



# A Short Text Classification Approach with Event Detection and Conceptual Information

Wei YIN

Department of Computer Science and Engineering  
School of Electronic Information and Electrical  
Engineering  
Shanghai Jiao Tong University  
Shanghai, China  
yinwei@sjtu.edu.cn

Liping SHEN

Department of Computer Science and Engineering  
School of Electronic Information and Electrical  
Engineering  
Shanghai Jiao Tong University  
Shanghai, China  
lpshen@cs.sjtu.edu.cn

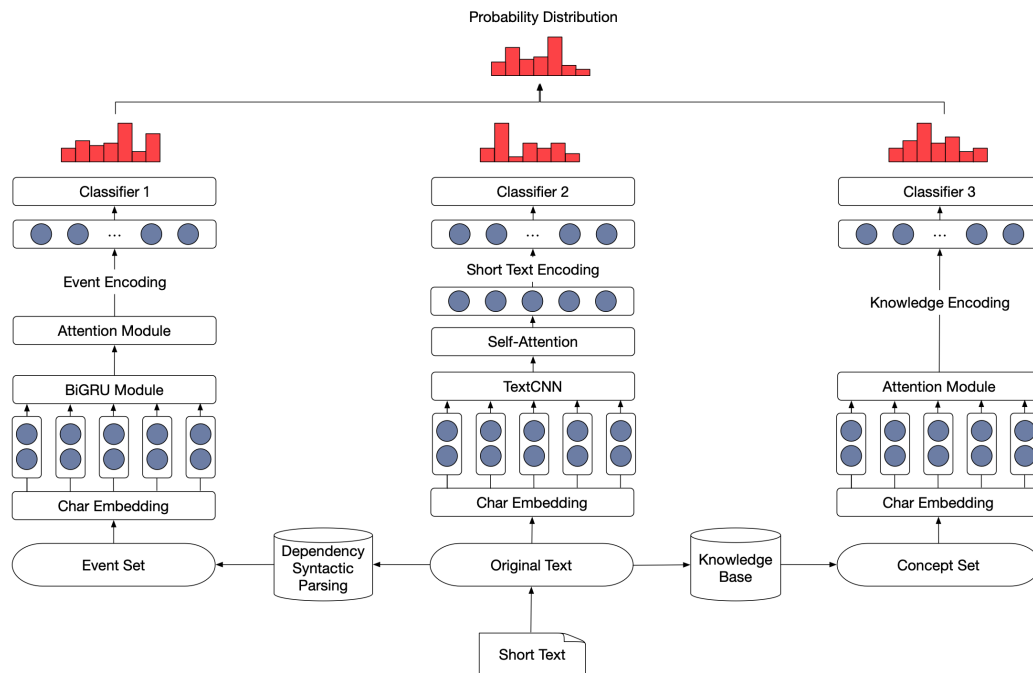


Figure 1: Model Architecture

## ABSTRACT

Text classification is an elementary task in Natural Language Processing (NLP). Existing methods, such as Long Short-Term Memory Networks (LSTM) and Attention Mechanism have recently achieved strong performance on multiple NLP related tasks. However, in the field of text classification, their results are often limited by the quality of feature extraction. This phenomenon is particularly prominent in short text classification tasks, since short text does not

have enough contextual information compared to paragraphs and documents. To address this challenge, in this article, we propose a method to enhance the semantic information of short text with two aspects: event-level information extracted from text and conceptual information retrieved from external knowledge base. We take event and conceptual information as a type of supplementary knowledge and incorporate it into deep neural networks. Attention mechanism is utilized to measure the importance of the supplementary knowledge. Meanwhile, we have discussed the granularity selection for Chinese word segmentation, and select char-based models. Finally, we classify a short text with the help of event and conceptual information. The experimental results show that the proposed method outperforms the state-of-the-art methods.

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## CCS CONCEPTS

• Computing methodologies → Information extraction.

## KEYWORDS

short text classification; attention mechanism; event extraction; concept knowledge

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## 1 INTRODUCTION

Short text has gradually become the most common text form. Short text is mainly generated in scenarios such as bullet screen interaction, product evaluation, and news headlines. Enterprises need to understand the actual needs of users based on this information in order to introduce better services and products. Relevant government departments can also monitor public opinion based on these data.

For the short text classification problem, there are two main features, one is that the average text word count is short, and the other is that the text contains less information. Compared with other forms of text, short text, as the name implies, there doesn't exist sufficient contextual information. As a consequence, its semantics are ambiguous and difficult to classify. In order to overcome this problem, the current mainstream idea is to add more information, which can be divided into two types of methods: explicit information and implicit information.

For explicit representation, a short text is represented as a sparse vector where each dimension is an explicit feature, corresponding to syntactic information of the short text including n-gram, POS tagging and syntactic parsing [1]. Researchers develop effective features from many different aspects such as knowledge base and the results of dependency parsing. The explicit model is interpretable and easy to understand for human beings. However, the explicit representation usually ignores the context of short text and cannot capture deep semantic information.

In terms of implicit representation, a short text is usually mapped to an implicit space and represented as a dense vector [2]. The implicit model is good at capturing syntax and semantic information in short text based on deep neural networks. However, it ignores important semantic relations such as `is_A` and `is_Property_Of` that exist in Knowledge Bases (KBs). Such information is helpful for the understanding of short texts, especially when dealing with previously unseen words. However, this method also has certain limitations, because the process of feature learning requires a large amount of data.

A typical original input text consists of characters and numbers, which cannot be processed directly by a computer. As a consequence, at first, text data need to be encoded into the corresponding vector. For Chinese Language Processing, whether word segmentation is necessary and the granularity of word segmentation have become a key issue.

In this paper, we propose a novel model for short text classification based on event-level information extracted from text and conceptual information retrieved from external knowledge base. We take event and conceptual information as a type of supplementary knowledge and incorporate it into deep neural networks. We utilize

attention mechanism to measure the importance of the supplementary knowledge. Meanwhile, we have discussed the granularity selection for Chinese word segmentation, and select char-based models. Finally, we classify a short text with the help of event and conceptual information. The experimental results show that the proposed method outperforms the state-of-the-art methods.

The remaining of this paper is structured as follows. In Section II, we review related work about short text classification and neural network. Section III presents our model in detail. In Section IV, we explain details about the experiments. Finally, we conclude the paper in Section V.

## 2 RELATED WORK

Over the years, numerous approaches have been proposed for short text classification and Chinese word segmentation. In traditional text classification approaches, explicit and statistical information is widely used in features engineering. In addition, some derivative features have been designed, such as part-of-speech tags [3], noun phrases and tree kernels [4].

Recently, deep neural networks can learn under-lying features automatically and have been used in the language processing. Most representative progress was made by Zeng et al. [5], who utilized convolutional neural networks (CNN) for relation classification. While CNN is not suitable for learning long-distance semantic information, thus apart from CNN, our approach also contains Recurrent Neural Network (RNN). LSTM model is an improved RNN model and it can learn long-term dependencies. Zhou et al. [6] applied Bidirectional Long-Short Term Memory (BiLSTM) to the semantic analysis of the text. By merging the state of the forward and backward transfer layers and making full use of the contextual information, more semantic features were achieved.

A modified version of LSTM, gated recurrent unit (GRU), was proposed to make each recurrent unit to adaptively capture dependencies of different time scales. Similarly to the LSTM unit, the GRU has gating units that modulate the flow of information inside the unit, however, without having separate memory cells.

Recent years have witnessed tremendous success of word embedding in various NLP tasks [7][8]. The basic idea behind is to learn the distributed representation of a word using its context. Among existing approaches, the continuous bag-of-words model (CBOW) and Skip-Gram model are simple and effective, capable of learning word embeddings efficiently from large-scale text corpora [9][10][11].

Besides its success in English, word embedding has also been demonstrated to be extremely useful for Chinese language processing [12][13]. The work on Chinese generally follows the same idea as on English, i.e., to learn the embedding of a word on the basis of its context. However, in contrast to English where words are usually taken as basic semantic units, Chinese words may have a complicated composition structure of their semantic meanings. Under this circumstance, Chen et al. (2015) introduced a character-enhanced word embedding model (CWE), which learns embeddings jointly for words and characters but ignores radicals. Sun et al. [14] and Li et al. [15] utilized radical information to learn better character embeddings. Similarly, Shi et al. [16] split characters into small components based on the Wubi method and took into account those

components during the learning process. We will discuss Chinese text segmentation granularity problem in this paper.

### 3 OUR MODEL

In this section, we propose our model in detail. Figure 1 illustrates the logic structure of our model. The current mainstream idea for short text classification is to add more information beyond the short text itself. Based on this idea, we have constructed a model structure with the following characteristics:

- For Chinese text classification tasks in the context of deep learning, character granularity is selected in instead of word granularity in the pre-processing stage
- Added event-level information extracted from text as supplementary information
- Added concepts information acquired from external knowledge graphs as supplementary information

In the following paragraphs, we introduce the model structure in detail.

#### 3.1 Chinese Short Text Preprocessing

Given a piece of Chinese short, at first, we apply basic data pre-processing procedures. In the context of deep learning, the segmented words are usually treated as the basic units for operations (word-based models). Each segmented word is associated with a fixed-length vector representation, which will be processed by deep learning models in the same way as how English words are processed. Word-based models come with several fundamental disadvantages, as will be discussed below, such as data sparsity and out-of-vocabulary problem. As a consequence, after apples-to-apples comparison we decide to utilize character based (char-based) models in preprocessing stage. Relevant experiment detail will be discussed in section 4.

#### 3.2 Input Embedding

The input consists of two parts: short text  $s$  of length  $n$  and concept set  $C$  of size  $m$ . According to the granularity selection for Chinese language processing task proposed by Yuxuan et al.[17], we choose character as the granularity unit in data pre-processing stage. Character embedding layer is responsible for mapping each word to a high-dimensional vector space.

#### 3.3 Event Extraction

As is shown in figure 2, we conduct an event extraction method based on the triplet of  $\langle subject, verb, object \rangle$  [18] since the event-level information contains more explanatory knowledge. In our model Stanford’s dependency analysis tool is used to conduct a dependency analysis for each sentence in a document in order to obtain the dependency structure. Based on the dependency structure of the document, we iterate the whole structure and extract the combination of  $\langle subject, verb \rangle$  and  $\langle verb, object \rangle$  for each sentence. Then if two combinations in the same sentence share a common verb, we merge them into a  $\langle subject, verb, object \rangle$  triplet. Otherwise, a semantic-natural word is used to replace the blank.

For each triplet in the form of  $\langle w_{subject}, w_{verb}, w_{object} \rangle$ , we pass it into a pre-trained Word2Vec [19] model which is based on Chinese

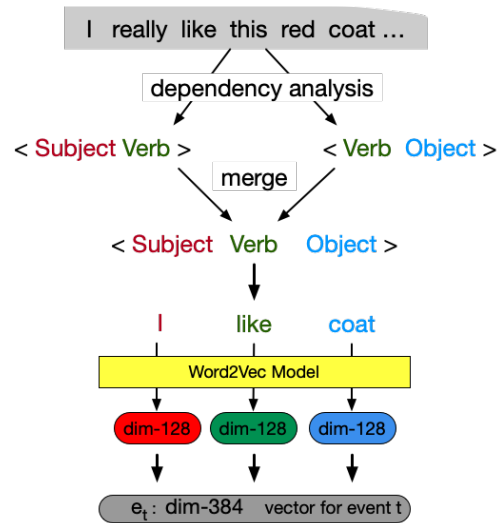


Figure 2: Event Extraction and Event Encoding Procedure

Wikipedia and with 128 as its embedding dimension. In this way, we can obtain three 128-dimensional vectors correspond to subject verb and object, respectively. Then, as is shown in figure 2, we concatenate three corresponding vectors into one 384-dimensional vector as the representation of the event and the input of the attention-based BiGRU network.

#### 3.4 Event-level attention

Long Short-Term Memory (LSTM) units are firstly proposed by Hochreiter and Schmidhuber [20] to overcome the gradient vanishing problem. The main idea is to introduce an adaptive gating mechanism, which decides the degree to which LSTM units keep the previous state and memorize the extracted features of the current data input. A Gated Recurrent Unit (GRU) was first proposed by Cho et al. [21] Similarly to the LSTM unit, the GRU has gating units that modulate the flow of information inside the unit, however, without having separate memory cells. According to the experiment conducted by Chung et al. LSTM unit and GRU have similar performance, while GRU contains fewer parameters thus reducing the computational cost. Therefore, we choose GRU instead of LSTM as the basic module.

**Bidirectional GRU network:** Standard LSTM networks and GRU networks are widely used and have proved their efficiency for the sequential data. However, the text classification task is not a sequential problem. Instead, more emphasis should be put on the context and semantic information. Thus, we apply a Bidirectional GRU (BiGRU) network in our model so as to address this challenge. The BiGRU networks extend the unidirectional GRU networks by introducing an additional layer in the opposite direction. In this way, the GRU model can be used to exploit more context and semantic information. In this paper, our BiGRU model contains two sub-networks which represent forward and backward word-order respectively.

For event  $t$  in a certain input document, the GRU calculates the event state  $h_t$  by linearly interpolating the previous event state  $h_{t-1}$  and the candidate state  $\tilde{h}_t$ , as:

$$h_t = (1 - z_t) * h_{t-1} + z_t * \tilde{h}_t \quad (1)$$

The candidate state  $\tilde{h}_t$  is calculated by non-linearly combination of input event vector  $e_t$  and the previous state, as:

$$\tilde{h}_t = \tanh(W_h e_t + r_t * U_h h_{t-1} + b_h) \quad (2)$$

The candidate state  $\tilde{h}_t$  is calculated by non-linearly combination of input event vector  $e_t$  and the previous state, as: where  $r_t$  signifies the reset gate which aims to control how much the past state information should be used for updating the new state and  $z_t$  means the update gate, deciding how much past information should be kept and how much new information should be added. The two gates mentioned above are calculating by the following formulas respectively:

$$r_t = \sigma(W_r e_t + U_r h_{t-1} + b_r) \quad (3)$$

$$z_t = \sigma(W_z e_t + U_z h_{t-1} + b_z) \quad (4)$$

Consequently, we can obtain the latent vectors for each event through GRU. In order to get more context and semantic information, we concatenate the latent vectors from both directions to build a comprehensive vector  $h_i$  for each event vector  $e_i$  as: (Suppose that the input document contains  $T$  events)

$$\vec{h}_i = \overrightarrow{GRU}(e_i), i \in [1, T] \quad (5)$$

$$\overleftarrow{h}_i = \overleftarrow{GRU}(e_i), i \in [T, 1] \quad (6)$$

$$h_i = [\vec{h}_i, \overleftarrow{h}_i] \quad (7)$$

Therefore, the comprehensive vector  $h_t$  contains both the information of its surrounding context and itself. Further, event vector  $h_t$  is served as the input for the attention layer.

**Event-level Attention:** Attention neural networks have recently achieved good performance in a wide range of tasks ranging from question answering, machine translations, speech recognition, to image captioning. Considering the fact that not all events contribute equally in terms of the document type prediction. We apply an attention layer to reevaluate the weight of each kind of event by assigning new attention value to each event.

$$u_i = \text{sigmoid}(W_h h_i + b_h) \quad (8)$$

$$\alpha_i = \frac{\exp(u_i)}{\sum_j \exp(u_j)} \quad (9)$$

$$V_E = \sum_i \alpha_i u_i \quad (10)$$

We first pass the event vector  $h_i$  through an one-layer network to get the event-level attention value  $u_i$ . Then we calculate a normalized attention weight  $\alpha_i$  through a softmax function. Finally, we obtain the reorganized overall document vector  $V_E$  as a weighted sum of each event vector respectively. Thus, we obtain the event-level feature through an attention-based BiGRU network.

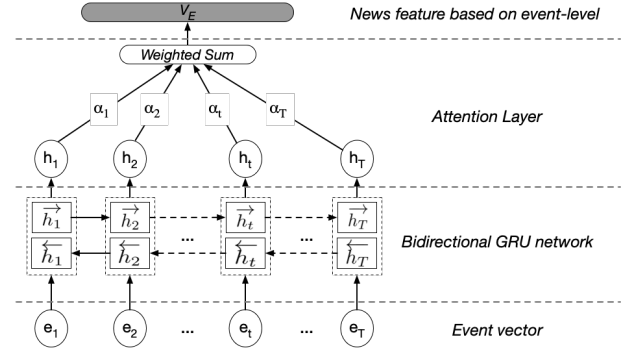


Figure 3: Structure of Attention-based BiGRU network for event-level information

### 3.5 Knowledge Retrieval

The goal of this module is to retrieve relevant knowledge from knowledge bases. There exist various type of relationship in knowledge bases, such as *has\_instance*, *isA* and *is\_related\_to*. In this paper, we take *isA* relation as an example. Chen et al.[22] proposed a typical method for knowledge retrieval. Specifically, given a short text  $s$ , we hope to find a concept set  $C$  relevant to it. We achieve this goal by two major steps: entity linking and conceptualization.

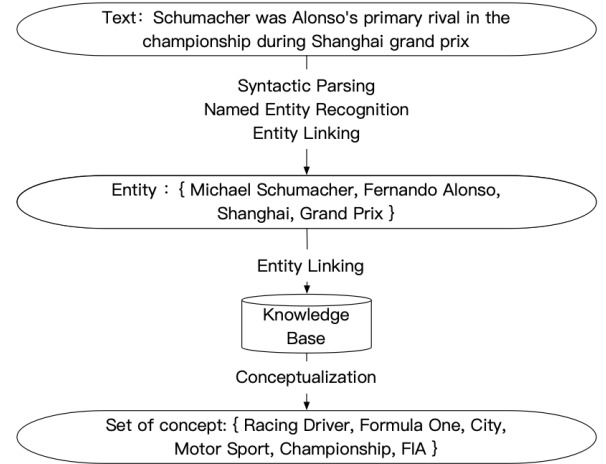


Figure 4: Example of Conceptual Knowledge Retrieval

Entity linking is an important task in NLP and is used to identify the entities mentioned in the short text [23]. We acquire an entity set  $E$  of a short text by leveraging the existing entity linking solutions [24]. Then, for each entity  $e \in E$ , we acquire its conceptual information from an existing KB, such as YAGO [25], Probase [26] and CN-Probase [27] by conceptualization. For instance, given a short text "Kobe and Lebron are NBA players", we obtain the entity set  $E = \{KobeBryant, LebronJames\}$  by entity linking. Then, we conceptualize the entity Kobe Bryant and acquire its concept set  $C = \{\text{person, athlete, actor, basketball player}\}$  from a certain Chinese database. In this paper we choose CN-Probase as our knowledge base. After extracting relevant concept words from a sentence, we

will assign weights to these concept words through the attention module.

### 3.6 TextCNN model for short text preprocessing

The goal of this module is to produce the short text representation  $q$  for a given short text of length  $n$  which is represented as the sequence of  $d$ -dimensional word vectors  $(x_1, x_2, \dots, x_n)$ .

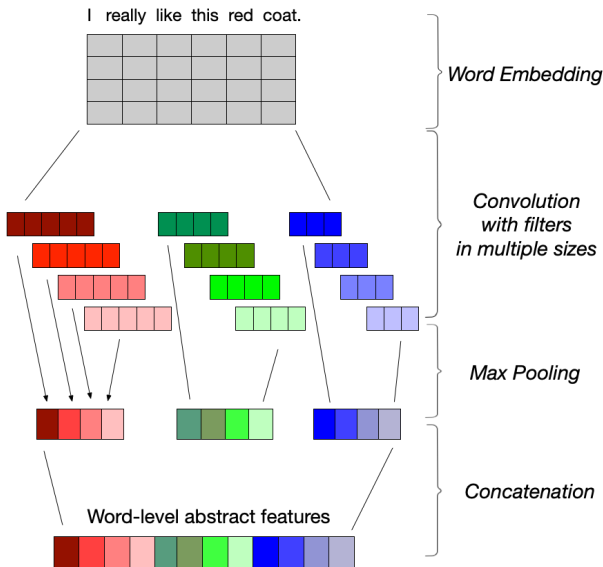


Figure 5: Structure of TextCNN model

Recently, with the great success of deep and neural learning in computer vision [28] and speech processing tasks [29], deep learning has been applied to many tasks of NLP. We apply a CNN-based text classification approach inspired by the model proposed by Kim [30].

Figure 5 illustrates the basic structure of CNN for document classification. After the basic data pre-processing, such as word segmentation and stop-word removal, we built a vocabulary dictionary and use the word embedding method to encode word to vector. In this way, these vectors can serve as the input of a neural network. Then, we design three kinds of convolutional kernels of different filter region sizes 3, 4 and 5 so as to obtain more features. 1-max pooling is performed over each feature map, i.e., the largest number from each feature map is recorded. Finally, we concatenate three vectors generated by three kinds of filters and we obtain a comprehensive vector which represents abstract feature from word-level.

### 3.7 Model Fusion

With the help of previous module, given an input short text, we obtain the original text and its related event information and concept set. Based on these three types of information, we develop three classifiers respectively. Further, inspired by the idea of ensemble learning, we apply a weighted average method to learn the parameter and generate the final classification result.

## 4 EXPERIMENTS

### 4.1 Dataset and Experimental Setup

We evaluate our model on two datasets. As shown in Table 1, the first one is a Chinese Weibo emotion analysis dataset from NLPCC2013[31]. There are 7 kinds of emotions in these weibos, such as anger, disgust, fear and etc. The second one is product review dataset from NLPCC2014 [32]. The polarity of each review is binary, either positive or negative. The average word length of the above-mentioned datasets is over 12.

The experiment is based on the Keras deep-learning framework. The dependency analysis tool is Stanford's NLP Library<sup>1</sup>. The Chinese word segmentation tool is HANLP<sup>2</sup>. The pretrained Word2Vec model is based on Chinese Wikipedia.

### 4.2 Baseline Models

We compare our method with several baseline methods as follows:

**CNN:** This model utilizes the idea of CNN on the pre-trained word embedding.

**RCNN**[33]: This method uses a recurrent convolutional neural network for text classification. It applies RNN to capture contextual information and CNN to capture the key components in texts.

**CharCNN** [34]. This method uses CNN with only character level features as the input.

**BiLSTM-MP** [35]: This model is proposed for sequential short text classification. It uses a LSTM in each direction, and use max-pooling across all LSTM hidden states to get the sentence representation, then use a multi-layer perceptron to output the classification result.

**BiLSTM-SA** [36]: This method uses BiLSTM and source2token self-attention to encode a sentence into a fixed size representation which is used for classification.

**BiGRU-MP** We apply a bidirectional GRU network to replace the LSTM unit in BiLSTM-MP method to compare the performance of LSTM and GRU. The input of BiGRU-MP is the same as that in BiLSTM-MP.

**BiGRU-SA** We apply a bidirectional GRU network to replace the LSTM unit in BiLSTM-SA to evaluate the performance of LSTM and GRU. The input of BiGRU-SA is the same as that in BiLSTM-SA.

**KPCNN** [37]: It utilizes CNN to perform classification based on word and character level information of short text and concepts.

**TextCNN** We apply a TextCNN model based on method proposed by Kim et al.[38] to evaluate the performance of only using short text itself as the input. We use the word embedding method to transform a word into a 256-dim vector.

**STCKA-word** [22] STCKA stands for Short Text Classification with Knowledge Powered Attention. It utilizes knowledge information along with short text itself and applies attention mechanism to allocate weight parameter for each knowledge concept in a piece of text. Since this model utilizes word-level granularity in data pre-processing part, we mark it as STACK-word in the comparison table.

<sup>1</sup><https://nlp.stanford.edu/software>

<sup>2</sup><https://github.com/hankcs/HanLP>

**Table 1: Details of the experimental datasets**

Dataset	Number of class	Avg. Chars	Avg. Words	Training/Validation/Test set
Weibo Emotion	7	26.51	17.23	3766/660/500
Product Review	2	64.71	40.31	7698/1300/1000

**STCKA-char** This model is the state-of-the-art method for short text classification. In this model, we modify the text segmentation method and apply character granularity on the STCKA model.

### 4.3 Result and Analysis

$F_{avg}$  is applied to evaluate the performance of models on Weibo and product review dataset, where  $F_{avg}$  is the average of the  $F_{score}$  for each category.

**Table 2: Comparison of Experimental Results**

Model	Weibo Emotion	Product Review
CNN	0.390	0.729
RCNN	0.404	0.728
CharCNN	0.410	0.701
BiLSTM-MP	0.416	0.729
BiLSTM-SA	0.412	0.731
BiGRU-MP	0.423	0.735
BiGRU-SA	0.427	0.732
KPCNN	0.424	0.734
TextCNN	0.476	0.741
STCKA-word	0.432	0.743
STCKA-char	0.512	0.736
Proposed	<b>0.552</b>	<b>0.775</b>

Table II shows the results of our experiments. We discuss several key points in the results in the following paragraphs.

**Role of the attention modules:** Here we take KPCNN and STCKA as a control group. Both of their ideas are to apply conceptual information and short text itself to the text classification process at the same time, but STCKA adds an additional attention module to identify more important concepts. The results of the experiment confirmed the effectiveness of the attention module, which means an additional attention layer can enhance the classification accuracy.

**GRU vs. LSTM:** GRU network achieves a similar performance with LSTM with fewer parameters. Thus, GRU shows high efficiency in practical text classification tasks.

**Word-level granularity vs. Char-level granularity:** In this paper, our discussion of granularity is in the context of deep learning and in the context of Chinese text. For the same version of input, we applied word-level granularity and char-level granularity in segmentation process. Results show that for deep learning-based Chinese Natural Language Processing task, word-level granularity has several disadvantages. Firstly, word-level granularity tends to have data sparsity problem, which inevitably leads to overfitting and the ubiquity of (out-of-vocabulary) OOV words limits the model’s

learning capacity. Meanwhile, by checking the word frequency dictionary, the distribution of Chinese words is more uneven than that of Latin words. As a result, it is less possible for the model to fully learn semantics information from the text. Secondly, due to the peculiarity of the Chinese language, the boundaries of words are not as clear as those of Latin language. Thus, the way of word segmentation is mainly based on experience and corpus training. As a result, the accuracy of word segmentation is greatly affected by the quality of words and word segmentation tools, which greatly increases the uncertainty. Thirdly, in short text classification task, we observe that char-based models consistently outperform word-based model and we also observe that char-based models perform better or at least as good as the hybrid model, indicating that char-based models already encode sufficient semantic information. As is shown in Table 3, we select three widely used deep learning models and conduct apples to apples experiments. The experiment result shows that char-based models perform better or at least as good as the word-based model.

**Table 3: Results of models on short text classification task with different granularity on Weibo dataset**

Input Form	Model	Granularity	
		char-level	word-level
Short Text	BiLSTM-MP	0.434	0.416
	BiGRU-MP	0.441	0.423
	TextCNN	0.492	0.478
Event	BiLSTM-MP	0.332	0.316
	BiGRU-MP	0.320	0.354
	TextCNN	0.356	0.322
Concept	BiLSTM-MP	0.233	0.225
	BiGRU-MP	0.231	0.265
	TextCNN	0.263	0.251

#### The role of supplementary information

According the experiment results in Table 3, for a specific model, different input will lead to different upper limit. This is also an important issue in the short text classification task. Compared with paragraphs or documents, short texts are more ambiguous since they have not enough contextual information. As a consequence, as is shown in Table 4 introducing more information helps increase the classification accuracy of short text.

**Embedding Tuning:** We totally use three embeddings in our model. Concept embeddings are randomly initialized and fine-tuned in the training stage. As for character and word embedding, we try three embedding tuning strategies:

- Rand: The embedding is randomly initialized and then modified in the training stage.

**Table 4: Results of models with different type of input information on Weibo dataset**

Input Source		Model	F_avg
Single Source	Short Text	TextCNN	0.476
Bi-Source	Short Text + Concept	STCKA	0.512
Tri-Source	Short Text + Event + Concept	Proposed	0.552

- Static: Using pre-trained embedding which is kept static in the training.
- Non-static: Using pre-trained embedding initially, and tuning it in the training stage.

## 5 CONCLUSION AND FUTURE WORK

In this paper, we study the possible optimization aspects of the text classification problem, including source text, segmentation granularity, embedding method, model and model fusion. We propose a novel short text classification method which combines event information and conceptual knowledge from external knowledge base together as supplementary information in order to obtain more contextual and semantic information. The application of TextCNN and attention mechanism makes full use of the advantages of feature extraction capability of deep learning. We also discuss the granularity selection problem for Chinese text under deep learning context and utilize char-based model in our proposed method.

Our future work will be: 1) Continue to follow the idea of introducing more information to aid classification, test new information for input 2) for Chinese word segmentation problem, test more granularity such as radicals 3) optimize the ensemble method for classifiers.

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