

# Potential CPT Violation in the Early Universe and Matter-Antimatter Asymmetry in the Cosmos

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22 Δεκεμβρίου, 2017 / ΕΚΠΑ

Ημερίδα προς τιμήν του  
Φωκίωνα Χατζηιωαννου

1837  
2017  
YEARS



# Θεωρητική Φυσική στο “Σπουδαστήριο” 1982-83

**My personal experience:**

**Exposed to Discrete Symmetries C**(harge sonjugation),  
**P**(arity = spatial reflexion) and **T**(ime reversal)  
and **CPT theorem** from relativistic quantum field theory books, like  
**Bjorken and Drell** and others

**Influenced my future research**, and I came back to this by looking at  
**potential violations of the CPT theorem** in microscopic models of  
**(quantum) gravity** and string theory  
and related phenomenology

**I learnt quantum mechanics** in my third year from **Prof. Hadjioannou**,  
and I did get to know, through spoudasthrio and courses given there,  
my friends and collaborators **G. Diamandis & V.G. Georgalas**, from  
whom, like **Prof. Hadjioannou** I learned a lot.

# Θεωρητική Φυσική στο ``Σπουδαστήριο'' 1982-83

I would also like to mention that I did my BSc Thesis with the late Prof. Ktorides, from whom I also learnt a lot on quantum field theory but the strong influence of what Prof, Hadjioannou taught me has followed me in my subsequent years. I have always considered myself as one of his students, respected him a lot, and I expressed to him many times my gratitude; he knows, I hope, how much I like him and respect him as a scientist and person and I feel particularly honoured and happy today that I can express my gratitude publically on this pleasant occasion!

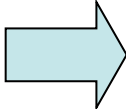
**Thank you Prof. Hadjioannou for what you taught me and the ``ethos'' you TRANSMITTED TO ME  
I am truly grateful for this, and wish you the best !!**

# OUTLINE of TALK

- I. Motivation** – background information on matter-antimatter asymmetry in Standard Model  
→ go beyond to reproduce observed baryon asymmetry....
- II. why CPT Violation (CPTV) in early Universe?**
- III. A string-inspired model with spontaneous CPT Violation in the early universe due to Kalb-Ramond axions** → matter-antimatter asymmetry: from early epochs to present day
- IV. Conclusions-Outlook**

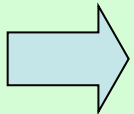
**Part I**  
**Motivation:**  
**Matter-Antimatter**  
**Asymmetry**  
**in**  
**the Standard Model**

# STANDARD MODEL INCOMPATIBLE WITH BARYOGENESIS

- Matter-Antimatter asymmetry in the Universe  Violation of Baryon # (B), C & CP
- Tiny CP violation ( $O(10^{-3})$ ) in Labs: e.g.  $K^0 \bar{K}^0$
- But Universe consists only of matter

$$\frac{n_B - \bar{n}_B}{n_B + \bar{n}_B} \sim \frac{n_B - \bar{n}_B}{s} = (8.4 - 8.9) \times 10^{-11} \quad T > 1 \text{ GeV}$$

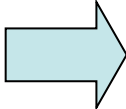
**Sakharov** : Non-equilibrium physics of early Universe, **B, C, CP violation**



$$n_B - \bar{n}_B$$

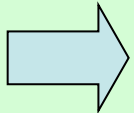
but **not quantitatively in SM**, still a mystery

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# Sakharov's Conditions for Matter/Antimatter Asymmetry in the Universe

C=charge conjugation  
P = spatial reflexion  $\vec{x} \rightarrow -\vec{x}$

$$X \xrightarrow{\leftarrow} \ell + \dots$$

$\bar{A}$  = antiparticle  $CP : \bar{X} \xrightarrow{\leftarrow} \bar{\ell} + (\dots)$

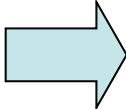
Rates  $\Gamma \neq \bar{\Gamma}$

(i) **Out of Equilibrium Lepton Asymmetry (Leptogenesis)**  $\rightarrow$  Baryon Asymmetry via  
B-L conserving (SM) processes

(ii) **Directly generated out of equilibrium Baryogenesis**



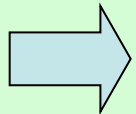
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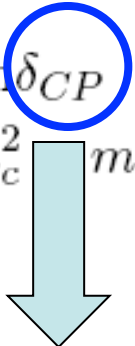


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Assume  
**CPT**  
invariance

Within the Standard Model, lowest CP Violating structures

$$d_{CP} = \sin(\theta_{12})\sin(\theta_{23})\sin(\theta_{13})\sin\delta_{CP} \cdot (m_t^2 - m_c^2)(m_t^2 - m_u^2)(m_c^2 - m_u^2)(m_b^2 - m_s^2)(m_b^2 - m_d^2)(m_s^2 - m_d^2)$$


Rubakov, Kuzmin, Shaposhnikov,  
Gavela, Hernandez, Orloff, Pene

Kobayashi-Maskawa CP Violating phase

Shaposhnikov

$$D = \text{Im Tr} [\mathcal{M}_u^2 \mathcal{M}_d^2 \mathcal{M}_u \mathcal{M}_d]$$

$$\delta_{KM}^{CP} \sim \frac{D}{T_{12}} \sim 10^{-20} \ll \frac{n_B - \bar{n}_B}{n_B + \bar{n}_B} \sim \frac{n_B - \bar{n}_B}{s} = (8.4 - 8.9) \times 10^{-11}$$

$$T \simeq T_{\text{sph}}$$

$$T_{\text{sph}}(m_H) \in [130, 190] \text{ GeV}$$



**This CP Violation  
Cannot be the  
Source of Baryon  
Asymmetry in  
The Universe**

# Beyond the Standard Model

- Several Ideas to go beyond the SM (e.g. GUT models, Supersymmetry, extra dimensional models etc.) - to find **EXTRA SOURCES OF CP VIOLATION** within **CPT invariant** effective field theories

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- **THIS TALK: TRY EXOTIC SCENARIOS** WITH (SIMPLIFIED) MODELS OF **CPT VIOLATION IN EARLY UNIVERSE ?**  
Consistency with stringent current constraints must be ensured

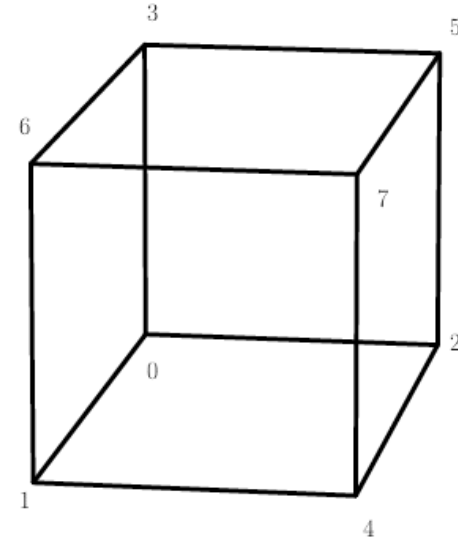
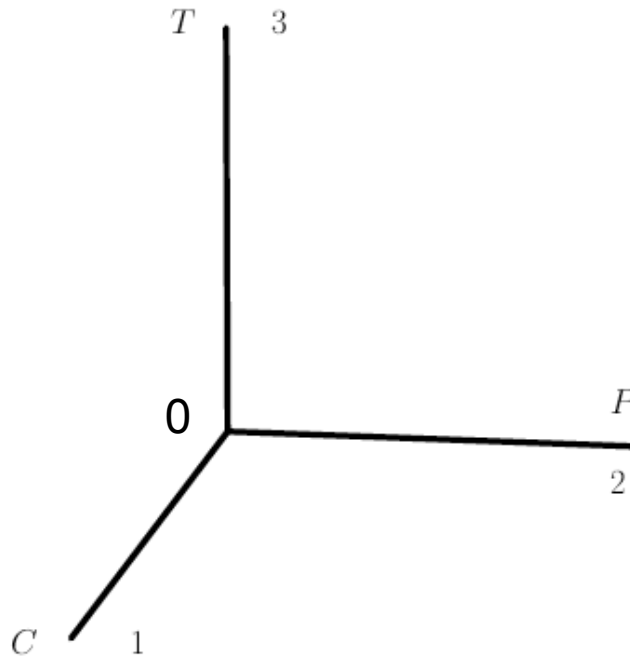


**Part II**  
**CPT Violation**  
**THEORY**

# C, P, T are Broken. Why Not CPT?



Lev Okun hep-ph/0210052v1



**CPT mnemonic cube**

Point 0: C even, P even, T even  $\rightarrow$  CP, PT, TC, CPT even

1: C odd, P even, T even,  $\rightarrow$  CP odd, PT even, CT odd, CPT odd

2: C even, P odd, T even  $\rightarrow$  CP odd, PT odd, CT odd, CPT odd

3: C even, P even, T odd  $\rightarrow$  CP even, PT odd, CT odd, CPT odd

4: C odd, P odd, T even  $\rightarrow$  CP even, PT odd, CT odd, CPT even

*etc*

**Mnemonic cube rule: (C, P, T) : + (-) even (odd)**

0(+,+,+), 1(-,+,+), 2(+,-,+), 3(+,+,-), 4(-,-,+), 5(+,-,-), 6(-,+,-), 7(-,-,-)

# CPT Theorem



**Schwinger 1951**



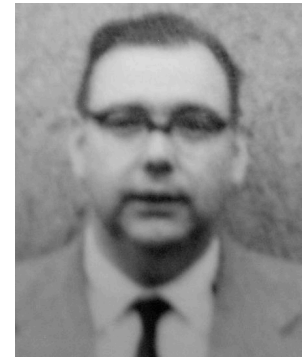
**Lüders 1954**



**J S Bell 1954**



**Pauli 1955**



**Res Jost 1958**

# CPT Theorem

## Conditions for the Validity of CPT Theorem

$$P : \vec{x} \rightarrow -\vec{x}, \quad T : t \rightarrow -t(T), \quad C\psi(q_i) = \psi(-q_i)$$

$i \rightarrow f, T: f \rightarrow i$

**CPT Invariance Theorem :**  
**A quantum field theory lagrangian is invariant under CPT if it satisfies**

- (i) Flat space-times
- (ii) Lorentz invariance
- (iii) Locality
- (iv) Unitarity

**Schwinger, Pauli,  
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e.g. for (Dirac) fermions:

$$P: \psi(t, \vec{x}) \rightarrow e^{i\delta} \gamma^0 \psi(t, -\vec{x})$$
$$T: \psi_T(t, \vec{x}) = i\gamma^1 \gamma^3 \psi^*(-t, \vec{x})$$
$$C: \psi_C(t, \vec{x}) = i\gamma^2 \gamma^0 \overline{\psi}^T(t, \vec{x})$$

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**Schwinger, Pauli, Luders, Jost, Bell revisited by:**  
Greenberg,  
Chaichian, Dolgov,  
Novikov, Fujikawa,  
Tureanu ...

**(ii)-(iv) Independent reasons for violation**

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**(ii)-(iii) CPT  $V$  well-defined as Operator  $\Theta$  does not commute with Hamiltonian**  
 **$[\Theta, H] \neq 0$**

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**Barenboim, Borissov, Lykken**  
**PHENOMENOLOGICAL**  
models with non-local  
mass parameters

***(ii)-(iv) Independent reasons for violation***

$$S = \int d^4x \bar{\psi}(x) i \not{\partial} \psi(x) + \frac{im}{\pi} \int d^3x \int dt dt' \bar{\psi}(t, \mathbf{x}) \frac{1}{t - t'} \psi(t', \mathbf{x}).$$

# CPT VIOLATION

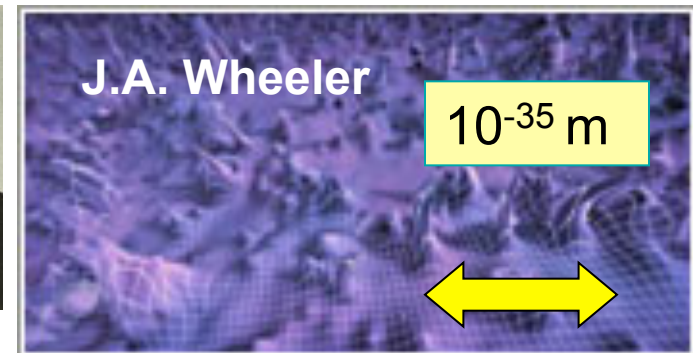
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e.g. **QUANTUM SPACE-TIME  
FOAM AT PLANCK SCALES**



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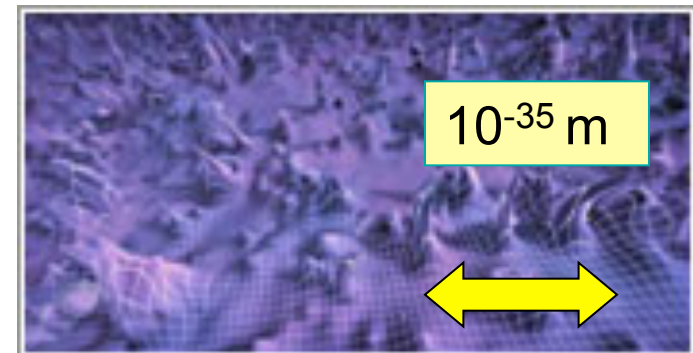
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Hawking,  
Ellis, Hagelin, Nanopoulos  
Srednicki,  
Banks, Peskin, Strominger,  
Lopez, NEM, Barenboim...

## **(ii)-(iv) Independent reasons for violation**

QUANTUM GRAVITY INDUCED DECOHERENCE  
EVOLUTION OF PURE QM STATES TO MIXED  
AT LOW ENERGIES



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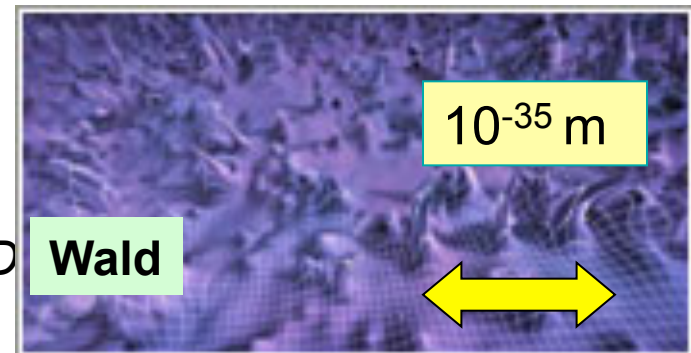
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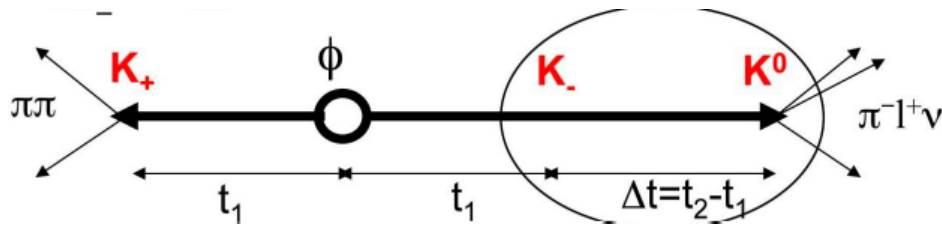
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LOW ENERGY **CPT** OPERATOR **NOT** WELL DEFINED



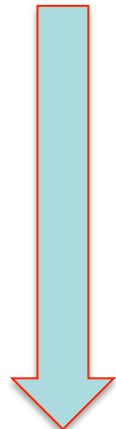


May contaminate initially antisymmetric neutral meson  $M$  state by symmetric parts ( $\omega$ -effect)

**CPT Invariance Theorem :**

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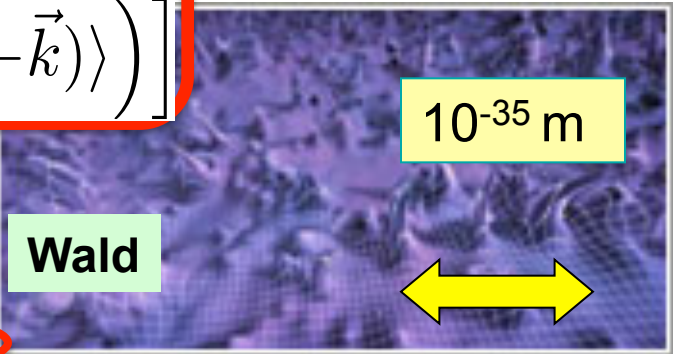
Bernabeu, NEM, Papavassiliou (04),...



$$|i\rangle = \mathcal{N} \left[ |M_0(\vec{k})\rangle |\bar{M}_0(-\vec{k})\rangle - |\bar{M}_0(\vec{k})\rangle |M_0(-\vec{k})\rangle \right] \omega = |\omega| e^{i\vartheta}$$

$$+ \omega \left( |M_0(\vec{k})\rangle |\bar{M}_0(-\vec{k})\rangle + |\bar{M}_0(\vec{k})\rangle |M_0(-\vec{k})\rangle \right)$$

LOW ENERGY **CPT** OPERATOR **NOT** WELL DEFINED



cf.  $\omega$ -effect in EPR entanglement



# *CPT VIOLATION*

## *Conditions for the Validity of CPT Theorem*

**This Talk**

### *CPT Invariance Theorem :*

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**Kostelecky, Bluhm, Colladay,  
Potting, Russell, Lehnert, Mewes,  
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Standard Model Extension (SME)**

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$$\mathcal{L} \ni \dots + \bar{\psi}^f \left( i\gamma^\mu \nabla_\mu - m_f \right) \psi^f + a_\mu \bar{\psi}^f \gamma^\mu \psi^f + b_\mu \bar{\psi}^f \gamma^\mu \gamma^5 \psi^f + \dots$$

$$[\Theta, H] \neq 0$$

Lorentz & CPT  
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**Standard Model Extension (SME)**

**This Talk**  
**Cosmological Implications**  
**CPTV & dominance of matter over antimatter in the Universe (Baryo-Leptogenesis)**

### Independent reasons for violation

$$i\gamma^\mu \nabla_\mu - m_f) \psi^f + a_\mu \bar{\psi}^f \gamma^\mu \psi^f + b_\mu \bar{\psi}^f \gamma^\mu \gamma^5 \psi^f + \dots$$

↙  
**Lorentz & CPT Violation**

↘  
**Lorentz & CPT Violation**

**Simplest** ideas on  
**CPT Violation (CPTV)**  
**do not** work for  
**Baryogenesis**



# CPT VIOLATION IN THE EARLY UNIVERSE

GENERATE Baryon and/or Lepton ASYMMETRY  
through **CPT Violation**

Assume CPT Violation was  
**strong** in the Early Universe

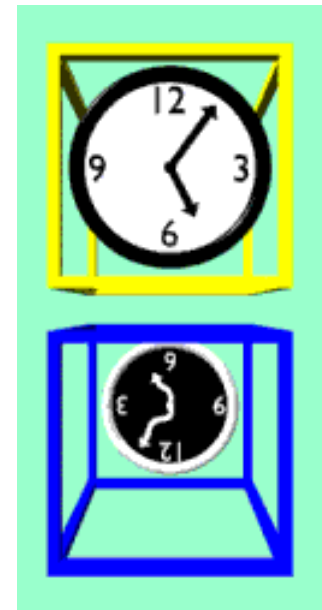
**ONE POSSIBILITY:**  
particle-antiparticle mass differences

$$[\Theta, H] \neq 0 \quad \longrightarrow \quad m \neq \bar{m}$$

$$0 \neq H\Theta|m\rangle - \Theta H|m\rangle = H\Theta|m\rangle - m\Theta|m\rangle$$

[physics.indiana.edu](http://physics.indiana.edu)

(  $|m\rangle$  = mass eigenstate  
 $\Theta|m\rangle$  = antimatter state )



**Equilibrium Distributions different between particle-antiparticles**  
***Can these create the observed matter-antimatter asymmetry?***

$$f(E, \mu) = \frac{1}{\exp[(E - \mu)/T] \pm 1} \quad m \neq \bar{m}$$
$$\delta m = m - \bar{m}$$

$$\delta n \equiv n - \bar{n} = g_{df} \int \frac{d^3p}{(2\pi)^3} [f(E, \mu) - f(\bar{E}, \bar{\mu})]$$

$$E = \sqrt{p^2 + m^2}, \bar{E} = \sqrt{p^2 + \bar{m}^2}$$

Dolgov, Zeldovich  
Dolgov (2009)

***Assume dominant contributions to Baryon asymmetry from quarks-antiquarks***

$$m(T) \sim gT$$



High-T quark mass >> Lepton mass

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*Assuming dominant contributions to Baryon asymmetry from quarks-antiquarks*

$$\beta_T = \frac{n_B}{n_\gamma} = -8.4 \cdot 10^{-3} (18m_u \delta m_u + 15m_d \delta m_d) / T^2$$

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$$n_\gamma = 0.24T^3 \quad \text{photon equilibrium density at temperature } T$$

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$$n_\gamma = 0.24T^3$$

Dolgov (2009)

Current bound  
for proton-anti  
proton mass diff.

$$\delta m_p < 8 \times 10^{-10} m_e$$

ASACUSA Coll. (2016)

$$\delta m_p < 7 \cdot 10^{-10} \text{ GeV}$$

ASACUSA Coll. (2011)

Reasonable to take:

$$\delta m_q \sim \delta m_p$$



**Too small**  
 $\beta^{T=0}$

**NB:** To reproduce  
the observed

$$\beta^{(T=0)} = 6 \cdot 10^{-10} \quad \text{need}$$

$$\delta m_q(T = 100 \text{ GeV}) \sim 10^{-5} - 10^{-6} \text{ GeV} \gg \delta m_p$$



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**CPT Violating quark-antiquark Mass difference  
alone CANNOT REPRODUCE observed BAU**



**But**  
**CPT Violation (CPTV)**  
**is associated**  
**with many more**  
**effects & parameters**  
**to explore**  
**in connection to**  
**Baryogenesis...**



# STANDARD MODEL EXTENSION

Kostelecky *et al.*

$$\mathcal{L} = \frac{1}{2} i \bar{\psi} \Gamma^\nu \partial_\nu \psi - \bar{\psi} M \psi, \quad M \equiv m + a_\mu \gamma^\mu + b_\mu \gamma_5 \gamma^\mu + \frac{1}{2} H^{\mu\nu} \sigma_{\mu\nu}$$

$$\Gamma^\nu \equiv \gamma^\nu + c^{\mu\nu} \gamma_\mu + d^{\mu\nu} \gamma_5 \gamma_\mu + e^\nu + i f^\nu \gamma_5 + \frac{1}{2} g^{\lambda\mu\nu} \sigma_{\lambda\mu}$$

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**LV & CPTV**

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**SUPERGRAVITY induced effects  
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**SUPERGRAVITY induced effects  
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# Gravitational Baryogenesis

Davoudiasl, Kitano, Kribs,  
Murayama, Steinhardt

**Quantum Gravity (or something else (e.g. SUGRA))** may lead at low-energies (below Plnack scale or a scale  $M_*$ ) to a term in the effective Lagrangian (in curved back space-time backgrounds):

$$J^\mu = \bar{\psi}_i \gamma^\mu \psi_i$$

$$\frac{1}{M_*^2} \int d^4x \sqrt{-g} (\partial_\mu \mathcal{R}) J^\mu$$

Standard Model extension type

Term Violates CP but is CPT conserving *in vacuo*  
It **Violates CPT** in the background space-time of an **expanding FRW Universe**



$$\dot{\mathcal{R}} = -(1 - 3w) \frac{\dot{\rho}}{M_P^2} = \sqrt{3} (1 - 3w)(1 + w) \frac{\rho^{3/2}}{M_P^3}$$

Energy differences between particle vs antiparticles  $\pm \dot{\mathcal{R}}/M_*^2$ : **Dynamical CPTV**

**Baryon Asymmetry**  $\frac{n_B}{s} \approx \left. \frac{\dot{\mathcal{R}}}{M_*^2 T} \right|_{T_D}$

Calculate for various  $w$  in some scenarios

@  $T < T_D$  ,  
 $T_D = \text{Decoupling T}$



# Microscopic Origin of SME coefficients?

Several "Geometry-induced" examples:

**LV &  
CPTV**



Non-Commutative Geometries **LV only**

Axisymmetric Background

Geometries of the Early Universe

Torsionful Geometries (including strings...)

Early Universe T-dependent effects:  
Large @ high T, low values today  
for coefficients of SME

# Microscopic Origin of SME coefficients?

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# STANDARD MODEL EXTENSION

Kostelecky *et al.*

$$\mathcal{L} = \frac{1}{2} i \bar{\psi} \Gamma^\nu \partial_\nu \psi - \bar{\psi} M \psi, \quad M \equiv m + a_\mu \gamma^\mu + b_\mu \gamma_5 \gamma^\mu + \frac{1}{2} H^{\mu\nu} \sigma_{\mu\nu}$$

**LV & CPTV**

$$\Gamma^\nu \equiv \gamma^\nu + c^{\mu\nu} \gamma_\mu + d^{\mu\nu} \gamma_5 \gamma_\mu + e^\nu + i f^\nu \gamma_5 + \frac{1}{2} g^{\lambda\mu\nu} \sigma_{\lambda\mu}$$

# STANDARD MODEL EXTENSION

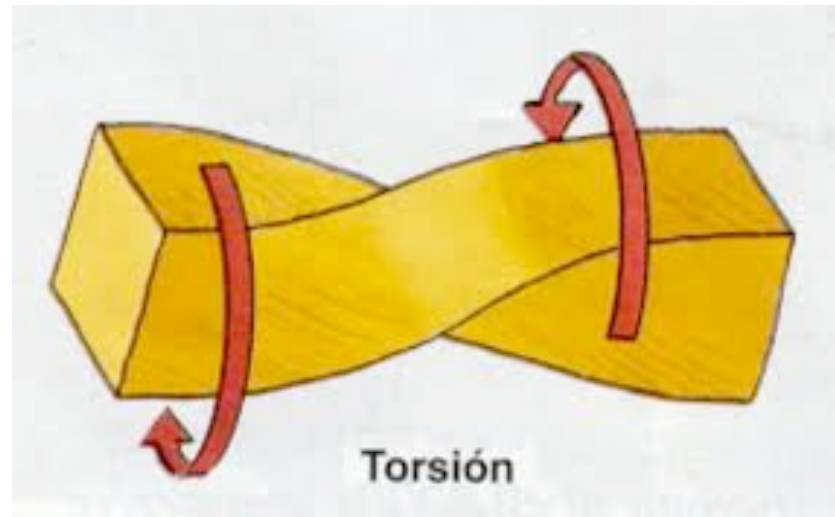
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In particular,  
Space-times with



CPTV Effects of different Space-Time-Curvature/  
Spin couplings between fermions/antifermions

B. Mukhopadhyay, U. Debnath, N. Dadhich, M. Sinha  
Lambiase, Mohanty, NEM, Ellis, Sarkar, deCesare, Bossingham

Dirac Lagrangian (for concreteness, it can be extended to Majorana neutrinos)

$$\mathcal{L} = \sqrt{-g} (i \bar{\psi} \gamma^a D_a \psi - m \bar{\psi} \psi)$$

$$D_a = \left( \partial_a - \frac{i}{4} \omega_{bca} \sigma^{bc} \right),$$

$$g_{\mu\nu} = e_\mu^a \eta_{ab} e_\nu^b$$

$$\omega_{bca} = e_{b\lambda} (\partial_a e_c^\lambda + \Gamma_{\gamma\mu}^\lambda e_c^\gamma e_a^\mu).$$

Gravitational covariant derivative including spin connection

$$\sigma^{ab} = \frac{i}{2} [\gamma^a, \gamma^b]$$

$$\mathcal{L} = \mathcal{L}_f + \mathcal{L}_I = \sqrt{-g} \bar{\psi} [(i \gamma^a \partial_a - m) + \gamma^a \gamma^5 B_a] \psi,$$

$$B^d = \epsilon^{abcd} e_{b\lambda} (\partial_a e_c^\lambda + \Gamma_{\alpha\mu}^\lambda e_c^\alpha e_a^\mu)$$

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**Standard Model Extension**  
**type Lorentz-violating**  
**coupling**  
**(Kostelecky et al.)**



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**X**

$$B^d = \epsilon^{abcd} e_{b\lambda} (\partial_a e_c^\lambda + \Gamma_{\alpha\mu}^\lambda e_c^\alpha e_a^\mu)$$

For homogeneous and isotropic **Friedman-Robertson-Walker** geometries the resulting  $B^\mu$  **vanish**



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**Can be constant** in a given local frame in Early Universe  
**axisymmetric (Bianchi) cosmologies**  
 or **near rotating Black holes**,



Dirac Lagrangian (for concreteness, it

NEM & Sarben Sarkar, EPJ C73 (2013), 2359  
 John Ellis, NEM & Sarkar, PLB275 (2013), 407  
 De Cesare, NEM & Sarkar EPJ C75 (2015), 514  
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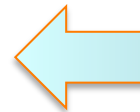
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If **torsion** then  $\Gamma_{\mu\nu} \neq \Gamma_{\nu\mu}$   
**antisymmetric** part is the contorsion tensor, contributes



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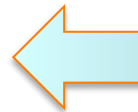
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in string theory models  
 antisymmetric tensor  
 field-strength (H-torsion)  
 cosmological backgrounds lead to  
 constant  $B^0$  in FRW frame



**Part III**  
**CPT Violation**  
**in a String-Inspired**  
**Model of the**  
**Early Universe**

# A non-trivial example of Torsion: String Theories with Antisymmetric Tensor Backgrounds

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Massless Gravitational multiplet of (closed) strings: **spin 0 scalar (dilaton)**

**spin 2 traceless symmetric rank 2 tensor (graviton)**

**spin 1 antisymmetric rank 2 tensor**

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Massless Gravitational multiplet of (closed) strings: **spin 0 scalar (dilaton)**

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**KALB-RAMOND FIELD**  $B_{\mu\nu} = -B_{\nu\mu}$

Effective field theories (low energy scale  $E \ll M_s$ ) “**gauge**” invariant

$$B_{\mu\nu} \rightarrow B_{\mu\nu} + \partial_{[\mu}\theta(x)_{\nu]}$$

Depend only on field strength :  $H_{\mu\nu\rho} = \partial_{[\mu}B_{\nu\rho]}$

**Bianchi identity :**

$$\partial_{[\sigma}H_{\mu\nu\rho]} = 0 \rightarrow d \star \mathbf{H} = 0$$

# ROLE OF H-FIELD AS TORSION

EFFECTIVE GRAVITATIONAL ACTION IN STRING LOW-ENERGY LIMIT

4-DIM  
PART

$$\begin{aligned} S^{(4)} &= \int d^4x \sqrt{-g} \left( \frac{1}{2\kappa^2} R - \frac{1}{6} H_{\mu\nu\rho} H^{\mu\nu\rho} \right) \\ &= \int d^4x \sqrt{-g} \left( \frac{1}{2\kappa^2} \bar{R} \right) \end{aligned}$$

$$\bar{\Gamma}_{\nu\rho}^{\mu} = \Gamma_{\nu\rho}^{\mu} + \frac{\kappa}{\sqrt{3}} H_{\nu\rho}^{\mu} \neq \bar{\Gamma}_{\rho\nu}^{\mu}$$

**Contorsion**

# ROLE OF H-FIELD AS TORSION – AXION FIELD

EFFECTIVE GRAVITATIONAL ACTION IN STRING LOW-ENERGY LIMIT

4-DIM  
PART

$$S^{(4)} = \int d^4x \sqrt{-g} \left( \frac{1}{2\kappa^2} R - \frac{1}{6} H_{\mu\nu\rho} H^{\mu\nu\rho} \right)$$
$$= \int d^4x \sqrt{-g} \left( \frac{1}{2\kappa^2} \bar{R} \right)$$

$$\sim \frac{1}{2} \partial^\mu b \partial_\mu b$$

$$\kappa^2 = 8\pi G$$

$$\bar{\Gamma}_{\nu\rho}^\mu = \Gamma_{\nu\rho}^\mu + \frac{\kappa}{\sqrt{3}} H_{\nu\rho}^\mu \neq \bar{\Gamma}_{\rho\nu}^\mu$$

IN 4-DIM DEFINE DUAL OF H AS :

$$-3 \sqrt{2} \partial_\sigma b = \sqrt{-g} \epsilon_{\mu\nu\rho\sigma} H^{\mu\nu\rho}$$

$b(x)$  = Pseudoscalar  
(Kalb-Ramond (KR) axion)



## FERMIONS COUPLE TO H-TORSION VIA GRAVITATIONAL COVARIANT DERIVATIVE

$$S_\psi = \frac{i}{2} \int d^4x \sqrt{-g} \left( \bar{\psi} \gamma^\mu \bar{\mathcal{D}}_\mu \psi - (\bar{\mathcal{D}}_\mu \bar{\psi}) \gamma^\mu \psi \right)$$

TORSIONFUL CONNECTION, FIRST-ORDER FORMALISM

$$\bar{\mathcal{D}}_a = \partial_a - \frac{i}{4} \bar{\omega}_{bc a} \sigma^{bc}$$

$$\bar{\omega}_{ab\mu} = \omega_{ab\mu} + K_{ab\mu}$$

**contorsion**

$$K_{abc} = \frac{1}{2} \left( T_{cab} - T_{abc} - T_{bca} \right)$$

$$H_{cab}$$

Non-trivial contributions to  $\mathbf{B}^\mu$

$$B^d = \epsilon^{abcd} e_{b\lambda} \left( \partial_a e_c^\lambda + \Gamma_{\alpha\mu}^\lambda e_c^\alpha e_a^\mu \right)$$

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**contorsion**

↑

$$B^d \sim \epsilon^{abcd} H_{bca}$$

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↓

$$H_{cab}$$

↑

Non-trivial contributions to  $B^\mu$

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$$B^d = \epsilon^{abcd} e_{b\lambda} \left( \partial_a e_c^\lambda + \Gamma_{\alpha\mu}^\lambda e_c^\alpha e_a^\mu \right)$$

When  $db/dt = \text{constant} \rightarrow$  Torsion is constant

Covariant Torsion tensor

$$\bar{\Gamma}^{\lambda}_{\mu\nu} = \Gamma^{\lambda}_{\mu\nu} + e^{-2\Phi} H^{\lambda}_{\mu\nu} \equiv \Gamma^{\lambda}_{\mu\nu} + T^{\lambda}_{\mu\nu}$$

$$T_{ijk} \sim \epsilon_{ijk} \dot{b}$$

Constant



constant  $\mathbf{B}^0$

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Antoniadis, Bachas,  
Ellis, Nanopoulos

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constant  $B^0$

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In string theory a constant  $\mathbf{B}^0$  background is guaranteed by exact conformal Field theory with linear in FRW time  $b = (\text{const}) t$

Antoniadis, Bachas, Ellis, Nanopoulos

## Strings in Cosmological backgrounds

$$ds^2 = g_{\mu\nu}^E(x) dx^\mu dx^\nu = dt^2 - a(t)^2 \delta_{ij} dx^i dx^j$$

$$a(t) = t$$

$$\Phi = -\ln a(t) + \phi_0$$

$$H_{\mu\nu\rho} = e^{2\Phi} \epsilon_{\mu\nu\rho\sigma} \partial^\sigma b(x)$$

$$b(x) = \sqrt{2} e^{-\phi_0} \sqrt{Q^2} \frac{M_s}{\sqrt{n}} t$$

Central charge of underlying world-sheet conformal field theory  $n \in \mathbb{Z}^+$

$$c = 4 - 12Q^2 - \frac{6}{n+2} + c_I$$

“internal” dims  
central charge

Kac-Moody  
algebra level

**NB:**

Perturbatively we may also have such a constant  $\mathbf{B}^0$  background in the presence of **Lorentz-violating condensates** of fermion axial current temporal component

$$\langle 0 | \mathbf{J}^{05} | 0 \rangle \neq 0$$

at the high-density, high-temperature Early Universe epochs

**Lagrangian :**

$$\mathcal{L} = \sqrt{-g} \left[ \frac{1}{2\kappa^2} R - \frac{1}{2} (\partial_\mu b)^2 - \Omega + \sum_i \left[ \bar{\psi}_i (i\gamma^\mu \partial_\mu - m_i) \psi_i + \frac{\kappa}{3\sqrt{6}} \partial_\mu b \bar{\psi}_i \gamma^\mu \gamma^5 \psi_i \right] + \dots \right]$$

vacuum energy

$i$  = Standard Model fermionic species

$\mathcal{O}((\partial b)^4)$

higher derivative terms in strings

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**Eqs of motion for pseudoscalar:**

$$\partial^\mu \left( \sqrt{-g} [\epsilon_{\mu\nu\rho\sigma} (\partial^\sigma \bar{b} - \tilde{c} J^{5\sigma}) + \mathcal{O}((\partial \bar{b})^3)] \right) = 0$$

$$\dot{\bar{b}} = \tilde{c} \langle J_0^5 \rangle = \tilde{c} \langle \psi_i^\dagger \gamma^5 \psi_i \rangle = \text{constant} \neq 0 \quad i \neq \text{Majorana neutrinos}$$

Condensate may be **subsequently destroyed** at a temperature  $T_c$   $\langle 0 | J^{05} | 0 \rangle \rightarrow 0$  by relevant operators so eventually in an expanding FRW Universe **for  $T < T_c$**

$$B^0 \sim \dot{\bar{b}} \sim 1/a^3(t) \sim T^3$$

weak torsion today,  
compatible  
with stringent  
experimental limits



When  $db/dt = \text{constant}$   $\rightarrow$  Torsion is constant

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$$M \equiv m + b_\mu \gamma^5 \gamma^\mu$$

LV & CPTV



Standard Model Extension type with CPT and Lorentz Violating background  $b^0 = B^0$

If Fermions are **DIRAC** (e.g. quarks, electrons)

DISPERSION RELATIONS OF FERMIONS ARE **DIFFERENT**  
FROM THOSE OF ANTI-FERMIONS IN **SUCH** GEOMETRIES



**CPTV Dispersion relations ( $B_0 = b_0$ )**

$$E = \sqrt{\vec{p}^2 + m^2 + B_0^2 + 2|\vec{p}| B_0}$$

$$\bar{E} = \sqrt{\vec{p}^2 + m^2 + B_0^2 - 2|\vec{p}| B_0}$$

but (bare) **masses** are equal between **particle/anti-particle** sectors

**Abundances** of fermions in Early Universe, then, **different** from those of antifermions, if  **$B_0$**  is **non-trivial**, **ALREADY IN THERMAL EQUILIBRIUM**

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but (bare) **masses** are equal

$$n - \bar{n} = \frac{g}{(2\pi)^3} \int d^3p \left( \frac{1}{1 + e^{E/T}} - \frac{1}{1 + e^{\bar{E}/T}} \right) \neq 0$$

$E \neq \bar{E}$

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**But for Majorana fermions (their own antiparticles) situation is different...**

**cf below...**

**COSMOLOGICAL  
CONSEQUENCES  
of SME-type CPTV**

**Matter-antimatter  
asymmetry in Universe**

**-Lepto(Baryo)genesis**

# *CPT VIOLATION IN THE EARLY UNIVERSE*

De Cesare, NEM & Sarkar [arXiv:1412.7077](https://arxiv.org/abs/1412.7077)  
(Eur.Phys.J. C75 (2015) 10, 514)

**Right-Handed Heavy Majorana Neutrinos**

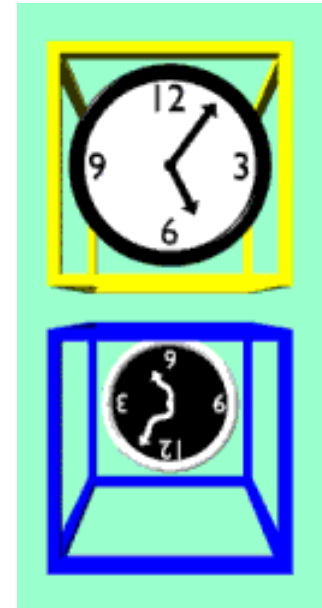
**Mechanism**

**For Torsion-Background-**

**Induced tree-level**

**Leptogenesis** → **Baryogenesis**

**Through B-L conserving  
Sphaleron processes  
In the standard model**



[physics.indiana.edu](http://physics.indiana.edu)

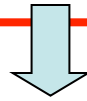
## SM Extension with N extra right-handed neutrinos

$$L = L_{SM} + \bar{N}_I i \partial_\mu \gamma^\mu N_I - F_{\alpha I} \bar{L}_\alpha N_I \tilde{\phi} - \frac{M_I}{2} \bar{N}_I^c N_I + \text{h.c.}$$

Paschos, Hill, Luty , Minkowski,  
Yanagida, Mohapatra, Senjanovic,  
de Gouvea..., Liao, Nelson,  
Buchmuller, Anisimov, di Bari...  
Nanopoulos, Ellis, Dimopoulos,  
March-Russell...  
Akhmedov, Rubakov, Smirnov,  
Davidson, Giudice, Notari, Raidal,  
Riotto, Strumia, **Pilaftsis**, Underwood,  
**Shaposhnikov** ... Hernandez, Giunti...  
Antoniadis, Kiritsis, Rzos, Tomaras,  
Tamvakis...Leontaris, Vlachos...

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Majorana masses  
to (2 or 3) active (light)  
neutrinos via **seesaw**

Yukawa couplings  
Matrix (N=2 or 3)





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Majorana masses to (2 or 3) active (light) neutrinos via **seesaw**

Yukawa couplings  
Matrix (N=2 or 3)

$$v = \langle \phi \rangle \sim 175 \text{ GeV}$$

**NB:** Upon Symmetry Breaking  
 $\langle \phi \rangle = v \neq 0 \rightarrow$  Dirac mass term

$$m_\nu = -M^D \frac{1}{M_I} [M^D]^T$$

Minkowski, Yanagida,  
Mohapatra, Senjanovic  
Sechter, Valle ...

$$M_D = F_{\alpha I} v \quad M_D \ll M_I$$



# CPTV Thermal Leptogenesis

Early Universe  
 $T \gg 10^2 \text{ GeV}$

CPT Violation



Lepton number & CP Violations @ tree-level  
due to Lorentz/CPTV Background

$$N_I \rightarrow H\nu, \bar{H}\bar{\nu}$$

## CPTV Thermal

$$\mathcal{L} = i\bar{N}\not{\partial}N - \frac{m}{2}(\bar{N}^c N + \bar{N}N^c) - \bar{N}\not{B}\gamma^5 N - Y_k \bar{L}_k \tilde{\phi} N + h.c.$$

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*One generation of massive neutrinos  $N$  suffices for generating CPTV Leptogenesis;*

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Early Universe  
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## CPT Violation



*One generation of massive neutrinos  $N$  suffices for generating CPTV Leptogenesis; mass  $m$  free to be fixed*



various mechanisms for generation of  $m$  not discussed here

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## CPT Violation



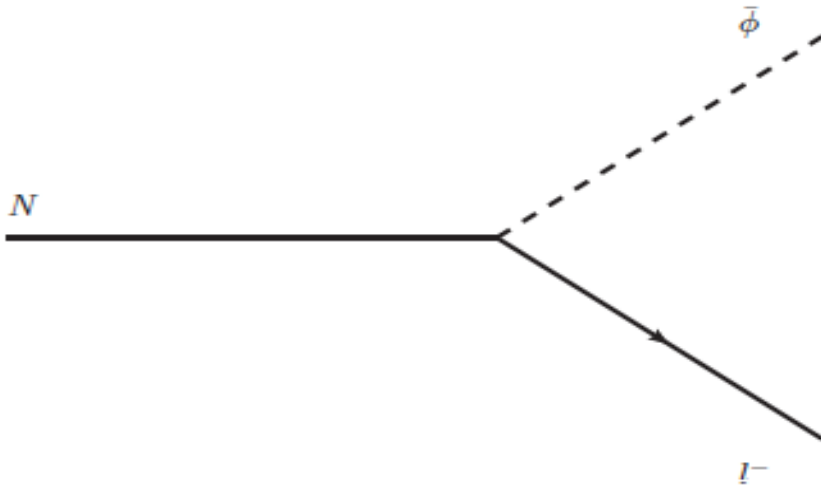
Constant H-torsion  
(antisymmetric  
tensor field strength  
in string models)

Lepton number & CP Violations @ **tree-level**  
due to Lorentz/CPTV Background

$$N_I \rightarrow H\nu, \bar{H}\bar{\nu}$$

Produce Lepton asymmetry

$$B_\mu = B_0 \delta_\mu^0$$



# CPTV Thermal

$$\mathcal{L} = i\bar{N}\not{\partial}N - \frac{m}{2}(\bar{N}^c N + \bar{N}N^c) - \bar{N}\mathbf{X}\gamma^5 N - Y_k \bar{L}_k \hat{\phi} N + h.c.$$

Early Universe  
 $T \gg 10^2 \text{ GeV}$

## CPT Violation



Constant H-torsion

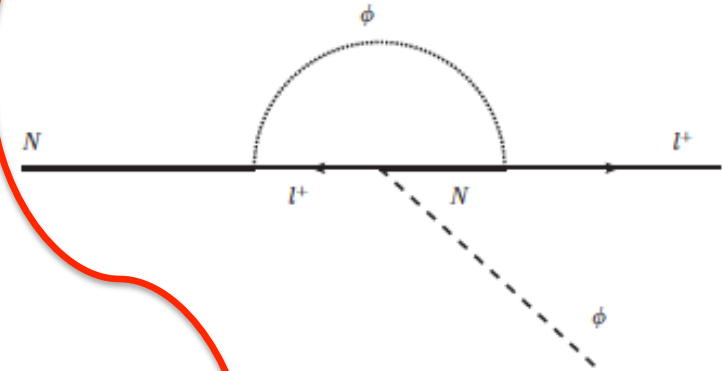
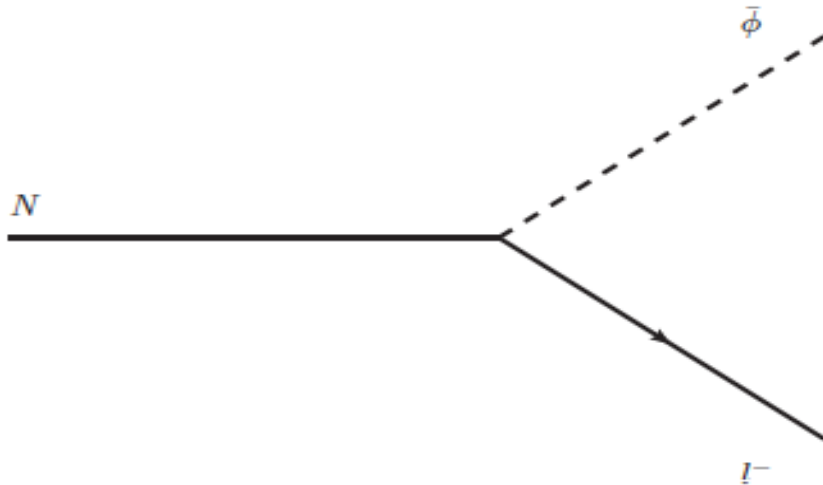


Lepton number & CP Violations @ tree-level  
 due to Lorentz/CPTV Background

$$N_I \rightarrow H\nu, \bar{H}\bar{\nu}$$

Produce Lepton asymmetry

Contrast with one-loop  
 conventional  
 Leptogenesis  
 in absence of H-torsion



Fukugita, Yanagida,

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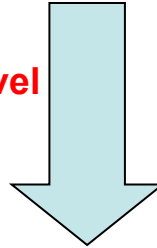
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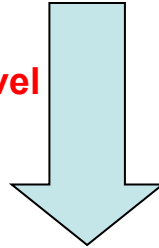
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$$\frac{\Delta L}{n_\gamma} \simeq 10^{-10},$$

Produce Lepton asymmetry

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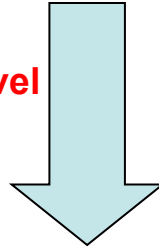
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Produce Lepton asymmetry



Decoupling Temperature  $T_D$  : **decay process out of equilibrium**  
@ which Lepton asymmetry is evaluated

$$\Gamma \simeq H = 1,66 T_D^2 \mathcal{N}^{1/2} m_P^{-1}$$

assume standard cosmology

$$T_D \simeq 6.2 \cdot 10^{-2} \frac{|Y|}{\mathcal{N}^{1/4}} \sqrt{\frac{m_P(\Omega^2 + B_0^2)}{\Omega}}$$

for one generation of RH heavy neutrino

$$\Omega^2 = m_N^2 + B_0^2$$

$\mathcal{N} = \text{d.o.f.} = \text{O}(100)$

**Estimate:** Total Lepton number asymmetry  $\Delta L$  :  $(N \rightarrow l^- \bar{\phi}) - (N \rightarrow l^+ \phi)$

Solve appropriate system of Boltzmann Equations for heavy Right-handed neutrino abundance and Lepton asymmetry

**Bossingham, NEM, Sarkar**  
[arXiv:1712.03312](https://arxiv.org/abs/1712.03312)



$$\frac{\Delta L^{TOT}}{s} \simeq (0.008 - 0.014) \frac{B_0}{m_N},$$

@  $T = T_D$  :  $m_N/T_D \simeq (1.44 - 1.62)$ .

For Higgs portal Yukawa  $|Y| = \mathcal{O}(10^{-5})$

$T_D \approx 10^5$  GeV



$$\frac{B_0}{m_N} \sim 10^{-9} - 10^{-8},$$

**NB:** Uncertainties due to approximate methods (e.g. Pade) used in solution

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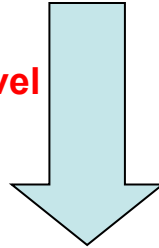
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Produce Lepton asymmetry

$$Y_k \sim 10^{-5}$$

$$m \geq 100 \text{ TeV} \rightarrow$$

$$B^0 \sim 1 \text{ MeV}$$

$$T_D \simeq m \sim 100 \text{ TeV}$$

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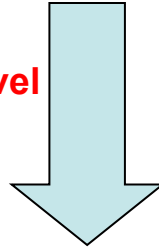
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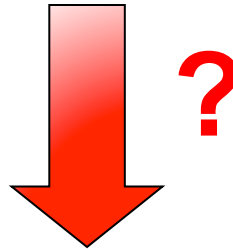


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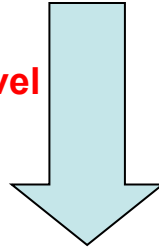
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Equilibrated electroweak  
 B+L violating sphaleron interactions

B-L conserved



Environmental  
 Conditions Dependent

$$L = \frac{2}{M} l_L l_L \phi \phi + \text{H.c.}$$

where

$$l_L = \begin{bmatrix} \nu_e \\ e \end{bmatrix}_L, \begin{bmatrix} \nu_\mu \\ \mu \end{bmatrix}_L, \begin{bmatrix} \nu_\tau \\ \tau \end{bmatrix}_L$$

Observed Baryon Asymmetry  
 In the Universe (BAU)

Fukugita, Yanagida,



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Estimate BAU by fixing CPTV background parameters  
 In some models this means fine tuning ....



**B<sup>0</sup>** : (string) theory underwent a **phase transition**  
@  $T \approx T_d = 10^5$  GeV, from  $B^0 = \text{const} = 1$  MeV **to** :

(i) **either  $B^0 = 0$**

(ii) **or  $B^0$  small today but non zero**

$$B^0 \sim \dot{b} \sim 1/a^3(t) \sim T^3$$

$$B_0 = c_0 T^3$$

$$c_0 = 10^{-42} \text{ meV}^{-2}$$

$$B_{0 \text{ today}} = \mathcal{O}(10^{-44}) \text{ meV}$$

Quite safe from stringent  
Experimental Bounds

$$|B^0| < 10^{-2} \text{ eV}$$
$$B_i \equiv b_i < 10^{-31} \text{ GeV}$$



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**NB:**

Perturbatively we may also have such a constant  $B^0$  background in the presence of **Lorentz-violating condensates** of fermion axial current temporal component

$$\langle 0 | J^{05} | 0 \rangle \neq 0$$

at the high-density, high-temperature Early Universe epochs

**Eqs of motion for pseudoscalar:**

$$\partial^\mu \left( \sqrt{-g} [\epsilon_{\mu\nu\rho\sigma} (\partial^\sigma \bar{b} - \tilde{c} J^{5\sigma}) + \mathcal{O}((\partial \bar{b})^3)] \right) = 0$$

$$\dot{\bar{b}} = \tilde{c} \langle J_0^5 \rangle = \tilde{c} \langle \psi_i^\dagger \gamma^5 \psi_i \rangle = \text{constant} \neq 0 \quad i \neq \text{Majorana neutrinos}$$

Condensate may be **subsequently destroyed** at a temperature  $T_c$   $\langle 0 | J^{05} | 0 \rangle \rightarrow 0$  by relevant operators so eventually in an expanding FRW Universe **for  $T < T_c$**

$$B^0 \sim \dot{\bar{b}} \sim 1/a^3(t) \sim T^3$$

weak torsion today,  
compatible  
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Environmental  
 Conditions Dependent

Observed Baryon Asymmetry  
 In the Universe (BAU)

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Estimate BAU by fixing CPTV background parameters  
 In some models this means fine tuning ....



e.g. May Require  
 Fine tuning of  
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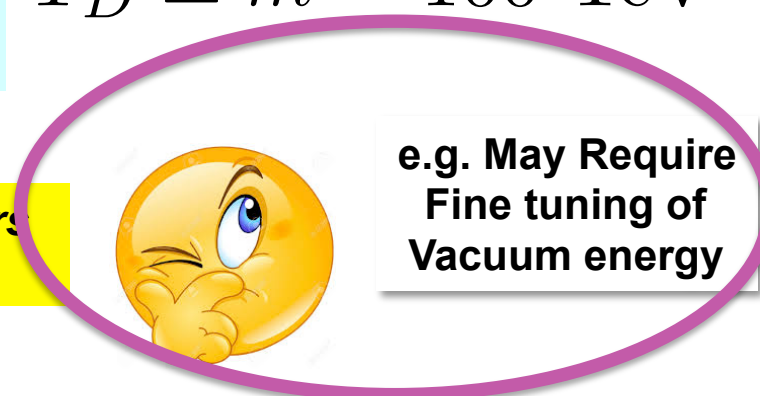
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# ROLE OF H-FIELD AS TORSION – AXION FIELD

EFFECTIVE GRAVITATIONAL ACTION IN STRING LOW-ENERGY LIMIT

4-DIM  
PART

$$\begin{aligned} S^{(4)} &= \int d^4x \sqrt{-g} \left( \frac{1}{2\kappa^2} R - \frac{1}{6} H_{\mu\nu\rho} H^{\mu\nu\rho} \right) \\ &= \int d^4x \sqrt{-g} \left( \frac{1}{2\kappa^2} \bar{R} \right) \end{aligned}$$

$$\sim \frac{1}{2} \partial^\mu b \partial_\mu b$$

$$\kappa^2 = 8\pi G$$

IN 4-DIM DEFINE DUAL OF H AS :

$$-3 \sqrt{2} \partial_\sigma b = \sqrt{-g} \epsilon_{\mu\nu\rho\sigma} H^{\mu\nu\rho}$$

$b(x)$  = Pseudoscalar  
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 \end{aligned}$$

$$\sim \frac{1}{2} \partial^\mu b \partial_\mu b$$

constant if  
 $\dot{b} = \text{const}$

need to be  
cancelled by  
bulk contrib.

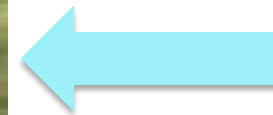
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# IS THIS CPTV ROUTE WORTH FOLLOWING? ....



CPT Violation

**Construct Microscopic (Quantum) Gravity models with strong CPT Violation in Early Universe, but maybe weak today... Fit with all available data... Estimate in this way matter-antimatter asymmetry in Universe.**





# CONCLUSIONS so far

- **CPT Violation (CPTV)** due to (strong) quantum fluctuations in space-time at early eras or **LV early Universe Geometries** is a possibility and may explain the observed matter-antimatter asymmetry in the cosmos, consistently with current-era bounds of CPTV
- One framework for early universe CPTV: Standard Model Extension (SME)
- A string-inspired model of the Early Universe entailing **CPT and Lorentz Violation** due to Kalb-Ramond-axion- modified background geometries – **Consistent phenomenology in current era**

**...to explore further, in connection with Early Universe Cosmology – CMB polarization etc**

Ενα μεγαλο ευχαριστω στον Καθηγητη μου  
Φ. Χατζηιωαννου για οτι με διδαξε

Του ευχομαι να ειναι παντα καλα και να  
εμπνεει με την παρουσια του τους νεους  
Επιστημονες

Επισης τις καλυτερες ευχες μου για  
Καλες Γιορτες και ευτυχισμενη  
την νεα χρονια 2018 στον ιδιο  
και την οικογενεια του

**SPARES**



## Proper Treatment through solving Boltzmann Eqs.

T. Bossingham, N.E.M., Sarkar

Boltzmann equation in presence of CPTV & LV Background  $B_0$

RHN Helicity specific  $\lambda_r$  :

$$\begin{aligned} \frac{dn_r}{dt} + 3Hn_r - \frac{g}{2\pi^2} 2\lambda_r \frac{B_0}{T} T^3 \int du u f(E(B_0 = 0), u) \\ = \frac{g}{8\pi^3} \int \frac{d^3 p}{E(B_0 \neq 0)} C[f] + \mathcal{O}(B_0^2) \end{aligned}$$

Summing over RHN Helicities  $\sum_r \lambda_r = 0$  (for small  $B_0/T \ll 1$ ) :

$$\frac{dn_N}{dt} + 3Hn_N = \frac{g}{8\pi^3} \int \frac{d^3 p}{E} \tilde{C}[f] + \mathcal{O}(B_0^2)$$

But **still modified** due to  $B_0$ -Dependence of Energy-Momentum dispersion  $E(p, B_0)$



**NB:**

If Fermions are **DIRAC** (e.g. quarks, electrons)

DISPERSION RELATIONS OF FERMIONS ARE **DIFFERENT**  
FROM THOSE OF ANTI-FERMIONS IN **SUCH** GEOMETRIES



**CPTV Dispersion relations ( $B_0 = b_0$ )**

$$E = \sqrt{\vec{p}^2 + m^2 + B_0^2 + 2|\vec{p}| B_0}$$

$$\bar{E} = \sqrt{\vec{p}^2 + m^2 + B_0^2 - 2|\vec{p}| B_0}$$

but (bare) **masses** are equal between **particle/anti-particle** sectors

**Abundances** of fermions in Early Universe, then, **different** from those of antifermions, if  $B_0$  is **non-trivial**, **ALREADY IN THERMAL EQUILIBRIUM**



## Boltzmann Eqs.

T. Bossingham, N.E.M., Sarkar

$$Y_x = n_x/s \quad x = N, l^\pm$$

Standard Cosmology at early eras (radiation era)

$$z = m_N/T$$

$$a \sim t^{1/2}$$

$$T \sim a^{-1} \Rightarrow s \sim T^3.$$

$$H \sim T^2/2 = m_N^2/2z^2.$$

$H$  = Hubble parameter

### Helicities

$$\mathcal{L} \equiv Y_{l^-}^{(-)} - Y_{l^+}^{(+)}$$

Lepton asymmetry

$$\bar{Y}_N \equiv \frac{Y_N^{(-)} + Y_N^{(+)}}{2}$$

Heavy RHN abundance averaged over helicities

**NB:** RHN-Higgs portal Yukawa coupling  $|Y| \rightarrow |y|$

$m_N \rightarrow m$  RHN mass



RHN helicities Summed up system of Boltzmann for **small**  $B_0/(T \text{ or } m_N) \ll 1$

$$168 \frac{m_N^5}{M_{pl} z^4} \frac{d\bar{Y}_N}{dz} = - \left\{ \gamma^{eq,(-)}(N \rightarrow l^- h^+) \frac{Y_N^{(-)}}{Y_N^{(-),eq}} - \gamma^{eq,(-)}(l^- h^+ \rightarrow N) \right. \\ \left. + \gamma^{eq,(+)}(N \rightarrow l^+ h^-) \frac{Y_N^{(+)}}{Y_N^{(+),eq}} - \gamma^{eq,(+)}(l^+ h^- \rightarrow N) \right\},$$

$$84 \frac{m_N^5}{M_{pl} z^4} \frac{d\mathcal{L}}{dz} + 2I_l = \gamma^{eq,(-)}(N \rightarrow l^- h^+) \frac{Y_N^{(-)}}{Y_N^{(-),eq}} - \gamma^{eq,(+)}(N \rightarrow l^+ h^-) \frac{Y_N^{(+)}}{Y_N^{(+),eq}} \\ - \left( \gamma^{eq,(-)}(l^- h^+ \rightarrow N) \frac{Y_{l^-}^{(-)}}{Y_{l^-}^{(-),eq}} - \gamma^{eq,(+)}(l^+ h^- \rightarrow N) \frac{Y_{l^+}^{(+)}}{Y_{l^+}^{(+),eq}} \right)$$

$$\bar{Y}_N \equiv \frac{Y_N^{(-)} + Y_N^{(+)}}{2}, \quad \mathcal{L} \equiv Y_{l^-}^{(-)} - Y_{l^+}^{(+)}, \quad I_l \equiv 10.7052 \frac{g_l m_N^4 B_0}{\pi^2 e M_{pl} z^4}$$



## Thermally averaged equilibrium interaction rates

$$\begin{aligned}\gamma^{eq,(-)}(N \rightarrow l^- h^+) &= \gamma^{eq,(-)}(l^- h^+ \rightarrow N) = \Lambda f_1(z)[1 + \varepsilon_1(z)] \\ \gamma^{eq,(+)}(N \rightarrow l^+ h^-) &= \gamma^{eq,(+)}(l^+ h^- \rightarrow N) = \Lambda f_1(z)[1 - \varepsilon_1(z)]\end{aligned}$$

$$z = m_N/T$$

$$\Lambda = \frac{3|y|^2 m_N^4}{16(2\pi)^3}$$

$$z < 1$$

High temperature regime

$$f_1(z) = z^{-2/3}(0.2553 - 0.1447z^2 + 0.0957z^4)$$

$$\varepsilon_1(z) = z \frac{B_0}{m_N} \frac{0.6062 - 0.3063z^2}{0.2553 - 0.1447z^2 + 0.0957z^4}$$





RHN helicities Summed up system of Boltzmann for **small  $B_0/(T \text{ or } m_N) \ll 1$**

$$\frac{d\bar{Y}_N}{dz} + P(z)\bar{Y}_N = Q(z), \quad z < 1$$

$$P(z) = a^2 z^{10/3} (1 - 0.3909z^2 + 0.2758z^4),$$

$$Q(z) = b^2 z^{10/3} (1 - 0.5668z^2 + 0.3749z^4),$$

$$a^2 \equiv \frac{0.0724|y|^2 e M_{pl}}{168 g_N \pi m_N} \simeq 0.167$$

$$b^2 \equiv \frac{0.0957|y|^2 M_{pl}}{168 (2\pi)^3 m_N} \simeq 0.0056$$

$$|y| \sim 10^{-5}$$

$$m_N \sim 100 \text{ TeV}$$

$$\frac{d\mathcal{L}}{dz} + J(z)\mathcal{L} = H(z), \quad z < 1$$

$$J(z) = \mu^2 z^{10/3} (1 - 0.5668z^2 + 0.3749z^4)$$

$$H(z) = \nu^2 z^{13/3} (1 - 0.2385z^2 - 0.3538z^4) \bar{Y}_N(z) - \sigma^2 z^{13/3} (1 - 0.1277z^2 - 1.4067z^4) - \delta^2$$

$$\mu^2 \equiv \frac{0.0362|y|^2 e M_{pl}}{84 g_l \pi m_N} \simeq 0.227,$$

$$\nu^2 \equiv \frac{0.1041|y|^2 e M_{pl} B_0}{84 g_N \pi m_N^2} \simeq 1.3055 \frac{B_0}{m_N}$$

$$\sigma^2 \equiv \frac{0.0479|y|^2 M_{pl} B_0}{84 (2\pi)^3 m_N^2} \simeq 0.0056 \frac{B_0}{m_N}$$

$$\delta^2 \equiv \frac{21.4104}{84} \frac{g_l B_0}{\pi^2 e m_N} \simeq 0.038 \frac{B_0}{m_N}$$



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$$P(z) = a^2 z^{10/3} (1 - 0.3909z^2 + 0.2758z^4)$$

$$J(z) = 5.668z^2 + 0.3749z^4$$

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$$- (1.4067z^4) - \delta^2$$

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$$\equiv \frac{0.0362|y|^2 e M_{pl}}{84 g_l \pi m_N} \simeq 0.227$$

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**Solve analytically in region  $z < 1$**   
**→ extrapolate to  $z = O(1)$  freezeout region**  
**by using Pade approximant methods**  
**and others**

$|y| \sim 10^{-7}$   
 $m_N \sim 100 \text{ TeV}$

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Wald (79)

# Proof

A THEOREM BY R. WALD (1979): **If  $S \neq S^\dagger$ , then CPT is violated, at least in its strong form.**

**PROOF:** Suppose CPT is conserved, then there exists unitary, invertible operator  $\Theta$  :  $\Theta \bar{\rho}_{in} = \rho_{out}$  acting on density matrices  $\rho = \text{Tr} |\psi\rangle\langle\psi|$

$$\rho_{out} = S \rho_{in} \rightarrow \Theta \bar{\rho}_{in} = S \Theta^{-1} \bar{\rho}_{out} \rightarrow \bar{\rho}_{in} = \Theta^{-1} S \Theta^{-1} \bar{\rho}_{out}.$$

But  $\bar{\rho}_{out} = S \bar{\rho}_{in}$ , hence :  $\bar{\rho}_{in} = \Theta^{-1} S \Theta^{-1} S \bar{\rho}_{in}$

**BUT THIS IMPLIES THAT  $S$  HAS AN INVERSE-  $\Theta^{-1} S \Theta^{-1}$ , IMPOSSIBLE (information loss), hence CPT MUST BE VIOLATED (at least in its strong form).**

**NB1:** IT ALSO IMPLIES:  $\Theta = S \Theta^{-1} S$  (fundamental relation for a full CPT invariance).

**NB2:** My preferred way of CPTV by Quantum Gravity **Introduces fundamental arrow of time/microscopic time irreversibility...**

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CPT is **antiunitary** (due to T) when acting on  $|\psi\rangle$



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# CPT symmetry without CPT invariance ?

But...nature may be tricky: WEAK FORM OF CPT INVARIANCE might exist, such that the fundamental “arrow of time” does not show up in any experimental measurements (scattering experiments).

Probabilities for transition from  $\psi$  =initial pure state to  $\phi$  =final state

$$P(\psi \rightarrow \phi) = P(\theta^{-1}\phi \rightarrow \theta\psi)$$

where  $\theta: \mathcal{H}_{\text{in}} \rightarrow \mathcal{H}_{\text{out}}$ ,  $\mathcal{H}$  = Hilbert state space,  
 $\Theta\rho = \theta\rho\theta^\dagger$ ,  $\theta^\dagger = -\theta^{-1}$  (anti - unitary).

In terms of superscattering matrix  $\$$ :

$$\$\dagger = \Theta^{-1}\$\Theta^{-1}$$

Here,  $\Theta$  is well defined on pure states, but  $\$$  has no inverse, hence  $\$\dagger \neq \$^{-1}$  (full CPT invariance:  $\$ = S S^\dagger$ ,  $\$\dagger = \$^{-1}$ ).



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INVARIANCE

of time  
measu  
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 $\phi = \text{fin}$   
where  
 $\Theta \rho =$   
In term

Supporting evidence for Weak CPT from Black-hole thermodynamics: *Although white holes do not exist (strong CPT violation), nevertheless the CPT reverse of the most probable way of forming a black hole is the most probable way a black hole will evaporate: the states resulting from black hole evaporation are precisely the CPT reverse of the initial states which collapse to form a black hole.*

$$\mathcal{S}^\dagger = \Theta^{-1} \mathcal{S} \Theta^{-1}$$

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Decoherence implies that asymptotic density matrix of low-energy matter :

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in the sense that the CPT

operator  $\Theta$  is **not well-defined**  $\rightarrow$

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Wald (79)

If CPT ill-defined  $\rightarrow$   
tiny effect (if due to Quantum  
Gravity decoherence)  $\rightarrow$  concept of  
antiparticle still well-defined,  
but...



(i) observable effects in entangled  
(neutral) meson-states

(ii) spin-statistics theorem  
violation?  $\rightarrow$  e.g. **VIP2 Expt**

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$$\rho = \text{Tr} |\psi\rangle \langle \psi|$$

$$|i\rangle = \mathcal{N} \left[ |M_0(\vec{k})\rangle |\bar{M}_0(-\vec{k})\rangle - |\bar{M}_0(\vec{k})\rangle |M_0(-\vec{k})\rangle + \omega \left( |M_0(\vec{k})\rangle |\bar{M}_0(-\vec{k})\rangle + |\bar{M}_0(\vec{k})\rangle |M_0(-\vec{k})\rangle \right) \right]$$

$$\omega = |\omega| e^{i\vartheta}$$

May contaminate initially antisymmetric neutral meson  $M$  state by symmetric parts ( $\omega$ -effect)

Bernabeu, NEM, Papavassiliou (04),...

Hence  $\Theta$  ill-defined at low-energies in QG foam models  $\rightarrow$  **may affect EPR**

Wald (79)

## Including conventional CPTV ( $\theta$ ) in the Hamiltonian

Bernabeu, Botella, NEM, Nebot EPJC 77 (2017) 865

$$\begin{aligned} \mathbf{H}|B_H\rangle &= \mu_H|B_H\rangle, & |B_H\rangle &= p_H|B_d^0\rangle + q_H|\bar{B}_d^0\rangle, \\ \mathbf{H}|B_L\rangle &= \mu_L|B_L\rangle, & |B_L\rangle &= p_L|B_d^0\rangle - q_L|\bar{B}_d^0\rangle. \end{aligned}$$

H (L) = (High (Low) mass states)



$$|\Psi_0\rangle \propto |B_L\rangle|B_H\rangle - |B_H\rangle|B_L\rangle + \omega \left\{ \theta [ |B_H\rangle|B_L\rangle + |B_L\rangle|B_H\rangle ] + (1 - \theta) \frac{p_L}{p_H} |B_H\rangle|B_H\rangle - (1 + \theta) \frac{p_H}{p_L} |B_L\rangle|B_L\rangle \right\}$$

$\omega$ -effect

CPTV in Hamiltonian

$$\theta = \frac{\mathbf{H}_{22} - \mathbf{H}_{11}}{\mu_H - \mu_L}$$

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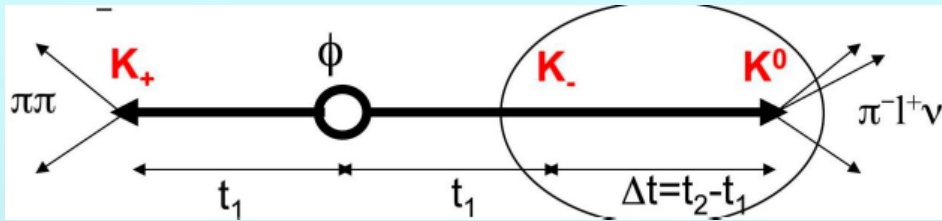
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# Current Measurement Status of $\omega$ -effect



**KLOE result:** PLB 642(2006) 315  
Found. Phys. 40 (2010) 852

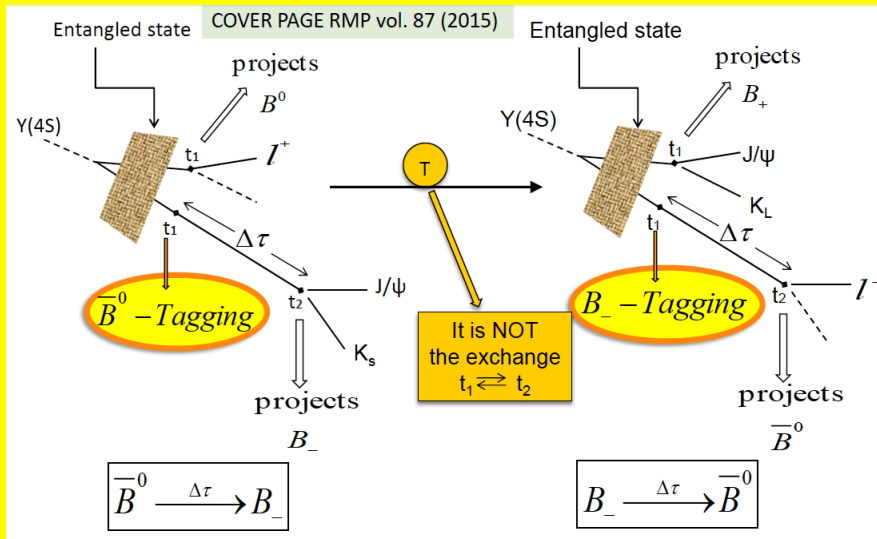
$$\Re \omega = \left( -1.6^{+3.0}_{-2.1_{STAT}} \pm 0.4_{SYST} \right) \times 10^{-4}$$

$$\Im \omega = \left( -1.7^{+3.3}_{-3.0_{STAT}} \pm 1.2_{SYST} \right) \times 10^{-4}$$

$$|\omega| < 1.0 \times 10^{-3} \text{ at } 95\% \text{ C.L.}$$

Neutral Kaons

Prospects KLOE-2  $\text{Re}(\omega), \text{Im}(\omega) \rightarrow 2 \times 10^{-5}$



Neutral B-mesons

**Equal Sign Dilepton Asymmetry**  
(Alvarez, Bernabeu, Nebot, JHEP 0611 (2006) 087)

$$-0.0084 \leq \text{Re}(\omega) \leq 0.0100 \quad 95\% \text{ C.L.}$$

**Novel signal from  $(f,g) \leftrightarrow (g,f)$**   
(Bernabeu, Botella, NEM, Nebot  
EPJC 77 (2017) 865 )

$\text{Im}(\theta)$	$(0.99 \pm 1.98)10^{-2}$
$\text{Im}(\omega)$	$\pm(6.40 \pm 2.80)10^{-2}$



# STANDARD MODEL EXTENSION

V.A. Kostelecký, R. Bluhm, D. Colladay, R. Lehnert, R. Potting, N. Russell

In this case Lorentz symmetry is violated and hence CPT, but no quantum decoherence or unitarity loss. CPT **well-defined** operator, **does not commute** with Hamiltonian of the system.

String theory (non supersymmetric) → Tachyonic instabilities, coupling with tensorial fields (gauge etc),  $\rightarrow \langle A_\mu \rangle \neq 0$ ,  $\langle T_{\mu_1 \dots \mu_n} \rangle \neq 0$ ,

Spontaneous breaking of Lorentz symmetry by (exotic) string vacua **MODIFIED DIRAC EQUATION** in SME: for spinor  $\psi$  reps. electrons, quarks etc. with charge  $q$

$$(i\gamma^\mu D^\mu - M - a_\mu \gamma^\mu - b_\mu \gamma_5 \gamma^\mu - \frac{1}{2} H_{\mu\nu} \sigma^{\mu\nu} + ic_{\mu\nu} \gamma^\mu D^\nu + id_{\mu\nu} \gamma_5 \gamma^\mu D^\nu) \psi = 0$$

where  $D_\mu = \partial_\mu - A_\mu^a T^a - qA_\mu$ .

CPT & Lorentz violation:  $a_\mu, b_\mu$ . Lorentz violation only:  $c_{\mu\nu}, d_{\mu\nu}, H_{\mu\nu}$ .

**NB1:** : mass differences between particle/antiparticle not necessarily.

**NB2:** In general  $a_\mu, b_\mu \dots$  might be energy dependent and NOT constants (c.f. Lorentz-Violation due to quantum space time foam, back reaction effects); ALSO in stochastic models of QG

|  $\langle a_\mu, b_\mu \rangle = 0$ ,  $\langle a_\mu a_\nu \rangle \neq 0$ ,  $\langle b_\mu a_\nu \rangle \neq 0$ ,  $\langle b_\mu b_\nu \rangle \neq 0$ , etc ... much more suppressed effects

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# STANDARD MODEL EXTENSION

Kostelecky *et al.*

$$\mathcal{L} = \frac{1}{2} i \bar{\psi} \Gamma^\nu \partial_\nu \psi - \bar{\psi} M \psi, \quad M \equiv m + a_\mu \gamma^\mu + b_\mu \gamma_5 \gamma^\mu + \frac{1}{2} H^{\mu\nu} \sigma_{\mu\nu}$$

$$+ \mathcal{L}_I$$

$$\Gamma^\nu \equiv \gamma^\nu + c^{\mu\nu} \gamma_\mu + d^{\mu\nu} \gamma_5 \gamma_\mu + e^\nu + i f^\nu \gamma_5 + \frac{1}{2} g^{\lambda\mu\nu} \sigma_{\lambda\mu}$$

$$\mathcal{L}_I \supset \frac{\lambda \langle T \rangle}{M^k} \bar{\psi} (\gamma^0)^{k+1} (i \partial_0)^k \psi + h.c.$$

# Microscopic Origin of SME coefficients?

Several "Geometry-induced" examples:

**LV &  
CPTV**



**SME structures in string theory with spontaneous  
LV induce matter asymmetry in the Early Universe**

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tensors  
v.e.v. ≠ 0

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string scale

$$\langle T \rangle \sim (m_l/M)^l M$$

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**chemical potential  $\mu$   
& energy splitting  
particle-antiparticle  
(e.g. quark-antiquark)**

$$\langle T \rangle \sim (m_l/M)^l M^4$$

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chemical potential  $\mu$  & energy splitting particle-antiparticle (e.g. quark-antiquark)

$$\langle T \rangle \sim (m_l/M)^l M$$

**baryon asymmetry** strong in the past **diluted today** by sphaleron effects

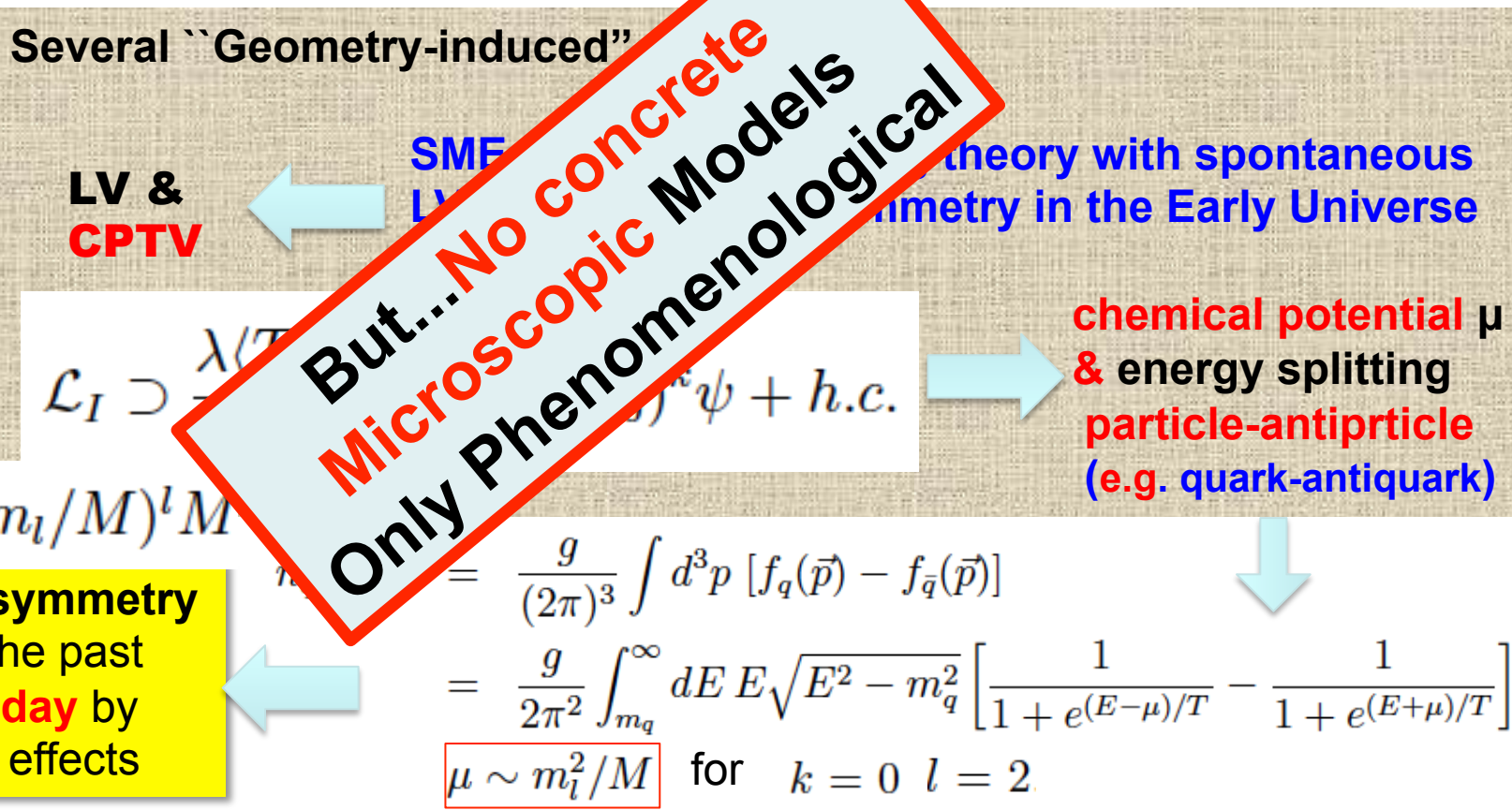


$$\begin{aligned} n_q - n_{\bar{q}} &= \frac{g}{(2\pi)^3} \int d^3p [f_q(\vec{p}) - f_{\bar{q}}(\vec{p})] \\ &= \frac{g}{2\pi^2} \int_{m_q}^{\infty} dE E \sqrt{E^2 - m_q^2} \left[ \frac{1}{1 + e^{(E-\mu)/T}} - \frac{1}{1 + e^{(E+\mu)/T}} \right] \end{aligned}$$

$$\mu \sim m_l^2/M \quad \text{for } k=0 \quad l=2$$



# Microscopic Origin of SME coefficients?





# STANDARD MODEL EXTENSION

V.A. Kostelecký, R. Bluhm, D. Colladay, R. Lehnert, R. Potting, N. Russell

In this case Lorentz symmetry is violated and hence CPT, but no quantum decoherence or unitarity loss. CPT **well-defined** operator, **does not commute** with Hamiltonian of the system.

String theory (non supersymmetric) → Tachyonic instabilities, coupling with tensorial fields (gauge etc), →  $\langle A_\mu \rangle \neq 0$ ,  $\langle T_{\mu_1 \dots \mu_n} \rangle \neq 0$ ,

Spontaneous breaking of Lorentz symmetry by (exotic) string vacua **MODIFIED DIRAC EQUATION** in SME: for spinor  $\psi$  reps. electrons, quarks etc. with charge  $q$

$$(i\gamma^\mu D^\mu - M - a_\mu \gamma^\mu - b_\mu \gamma_5 \gamma^\mu - \frac{1}{2} H_{\mu\nu} \sigma^{\mu\nu} + ic_{\mu\nu} \gamma^\mu D^\nu + id_{\mu\nu} \gamma_5 \gamma^\mu D^\nu) \psi = 0$$

where  $D_\mu = \partial_\mu - A_\mu^a T^a - qA_\mu$ .

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# SM Extension with N extra right-handed neutrinos

## $\nu$ MSM

$$L = L_{SM} + \bar{N}_I i \partial_\mu \gamma^\mu N_I - F_{\alpha I} \bar{L}_\alpha N_I \tilde{\phi} - \frac{M_I}{2} \bar{N}_I^c N_I + \text{h.c.}$$

From Constraints  
(compiled  $\nu$  oscillation data)  
on (light) sterile neutrinos:  
*Giunti, Hernandez ...*  
*N=1 excluded by data*

Yukawa couplings  
Matrix (N=2 or 3)

$$F = \tilde{K}_L f_d \tilde{K}_R^\dagger$$

Model with 2 or 3 singlet fermions works well in reproducing Baryon Asymmetry and is consistent with Experimental Data on neutrino oscillations

Model with N=3 also works fine, and in fact it allows **one** of the Majorana fermions to almost **decouple** from the rest of the SM fields, thus providing candidates for **light** (keV region of mass) sterile neutrino **Dark Matter**.

# SM Extension with N extra right-handed neutrinos

Non SUSY  $\nu$ MSM

Boyarski, Ruchayskiy, Shaposhnikov

$$L = L_{SM} + \bar{N}_I i \partial_\mu \gamma^\mu N_I - F_{\alpha I} \bar{L}_\alpha N_I \tilde{\phi} - \frac{M_I}{2} \bar{N}_I^c N_I + \text{h.c.}$$