Negative Selection in Classification using DBLOSUM Matrices as Affinity Function

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Abstract: This paper presents a novel affinity function for the Negative Selection based algorithm in binary classification. The proposed method and its classification performance are compared to several classifiers using different datasets. One of the binary classification problems includes medical testing to determine if a patient has a particular disease or not. The DBLOSUM in Negative Selection classifier appears to be best suited to classification tasks where false negatives pose a major risk, such as in medical screening and diagnosis. It is more likely than most techniques to result in false positives, but it is as accurate, if not more accurate than most other techniques.

1 INTRODUCTION

A vital function of the natural immune system is the release of antibodies to recognize and identify foreign bodies (antigens), so they can be destroyed or eliminated. The interaction between the antibody and the antigen is the basis for the immune response (Qiu et al., 2015). De Castro and Timmis (de Castro and Timmis, 2002) describe our body's original cells as self-sustaining, whereas disease-causing elements are referred to as nonself-sustaining. The immune system differentiates between self and nonself patterns with a process known as self/nonself discrimination. The natural immune system activity mechanisms and processes already give rise to a new research direction in informatics, the artificial immune systems. As artificial immune systems (AIS) have gained popularity in a range of uses since 1994 (Forrest et al. 1994), they are now being used in all application areas, from intrusion to finishing medical diagnosis. As AIS advances, the choice to use affinity measurements to describe the strength of the similarity remains a significant issue. These "affinity measurements" control the immune system's process (Raudys et al., 2010).

There needs to be more significant interaction between immunologists and computer scientists to develop powerful models that can serve as a basis for more efficient algorithms (Timmis, 2006).

From the literature review, it is clear that affinity measures - and recommendations on how to use them - have not been identified that may be utilized in classification tasks using AIS, particularly in the Negative Selection Algorithm (Hamaker and Boggess, 2004).

This paper introduces a new affinity measure based on BLOSUM matrix scores in binary classification using the Negative Selection Algorithm in medical datasets.

2 THE NEGATIVE SELECTION ALGORITHM

Artificial immune systems use the Negative Selection algorithm (NS) as their basic algorithm. Forrest et al. (1994) proposed and developed the Negative Selection Algorithm (NS) for detection applications based on the egative selection principle observed in the natural immune system. There are two principal stages to the NS, namely the generation stage and the detection stage.

Below is the pseudocode of the NS Algorithm as described and stated by Freitas and Timmis (2007).

The Negative Selection.

Input: a set of “normal” examples (data items), called self (S)

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Output: a set of "mature" T-cells that do not match any example in S

Repeat

Randomly generate an "immature" T-cell (detector). Measure the affinity (similarity) between this T-cell and each example in S.

If the affinity (similarity) between the T-cell and at least one example in S is greater than a user-defined threshold hen

Discard this T-cell as a "mature" T-cell.

else

Output this T-cell as a "mature" T-cell.

end if

until stopping criterion.

3 ARTIFICIAL IMMUNE SYSTEM AND AFFINITY FUNCTION

Artificial immune systems are solutions-driven systems using concepts and principles derived from theoretical immunology and perceived immune principles, functions and models that are applied to problem-solving (Raudys et al., 2010) and (Freitas and Timmis, 2007). When applying immune models, it is essential to take into account the factors that contribute to the problem; a suitable affinity function; and immune algorithms (Raudys et al., 2010) (Dasgupta, 2006).

In the natural immune system, the antigens (*Ag*) represent molecules the immune system must recognize as nonself. At the same time, antibodies (*Ab*) -which are secreted by plasma cells, represent the proteins that bind to antigens on B-cell membranes (Raudys et al., 2010). The biological immune system cannot contain all the antibodies that could recognize every possible antigen. As a target to destroying the unknown antigens, the immune system's plasma cells produce a sequence of antibodies that become increasingly similar to unknown antigens. A few examples of AIS antigens are computer viruses, spam letters, intrusions into computer systems, and fraud. While antibodies' detectors example could be a *p*-dimensional vector (ab_1, ab_2, …, ab_p) that AIS is trying to make similar to an antigen vector (ag_1, ag_2, …, ag_p) to be detected and destroyed (Raudys et al., 2010).

In the natural immune system, affinities between antigen and different antibodies are the similarities defined according to p features forming non-covalent interactions. The affinities in the AIS are similarity functions based on input features such as r^{th} - a structure that describes antigens and antibodies, equivalent to $\Delta_r = |ag_r - ab_r|$. An individual function of the *p* features composes a distance measure that could be employed to estimate the similarities, $d(ab_i, ag_j)$ and $d(ab_s, ag_i)$, of a particular antigen, Ag_i, to different antibodies' detectors Ab_j and Ab_s. Similarly, distances $\Delta_r = |ag_r - ab_r|$, $r = 1, 2, \ldots, p$, indicate the degree of similarity between antibodies Ab_i and Ab_s (Raudys et al., 2010).

4 THE PROPOSED AFFINITY FUNCTION

4.1 The BLOSUM Matrix

The Blocks Substitution Matrix (BLOSUM) matrix scores alignments between diverging evolutionary protein chains and are often used in bioinformatics. The BLOSUM matrix, was initially proposed by Steven and Jorja Henikoff (Henikoff and Henikoff, 1992). They studied blocks of conserved regions of protein subdivisions with no gaps in the sequence alignment. The protein sequences that shared similar percentage identities were gathered into groups and averaged, which means the higher the similarity, the closer the evolutionary distance.

The BLOSUM matrix was constructed by computing the substitution frequencies for all amino acid pairs. So, each BLOSUM matrix represents a substitution matrix used to align protein sequences based on local alignments.

Each score in the BLOSUM matrix reflects one amino acid's chance to substitute another in a set of protein multiple sequence alignments. The higher the score, the more likely the corresponding amino-acid substitution is.

Equation (1) is used to calculate the score in the BLOSUM matrix (Henikoff and Henikoff,1992):

$$s_{ij} = \frac{1}{\lambda} log_2 \frac{q_{ij}}{e_{ij}} \quad (1)$$

The numerator (q_{ij}) represents the likelihood of the hypothesis: the two residues i and j are homologous; hence they are correlated. Thus, q_{ij} is the expected probability or the target frequency of observing residues i and j aligned in homologous sequence alignments. The denominator (e_{ij}) is the likelihood of a null hypothesis: the two residues i and j are un-correlated and unrelated. Hence, they are occurring independently at their background frequencies. Thus, e_{ij} is the probability expected to observe amino acids

i and j on average in any protein sequence. A scaling factor λ is used to convert all terms in the score matrix to sensible integers. (Eddy, 2004).

4.2 BLOSUM Matrix and Similarity

BLOSUM matrices, as in every scoring scheme, are based on an overall percentage of sequence similarity or imply a similarity percentage with increasing evolutionary divergence. The frequency of matching residues decreases and vice versa.

Thus, while "Deep" scoring matrices - such as BLOSUM62 and BLOSUM50 - are effective when searching long protein domains and target alignments with 20 to 30% identity. On the other hand, we find alignments that share 90 to 50% of identity are targeted by Shallower scoring matrices that are more effective when searching for such short protein domains. (Pearson, 2013).

4.3 The DBLOSUM Matrix

Likewise, biological BLOSUM described in (Henikoff and Henikoff,1992), we define a block of non-missing values of records, including records from the two classes, to construct our DBLOSUM (data-BLOSUM) matrix. We computed initial alignments by performing multiple alignments, following the steps described by Henikoff and Henikoff (Henikoff and Henikoff,1992).

Log Odd Computation: In a given block in the biological context, all potential amino acid pairs are counted. Similarly, in our data scenario, the potential data-item pairs are the data items in the same column. So, for each column, a total of all data items is counted. After these counts have been computed, they are utilised to determine a matrix Q in which an item q_{ij} represents the frequency with which items i and j appear in various columns in the block**.**

From the quantity mentioned above, the likelihood of the appearance of the i-th entry is calculated using (2).

$$p_i = q_{ii} \sum_{j \neq i} \frac{q_{ij}}{2} \tag{2}$$

Then, following (Henikoff and Henikoff,1992), the expected likelihood, e_{ij}, of appearance for each i, j pair can be estimated using (3).

$$e_{ij} = \begin{cases} p_i p_j, & i = j \\ 2p_i p_j, & i \neq j \end{cases} \tag{3}$$

Finally, we compute an odd log ratio using (4) below:

$$r_{ij} = log_2 \frac{q_{ij}}{e_{ij}} \tag{4}$$

The odd log-ratio, r_{ij}, calculated in (4) appears in a generic entry in our DBLOSUM matrix. An intuitive interpretation for this generic form is as follows: When the observed rates are as expected or more than expected, $r_{ij} \geq 0$, and when the observed rates are lower than expected, such as a rare mutation in amino acids, $r_{ij} < 0$.

4.4 Classification and the DBLOSUM Matrices

The binary classification model under investigation uses DBLOSUM matrices in the classification process. The DBLOSUM matrix is constructed from dataset values following the original BLOSUM matrix calculations described in the previous section.

The training set extracted from a dataset is the block that is used to generate the DBLOSUM matrix. Three matrices will be generated in each binary classification test: A self DBLOSUM matrix generated from one class, a nonself DBLOSUM matrix generated from the other class, and a combined DBLOSUM from the whole training set combining the two classes.

4.5 DBLOSUM Scores

A negative score in the BLOSUM matrix indicates that the alignment of two amino acids in a database occurred less frequently than by chance. Zero scores in the BLOSUM matrix indicate that the rate at which the pair of amino acids were aligned in a database was just presumed by chance. In contrast, a positive score means that the alignment occurred more often than just by chance. This scoring mechanism represents an essential feature in the learning process of our binary classification model.

In the proposed DBLOSUM matrix, a positive or higher score of a given two data items infers that these two values are likely to appear in the same class, and a negative or low score proposes that these two values are unlikely to be in the same class. At the same time, and similar to the BLSOUM scoring mechanism, a zero score in our DBLOSUM matrix indicates that the pair of the data items occurred just by chance.

The score in the DBLOSUM is the primary learning point in our binary classification model. Each score in the DBLOSUM matrix tells how each pair of values within the training set are related.

Through the DBLOSUM scores, we are trying to discover hidden patterns in the dataset to be classified.

5 THE CLASSIFICATION MODEL

Our binary classification model uses DBLOSUM matrices in the classification process. The DBLOSUM matrix is constructed from dataset values following the original BLOSUM matrix calculations and described in 4.3.

The training set extracted from a dataset is the block that is used to generate the DBLOSUM matrix. Three matrices will be generated in each binary classification test: A self DBLOSUM matrix generated from one class, a nonself DBLOSUM matrix generated from the other class, and a combined DBLOSUM from the whole training set combining the two classes.

Fig. 1 shows the classification model using the DBLOSUM matrices as an affinity function in the Negative Selection Algorithm. Our binary classification model uses the DBLOSUM matrices constructed from the dataset to be classified. The scores in the DBLOSUM matrices are then used as affinity function in the Negative Selection Algorithm. Fig. 2 shows classification processes based on the Negative Selection algorithm and the concept of self/nonself discrimination.

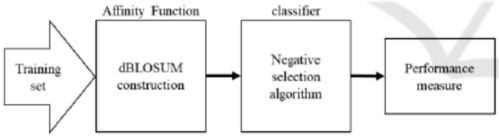

Figure 1: The basic model involved in this study.

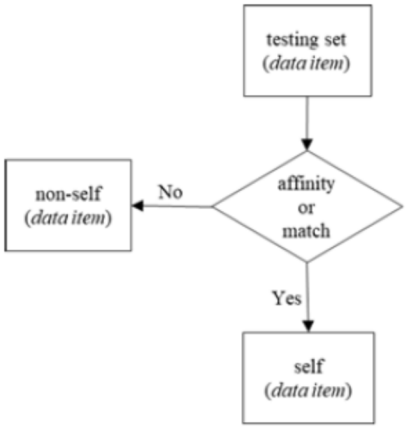

Figure 2: The rule to generate detectors in the Negative Selection Algorithm is used for the classification.

5.1 Learning Process

Our model explores the hidden pattern amongst data in a dataset similar to discovering and exploring the evolutionary distance or divergence between proteins using the scoring scheme matrix.

Each DBLOSUM score value is a key learning point in discovering the relationships between pair values within the training set. The process of discovering the relationships between pair values covers either all pairs in the training set, i.e. 0% similarity and all records are involved in the process or covers pairs values in a block of records within the training set that shares a specific similarity percentage.

The same as the similarity in the original BLOSUM matrix works, the noisier the data is, the more challenging it to discover the hidden patterns. Hence, the lowest similarity or the 0% similarity would be the best to use because it includes all the training set records. In contrast, high DBLOSUM similarity can be used with less noisy data; nevertheless, increasing the similarity percentages may be hindered by the possibilities of lacking paired values, leading to unpaired matrices. Missing scores are treated the same as missing data items; all are set to zero.

The learning process finishes after exploring the relationships between all pairs of values in the selected block and calculate the associated scores that define the relationships between each pair of values in the selected block.

5.2 The Classification Process

The generated DBLOSUM is used in the classification process. Seed values - usually from the last row in the testing set- are paired with their corresponding features values in the first row of the testing set, then a look-up process finds the relevant score of the paired value from the DBLOSUM matrix. The DBLOSUM score tells whether the pair values are self or nonself (see Fig. 2) - or in other words, the pair values belong to the same class or not. Values in the first row then become seed values for their corresponding features in the second row, and the process continues in pairing corresponding values row by row.

So, to classify a record $B = b_1, b_2, \ldots, b_n$, we use the seed values that make the previous raw, say record $A = a_1, a_2, \ldots, a_n$. The pairs (a_i,b_i) are passed into the Negative Selection Algorithm using their calculated DBLOSUM score $s(a_i,b_i)$ as matching function. If the DBLOSUM scores$(a_i,b_i) \geq$ threshold

then mark b_i a self data item, i.e. the value b_i belong to the same class as a_i, otherwise b_i is marked a nonself value or in other words, b_i belong to the other class in the dataset.

Finally, the record B is classified based on the following rule:

if

$$\sum_{i=1}^{n} b_i \geq threshold$$

then

B = self record; A and B are in the same class.

else

B = nonself record; A and B are not in the same class.

6 THE EXPERIMENTS

The proposed classification model in Fig. 1 has been implemented using the DBLOSUM matrices as an affinity function in the Negative Selection Algorithm (NS). As Fig. 2 shows, no detectors were generated in all experiments; instead, we have used the rules of generating the detectors directly in the classification process.

We have used three datasets to test our classification model, including the Wisconsin Breast cancer dataset, Pima Indians Diabetes Database, and Adult income dataset.

The data in each dataset has been thoroughly studied, and basic statistics have been calculated before running the experiments. Nevertheless, we did not include any of the basic statistics in the experiments. Real values were used in the classification process.

We have divided the dataset under classification into two sets, training and testing sets in each experiment. The training set in each experiment is no more than two-thirds of the total records in the dataset. Missing values were treated as a zero.

We construct the self-DBLOSUM, nonself-DBLOSUM, and combined DBLOSUM matrices using the same training set as explained in section 4.4. Missing scores are treated as a zero.

Implementing the classification as detailed in 5.2, we have found that the DBLOSUM score supplies enough information to verify whether a pair of data items taken from the same feature in a dataset belongs to the same class or not. We then completed the classifications using the rule in section 5.2.

7 RESULTS

To describe the performance of the proposed model, we used the confusion matrix. As shown below, true-positive, true-negative, false-positive, and false-negative counts are represented by TP, TN, FP, and FN. To test the true-positive rate and the true-negative rate, we use the sensitivity and specificity calculated by (5) and (6), respectively.

$$sensitivity = \frac{TP}{TP + TN} \quad (5)$$

$$specificity = \frac{TN}{TN + FP} \quad (6)$$

We have also determined the effectiveness of the proposed model by using the most common empirical measure, accuracy, by using (7):

$$accuracy = \frac{TP + TN}{TP + TN + FP + FN} \quad (7)$$

Equation (8) is used to calculate precision, and to test the obtained accuracy, we have used the F-measure calculated in (9).

$$precision = \frac{TP}{TP + FP} \quad (8)$$

$$F1 = 2 \times \frac{precision \times sensitivity}{precision + sensitivity} \quad (9)$$

We have run experiments on three -different-datasets from different domains. Table 1 shows a brief description of each dataset.

Table 1: Datasets details.

Dataset	Number of instances	Involved attributes	Characteristics	Missing values	Classification	
					self	Nonself
Breast cancer	699	9	Multivariate. Integers	Yes	Benign	Malignant
Pima Indians diabetes	768	8	Multivariate. Integers, real	Yes	negative	positive
Adult Income	48,842	14	Multivariate. Integers, categorical	Yes	<= 50K	> 50K

The following sections detail the obtained results in each dataset.

7.1 Wisconsin Breast Cancer Dataset

The Wisconsin Breast Cancer dataset (Mangasarian and Wolberg, 1990) (Mangasarian et al., 1990) has two classes: benign and malignant. There are 699 instances in it, 65.5% is Benign (self class), and 34.5% is Malignant (nonself class).

The block of the training set is made of 10% similarity. The DBLOSUM matrix used is the Self-DBLOSUM matrix.

With the default threshold value t = 0, the accuracy obtained is 97.14%. Table 2 shows the performance evaluation metrics among the classifiers. Fig. 3 shows a comparison based on the accuracy, sensitivity, and specificity of these different classifiers against our proposed model.

According to (Ed-daoudy and Maalmi, 2020), an average accuracy of 94.85% was obtained for Decision Tree (DT) (J48), 96.42% for Random Forest (RF), 96.85% for Logistic Regression (LG), 97.42% for Bayes Net (BN), 97.00% for SVM, and 95.57% for Multilayer Perceptron (ANN).

Table 2: Breast cancer performances evaluation metrics.

Classifiers	Accuracy (%)	Precision (%)	Sensitivity (%)	Specificity (%)	F1 score
BN	97.42	99.33	96.72	98.75	98.01
NS-DBLOSUM	97.14	96.45	99.27	93.12	97.84
SVM	97.00	98.02	97.38	96.26	97.70
DT(J48)	94.85	96.89	95.20	94.19	96.04
LG	96.85	97.60	97.60	95.43	97.60
RF	96.42	98.00	96.51	96.26	97.25
ANN	95.57	96.72	96.51	93.77	96.61

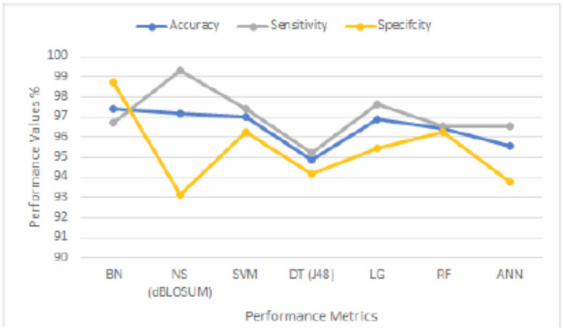

Figure 3: Accuracy, sensitivity, and specificity for the Breast cancer dataset.

7.2 Pima Indians Diabetes Dataset

The diabetes dataset has two classes, which represents those who are tested negative and positive. The tested negative class (self class) is 65.1%, and the tested positive class (nonself class) is 34.9%.

The block of the training set is made of 0% similarity. Each class is represented with 50%, records in the block were selected randomly. The DBLOSUM matrix used is the combined DBLOSUM generated from the training set.

With the default threshold value t = 0. The accuracy obtained is 94.10%. Table 3 shows the performance evaluation metrics among other classifiers. Fig. 4 shows a comparison based on the accuracy, sensitivity, and specificity of these different classifiers against our proposed model.

7.3 Adult Income Dataset

The Adult Income dataset, which can be found in the University of California Irvine (UCI) Machine Learning Repository (Dua and Graff, 2019), includes data on 48,842 different instances spanning 14 different attributes. Among them are six continuous and eight categorical attributes. The Adult Income dataset covers the information of individuals from 42 different nations. The collected data include the person's age, working-class, education, marital status, occupation, relationship within a family, race, gender, capital gain and loss, the number of hours worked per week and the person's native country. Based on the given set of attributes in the Adult Income dataset, the

Table 3: Pima Indians diabetes performances evaluation metrics.

Classifiers	Accuracy (%)	Precision (%)	Sensitivity (%)	Specificity (%)	F1 score
DL	98.07	95.22	96.99	99.29	96.81
NS-DBLOSUM	94.10	92.81	100.00	75.31	96.27
DT	96.62	94.02	94.74	97.86	94.72
ANN	90.34	88.05	85.58	91.43	85.98
BN	76.33	59.07	62.11	84.29	61.67

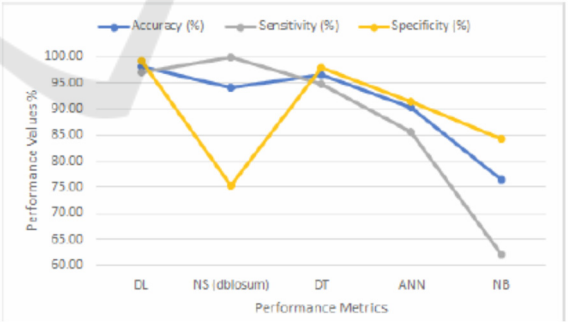

Figure 4: Accuracy, sensitivity, and specificity for the Pima Indians diabetes dataset.

class attribute indicates whether a person earns more than 50 thousand Dollars per year or not.
The Adult income dataset has imbalanced data. It has 76% for those who earn ≤ 50K and 24% for those who earn > 50K. To reduce the bias resulting from the imbalance between the two classes, the records in the training set block we used consists of 50% from each class; records were selected randomly. The proposed model has achieved 87.69% accuracy using both self and the nonself DBLOSUM matrices with 0% similarity. Table 4 shows the classification results of the proposed model against previously obtained results reported in (Chakrabarty and Biswas, 2018), including the Hyper-Parameter-Tuned Gradient Boosting Classifier (HPTGBC), the PCA with Support Vector Machine (PCA+SVM), the Gradient Boosting Classifier (GBC), and the XGBOOST classifier. Fig. 5 shows the proposed model's accuracy, sensitivity, and specificity against these classifiers.

Table 4: Adult income performances evaluation metrics.

Classifiers	Accuracy (%)	Precision (%)	Sensitivity (%)	Specificity (%)	F1 score
HPTGBC	88.16	88.00	88.00	X	88.00
NS-DBLOSUM	87.69	95.13	91.12	53.62	93.00
PCA+SVM	84.92	x	x	x	x
GBC	86.92	x	x	x	x
XGBOOST	87.53	x	x	x	x

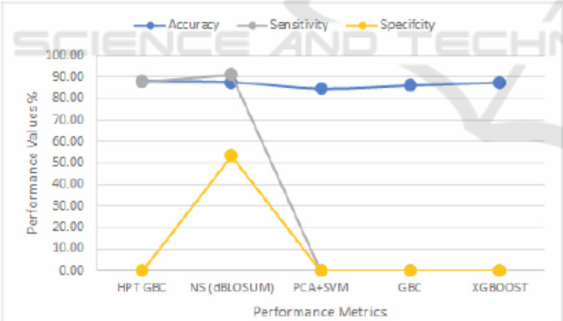

Figure 5: Accuracy, sensitivity, and specificity for the Adult income dataset.

8 DISCUSSION

From Fig. 3, it is clear that the DBLOSUM model has achieved the highest sensitivity (99.27%) compared to BN (96.72%), SVM (97.38%), DT(J48) (95.20%), LG (97.60%), RF (96.51%), and ANN (96.51%) classifiers with the breast cancer dataset. The high sensitivity of the DBLOSUM model helps correctly diagnose malignancy in the breast cancer dataset compared to all other classifiers. Moreover, the model's accuracy of 97.14% comes second after the BN accuracy (97.42%) – see Table 2; this puts its accuracy ahead of the other five classifiers with this dataset. However, when using the breast cancer dataset, we find that the DBLOSUM model has the lowest specificity (93.12%) compared to the other classifiers using the same dataset; this increases the rate of false positives of the model compared to the other classifiers using the dataset. Similarly, when using the diabetes dataset and as shown in Fig. 4, the DBLOSUM model manages a sensitivity of 100% against the DL (96.99%), DT (94.74%), ANN (85.58%), and BN (62.11%) classifiers – see Table 3. The sensitivity achieved by the model in the diabetes dataset means that it is the best classifier to correctly diagnose diabetes. Again, the model has the lowest specificity on the diabetes dataset. However, it maintains a good accuracy of 94.10% among the classifiers using this dataset. The DBLOSUM model achieved an accuracy of 87.69% using the adult income dataset, just below the HPTGBC classifier (88.16%); this is better than the accuracy obtained by XGBOOST (87.53%), GBC (86.29%), and the PCA+SVM (84.92%) classifiers using the same dataset. The model again delivers the highest sensitivity of 91.12% against the sensitivity of the HPTGBC classifier (88.00%).

9 CONCLUSIONS

In this paper, the performance of the Negative Selection classifier was tested using the DBLOSUM as an affinity function in three different datasets; Wisconsin Breast Cancer, Pima Indians Diabetes and Adult Income datasets.

The obtained results were compared to six different classifiers that have previously used the Wisconsin Breast Cancer dataset. The model achieved the highest sensitivity of 99.27% compared to all other classifiers. The best accuracy obtained was 97.14%, second after the BN accuracy (97.42%) and higher than SVM (94.00%), DT(J48) (94.85%), LG (96.85%), RF (96.42%), and ANN (95.57%).

The same method was also compared against four classifiers that have previously used the Pima Indians Diabetes dataset, with a 100.00% sensitivity, the model's best accuracy was 94.10%. The obtained accuracy is the third after the DL (98.07%) and DT (96.62%) classifiers and higher than the ANN (90.34%) and BN (76.33%) classifiers.

The performance was also tested against another four classifiers that have previously used the Adult Income dataset. The best accuracy obtained was

87.69%, the second-best accuracy after the HPTGBC classifier (88.16%).

The models' accuracy is better than the PCA+SVM (84.92%), GBC (86.92%), and XGBOOST (87.53%).

The DBLOSUM in Negative Selection classifier appears to be best suited to classification tasks where false negatives pose a major risk, such as in medical screening and diagnosis. It is more likely than most techniques to result in false positives, but it is as accurate, if not more accurate than most other techniques.

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