots, \mathsf{cm}_\ell$  to the univariate polynomials  $\hat{g}_1(X), \ldots, \hat{g}_\ell(X)$  respectively, which are also commitments to the corresponding multilinear polynomials  $\tilde{g}_i(\mathbf{x})$ ,  $i \in [\ell]$ .
- It also sends the multilinear polynomial evaluations  $v_1 = \tilde{g}_1(\mathbf{z}_x), \ldots, v_\ell = \tilde{g}_\ell(\mathbf{z}_x)$ , where  $\mathbf{z} = (\mathbf{z}_y, \mathbf{z}_x)$  with  $\mathbf{z}_y \in \mathbb{F}^{\mu}, \mathbf{z}_x \in \mathbb{F}^{\kappa}$ .

The verifier in turn checks that *each* of following holds:

- The committed polynomials  $\hat{g}_i(X), i \in [\ell]$  represent the correct decomposition of  $\hat{f}(X)$ .
- The multilinear evaluations  $v_1, \ldots, v_\ell$  are correct.
- The evaluation v follows correctly from the evaluations of  $\widetilde{g}_i, i \in [\ell]$ .

We defer the details of each of these steps and the corresponding efficiency analyses to Section 5.2. The complete scheme is detailed in Figure 6, with the efficiency properties summarized in Theorem 5.1.

**SamaritanPCS:** Instantiation based on KZG. In Section 5.3, we show a special case of the above generic transformation that transforms the KZG univariate PCS into a multilinear PCS with *constant-size evaluation proofs* by additionally using a bivariate PCS with constant proof-size. As a concrete candidate, we use the bivariate PCS based on KZG scheme sketched in [ZBK<sup>+</sup>22] (detailed with a slightly generalized form in Figure 5). Assuming the security of the KZG univariate PCS in the algebraic group model (AGM), this modified transformation yields a new multilinear PCS that we call SamaritanPCS with constant-size evaluation proofs that is secure in the AGM.

# **3** Preliminaries

**Notation.** We denote the set of integers  $\{1, \ldots, n\}$  by [n] for  $n \in \mathbb{N}$ , and  $\mathbb{F}$  to denote a prime field of order p. We denote by  $\lambda$  a security parameter. We use negl to denote a negligible function: for any integer c > 0, there exists  $n \in \mathbb{N}$ , such that  $\forall x > n$ ,  $\operatorname{negl}(x) \leq 1/x^c$ . We assume a bilinear group generator BG which on input  $\lambda$  outputs parameters for the protocols. Specifically BG $(1^{\lambda})$  outputs  $(\mathbb{F}, \mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T, e, g_1, g_2, g_t)$  where:  $\mathbb{F} = \mathbb{F}_p$  is a prime field of super-polynomial size in  $\lambda$ , with  $p = \lambda^{\omega(1)}$ ;  $\mathbb{G}_1, \mathbb{G}_2$  and  $\mathbb{G}_T$  are groups of order p, and e is an efficiently computable non-degenerate bilinear pairing  $e : \mathbb{G}_1 \times \mathbb{G}_2 \to \mathbb{G}_T$ ; Generators  $g_1, g_2$  are uniformly chosen from  $\mathbb{G}_1$  and  $\mathbb{G}_2$  respectively and  $g_t = e(g_1, g_2)$ . We write groups  $\mathbb{G}_1$  and  $\mathbb{G}_2$  additively, and use the shorthand notation  $[x]_1$  and  $[x]_2$  to denote group elements  $x \cdot g_1$  and  $x \cdot g_2$  respectively for  $x \in \mathbb{F}$ . We implicitly assume that all the setup algorithms for the protocols invoke BG to generate descriptions of groups and fields over which the protocol is instantiated. We will use sets  $\mathbb{F}, \mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T$  to specify the type of operations, where additionally, we have  $\mathbb{P}$  to denote pairings and  $\mathbb{M}$  to denote multiexponentiation.

Sets. For  $\mu \in \mathbb{N}$ , we use  $B_{\mu}$  to denote the set  $\{0,1\}^{\mu}$ . For  $\mathbf{y} = (y_1, \ldots, y_{\mu}) \in B_{\mu}$ , we use the notation  $\mathrm{id}_{\mu}(\mathbf{y})$  to denote the integer  $1 + \sum_{i=1}^{\mu} y_i 2^{i-1}$ , and similarly for an integer *i*, we use  $\langle i \rangle_{\mu}$  to denote the  $\mu$ -bit binary decomposition of i-1. We note that the surjective maps  $\mathrm{id}_{\mu} : B_{\mu} \to [2^{\mu}]$  and  $\mathrm{bin}_{\mu} : [2^{\mu}] \to B_{\mu}$  are inverses of each other. We will drop the subscript  $\mu$  when it is clear from the context.

### 3.1 Succinct Argument of Knowledge

Let  $\mathcal{R}$  be a NP-relation and  $\mathcal{L}$  be the corresponding NP-language, where  $\mathcal{L} = \{x : \exists w \text{ such that } (x, w) \in \mathcal{R}\}$ . Here, a prover  $\mathcal{P}$  aims to convince a verifier  $\mathcal{V}$  that  $x \in \mathcal{L}$  by proving that it knows a witness w for a public statement x such that  $(x, w) \in \mathcal{R}$ . An interactive argument of knowledge for a relation  $\mathcal{R}$  consists of a PPT algorithm Setup that takes as input the security parameter  $\lambda$ , and outputs the public parameters pp, and a pair of interactive PPT algorithms  $\langle \mathcal{P}, \mathcal{V} \rangle$ , where  $\mathcal{P}$  takes as input (pp, x, w) and  $\mathcal{V}$  takes as input (pp, x). An interactive argument of knowledge  $\langle \mathcal{P}, \mathcal{V} \rangle$  must satisfy completeness and knowledge soundness.

**Definition 3.1** (Completeness). For all security parameter  $\lambda \in \mathbb{N}$  and statement x and witness w such that  $(x, w) \in \mathcal{R}$ , we have

$$\Pr\left(b = 1 \ : \ \frac{\mathsf{pp} \leftarrow \mathsf{Setup}(1^{\lambda})}{b \leftarrow \langle \mathcal{P}(w), \mathcal{V} \rangle(\mathsf{pp}, x)}\right) = 1.$$

**Definition 3.2** (Knowledge Soundness). For any PPT malicious prover  $\mathcal{P}^* = (\mathcal{P}_1^*, \mathcal{P}_2^*)$ , there exists a PPT algorithm  $\mathcal{E}$  such that the following probability is negligible:

$$\Pr \begin{pmatrix} \mathsf{pp} \leftarrow \mathsf{Setup}(1^{\lambda}) \\ b = 1 \land & (x, \mathsf{st}) \leftarrow \mathcal{P}_1^*(1^{\lambda}, \mathsf{pp}) \\ (x, w) \notin \mathcal{R} & : b \leftarrow \langle \mathcal{P}_2^*(\mathsf{st}), \mathcal{V} \rangle (\mathsf{pp}, x) \\ & w \leftarrow \mathcal{E}^{\mathcal{P}_2^*}(\mathsf{pp}, x) \end{pmatrix}$$

A succinct argument of knowledge  $\langle \mathcal{P}, \mathcal{V} \rangle$  for a relation  $\mathcal{R}$ , must satisfy completeness and knowledge soundness and additionally be *succinct*, that is, the communication complexity between prover and verifier, as well as the verification complexity is bounded by  $\mathsf{poly}(\lambda, \log |w|)$ .

#### **3.2** Polynomial Commitment Scheme

A polynomial commitment scheme (PCS) introduced in [KZG10] allows a prover to open evaluations of the committed polynomial succinctly. A PCS over  $\mathbb{F}$  is a tuple  $\mathsf{PC} = (\mathsf{Setup}, \mathsf{Com}, \mathsf{Open}, \mathsf{Eval})$  where:

- pp ← Setup(1<sup>λ</sup>, n, {D<sub>i</sub>}<sub>i∈[n]</sub>). On input security parameter λ, number of variables n and upper bounds D<sub>i</sub> ∈ N on the degree of each variable X<sub>i</sub> for a n-variate polynomial, Setup generates public parameters pp.
- $(C, \tilde{\mathbf{c}}) \leftarrow \mathsf{Com}(\mathsf{pp}, f(\mathbf{X}), \mathbf{d})$ . On input the public parameters  $\mathsf{pp}$ , and a *n*-variate polynomial  $f(X_1, \dots, X_n) \in \mathbb{F}[X_1, \dots, X_n]$  with degree at most  $\deg(X_i) = d_i \leq D_i$  for all *i*, Com outputs a commitment to the polynomial *C*, and additionally an opening hint  $\tilde{\mathbf{c}}$ .
- $b \leftarrow \mathsf{Open}(\mathsf{pp}, f(\mathbf{X}), \mathbf{d}, C, \tilde{\mathbf{c}})$ . On input the public parameters  $\mathsf{pp}$ , the commitment C and the opening hint  $\tilde{\mathbf{c}}$ , a polynomial  $f(X_1, \dots, X_n)$  with  $d_i \leq D_i$ , Open outputs a bit indicating accept or reject.
- b ← Eval(pp, C, d, x, v; f(X)). A public coin interactive protocol ⟨P<sub>eval</sub>(f(X)), V<sub>eval</sub>⟩(pp, C, d, x, v) between a PPT prover and a PPT verifier. The parties have as common input public parameters pp, commitment C, degree d, evaluation point x, and claimed evaluation v. The prover has, in addition, the opening f(X<sub>1</sub>, ..., X<sub>n</sub>) of C, with deg(X<sub>i</sub>) ≤ d<sub>i</sub>. At the end of the protocol, the verifier outputs 1 indicating accepting the proof that f(x<sub>1</sub>,...,x<sub>n</sub>) = v, or outputs 0 indicating rejecting the proof.

A polynomial commitment scheme must satisfy completeness, binding and extractability.

**Definition 3.3** (Completeness). For all polynomials  $f(X_1, \dots, X_n) \in \mathbb{F}[X_1, \dots, X_n]$  with degree  $\deg(X_i) = d_i \leq D_i$ , for all  $(x_1, \dots, x_n) \in \mathbb{F}^n$ ,

$$\Pr\left[\begin{array}{c|c} \mathsf{pp} \leftarrow \mathsf{Setup}(1^{\lambda}, n, \{D_i\}_{i \in [n]}), \\ v \leftarrow f(\mathbf{x}), \\ b \leftarrow \mathsf{Eval}(\mathsf{pp}, C, \mathbf{d}, \mathbf{x}, v; f(\mathbf{X})) \end{array}\right] = 1$$

**Definition 3.4** (Binding). A polynomial commitment scheme PC is binding if for all PPT  $\mathcal{A}$ , the following probability is negligible in  $\lambda$ :

$$\Pr\left[\begin{array}{c} \operatorname{Open}(\operatorname{pp}, f_0, \mathbf{d_0}, C, \tilde{\mathbf{c}_0}) = 1 \land \\ \operatorname{Open}(\operatorname{pp}, f_1, \mathbf{d_1}, C, \tilde{\mathbf{c}_1}) = 1 \land \\ f_0 \neq f_1 \end{array} \middle| \begin{array}{c} \operatorname{pp} \leftarrow \operatorname{Setup}(1^\lambda, n, \mathbf{D}) \\ (C, f_0, f_1, \tilde{\mathbf{c}_0}, \\ \tilde{\mathbf{c}_1}, \mathbf{d_0}, \mathbf{d_1}) \leftarrow \mathcal{A}(\operatorname{pp}) \end{array} \right]$$

**Definition 3.5** (Knowledge Soundness). For any PPT adversary  $\mathcal{A} = (\mathcal{A}_1, \mathcal{A}_2)$ , there exists a PPT algorithm  $\mathcal{E}$  such that the following probability is negligible in  $\lambda$ :

$$\Pr \begin{pmatrix} \mathsf{pp} \leftarrow \mathsf{Setup}(1^{\lambda}, n, \{D_i\}_{i \in [n]}) \\ b = 1 \land \\ \mathcal{R}_{\mathsf{Eval}}(\mathsf{pp}, C, \mathbf{x}, v; \tilde{f}, \tilde{\mathbf{c}}) = 0 \\ \mathcal{R}_{\mathsf{Eval}}(\mathsf{pp}, C, \mathbf{x}, v; \tilde{f}, \tilde{\mathbf{c}}) = 0 \\ b \leftarrow \langle \mathcal{A}_2(\mathsf{st}), V_{\mathsf{eval}} \rangle(\mathsf{pp}, C, \mathbf{d}, \mathbf{x}, v) \end{pmatrix}$$

where the relation  $\mathcal{R}_{\mathsf{Eval}}$  is defined as follows:

$$\mathcal{R}_{\mathsf{Eval}} = \{ ((\mathsf{pp}, C \in \mathbb{G}, \ \mathbf{x} \in \mathbb{F}^n, \ v \in \mathbb{F}); \ (f(X_1, \cdots, X_n), \tilde{\mathbf{c}})) : \\ (\mathsf{Open}(\mathsf{pp}, f, \mathbf{d}, C, \tilde{\mathbf{c}}_0) = 1) \land v = f(\mathbf{x}) \}$$

**Fiat-Shamir.** An interactive protocol is *public-coin* if the verifier's messages are uniformly random strings. Public-coin protocols can be transformed into non-interactive arguments in the Random Oracle Model (ROM) by using the Fiat-Shamir (FS) [FS87] heuristic to derive the verifier's messages as the output of a Random Oracle. All protocols in this work are public-coin interactive protocols in the structured reference string (SRS) model where both the parties have access to a SRS, that are then compiled into non-interactive arguments using FS.

Given a public-coin interactive proof system  $\Pi = (\text{Setup}, \mathcal{P}, \mathcal{V})$ , we denote the corresponding FS-compiled non-interactive proof system by  $\Pi_{FS} = (\text{Setup}_{FS}, \mathcal{P}_{FS}, \mathcal{V}_{FS})$ . We write  $\mathcal{P}_{FS}^{H}$  and  $\mathcal{V}_{FS}^{H}$  to denote that the prover and verifier have oracle access to H. We denote by Prove, Verify, the non-interactive prover and verifier algorithms obtained by applying FS to the Eval public-coin interactive protocol, giving a non-interactive PCS scheme ((pp, H)  $\leftarrow$  Setup( $1^{\lambda}, n, d$ ),  $C \leftarrow$  Com(pp,  $f(\mathbf{X})$ ),  $(v, \pi) \leftarrow \text{Prove}^{H}(pp, f(\mathbf{X}), x), b \leftarrow \text{Verify}^{H}(pp, C, v, x, \pi)$ .

**Definition 3.6** (Knowledge Soundness for Non-Interactive PCS). For any PPT adversary  $\mathcal{A}$ , there exists a PPT algorithm  $\mathcal{E}$  such that the following probability is negligible in  $\lambda$ :

$$\Pr \begin{pmatrix} (\mathsf{pp},\mathsf{H}) \leftarrow \mathsf{Setup}(1^{\lambda}, n, \{D_i\}_{i \in [n]}) \\ 0 = 1 \land & (C, \mathbf{d}, \mathbf{x}, v, \pi) \leftarrow \mathcal{A}^{\mathsf{H}}(\mathsf{pp}) \\ \mathcal{R}_{\mathsf{Eval}}(\mathsf{pp}, C, \mathbf{x}, v; \tilde{f}, \tilde{\mathbf{c}}) = 0 & (\tilde{f}, \tilde{\mathbf{c}}) \leftarrow \mathcal{E}^{\mathcal{A}}(\mathsf{pp}, C, d) \\ 0 & b \leftarrow \mathsf{Verify}^{\mathsf{H}}(\mathsf{pp}, C, \mathbf{d}, \mathbf{x}, v, \pi) \end{pmatrix}.$$

where the relation  $\mathcal{R}_{\mathsf{Eval}}$  is defined as follows:

$$\mathcal{R}_{\mathsf{Eval}} = \{ ((\mathsf{pp}, C \in \mathbb{G}, \ \mathbf{x} \in \mathbb{F}^n, \ v \in \mathbb{F}); \ (f(X_1, \cdots, X_n), \tilde{\mathbf{c}})) : \\ (\mathsf{Open}(\mathsf{pp}, f, \mathbf{d}, C, \tilde{\mathbf{c}}_0) = 1) \land v = f(\mathbf{x}) \}$$

**Definition 3.7** (Succinctness). We require the commitments and the evaluation proofs to be of size independent of the degree of the polynomial, that is the scheme is proof succinct if |C| is  $poly(\lambda)$ ,  $|\pi|$  is  $poly(\lambda)$  where  $\pi$  is the transcript obtained by applying FS to Eval. Additionally, the scheme is verifier succinct if Eval runs in time  $poly(\lambda) \cdot log(d)$  for the verifier.

We refer to Appendix A.1 for background material on the KZG univariate PCS.

**Definition 3.8** (q-DLOG Assumption). The q-DLOG assumption with respect to  $\mathcal{G}$  holds if for all  $\lambda$  and for all PPT  $\mathcal{A}$ , we have:

$$\Pr \begin{bmatrix} \tau = \tau' \\ \tau' \leftarrow \mathcal{A}(1^{\lambda}, \mathsf{pp}) : & (\mathbb{F}, \mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T, e, g_1, g_2, g_t) \leftarrow \mathsf{BG}(1^{\lambda}), \tau \leftarrow \mathbb{F} \\ \mathsf{pp} := (g_1^{\tau}, g_1^{\tau^2}, \dots, g_1^{\tau^q}, g_2^{\tau}, g_2^{\tau^2}, \dots, g_2^{\tau^q}) \end{bmatrix} \le \mathsf{negl}(\lambda)$$

**Polynomial IOPs.** A modular approach for designing efficient succinct arguments is to (i) first, construct an Interactive Oracle Proof (IOP) that is an information-theoretic protocol in an idealized model, (ii) compile the information-theoretic protocol via a cryptographic compiler to obtain a cryptographic argument system. In a Polynomial IOP (PIOP), the prover provides oracle access to a set of polynomials, and the verifier accepts or rejects by checking certain identities over the polynomials output by the prover and possibly public polynomials known to the verifier. A PIOP is compiled into a succinct argument of knowledge by using a *polynomial commitment scheme* to realize the polynomial oracles. Many recent constructions of zkSNARKs [BFS20, CHM<sup>+</sup>20, GWC19] follow this approach where the information theoretic object is a a PIOP and the cryptographic compiler is a polynomial commitment scheme. We defer a formal treatment of PIOPs to Appendix A.2.

#### 3.3 Algebraic Preliminaries

**Polynomials and Multilinear extensions.** We use  $\mathbb{F}^{\leq 1}[X_1, \ldots, X_{\mu}]$  to denote the set of  $\mu$ -variate multilinear polynomials over the field  $\mathbb{F}$ . We define  $2\mu$ -variate polynomial

$$\widetilde{eq}_{\mu}(\mathbf{x}, \mathbf{y}) = \prod_{i=1}^{\mu} (x_i y_i + (1 - x_i)(1 - y_i))$$

for  $x \in \mathbb{F}^{\mu}$  and  $y \in \mathbb{F}^{\mu}$ . The polynomials  $\{\tilde{eq}_{\mu}(x, \langle i-1 \rangle) : i \in [2^{\mu}]\}$  are linearly independent over  $\mathbb{F}$ form the Lagrange basis polynomials for the set  $B_{\mu}$ . For  $x, y \in B_{\mu}$ ,  $\tilde{eq}_{\mu}(x, y) = 1$  if x = y, and is 0 otherwise. For a function  $f : B_{\mu} \to \mathbb{F}$ , the (unique) polynomial  $\tilde{f}(x) = \sum_{i=1}^{2^{\mu}} f(\langle i-1 \rangle) \tilde{eq}(x, \langle i-1 \rangle)$ is called the *multilinear extension* (MLE) of the function f. We also naturally view vectors  $\mathbf{f} \in \mathbb{F}^{2^{\mu}}$ as functions  $f : B_{\mu} \to \mathbb{F}$ , and define MLE of the vector as that of the implied function. For clarity of notation, we will denote multilinear polynomials as  $\tilde{f}$  (with a tilde), its associated coefficient vector of evaluations at  $B_{\mu}$  as  $\mathbf{f}$ , and the univariate polynomial with  $\mathbf{f}$  as the coefficient vector (in power basis  $1, X, \ldots, X^{n-1}$  for  $n = 2^{\mu}$ ) as  $\hat{f}(X)$ . Thus, the univariate and multilinear polynomials sharing the coefficient vector  $\mathbf{f}$  in the respective bases are denoted as  $\hat{f}$  and  $\tilde{f}$  respectively.

 $\mu$ -variate Sumcheck. Let  $f(X_1, \ldots, X_\mu) \in \mathbb{F}[X_1, \ldots, X_\mu]$ . Consider,

$$\sum_{x_1 \in \{0,1\}} \sum_{x_2 \in \{0,1\}} \cdots \sum_{x_\mu \in \{0,1\}} f(x_1, \dots, x_\mu) = y$$

This sum over the hypercube  $B_{\mu}$ ,  $\sum_{\mathbf{x}\in B_{\mu}} f(\mathbf{x}) = y$  takes time  $O(|B_{\mu}|)$  to compute. The sumcheck

protocol [LFKN90, CBBZ23] allows the verifier to outsource this computation to a prover, where the prover sends number of field elements that is logarithmic in the size of the hypercube, and the verifier needs to evaluate f at a single point.

**Lemma 3.1** ([BSS08]). Given any pair of polynomials G(X), q(X) there exists a unique bivariate polynomial Q(X,Y) with  $\deg_X(Q) < \lfloor \deg(G)/\deg(q) \rfloor$  and  $\deg_Y(Q) < \deg(q)$  such that G(X) = Q(q(X),X).

# 4 LogSpartan: PIOP from Log-Up based Lookups

### 4.1 Oracle Composition Using Logarithmic Derivatives

The key technical challenge in proving the correct evaluation of MLE of sparse matrix M using Equation (3) is to prove correctness of the oracle  $\tilde{f}_M : B_{\kappa} \to \mathbb{F}$ , defined by  $\mathbf{y} \mapsto \tilde{eq}(\mathbf{r}_x \langle \tilde{\mathsf{R}}_M(\mathbf{y}) \rangle)$ .

The oracle  $\tilde{f}$  can be treated as composition of oracles  $\tilde{eq}(\mathbf{r}_x, \cdot) : B_\mu \to \mathbb{F}$ , and  $\tilde{\mathsf{R}}_M(\cdot) : B_\kappa \to \mathbb{F}$ , where the function  $\langle \cdot \rangle$  maps the co-domain of  $\tilde{\mathsf{R}}_M$  to the domain of  $\tilde{eq}(\mathbf{r}_x, \cdot)$ . We first present a PIOP for the oracle composition relation in isolation, before applying it to obtain a more efficient variant of Spartan PIOP.

**Definition 4.1** (Oracle Composition). Let  $\mu, \kappa \in \mathbb{N}$ . We define the oracle relation  $\mathcal{R}_{\mu,\kappa}^{\mathsf{comp}}$  as the set of pairs  $(\mathbf{x}, \mathbf{w})$  with  $\mathbf{x} = (\llbracket \widetilde{f} \rrbracket, \llbracket \widetilde{g} \rrbracket, \llbracket \widetilde{h} \rrbracket)$  and  $\mathbf{w} = (\widetilde{f}, \widetilde{g}, \widetilde{h})$  where  $\widetilde{g}$ , is a  $\mu$ -variate multilinear polynomial and  $\widetilde{f}, \widetilde{h}$  are  $\kappa$ -variate multilinear polynomials satisfying  $\widetilde{f}(\mathbf{y}) = \widetilde{g}(\langle \widetilde{h}(\mathbf{y}) \rangle_{\mu})$ . Here  $\llbracket \cdot \rrbracket$  denotes the oracle access to the polynomial.

The oracle composition above can be viewed as an indexed-lookup relation over the evaluation vectors of the multilinear polynomials over their respective domains.

**Definition 4.2** (Indexed Lookup). Let m, n be integers. We say that vectors  $\mathbf{t} \in \mathbb{F}^n$ ,  $\mathbf{a} \in \mathbb{F}^m$  and  $\mathbf{v} \in \mathbb{F}^m$  satisfy indexed lookup relation denoted by  $\mathbf{v} = \mathbf{t}[\mathbf{a}]$  if  $v_i = t_{a_i}$  for all  $i \in [m]$ .

We will use the following result on logarithmic derivatives of polynomials from [Hab22a] for proving indexed lookup relation.

**Lemma 4.1** ( [Hab22a]). Let m, n be positive integers and let  $\mathbb{F}$  be a field of characteristic p > n. Then,  $\mathbf{t} \in \mathbb{F}^n$ ,  $\mathbf{a} \in \mathbb{F}^m$  and  $\mathbf{b} \in \mathbb{F}^m$  satisfy the indexed lookup relation in Definition 4.2 if and only if there exists vector  $\mathbf{m} = (m_1, \ldots, m_n) \in \mathbb{F}^n$  such that

$$\sum_{i=1}^{n} \frac{m_i}{X + iY + t_i} = \sum_{i=1}^{m} \frac{1}{X + a_iY + v_i}$$

Identifying the vectors in Lemma 4.1 with the evaluations of multilinear polynomials, we see that the relation  $\tilde{f}(\mathbf{y}) = \tilde{g}(\langle \tilde{h}(\mathbf{y}) \rangle)$  is implied by the existence of multilinear polynomial  $\tilde{\chi}$  (which interpolates the vector  $(m_{\mathbf{y}})_{\mathbf{y} \in B_{\mu}}$  in Lemma 4.1) satisfying the identity of rational functions:

$$\sum_{\mathbf{y}\in B_{\mu}} \frac{\widetilde{\chi}(\mathbf{y})}{X + \widetilde{\mathsf{id}}(\mathbf{y})Y + \widetilde{g}(\mathbf{y})} = \sum_{\mathbf{y}\in B_{\kappa}} \frac{1}{X + \widetilde{h}(\mathbf{y})Y + \widetilde{f}(\mathbf{y})}$$
(5)

After the prover supplies the oracle  $[\tilde{\chi}]$ , the verifier can check the above identity probabilistically. It sends  $\alpha, \beta \leftarrow \mathbb{F}$  to the prover, who then proves the claim:

$$\sum_{\mathbf{y}\in B_{\mu}} \frac{\widetilde{\chi}(\mathbf{y})}{\alpha + \beta \widetilde{\mathsf{id}}(\mathbf{y}) + \widetilde{g}(\mathbf{y})} = \sum_{\mathbf{y}\in B_{\kappa}} \frac{1}{\alpha + \beta \widetilde{h}(\mathbf{y}) + \widetilde{f}(\mathbf{y})}$$
(6)

The above identity involves summation over two distinct hypercubes  $B_{\mu}$  and  $B_{\kappa}$ . For reasons of efficiency, it helps us to homogenize the above check to involve summation over a single hypercube  $B_{\nu}$ , where  $\nu = \max(\mu, \kappa)$ . Let  $\delta_{\mu} = \nu - \mu$  and  $\delta_{\kappa} = \nu - \kappa$ . We can vacuously view the  $\mu$ -variate and  $\kappa$ -variate multilinear polynomials as  $\nu$ -variate multilinear polynomials. It is easily seen that the claim in Equation (6) is equivalent to the following homogenized identity:

$$\sum_{\mathbf{y}\in B_{\nu}} \left( 2^{\delta_{\kappa}} \cdot \frac{\widetilde{\chi}(\mathbf{y})}{\alpha + \beta \widetilde{\mathsf{id}}(\mathbf{y}) + \widetilde{g}(\mathbf{y})} - 2^{\delta_{\mu}} \cdot \frac{1}{\alpha + \beta \widetilde{h}(\mathbf{y}) + \widetilde{f}(\mathbf{y})} \right) = 0$$
(7)

To initiate the PIOP for the homogenized identity, the prover sends oracles  $[\tilde{p}]$  and  $[\tilde{q}]$  where:

$$\widetilde{p}(\mathbf{y}) = \frac{\widetilde{\chi}(\mathbf{y})}{\alpha + \beta \widetilde{\mathsf{id}}(\mathbf{y}) + \widetilde{g}(\mathbf{y})}, \quad \widetilde{q}(\mathbf{y}) = \frac{1}{\alpha + \beta \widetilde{h}(\mathbf{y}) + \widetilde{f}(\mathbf{y})}$$
(8)

Public Input: μ, κ ∈ N, x = ([[f]], [[g]], [[h]]).
Prover's Input: f, h ∈ F[X<sub>1</sub>,...,X<sub>κ</sub>], g ∈ F[X<sub>1</sub>,...,X<sub>μ</sub>] such that f(y) = g((h(y))) for y ∈ B<sub>κ</sub>.
1. P computes polynomial x̃ satisfying Equation (5).
2. P sends oracle [[x̃]].
3. V sends α, β ← F.
4. P computes polynomials p̃ and q̃ as in Equation (8).
5. P sends oracles [[p̃]], [[q̃]].
6. V sends τ ← F<sup>ν</sup>, where ν = max(μ, κ) and r ← F.
7. P and V execute ∑<sub>y∈B<sub>ν</sub></sub> G̃(y) = 0 where G̃ is given as:
 G̃(y) = (2<sup>δ<sub>κ</sub></sup> p̃(y) - 2<sup>δ<sub>μ</sub></sup> q̃(y)) + r · ẽq(τ, y) · (p̃(y)(α + βid̃(y) + g̃(y)) - x̃(y)) + r<sup>2</sup> · ẽq(τ, y) · (q̃(y)(α + βh(y) + f̃(y)) - 1)
8. V outputs accepts if all the PIOPs output accept, otherwise it rejects.

Figure 1: Polynomial IOP for the relation  $\mathcal{R}_{\mu,\kappa}^{\mathsf{comp}}$ .

We note that the prover computes the above oracles as  $\mu$  and  $\kappa$  variate oracles respectively. When involved in a sum-check PIOP over  $B_{\nu}$ , they can be vacuously viewed as  $\nu$ -variate oracles, and verifier can simulate a  $\nu$ -variate query in the final round by simply truncating the query to the first  $\mu$  or  $\kappa$  coordinates. With this translation, we execute following  $\nu$ -variate PIOPs to check the homogenized identity in Equation (7). The correctness of oracles  $[\tilde{p}]$  and  $[\tilde{q}]$  is established via zero-check PIOPs, which can be reduced to sum-check PIOPs using a random challenge  $\tau \leftarrow \mathbb{F}^{\nu}$ . The resulting sum-check PIOPs are summarized below:

$$\sum_{\mathbf{y}\in B_{\nu}} \left( 2^{\delta_{\kappa}} \widetilde{p}(\mathbf{y}) - 2^{\delta_{\mu}} \widetilde{q}(\mathbf{y}) \right) = 0$$

$$\sum_{\mathbf{y}\in B_{\nu}} \widetilde{eq}(\tau, \mathbf{y}) \cdot \left( \widetilde{p}(\mathbf{y})(\alpha + \beta \widetilde{\mathsf{id}}(\mathbf{y}) + \widetilde{q}(\mathbf{y})) - \widetilde{\chi}(\mathbf{y}) \right) = 0$$

$$\sum_{\mathbf{y}\in B_{\nu}} \widetilde{eq}(\tau, \mathbf{y}) \cdot \left( \widetilde{q}(\mathbf{y})(\alpha + \beta \widetilde{h}(\mathbf{y}) + \widetilde{f}(\mathbf{y})) - 1 \right) = 0$$
(9)

In Figure 1, we present the complete PIOP for the relation  $\mathcal{R}_{\mu,\kappa}^{\mathsf{comp}}$ .

**Lemma 4.2.** The PIOP in Figure 1 is a complete and knowledge sound PIOP for the relation  $\mathcal{R}_{\mu,\kappa}^{\mathsf{comp}}$ . The prover sends 3 auxiliary oracles of total size  $2.2^{\mu} + 2^{\kappa}$  and makes a total of 3 queries to auxiliary oracles, and one query each to the witness oracles.

#### 4.2 LogSpartan: PIOP using Log-Derivative Based Lookups

We now use the techniques of the previous subsection to give an efficient evaluation proof for the sparse multilinear polynomials encoding the R1CS matrices. Consider the computation of  $\widetilde{M}(\mathbf{r}_x, \mathbf{r}_y)$ 

in Equation (3). To establish the correctness of the claim  $\widetilde{M}(\mathbf{r}_x, \mathbf{r}_y) = v$ , the prover can send oracles  $[\widetilde{f}_M]$ ,  $[\widetilde{g}_M]$  for multilinear polynomials  $\widetilde{f}_M : B_\kappa \to \mathbb{F}$  and  $\widetilde{g}_M : B_\kappa \to \mathbb{F}$ , and prove the following:

$$\sum_{\mathbf{k}\in B_{\kappa}}\widetilde{\mathsf{val}}_{M}(\mathbf{k})\cdot\widetilde{f}_{M}(\mathbf{k})\cdot\widetilde{g}_{M}(\mathbf{k}) = v \tag{10}$$

$$\widetilde{f}_M(\mathbf{k}) = \widetilde{eq}_\mu(\mathbf{r}_x, \langle \mathsf{R}_M(\mathbf{k}) \rangle) \tag{11}$$

$$\widetilde{g}_M(\mathbf{k}) = \widetilde{eq}_\mu(\mathbf{r}_y, \langle \mathsf{C}_M(\mathbf{k}) \rangle) \tag{12}$$

Now, Equation (10) above is a simple application of the sum-check protocol. The latter two identities are instances of oracle composition discussed in the last subsection. We combine the resulting six instances of oracle composition (two instances for each  $M \in \{A, B, C\}$ ) into a single instance of oracle composition by defining virtual oracles over  $B_{\mu+1}$  and  $B_{\kappa+3}$  as follows:

$$\begin{split} \widetilde{T}(\mathbf{y}, y_{\mu+1}) &= (1 - y_{\mu+1})\widetilde{eq}_{\mu}(\mathbf{r}_{x}, \mathbf{y}) + y_{\mu+1}\widetilde{eq}_{\mu}(\mathbf{r}_{y}, \mathbf{y}) \\ \widetilde{D}(\mathbf{k}, \mathbf{k}') &= \widetilde{eq}(\langle 1 \rangle, \mathbf{k}') \cdot \widetilde{\mathsf{R}}_{A}(\mathbf{k}) + \widetilde{eq}(\langle 2 \rangle, \mathbf{k}') \cdot \widetilde{\mathsf{R}}_{B}(\mathbf{k}) + \widetilde{eq}(\langle 3 \rangle, \mathbf{k}') \cdot \widetilde{\mathsf{R}}_{C}(\mathbf{k}) \\ &+ \widetilde{eq}(\langle 4 \rangle, \mathbf{k}') \cdot (2^{\mu} + \widetilde{\mathsf{C}}_{A}(\mathbf{k})) + \widetilde{eq}(\langle 5 \rangle, \mathbf{k}') \cdot (2^{\mu} + \widetilde{\mathsf{C}}_{B}(\mathbf{k})) \\ &+ \widetilde{eq}(\langle 6 \rangle, \mathbf{k}') \cdot (2^{\mu} + \widetilde{\mathsf{C}}_{C}(\mathbf{k})) + \widetilde{eq}(\langle 7 \rangle, \mathbf{k}') \cdot \widetilde{\mathsf{R}}_{A}(\mathbf{k}) + \widetilde{eq}(\langle 8 \rangle, \mathbf{k}') \cdot \widetilde{\mathsf{R}}_{A}(\mathbf{k}) \\ \widetilde{h}(\mathbf{k}, \mathbf{k}') &= \widetilde{eq}(\langle 1 \rangle, \mathbf{k}') \cdot \widetilde{f}_{A}(\mathbf{k}) + \widetilde{eq}(\langle 2 \rangle, \mathbf{k}') \cdot \widetilde{f}_{B}(\mathbf{k}) + \widetilde{eq}(\langle 3 \rangle, \mathbf{k}') \cdot \widetilde{f}_{C}(\mathbf{k}) \\ &+ \widetilde{eq}(\langle 4 \rangle, \mathbf{k}') \cdot \widetilde{g}_{A}(\mathbf{k}) + \widetilde{eq}(\langle 5 \rangle, \mathbf{k}') \cdot \widetilde{g}_{B}(\mathbf{k}) + \widetilde{eq}(\langle 6 \rangle, \mathbf{k}') \cdot \widetilde{g}_{C}(\mathbf{k}) \\ &+ \widetilde{eq}(\langle 7 \rangle, \mathbf{k}') \cdot \widetilde{f}_{A}(\mathbf{k}) + \widetilde{eq}(\langle 8 \rangle, \mathbf{k}') \cdot \widetilde{f}_{A}(\mathbf{k}) \end{split}$$
(13)

In the above, we have  $\mathbf{k}' = (k_{\kappa+1}, k_{\kappa+2}, k_{\kappa+3})$ , while  $\tilde{eq}(\langle i \rangle, \mathbf{k}')$ ,  $i \in [8]$  are the basis polynomials for the 3-dimensional hypercube  $B_3$ . The polynomial  $\widetilde{T}$  interpolates the table obtained by concatenating  $(\tilde{eq}(\mathbf{r}_x, \mathbf{y}))_{\mathbf{y} \in B_{\mu}}$  and  $(\tilde{eq}(\mathbf{r}_y, \mathbf{y}))_{\mathbf{y} \in B_{\mu}}$ . The polynomial  $\widetilde{D}$  interpolates the vector of lookup indices obtained by concatenating evaluation vectors of following index polynomials

$$(\widetilde{\mathsf{R}}_A, \widetilde{\mathsf{R}}_B, \widetilde{\mathsf{R}}_C, \widetilde{\mathsf{C}}_A, \widetilde{\mathsf{C}}_B, \widetilde{\mathsf{C}}_C, \widetilde{\mathsf{R}}_A, \widetilde{\mathsf{R}}_A)$$

Note that the polynomials  $\widetilde{C}_M$ ,  $M \in \{A, B, C\}$  are translated by  $2^{\mu}$ , as the corresponding table  $\widetilde{eq}(\mathbf{r}_y, \cdot)$  starts at the  $2^{\mu}$ -th location inside  $\widetilde{T}$ . Finally, the polynomial  $\widetilde{h}$  interpolates the claimed output of the lookup. While we only need to aggregate six pairs of lookups, we canonically extend the vectors to length  $2^{\kappa+3}$  by arbitrarily padding the vectors with the first pair. With concatenated oracles  $\widetilde{T}, \widetilde{D}$  and  $\widetilde{h}$ , the identities (11) and (12) for  $M \in \{A, B, C\}$  can be checked by the PIOP for showing  $([\widetilde{h}], [\widetilde{T}], [\widetilde{D}]) \in \mathcal{R}_{\mu+1,\kappa+3}^{\mathsf{comp}}$ . In Figure 2, we present complete PIOP for proving identities in Equations (10), (11) and (12) for all  $M \in \{A, B, C\}$ .

**Lemma 4.3.** Let  $\mu, \kappa \in \mathbb{N}$ , and let  $\mathcal{A} = \{A, B, C\}$  be a set of indices. Let  $\widetilde{\mathsf{val}}_M, \widetilde{\mathsf{R}}_M$  and  $\widetilde{\mathsf{C}}_M$ ,  $M \in \mathcal{A}$  be  $\kappa$ -variate multilinear polynomials over  $\mathbb{F}$ . Then, the PIOP in Figure 2 is a complete and knowledge sound PIOP for the oracle relation:

$$\mathcal{R}^{\text{agg}}_{\mu,\kappa} = \left\{ \left( \begin{array}{c} (\llbracket \widetilde{\mathsf{val}}_{M} \rrbracket, \llbracket \widetilde{\mathsf{R}}_{M} \rrbracket, \llbracket \widetilde{\mathsf{C}}_{M} \rrbracket)_{M \in \mathcal{A}}, \\ (\mathbf{r}_{x}, \mathbf{r}_{y}) \in \mathbb{F}^{\mu} \times \mathbb{F}^{\mu}, \\ (v_{A}, v_{B}, v_{C}) \in \mathbb{F}^{3}, \\ (\widetilde{\mathsf{val}}_{M}, \widetilde{\mathsf{R}}_{M}, \widetilde{\mathsf{C}}_{M} \in \mathbb{F}^{\leq 1}[X_{1}, \dots, X_{\kappa}], \\ \sum_{\mathbf{y} \in B_{\kappa}} \widetilde{\mathsf{val}}_{M}(\mathbf{y}) \cdot \widetilde{eq}_{\mu}(\mathbf{r}_{x}, \langle \widetilde{\mathsf{R}}_{M}(\mathbf{y}) \rangle) \cdot \widetilde{eq}_{\mu}(\mathbf{r}_{y}, \langle \widetilde{\mathsf{C}}_{M}(\mathbf{y}) \rangle) = v_{M} \end{array} \right) \right\}$$
(14)

The prover sends 9 auxiliary oracles of total size  $6 \cdot 2^{\mu} + 2 \cdot 2^{\mu+1} + 2^{\max(\mu+1,\kappa+3)}$ . The verifier makes a total of 9 queries to auxiliary oracles; one to each oracle.

**Public Input:**  $\mu, \kappa \in \mathbb{N}$ ,  $\kappa$ -variate oracles  $(\llbracket val_M \rrbracket, \llbracket \widetilde{\mathsf{R}}_M \rrbracket, \llbracket \widetilde{\mathsf{C}}_M \rrbracket)$ ,  $M \in \{A, B, C\}$ ;  $\mathbf{r}_x, \mathbf{r}_y \in \mathbb{F}^{\mu}$  and  $(v_A, v_B, v_C) \in \mathbb{F}^3$ . **Prover's Input:**  $\kappa$ -variate polynomials  $(val_M, \widetilde{\mathsf{R}}_M, \widetilde{\mathsf{C}}_M)_{M \in \{A, B, C\}}$ .

- 1.  $\mathcal{P}$  computes  $\kappa$ -variate multilinear polynomials  $\widetilde{f}_M(\mathbf{y}) = \widetilde{eq}(\mathbf{r}_x, \langle \widetilde{\mathsf{R}}_M(\mathbf{y}) \rangle)$  and  $\widetilde{g}_M(\mathbf{y}) = \widetilde{eq}(\mathbf{r}_y, \langle \widetilde{\mathsf{C}}_M(\mathbf{y}) \rangle)$  for  $M \in \{A, B, C\}$ .
- 2.  $\mathcal{P}$  sends oracles  $(\llbracket \tilde{f}_M \rrbracket, \llbracket \tilde{g}_M \rrbracket), M \in \{A, B, C\}.$
- 3.  $\mathcal{V}$  sends  $r_A, r_B, r_C \leftarrow \mathbb{F}$ .
- 4.  $\mathcal{P}$  and  $\mathcal{V}$  define virtual oracles  $[\![\widetilde{T}]\!], [\![\widetilde{h}]\!]$  and  $[\![\widetilde{D}]\!]$  as in Equation 13.
- 5.  $\mathcal{P}$  and  $\mathcal{V}$  execute PIOPs to check the following where  $\nu = \max(\mu + 1, \kappa + 3)$ :

$$\begin{split} \sum_{\mathbf{y}\in B_{\nu}}\sum_{M}r_{M}\widetilde{\mathrm{val}}_{M}(\mathbf{y})\cdot\widetilde{f}_{M}(\mathbf{y}) & \in \widetilde{g}_{M}(\mathbf{y}) = 2^{\nu-\kappa}\cdot\sum_{M}r_{M}v_{M}\\ (\llbracket\widetilde{h}\rrbracket,\llbracket\widetilde{T}\rrbracket,\llbracket\widetilde{D}\rrbracket) \in \mathcal{R}_{\mu+1,\kappa+3}^{\mathrm{comp}} \end{split}$$

6.  $\mathcal{V}$  outputs accepts if all the PIOPs output accept, otherwise it rejects.

Figure 2: Polynomial IOP for the relation  $\mathcal{R}^{\mathsf{agg}}_{\mu,\kappa}$ .

Finally, by invoking the PIOP for the relation  $\mathcal{R}^{\mathsf{agg}}_{\mu,\kappa}$  inside the Spartan PIOP, we obtain a PIOP for the R1CS satisfiability relation  $\mathcal{R}^{\mathsf{R1CS}}_n$ . The complete protocol appears in Figure 3.

**Lemma 4.4.** Given integers  $n, \mu \in \mathbb{N}$  with  $n = 2^{\mu}$ , the interactive oracle protocol in Figure 3 is complete and knowledge sound PIOP for the relation  $\mathcal{R}_n^{\mathsf{RICS}}$ .

In the next section, we compile the information theoretic polynomial oracle protocol for R1CS into non-oracle succinct argument of knowledge (SNARK) using a polynomial commitment scheme.

#### 4.3 Compiling to SNARK

The PIOP in Figure 3 can be compiled into a SNARK using a multilinear polynomial commitment scheme. Let  $\mathbf{a}_{\nu} \in \mathbb{F}^{\nu}$  be the verifier's challenges in the sub-protocol in Step 11 of Figure 3, which only involves homogeneous sum-check instances over the same hypercube  $B_{\nu}$ , with  $\nu = \max(\mu+1, \kappa+3)$ . Then, the verifier needs evaluation proofs for the following oracle queries, where we assume that the sum-check instances over  $B_{\nu}$  are combined into a single instance using a random linear combination. We also skip the evaluations that the verifier can itself compute in  $O(\log n)$  F-operations.

- Polynomials From Setup:  $\{\widetilde{\mathsf{val}}_M(\mathbf{a}_\nu), \widetilde{\mathsf{R}}_M(\mathbf{a}_\nu), \widetilde{\mathsf{C}}_M(\mathbf{a}_\nu)\}, M \in \{A, B, C\}.$
- Round 1:  $\widetilde{z}(\mathbf{r}_y)$ .
- Round 2:  $\widetilde{\chi}(\mathbf{a}_{\nu}), \{\widetilde{f}_M(\mathbf{a}_{\nu}), \widetilde{g}_M(\mathbf{a}_{\nu})\}, M \in \{A, B, C\}.$
- Round 3:  $\widetilde{p}(\mathbf{a}_{\nu}), \ \widetilde{q}(\mathbf{a}_{\nu}).$

**Theorem 4.1.** Let mPC = (Setup, Com, Open, Prove, Verify) be a multilinear polynomial commitment scheme. Then the SNARK obtained by compiling the PIOP in Figure 3 using mPC satisfies following efficiency parameters:

 $\begin{array}{lll} Prover \ time \ (\mathbf{t}_{\mathcal{P}}) &=& 19 \mathbf{t}_{\mathsf{mPC}}^{\mathsf{com}}(m) + \mathbf{t}_{\mathsf{mPC}}^{\mathsf{eval}}(m) + \mathbf{t}_{\mathsf{mPC}}^{\mathsf{batcheval}}(m,9) + O(m) \, \mathbb{F} \\ Proof \ size \ (|\pi|) &=& 8 |\pi_{\mathsf{mPC}}^{\mathsf{com}}| + |\pi_{\mathsf{mPC}}(m)| + |\pi_{\mathsf{mPC}}^{\mathsf{batch}}(m,9)| + 8 \log m \, \mathbb{F} \\ Verifier \ time \ (\mathbf{t}_{\mathcal{V}}) &=& O(\log m) \, \mathbb{F} + \mathbf{t}_{\mathsf{mPC}}^{\mathsf{ver}}(m) + \mathbf{t}_{\mathsf{mPC}}^{\mathsf{batchver}}(m,9) \end{array}$ 

**Common Input:**  $\kappa$ -variate oracles for  $n \times n$  sparse matrices  $(\widetilde{\mathsf{val}}_M, \widetilde{\mathsf{R}}_M, \widetilde{\mathsf{C}}_M)_{M \in \{A, B, C\}}$  for  $n = 2^{\mu}$ . It holds that  $\widetilde{M}(\mathbf{x}, \mathbf{y}) = \sum_{\mathbf{z} \in B_{\kappa}} \widetilde{\mathsf{val}}_M(\mathbf{z}) \cdot \widetilde{eq}_{\mu}(\mathbf{x}, \langle \widetilde{\mathsf{R}}_M(\mathbf{z}) \rangle) \cdot \widetilde{eq}_{\mu}(\mathbf{y}, \langle \widetilde{\mathsf{C}}_M(\mathbf{z}) \rangle)$  for  $M \in \{A, B, C\}$ . **Prover's Input:**  $\mathbf{z} \in \mathbb{F}^n$ , for  $n = 2^{\mu}$ .

- 1.  $\mathcal{P}$  sends ML extension  $\tilde{z}$  of  $\mathbf{z}$  as oracle  $[\tilde{z}]$ .
- 2.  $\mathcal{V}$  sends  $\mathbf{t} \leftarrow \mathbb{F}^{\mu}$ .
- 3.  $\mathcal{P}$  and  $\mathcal{V}$  execute the following sum-check:

$$\sum_{\mathbf{x}\in B_{\mu}} \tilde{eq}(\mathbf{t},\mathbf{x}) \left[ \left( \sum_{\mathbf{y}\in B_{\mu}} \tilde{A}(\mathbf{x},\mathbf{y}) \tilde{z}(\mathbf{y}) \right) \left( \sum_{\mathbf{x}\in B_{\mu}} \tilde{B}(\mathbf{x},\mathbf{y}) \tilde{z}(\mathbf{y}) \right) - \left( \sum_{\mathbf{y}\in B_{\mu}} \tilde{C}(\mathbf{x},\mathbf{y}) \tilde{z}(\mathbf{y}) \right) \right] = 0$$

4. After  $\mu$  rounds of above sum-check, with  $\mathbf{r}_x = (r_1, \ldots, r_\mu) \in \mathbb{F}^\mu$  as  $\mathcal{V}$ 's challenges and  $t_\mu$  as  $\mu^{th}$  polynomial sent by  $\mathcal{P}$ , the sum-check reduces to:

$$\widetilde{eq}(\mathbf{t},\mathbf{r}_x)\left[\left(\sum_{\mathbf{y}\in B_{\mu}}\widetilde{A}(\mathbf{r}_x,\mathbf{y})\widetilde{z}(\mathbf{y})\right)\left(\sum_{\mathbf{y}\in B_{\mu}}\widetilde{B}(\mathbf{r}_x,\mathbf{y})\widetilde{z}(\mathbf{y})\right)-\left(\sum_{\mathbf{y}\in B_{\mu}}\widetilde{C}(\mathbf{r}_x,\mathbf{y})\widetilde{z}(\mathbf{y})\right)\right]=t_{\mu}(r_{\mu})$$

5.  $\mathcal{P}$  sends  $v_A, v_B$  and  $v_C$ 

- 6.  $\mathcal{V}$  checks:  $\tilde{eq}(\mathbf{t}, \mathbf{r}_x)(v_A \cdot v_B v_C) = t_\mu(r_\mu)$ . It aborts if the check fails, else it sends  $\rho_A, \rho_B, \rho_C \leftarrow \mathbb{F}$ .
- 7.  ${\mathcal P}$  and  ${\mathcal V}$  execute the following sum-check:

$$\sum_{\mathbf{y}\in B_{\mu}} (\rho_A \widetilde{A}(\mathbf{r}_x, \mathbf{y}) + \rho_B \widetilde{B}(\mathbf{r}_x, \mathbf{y}) + \rho_C \widetilde{C}(\mathbf{r}_x, \mathbf{y})) \widetilde{z}(\mathbf{y}) = \rho_A v_A + \rho_B v_B + \rho_C v_C$$

- 8. After  $\mu$  rounds of the above sum-check, let  $\mathbf{r}_y = (r'_1, \dots, r'_{\mu})$  be  $\mathcal{V}$ 's challenges, and  $t'_{\mu}$  be the final polynomial sent by  $\mathcal{P}$ . Subsequently,  $\mathcal{P}$  sends purported evaluations  $\bar{v}_A, \bar{v}_B, \bar{v}_C$  of  $\widetilde{A}, \widetilde{B}$  and  $\widetilde{C}$  at  $(\mathbf{r}_x, \mathbf{r}_y)$ .
- 9.  $\mathcal{V}$  queries  $[\tilde{z}]$  at  $\mathbf{r}_y$  to obtain  $\bar{v}_Z$ .
- 10.  $\mathcal{V}$  checks  $\bar{v}_Z(\rho_A \bar{v}_A + \rho_B \bar{v}_B + \rho_C \bar{v}_C) = t'_\mu(r'_\mu)$ . It aborts on failure.
- 11.  ${\mathcal P}$  and  ${\mathcal V}$  execute PIOP in Figure 2 to check:

$$\left((\llbracket \mathsf{val}_M \rrbracket, \llbracket \mathsf{R}_M \rrbracket, \llbracket \mathsf{C}_M \rrbracket)_{M \in \mathcal{A}}, (\mathbf{r}_x, \mathbf{r}_y), (\bar{v}_A, \bar{v}_B, \bar{v}_C)\right) \in \mathcal{R}^{\mathsf{agg}}_{\mu, \kappa}$$

12.  $\mathcal{V}$  accepts if all the checks are satisfied and above PIOP accepts.

#### Figure 3: LogSpartan: Multilinear PIOP using Logarithmic Derivatives

In the above, we have  $m = \max(n, K)$ , where K is an upper bound on the number of non-zero entries in matrices A, B and C. We use the following notation to denote costs:

$t_{mPC}^{com}(n)$	$= commit \ a \ polynomial \ of \ size \ n \ using \ mPC,$
$t_{\sf mPC}^{\sf eval}(n)$	= construct evaluation proof for a polynomial of size $n$ ,
$t_{mPC}^{batcheval}(n,k)$	= construct evaluation proof for a batch of k polynomials of size n,
$ \pi_{mPC}^{com} $	= size of commitment output by mPC,
$ \pi_{mPC}(n) $	= size of evaluation proof for size $n$ polynomial,
$ \pi^{batch}_{mPC}(n,k) $	= size of evaluation proof for k polynomials of size n,
$\pi_{mPC}^{ver}(n)$	= verify evaluation proof for polynomial of size $n$ ,
$\pi^{batchver}_{mPC}(n,k)$	= verify evaluation proof for k polynomials of size n.

**Efficiency.** The prover cost in the above construction is dominated by commitments to 19 auxiliary oracles. This is similar to costs reported in the original Spartan construction [Set20]. The argument size incurs  $3\mu$  F-elements,  $2\mu$  F-elements from the sum-checks in Steps 3 and 7 in Figure 3 respectively, while it incurs  $3\nu$  F-elements from the sum-check over  $B_{\nu}$  in Step 11. Further contribution to the argument size results from 9 commitments to auxiliary oracles and the evaluation proofs for mPC. Similalry, verification incurs  $O(\log n)$  F-operations and O(1) group operations in addition to the verification costs from the underlying mPC.

## 5 Multilinear PCS from Univariate PCS

#### 5.1 Core Protocol

Let  $n = 2^{\mu}$  for some  $\mu \in \mathbb{N}$  and let  $B_{\mu}$  denote the hypercube  $\{0, 1\}^{\mu}$ . Let  $\tilde{eq}_i, i \in [n]$  denote the basis of  $\mathbb{F}^{\leq 1}[X_1, \ldots, X_{\mu}]$  where  $\tilde{eq}_i(\mathbf{x}) = \tilde{eq}_{\mu}(\langle i \rangle, \mathbf{x})$ . We use the following isomorphism between multilinear polynomials and univariate polynomials  $\mathbb{F}[X]$  of degree at most n. More precisely, we define the isomorphism of the  $\mathbb{F}$ -vector spaces as:

$$\varphi: \mathbb{F}^{\leq 1}[X_1, \dots, X_{\mu}] \longrightarrow \mathbb{F}^{< n}[X]$$
$$\sum_{i=1}^n f_i \widetilde{eq}_i(X_1, \dots, X_{\mu}) \mapsto \sum_{i=1}^n f_i X^{i-1}$$

Multilinear Commitments from Univariate Commitments. We use the above isomorphism to commit to multilinear polynomials. For  $\tilde{f} \in \mathbb{F}^{\leq 1}[X_1, \ldots, X_{\mu}]$ , we use  $\hat{f}$  to denote the univariate polynomial  $\varphi(\tilde{f})$ . Let uPC be a univariate polynomial commitment scheme. We define the multilinear polynomial commitment scheme mPC using uPC as a black-box. For a  $\mu$ -variate multilinear polynomial  $\tilde{f}$ , we define mPC.Com $(\tilde{f}) \to (\text{cm}_f, \tilde{\omega})$ , where  $(\text{cm}_f, \tilde{\omega}) \leftarrow \text{uPC.Com}(\text{pp}, \hat{f})$ . Next, we describe evaluation protocol for committed multilinear polynomials.

**Evaluation Protocol.** Let  $\mathbf{z} = (z_1, \ldots, z_{\mu})$  be the evaluation point. The evaluation claim  $\tilde{f}(\mathbf{z}) = v$  is equivalent to proving inner product  $\langle \mathbf{f}, \phi_z \rangle = v$  where  $\mathbf{f}$  is the coefficient vector of  $\tilde{f}$  and  $\phi_z = (\tilde{eq}_1(\mathbf{z}), \ldots, \tilde{eq}_n(\mathbf{z}))$ . The vector  $\phi_z$  can be described as the tensor product:

$$\phi_z = \begin{pmatrix} 1 - z_1 \\ z_1 \end{pmatrix} \otimes \cdots \otimes \begin{pmatrix} 1 - z_\mu \\ z_\mu \end{pmatrix}$$

Let  $\hat{\Psi}(X; \mathbf{z})$  be the univariate polynomial with  $\phi_z$  in reverse order as the coefficient vector. It can be seen that

$$\hat{\Psi}(X;\mathbf{z}) = (z_1 + (1-z_1)X) \cdot (z_2 + (1-z_2)X^2) \cdots (z_{\mu} + (1-z_{\mu})X^{2^{\mu-1}}).$$

The claimed dot product v is then the coefficient of  $X^{n-1}$  in the product  $\hat{f}(X) \cdot \hat{\Psi}(X; \mathbf{z})$ . This can be shown by the prover exhibiting univariate oracles  $\hat{h}(X)$  and  $\hat{g}(X)$  of degree at most n-2 such that:

$$\hat{f}(X) \cdot \hat{\Psi}(X; \mathbf{z}) = X^n \hat{h}(X) + v X^{n-1} + g(X)$$
(15)

We can check the above identity at a random point, by querying the univariate oracles  $\hat{f}, \hat{g}$  and  $\hat{h}$ , whereas the verifier can evaluate  $\hat{\Psi}(X; \mathbf{z})$  itself in  $O(\log n)$  F-operations. Note that the prover can compute the product  $\hat{f}(X) \cdot \hat{\Psi}(X; \mathbf{z})$  using  $O(n \log n)$  field multiplications without using FFT, and subsequently compute  $\hat{h}$  and  $\hat{g}$ .

#### 5.2 Obtaining Linear Time Prover

We now use amortization to reduce prover complexity from  $O(n \log n)$  in the core protocol to O(n). Let  $n = \ell m$ ,  $\nu = \log \ell$  and  $\kappa = \log m$ , and thus  $\mu = \nu + \kappa$ . Now, we view the coefficient vector **f** of the multilinear polynomial  $\tilde{f}$  as  $\ell \times m$  matrix. We write  $\tilde{f}$  as:

$$\widetilde{f}(\mathbf{y}, \mathbf{x}) = \sum_{\tau \in B_{\nu}} \widetilde{eq}_{\nu}(\tau, \mathbf{y}) \widetilde{f}(\tau, \mathbf{x}) = \sum_{i=1}^{\ell} \widetilde{eq}(\langle i \rangle, \mathbf{y}) \widetilde{f}(\langle i \rangle, \mathbf{x})$$
(16)

In the above, we define  $\tilde{g}_i(\mathbf{x}) = \tilde{f}(\langle i \rangle, \mathbf{x})$  as  $\kappa$ -variate multilinear polynomials for  $i \in [\ell]$ . Next, we write  $\hat{f}(X)$  in base  $X^m$  as:

$$\hat{f}(X) = \hat{g}_1(X) + X^m \hat{g}_2(X) + \dots + X^{m(\ell-1)} \hat{g}_\ell(X)$$
(17)

where the polynomials  $\hat{g}_i(X)$  are uniquely determined polynomials of degree  $\langle m$ . If we write the coefficient vector  $\mathbf{f} = (\mathbf{g}_1, \ldots, \mathbf{g}_\ell)$ , with  $\mathbf{g}_i \in \mathbb{F}^m$ , it is easily seen that  $\mathbf{g}_i$  is the common coefficient vector of the multilinear polynomial  $\tilde{g}_i(\mathbf{x})$  and  $\hat{g}_i(X) \in \mathbb{F}^{\langle m}[X]$ . To prove the claim  $\tilde{f}(\mathbf{z}) = v$ , the prover first sends commitments  $\mathbf{cm}_1, \ldots, \mathbf{cm}_\ell$  to the univariate polynomials  $\hat{g}_1(X), \ldots, \hat{g}_\ell(X)$  respectively, which are also commitments to the corresponding multilinear polynomials  $\tilde{g}_i(\mathbf{x}), i \in [\ell]$ . It also sends the multilinear polynomial evaluations  $v_1 = \tilde{g}_1(\mathbf{z}_x), \ldots, v_\ell = \tilde{g}_\ell(\mathbf{z}_x)$ , where  $\mathbf{z} = (\mathbf{z}_y, \mathbf{z}_x)$  with  $\mathbf{z}_y \in \mathbb{F}^{\mu}$ ,  $\mathbf{z}_x \in \mathbb{F}^{\kappa}$ . The verifier checks the following:

Polynomials  $\hat{g}_1(X), \ldots, \hat{g}_\ell(X)$  are correct: To check whether the committed polynomials  $\hat{g}_i(X), i \in [\ell]$  represent the correct decomposition of  $\hat{f}(X)$  according to Equation (16), the verifier needs to ensure a degree bound of m-1 on each of the committed polynomials in addition to checking the polynomial identity in Equation (17). To this end, the verifier sends a challenge  $\gamma \leftarrow \mathbb{F}$ , with the prover responding with the uPC commitment  $\operatorname{cm}_T$  to the polynomial  $\hat{t}(X) = X^{m-1} \sum_{i=1}^{\ell} \gamma^{i-1} \hat{g}_i(X^{-1})$ . We note that with overwhelming probability over the choice of  $\gamma$ ,  $\hat{t}(X)$  is a polynomial if and only if  $\operatorname{deg}(\hat{g}_i) < m$  for all  $i \in [\ell]$ . Next, the verifier sends and evaluation challenge  $\beta \leftarrow \mathbb{F}$  and checks  $\hat{t}(\beta) = \beta^{m-1} \sum_{i=1}^{\ell} \gamma^{i-1} \hat{g}_i(\beta^{-1})$ . Using homomorphism of uPC, the verifier can compute commitment to the polynomial  $\hat{G}(X) = \sum_{i=1}^{\ell} \gamma^{i-1} \hat{g}_i(X)$ , and check evaluation proofs for  $\hat{t}$  and  $\hat{G}$  at  $\beta$  and  $\beta^{-1}$  respectively.

Multilinear evaluations  $v_1, \ldots, v_\ell$  are correct: We can use the random challenge  $\gamma$  to batch verify the evaluations  $\tilde{g}_i(\mathbf{z}_x) = v_i$  as well. Note that the commitment to the univariate polynomial  $\hat{G}(X)$  is also the commitment to the corresponding multilinear polynomial  $\tilde{G}(\mathbf{x}) = \sum_{i=1}^{\ell} \gamma^{i-1} \tilde{g}_i(\mathbf{x})$ . Thus, with overwhleming probability the correctness of all evaluations is implied by the evaluation  $\tilde{G}(\mathbf{z}_x) = \sum_{i=1}^{\ell} \gamma^{i-1} v_i$ . To check this, the prover and the verifier execute the core protocol in Section 5.1 over the hypercube  $B_{\nu}$  of size m.

Check correctness of evaluation v: Finally, we need to ensure that evaluation v follows from the evaluations of polynomials  $\tilde{g}_i$ ,  $i \in [\ell]$ . From Equation (16), we must have  $v = \sum_{i=1}^{\ell} \tilde{eq}(\langle i \rangle, \mathbf{z}_y) \cdot v_i$ . The evaluations  $\tilde{eq}(\langle i \rangle, \mathbf{z}_y)$  for  $i \in [\ell]$  can be computed in  $O(\ell)$  F-operations using standard techniques, which allows the verifier to check the correctness of v in  $O(\ell)$  F-operations.

Efficiency: We summarise the efficiency of the above construction. We first consider the prover complexity. Computing commitments to polynomials  $\hat{g}_i$ ,  $i \in [\ell]$  incurs a cost of  $\ell \times t_{uPC}^{com}(m)$  to the prover. Assuming a linear commitment complexity for uPC, we simplify this to  $t_{uPC}^{com}(n)$ . Next, the first check involves computing polynomial  $\hat{t}$ , computing the commitment  $cm_T$  to  $\hat{t}$  followed by evaluation proofs for polynomials  $\hat{t}$  and  $\hat{G}$  at  $\beta$  and  $\beta^{-1}$ . Thus, the prover effort for this step is  $t_{uPC}^{com}(m) + t_{uPC}^{eval}(m) + O(n) \mathbb{F}$ . In the second step, the prover executes the core protocol over the hypercube of size m, incurring  $O(m \log m) \mathbb{F} + 2t_{uPC}^{com}(m) + t_{uPC}^{eval}(m)$  cost. Thus, the overall cost to the prover is:

$$\mathbf{t}_{\mathsf{mPC}}^{\mathsf{eval}}(n) = \mathbf{t}_{\mathsf{uPC}}^{\mathsf{com}}(n) + 3 \cdot \mathbf{t}_{\mathsf{uPC}}^{\mathsf{com}}(m) + 2 \cdot \mathbf{t}_{\mathsf{uPC}}^{\mathsf{eval}}(m) + O(n + m \log m) \, \mathbb{F}$$

Setting  $\ell = \log n$  and  $m = n/\log n$ , the above simplifies to  $O(n) \mathbb{F} + t_{uPC}^{com}(n) + o(n)$ . Similarly, the verification involves homomorphically combining  $\ell$  commitments to obtain a commitment for the polynomial  $\hat{G}$ , and checking the correctness of v in the third step using  $O(\ell)$   $\mathbb{F}$ -operations, in addition to constant invocations of the uPC verifier. The proof size  $|\pi|$  is dominated by  $\ell$  commitments and  $\ell$  evaluations in addition to constant number of uPC evaluation proofs. The complete scheme is detailed in Figure 6 (Appendix B).

**Theorem 5.1.** Assuming that uPC is a homomorphic polynomial commitment scheme for univariate polynomials in  $\mathbb{F}[X]$ , the scheme mPC in Figure 6 (Appendix B) is a homomorphic multilinear PCS which achieves following efficiency parameters (n denotes the size of polynomial):

Commitment Cost:	$t_{\sf mPC}^{\sf com}(n)$	$= t_{uPC}^{com}(n)$
$Evaluation \ Cost:$	$t_{\sf mPC}^{\sf eval}(n)$	$= O(n) \mathbb{F} + t_{uPC}^{com}(n) + o(n)$
$Verification \ Cost:$	$t_{mPC}^{ver}(n)$	$= O(\log n) + 2 \cdot t_{uPC}^{ver}(n)$
$Proof\ Size:$	$ \pi_{mPC}(n) $	$= O(\log n) + 2 \cdot  \pi_{uPC}(n) $

### 5.3 SamaritanPCS: Multilinear PCS from KZG

We now exhibit SamaritanPCS: a multilinear PCS with constant-sized proofs in the AGM, obtained by transforming the KZG univariate PCS. The key ingredient we require is a bivariate PCS with constant proof-size. We use the bivariate PCS based on KZG scheme sketched in  $[ZBK^+22]$ , where a commitment to bivariate polynomial u(X, Y) with  $\deg_X(u) < m$  and  $\deg_Y(u) < n$  is obtained as the commitment to univariate polynomial  $u(Y^n, Y)$ , which is committed using the usual KZG scheme. We present the detailed construction in Figure 5 (Appendix B) where we slightly generalize the construction from  $[ZBK^+22]$  using the above embedding of bivariate polynomials into univariate polynomials from any univariate PCS. We have the following:

**Lemma 5.1.** Assuming that uPC is a polynomial commitment scheme for polynomials in  $\mathbb{F}[X]$ , the scheme in Figure 5 (Appendix B) is a polynomial commitment scheme for polynomials in  $\mathbb{F}[X, Y]$  which achieves following efficiency parameters where m and n are the X and Y degrees of the polynomial respectively.

$$\begin{array}{lll} Commit\ Cost:\ \mathbf{t}^{\mathsf{com}}(m,n) &= \mathbf{t}_{\mathsf{uPC}}^{\mathsf{com}}(mn) \\ Evaluation\ Cost:\ \mathbf{t}^{\mathsf{eval}}(m,n) &= O(mn)\,\mathbb{F} + \mathbf{t}_{\mathsf{uPC}}^{\mathsf{eval}}(mn) + \mathbf{t}_{\mathsf{uPC}}^{\mathsf{eval}}(n) \\ Verification\ Cost:\ \mathbf{t}^{\mathsf{ver}} &= O(\mathbf{t}_{\mathsf{uPC}}^{\mathsf{ver}}) \\ Proof\ Size:\ |\pi| &= 2 \cdot |\pi_{\mathsf{uPC}}| + O(1) \end{array}$$

Proof. The completeness is trivial, so we skip it. Binding follows from the binding of the univariate PCS uPC and the fact that the map  $u(X, Y) \mapsto u(Y^n, Y)$  is one-one on bivariate polynomials with degree in variable Y less than n. We now argue the knowledge soundness property. Suppose uPC satisfies Definition 3.5 for univariate polynomials. Let  $\mathcal{E}_{uPC}$  be the extractor for uPC and let  $\mathcal{A} = (\mathcal{A}_1, \mathcal{A}_2)$  be the adversary in the knowledge soundness definition for the bivariate PCS in Figure 5. We construct the extractor  $\mathcal{E}$  for bivariate PCS as follows: when  $\mathcal{A}_1$  outputs  $(C, (m, n), (\alpha, \beta), v, st)$ ,  $\mathcal{E}$  invokes the extractor for uPC to extract  $(q(Y), \tilde{c}_q) \leftarrow \mathcal{E}_{uPC}^{\mathcal{A}_2}(pp, C, mn)$ . Similarly, when adversary outputs commitments  $cm_r$  and  $cm_u$  in Step 2  $\mathcal{E}$  extracts as follows:

$$(r(Y), \tilde{\mathbf{c}}_r) \leftarrow \mathcal{E}_{\mathsf{uPC}}^{\mathcal{A}_2}(\mathsf{pp}, \mathsf{cm}_r, (m-1)n), \quad (u(Y), \tilde{\mathbf{c}}_u) \leftarrow \mathcal{E}_{\mathsf{uPC}}^{\mathcal{A}_2}(\mathsf{pp}, \mathsf{cm}_u, n)$$

If the verifier accepts, i.e, all the univariate evaluations accept, by knowledge-soundness of  $\mathsf{uPC}$  we have

$$q(\delta) = v_Q, \quad r(\delta) = v_r, \quad u(\delta) = v_u$$

where  $\deg(q) < mn$ ,  $\deg(r) < (m-1)n$ , and  $\deg(u) < n-1$ . with overwhelming probability. Note that the evaluation point  $(\delta)$  for these commitments was determined by verifier's challenge; which is strictly weaker than the adversary in the knowledge-soundness game (Definition 3.5). Moreover, the polynomials satisfy  $q(\delta) = (\delta^n - \alpha)r(\delta) + (\delta - \beta)u(\delta) + v$ . Since  $\delta$  was uniform in  $\mathbb{F}$ , with overwhelming probability, the polynomials satisfy the following identity:

$$q(Y) = (Y^{n} - \alpha)r(Y) + (Y - \beta)u(Y) + v$$
(18)

Now, let Q(X, Y) be the unique bivariate polynomial with  $\deg_X(Q) < m$  and  $\deg_Y(Q) < n$  such that  $q(Y) = Q(Y^n, Y)$ . Note that such a Q exists from Lemma 3.1. Similarly, let R(X,Y) be the bivariate polynomial with  $\deg_X(R) < m - 1$  and  $\deg_Y(R) < n$  such that  $r(Y) = R(Y^n, Y)$ . Now, from Equation (18), we have  $(Y - \beta)u(Y) + v = Q(Y^n, Y) - (Y^n - \alpha)R(Y^n, Y)$ . Let  $P(X,Y) = Q(X,Y) - (X - \alpha)R(X,Y)$ . We note that  $P(Y^n,Y) = (Y - \beta)u(Y) + v$ . Writing  $P(X,Y) = \sum_{i=1}^{m} X^{i-1}p_i(Y)$ , we have  $\sum_{i=1}^{m} Y^{n(i-1)}p_i(Y) = (Y - \beta)u(Y) + v$ . Since, the right hand side has degree < n, we note that  $p_i(Y) = 0$  for all i > 1. Thus,  $P(X,Y) = (Y - \beta)u(Y) + v$ . Thus, we hve  $Q(X,Y) - (X - \alpha)R(X,Y) = (Y - \beta)u(Y) + v$ , which implies  $Q(\alpha,\beta) = v$ . The extractor  $\mathcal{E}$  outputs the polynomial Q(X,Y). This proves knowledge soundness of the bivariate PCS. To see the efficiency claims, note that the prover can compute the polynomial  $R(Y^n,Y)$  in Step 2 by performing long division of polynomial  $Q(Y^n,Y)$  by  $(Y^n - \alpha)$ , which can be done in O(mn)  $\mathbb{F}$ -operations.

**Remark**: In Figure 5, we can also drop the degree bound on the variable X, in which case, the degree bounds need not be enforced for commitments C and  $cm_r$  in Step 7 (i.e., we implicitly set the bound to the maximum degree supported by setup parameters pp).

We now return to the construction of constant-sized multilinear PCS. Once again, let  $n = \ell m$  for some  $\ell, m \in \mathbb{N}$  and further  $\nu = \log \ell, \kappa = \log m$ . We again consider the decomposition of polynomial  $\hat{f}(X)$  as in Equation (17). Instead of sending commitments to polynomials  $\hat{q}_i(X)$  and the claimed evaluations  $v_i = \tilde{g}_i(\mathbf{z}_x)$ , the prover simply sends a commitment  $\mathbf{cm}_Q$  to the bivariate polynomial  $Q(X,Y) = \hat{g}_1(Y) + X \cdot \hat{g}_2(Y) + \cdots + X^{\ell-1} \hat{g}_l(Y)$ . Note that Q is the unique polynomial with  $\deg_X(Q) < \ell$  and  $\deg_Y(Q) < m$  such that  $\hat{f}(X) = Q(X^m, X)$ . Similarly, instead of sending the full vector  $\mathbf{v}$ , the prover simply sends a commitment  $\mathbf{cm}_v$  to the polynomial  $\hat{v}(X) = \sum_{i=1}^{\ell} v_i X^{i-1}$ . The verifier then sends a challenge  $\gamma \leftarrow \mathbb{F}$ , to which the prover responds by sending commitments  $\mathsf{cm}_p$  to the polynomial  $\hat{p}(X) = Q(\gamma, X)$  and evaluation  $v_{\gamma} = \hat{v}(\gamma)$ . Moreover, we note that  $\hat{p}$  corresponds to the multilinear polynomial  $\tilde{p} = \sum_{i=1}^{\ell} \gamma^{i-1} \tilde{g}_i$ , which should evaluate to  $v = \sum_{i=1}^{\ell} \gamma^{i-1} v_i$ . Invoking the core protocol on the polynomial  $\tilde{p}$  of size m, the prover can check this in time  $O(m \log m)$ with O(1) communication. The verifier also needs to check that the evaluations  $v_i$  encoded in  $\hat{v}(X)$  satisfy Equation (16), i.e  $\sum_{i=1}^{\ell} \tilde{eq}(\langle i-1 \rangle, \mathbf{z}_y) v_i = v$ . This is equivalent to checking that the corresponding multilinear polynomial  $\tilde{v}$  evaluates to v at  $\mathbf{z}_{y}$ . This is again accomplished in cost  $O(\ell \log \ell)$  using the core protocol on hypercube of size  $\ell$ . Finally, it needs to be shown that the univariate polynomials  $\hat{f}$  and  $\hat{p}$  are respectively  $Q(X^m, X)$  and  $Q(\gamma, X)$  respectively. We summarize all the checks: (i) invoke two instances of the core protocol on hypercubes of size mand  $\ell$  respectively to show  $\widetilde{p}(\mathbf{z}_x) = v(\gamma)$  and  $\widetilde{v}(\mathbf{z}_y) = v$ , and (ii) use evaluations at random points to check  $\hat{f}(X) = Q(X^m, X)$  and  $\hat{p}(X) = Q(\gamma, X)$ .

Setup: On input security parameter  $1^{\lambda}$  and  $\mu \in \mathbb{N}$ , the setup outputs public parameters pp, where pp  $\leftarrow$  uPC.Setup $(1^{\lambda}, D)$  and  $D \ge 2 \cdot 2^{\mu}$ .

Commit: On input a polynomial  $\tilde{f} \in \mathbb{F}^{\leq 1}[X_1, \ldots, X_{\mu}]$ , output  $(C, \tilde{\mathbf{c}})$  where  $(C, \tilde{\mathbf{c}}) \leftarrow \mathsf{uPC.Com}(\mathsf{pp}, \hat{f})$ . Eval: The interactive protocol between evaluation prover  $\mathcal{P}$  and evaluation verifier  $\mathcal{V}$  on common input  $(C, \mathbf{z}, v), \mathbf{z} \in \mathbb{F}^{\mu}$ ,  $v \in \mathbb{F}$  and prover's input  $\tilde{f}$  such that  $\tilde{f}(\mathbf{z}) = v$  proceeds as:

- 1.  $\mathcal{P}$  decomposes coefficient vector  $\mathbf{f} \in \mathbb{F}^n$ ,  $n = 2^{\mu}$  of the  $\tilde{f}$  as  $(\mathbf{g}_1, \ldots, \mathbf{g}_{\ell})$  with  $\mathbf{g}_i \in \mathbb{F}^m$  where  $\ell = \log n$  and  $m = n / \log n$ .
- 2.  $\mathcal{P}$  sets  $Q(X,Y) = \sum_{i=1}^{\ell} X^{i-1} \hat{g}_i(Y).$
- 3.  $\mathcal{P}$  computes multilinear evaluations  $v_i = \tilde{g}_i(\mathbf{z}_x), i \in [\ell]$ , where  $\mathbf{z} = (\mathbf{z}_y, \mathbf{z}_x)$  with  $\mathbf{z}_x \in \mathbb{F}^{\log m}$ .
- 4.  $\mathcal{P}$  computes  $\hat{v}(X) = \sum_{i=1}^{\ell} v_i X^{i-1}$ , and polynomials  $\hat{a}(X), \hat{b}(X)$  of degree at most  $\ell 2$  such that  $\hat{v}(X)\hat{\Psi}(X;\mathbf{z}_x) = X^{\ell}\hat{a}(X) + v \cdot X^{\ell-1} + \hat{b}(X)$ .
- 5.  $\mathcal{P}$  sends commitments  $\mathsf{cm}_v$  and  $\mathsf{cm}_a$  to polynomials  $\hat{v}$  and  $\hat{a}$  respectively.
- 6.  $\mathcal{V}$  sends  $\gamma \leftarrow \mathbb{F}$ .
- 7.  $\mathcal{P}$  computes  $v_{\gamma} = v(\gamma)$ ,  $\hat{p}(X) = Q(\gamma, X)$  and  $\hat{r}(X) = R(X^m, X)$  where  $R(X, Y) = (Q(X, Y) p(Y))/(X \gamma)$ .
- 8.  $\mathcal{P}$  computes polynomials  $\hat{h}(X)$  and  $\hat{u}(X)$  of degree at most m-2 such that  $\hat{p}(X)\hat{\Psi}(X;\mathbf{z}_y) = X^m\hat{h}(X) + v_\gamma \cdot X^{m-1} + \hat{u}(X)$ .
- 9.  $\mathcal{P}$  sends commitments  $\mathsf{cm}_p, \mathsf{cm}_r, \mathsf{cm}_h$  to polynomials  $\hat{p}, \hat{r}, \hat{h}$  respectively and the evaluation  $v_{\gamma}$ .
- 10.  $\mathcal{V}$  sends  $\beta \leftarrow \mathbb{F}$ .
- 11.  $\mathcal{P}$  computes  $t(X) = X^{m-1}\hat{p}(X^{-1}) + \beta X^{m-2}\hat{u}(X^{-1}) + \beta^2 X^{\ell-2}\hat{b}(X^{-1}).$
- 12.  $\mathcal{P}$  sends commitment  $\mathsf{cm}_t$  to the polynomial  $\hat{t}(X)$ .
- 13.  $\mathcal{V}$  sends  $\delta \leftarrow \mathbb{F}$ .
- 14.  $\mathcal{P}$  sends evaluations  $t(\delta^{-1}), \hat{f}(\delta), \hat{p}(\delta), \hat{h}(\delta), \hat{v}(\delta), \hat{a}(\delta)$ .
- 15.  $\mathcal{V}$  checks evaluations of polynomials at  $\gamma, \delta, \delta^{-1}$ , using three evaluation proofs via batching evaluations at the same point.
- 16.  $\mathcal{V}$  checks:  $t(\delta^{-1}) = \delta^{-(m-1)}\hat{p}(\delta) + \delta^{-(m-2)}\hat{u}(\delta) + \delta^{-(\ell-2)}\hat{b}(\delta)$ , where it computes  $\hat{u}(\delta)$  and  $\hat{b}(\delta)$  as:

$$\begin{aligned} \hat{u}(\delta) &= \hat{p}(\delta)\hat{\Psi}(\delta; \mathbf{z}_y) - \delta^m \hat{h}(\delta) - v_\gamma \delta^{m-1} \\ \hat{b}(\delta) &= \hat{v}(\delta)\hat{\Psi}(\delta; \mathbf{z}_x) - \delta^\ell \hat{a}(\delta) - v \delta^{\ell-1} \end{aligned}$$

17.  $\mathcal{V}$  outputs accepts if all the checks succeed. Otherwise it rejects.

#### Figure 4: SamaritanPCS: Linear-prover multilinear PCS with O(1) proof size.

**Optimized Protocol.** In Figure 4, we present a slightly optimized protocol following the above blueprint. In particular, we batch degree checks required for the instances of core protocol and those in the evaluation protocol for bivariate PCS. Observing that the commitment to the polynomial  $\hat{f}(X)$  is also a commitment to the unique bivariate polynomial Q with deg<sub>Y</sub>(Q) < m satisfying  $\hat{f}(X) = Q(X^m, X)$  under the bivariate PCS, we do not require a separate commitment to Q. Similarly, to ensure the polynomial  $\hat{p}(X)$  sent by the prover is  $Q(\gamma, X)$ , the prover sends commitment to the univariate polynomial  $\hat{r}(X)$  satisfying  $\hat{f}(X) = (X^m - \gamma)\hat{r}(X) + \hat{p}(X)$ , with deg $(\hat{p}) < m$ . The honest prover computes  $\hat{r}(X)$  as  $R(X^m, X)$  where  $R(X, Y) = (Q(X, Y) - \hat{p}(Y))/(X - \gamma)$ . As part of the core protocol instances, the prover exhibits polynomials  $\hat{h}(X), \hat{u}(X), \hat{u}(X)$  and  $\hat{b}(X)$ , which

with other polynomials are required to satisfy following:

$$\hat{v}(X) \cdot \hat{\Psi}(X; \mathbf{z}_x) = X^{\ell} \hat{a}(X) + v \cdot X^{\ell-1} + \hat{b}(X) 
\hat{p}(X) \cdot \hat{\Psi}(X; \mathbf{z}_y) = X^m \hat{h}(X) + v_{\gamma} \cdot X^{m-1} + \hat{u}(X), \hat{f}(X) = (X^m - \gamma)\hat{r}(X) + \hat{p}(X)$$
(19)

where  $\deg(\hat{p}) < m$ ,  $\deg(\hat{u}) < m-1$  and  $\deg(\hat{b}) < \ell-1$ . To the batch the preceding degree checks, the verifier sends challenge  $\beta \leftarrow \mathbb{F}$ . The prover responds with the commitment to the polynomial  $\hat{t}(X) = X^{m-1}\hat{p}(X^{-1}) + \beta X^{m-2}\hat{u}(X^{-1}) + \beta^2 X^{\ell-2}\hat{b}(X^{-1})$ . Finally the verifier sends another challenge  $\delta \leftarrow \mathbb{F}$  and we check all the polynomial identities at  $\delta^{-1}$ . To save communication, we do not send commitments to  $\hat{b}(X)$  and  $\hat{u}(X)$  and instead implicitly define them in terms of  $\hat{v}(X), \hat{a}(X)$  and  $\hat{p}(X), \hat{h}(X)$  using the first two identities in Equation (19). The construction in Figure 4 achieves the following:

**Theorem 5.2.** Assuming that q-DLOG is hard for the bilinear group generator BG and the algebraic group model (AGM), the construction in Figure 4 is a multilinear PCS when instantiated over  $(\mathbb{F}, \mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T, e, g_1, g_2, g_t) \leftarrow \mathsf{BG}(1^{\lambda})$  with KZG as the univariate PCS. The scheme incurs linear commitment and evaluation for the prover. For  $\mu$ -variate multilinear polynomials, the verification incurs  $O(\mu)$   $\mathbb{F}$ -operations, 8  $\mathbb{G}_1$ -operations and 1 pairing check. The proof consists of 9  $\mathbb{G}_1$ -elements and 8  $\mathbb{F}$ -elements.

**Samaritan.** Compiling LogSpartan (Theorem 4.1) using SamaritanPCS (Theorem 5.2), we obtain Samaritan: a SNARK with linear proving cost, logarithmic proof-size and verification. The concrete proof-size for  $n, K = 2^{\mu}$  that we obtain is  $(8\mu + 16)$  F-elements and 26 G<sub>1</sub>-elements, resulting from two invocations of SamaritanPCS evaluation. Using BLS12-381 curve, where F-elements are 32 bytes and G<sub>1</sub>-elements are 48 bytes, our concrete size is given by  $256\mu + 1760$  bytes which is 6.7 KB for  $\mu = 20$ . Analogous cost for HyperPlonk+PST is  $224\mu + 1168$  bytes which is 5.5 KB for  $\mu = 20$ , and for HyperPlonk + KZG + Gemini is  $288\mu + 1168$  bytes which works out to 6.9 KB. Finally, compiling HyperPlonk PIOP with SamaritanPCS, we obtain a SNARK with proof size  $\approx 5$ KB. We emphasize that above benchmarks are for broad reference, as Samaritan and HyperPlonk target different constraint systems.

**Zero-knowledge.** Though we focus on SNARKs in this paper, transformations from existing works [CHM<sup>+</sup>20, CBBZ23] can be applied to obtain zero-knowledge SNARKs from PIOPs. We present a detailed discussion in Appendix C.

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# A Additional Preliminaries

### A.1 The KZG PCS

The KZG univariate PCS was introduced in [KZG10]. We denote the KZG scheme by the tuple of PPT algorithms (KZG.Setup,KZG.Commit, KZG.Prove, KZG.Verify) as defined below.

**Definition A.1** (KZG PCS). Let  $(\mathbb{F}, \mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T, e, g_1, g_2, g_t)$  be output of bilinear group generator  $\mathsf{BG}(1^{\lambda})$ .

• KZG.Setup on input  $(1^{\lambda}, d)$ , where d is the degree bound, outputs

$$srs = (\{[\tau]_1, \dots, [\tau^d]_1\}, \{[\tau]_2, \dots, [\tau^d]_2\})$$

- KZG.Commit on input (srs, p(X)), where  $p(X) \in \mathbb{F}_{\leq d}[X]$ , outputs  $C = [p(\tau)]_1$
- KZG.Prove on input  $(srs, p(X), \alpha)$ , where  $p(X) \in \mathbb{F}_{\leq d}[X]$  and  $\alpha \in \mathbb{F}$ , outputs  $(v, \pi)$  such that  $v = p(\alpha)$  and  $\pi = [q(\tau)]_1$ , for  $q(X) = \frac{p(X) p(\alpha)}{X \alpha}$
- KZG.Verify on input (srs,  $C, v, \alpha, \pi$ ), outputs 1 if the following equation holds, and 0 otherwise:  $e(C - v[1]_1 + \alpha \pi, [1]_2) \stackrel{?}{=} e(\pi, [\tau]_2)$

KZG is shown to be evaluation binding assuming q-DLOG (Definition 3.8) and knowledge sound in the Algebraic Group Model (AGM). At a high level, AGM [FKL18] considers *algebraic* adversaries that are algorithms  $\mathcal{A}$  such that every group element output by  $\mathcal{A}$  is accompanied by a representation of that group element in terms of all the group elements that  $\mathcal{A}$  has seen so far (input and output).

### A.2 Polynomial IOP

**Definition A.2** (Polynomial IOP). A polynomial IOP is a public-coin interactive proof for a relation  $\mathcal{R} = (x, w)$ .  $\mathcal{R}$  is an oracle relation such that x consists of oracles to  $\mu$ -variate polynomials over  $\mathbb{F}$ . These oracles can be queried at arbitrary points in  $\mathbb{F}^{\mu}$  to evaluate the polynomial at these points. In every round in the protocol, the prover sends multi-variate polynomial oracles. The verifier in every round sends a random challenge. At the end of the protocol, the verifier (with oracle access to all the polynomial oracles sent so far) and given its own randomness outputs accept/reject. A PIOP satisfies completeness and knowledge-soundness.

We use the following results about PIOPs and their compilation.

**Lemma A.1** ([CBBZ23]). If a PIOP is sound for an oracle relation  $\mathcal{R}$  with soundness error  $\delta$ , then it is knowledge sound for  $\mathcal{R}$  with knowledge error  $\delta$  and the extractor running in time polynomial in the witness size.

In a holographic proof system, the verifier's direct access to the circuit is replaced with query access to encodings of the circuit. Consider proving knowledge of w such that C(x, w) = 1 where circuit C and input x are public. Here, the statement is divided into an index i that corresponds to the circuit description of C and an instance x that corresponds to the public input of the circuit. The ability to preprocess a circuit in an offline phase is captured by holographic proofs where the verifier does not receive the circuit description as an input but, makes a small number of queries to an encoding of it, instead. In a holographic PIOP, the circuit is encoded into polynomials called the index polynomials i in a preprocessing phase and the verifier has query access to i, in addition to queries that the verifier makes to the oracles sent by the prover.

**Bivariate PCS** Setup: On input security parameter  $\lambda$  and degree bounds  $d_x, d_y$ , Setup $(1^{\lambda}, d_x, d_y)$  outputs pp where pp  $\leftarrow$  $uPC.Setup(1^{\lambda}, d)$  where  $d = d_x \cdot d_y$ . **Commit:** On input pp,  $Q \in \mathbb{F}[X,Y]$  with  $\deg_Y(Q) < n$  and  $n \cdot \deg_X(Q) + \deg_Y(Q) < d$ , Com outputs  $(C, \mathbf{c})$  where  $(C, \mathbf{c}) \leftarrow \mathsf{uPC.Com}(\mathsf{pp}, Q(X^n, X)).$ **Eval**: The protocol  $\langle \mathcal{P}(Q(X,Y)), \mathcal{V} \rangle$  (pp,  $C, (m,n), (\alpha,\beta), v$ ) proceeds as: 1.  $\mathcal{P}$  computes polynomials:  $R(X,Y) = \frac{Q(X,Y) - Q(\alpha,Y)}{X - \alpha}, \quad u(Y) = \frac{Q(\alpha,Y) - v}{Y - \beta}$ 2.  $\mathcal{P}$  computes commitments:  $\operatorname{cm}_r = \operatorname{uPC.Com}(\operatorname{pp}, R(Y^n, Y)), \quad \operatorname{cm}_u = \operatorname{uPC.Com}(\operatorname{pp}, u(Y))$ 3.  $\mathcal{P}$  sends  $\mathsf{cm}_r$  and  $\mathsf{cm}_u$ . 4.  $\mathcal{V}$  sends  $\delta \leftarrow \mathbb{F}$ . 5.  $\mathcal{P}$  sends evaluations  $v_Q = Q(\delta^n, \delta), v_r = R(\delta^n, \delta), v_u = u(\delta).$ 6.  $\mathcal{V}$  checks  $v_Q = (\delta^n - \alpha) \cdot v_r + (\delta - \beta) \cdot v_u + v$ . 7.  ${\mathcal P}$  and  ${\mathcal V}$  execute the following  $\mathsf{uPC}$  evaluations:  $\langle \mathcal{P}(Q(X^n, X)), \mathcal{V} \rangle (\mathsf{pp}, C, mn, \delta, v_Q)$  $\langle \mathcal{P}(R(X^n, X)), \mathcal{V} \rangle (\mathsf{pp}, \mathsf{cm}_r, mn - n, \delta, v_r)$  $\langle \mathcal{P}(u(X)), \mathcal{V} \rangle$ (pp, cm<sub>u</sub>, n,  $\delta, v_u$ )

8.  $\ensuremath{\mathcal{V}}$  accepts if all the evaluations accept and the checks accept.



**Lemma A.2** ([CBBZ23]). Let  $\mathcal{R}$  be a relation over  $\mathbb{F}$ . Let PIOP = (I, P, V) be a PIOP over  $\mathbb{F}$  for  $\mathcal{R}$  with negligible soundness error, and PC = (Setup, Com, Open, Eval) be a polynomial commitment scheme over  $\mathbb{F}$  that satisfies completeness, binding and extractability with negligible extraction error. Then there exists a compiler that compiles the PIOP using PC to obtain a public-coin argument of knowledge  $\Pi = (Setup, \mathcal{P}, \mathcal{V})$  for  $\mathcal{R}$  with negligible knowledge error. If the PIOP is holographic, the argument system is a preprocessing argument system.

This succinct argument system with a public-coin verifier is finally transformed into a SNARK via Fiat-Shamir.

# **B** Additional Protocol Listings

In this section, we present some additional protocol listings. Figure 5 formally describes the approach for constructing a bivariate PCS from a Univariate PCS. Figure 6 formally describes the approach for constructing a linear-prover multilinear PCS from any homomorphic univariate PCS, as outlined in Section 5.3.

# C Extension to Zero-Knowledge SNARKs

Lemma A.2 can be extended to account for zero-knowledge:

Setup: On input security parameter  $1^{\lambda}$  and  $\mu \in \mathbb{N}$ , the setup outputs public parameters pp, where pp  $\leftarrow \mathsf{uPC.Setup}(1^{\lambda}, D)$ and  $D \geq 2 \cdot 2^{\mu}$ . Commit: On input a polynomial  $\tilde{f} \in \mathbb{F}^{\leq 1}[X_1, \dots, X_{\mu}]$ , output  $(C, \tilde{\mathbf{c}})$  where  $(C, \tilde{\mathbf{c}}) \leftarrow \mathsf{uPC.Com}(\mathsf{pp}, \hat{f})$ . Eval: The interactive protocol between evaluation prover  $\mathcal{P}$  and evaluation verifier  $\mathcal{V}$  on common input  $(C, \mathbf{z}, v), \mathbf{z} \in \mathbb{F}^{\mu}$ ,  $v \in \mathbb{F}$  and prover's input  $\tilde{f}$  such that  $\tilde{f}(\mathbf{z}) = v$  proceeds as: 1.  $\mathcal{P}$  decomposes coefficient vector  $\mathbf{f} \in \mathbb{F}^n$ ,  $n = 2^{\mu}$  of the  $\tilde{f}$  as  $(\mathbf{g}_1, \ldots, \mathbf{g}_{\ell})$  with  $\mathbf{g}_i \in \mathbb{F}^m$  where  $\ell = \log n$  and  $m = n / \log n$ . 2.  $\mathcal{P}$  computes commitment  $\mathsf{cm}_i = \mathsf{uPC.Com}(\mathsf{pp}, \hat{g}_i(X)), i \in [\ell]$  where  $\hat{g}_i(X)$  is the univariate polynomial with coefficient vector  $\mathbf{g}_i$ 3.  $\mathcal{P}$  computes multilinear evaluations  $v_i = \tilde{g}_i(\mathbf{z}_x), i \in [\ell]$ , where  $\mathbf{z} = (\mathbf{z}_y, \mathbf{z}_x)$  with  $\mathbf{z}_x \in \mathbb{F}^{\log m}$ . 4.  $\mathcal{P}$  sends  $(\mathsf{cm}_i, v_i)$  for  $i \in [\ell]$ . 5.  $\mathcal{V}$  sends  $\gamma \leftarrow \mathbb{F}$ . 6.  $\mathcal{P}$  computes  $\bar{v} = \sum_{i=1}^{\ell} \gamma^{i-1} v_i$ . It then computes polynomials: •  $\hat{G}(X) = \sum_{i=1}^{\ell} \gamma^{i-1} \hat{g}_i(X),$ •  $\hat{h}(X)$  and  $\hat{u}(X)$  satisfying  $\hat{G}(X) \cdot \hat{\Psi}(X; \mathbf{z}_u) = X^m \hat{h}(X) + \bar{v} X^{m-1} + \hat{u}(X)$ (20)•  $\hat{t}(X) = X^{m-1} \cdot \hat{G}(X^{-1}) + \gamma^m X^{m-2} \hat{u}(X^{-1}).$ 7.  $\mathcal{P}$  sends commitments  $\mathsf{cm}_t, \mathsf{cm}_h, \mathsf{cm}_u$  to polynomials  $\hat{t}(X), \hat{h}(X)$  and  $\hat{u}(X)$ . 8.  $\mathcal{V}$  sends  $\beta \leftarrow \mathbb{F}$ . 9.  $\mathcal{P}$  sends  $V_t = \hat{t}(\beta), V_G = \hat{G}(\beta^{-1}), V_h = \hat{h}(\beta^{-1})$  and  $V_u = \hat{u}(\beta^{-1}).$ 10.  $\mathcal{V}$  computes  $\mathsf{cm}_G = \sum_{i=1}^{\ell} \gamma^{i-1} \mathsf{cm}_i$ . 11.  $\mathcal{P}$  and  $\mathcal{V}$  execute evaluation protocols to check evaluations of polynomials  $\hat{t}, \hat{G}, \hat{h}$  and  $\hat{u}$ . 12.  $\mathcal{V}$  checks: •  $V_t = \beta^{m-1} \cdot V_G + \gamma^m \beta^{m-2} V_u$  (check degree bounds on  $\hat{g}_i, \hat{u}$ ). •  $V_G \cdot \hat{\Psi}(\beta^{-1}; \mathbf{z}_y) = \beta^{-m} V_h + \beta^{-(m-1)} \bar{v} + V_u$  (check Equation (20)). •  $\sum_{i=1}^{\ell} \widetilde{eq}(\langle i \rangle, \mathbf{z}_y) \cdot v_i = v$  (check Equation (16)). 13.  $\mathcal{V}$  outputs accepts if all the checks succeed. Otherwise it rejects.

Figure 6: Linear-prover multilinear PCS from homomorphic univariate PCS

**Lemma C.1** ( [CBBZ23]). Let  $\mathcal{R}$  be a relation over  $\mathbb{F}$ . Let PIOP = (I, P, V) be a zero-knowledge PIOP over  $\mathbb{F}$  for  $\mathcal{R}$  with negligible soundness error, and PC = (Setup, Com, Open, Eval) be a polynomial commitment scheme over  $\mathbb{F}$  that satisfies completeness, binding, extractability with negligible extraction error, hiding and a zero-knowledge evaluation protocol. Then there exists a compiler that compiles the zkPIOP using PC to obtain a public-coin zero-knowledge argument of knowledge  $\Pi = (Setup, \mathcal{P}, \mathcal{V})$  for  $\mathcal{R}$  with negligible knowledge error. If the PIOP is holographic, the argument system is a preprocessing argument system.

At a high level, the transformation consists of two steps: (i) apply a compiler that transforms multivariate PIOPs into ones that are zero knowledge [CBBZ23, XZZ<sup>+</sup>19] by masking the oracle polynomials (ii) compile the zero-knowledge PIOP using a PCS that satisfies hiding and zero-knowledge evaluation (in addition to completeness, binding and extraction). By instantiating our

univariate-to-multilinear transformation with a univariate PCS that is hiding and ZK [CHM<sup>+</sup>20], we obtain a multilinear PCS with hiding and ZK. Standard techniques [CBBZ23, XZZ<sup>+</sup>19] can be applied to obtain a zero-knowledge version of our PIOP. Like in [CBBZ23], the queries to the PIOP has to be restricted to ensure that there exists at least one dimension where each query point has a distinct value. Given zkPIOP together with a hiding and zkPCS, we have both ingredients necessary to invoke Lemma C.1, and obtain a zkSNARK.