

Fast Incremental Discovery of Pointwise Order Dependencies

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ABSTRACT

Pointwise order dependencies (PODs) are dependencies that specify ordering semantics on attributes of tuples. POD discovery refers to the process of identifying the set Σ of valid and minimal PODs on a given data set D . In practice D is typically large and keeps changing, and it is prohibitively expensive to compute Σ from scratch every time. In this paper, we make a first effort to study the incremental POD discovery problem, aiming at computing changes $\Delta\Sigma$ to Σ such that $\Sigma \oplus \Delta\Sigma$ is the set of valid and minimal PODs on D with a set ΔD of tuple insertion updates. (1) We first propose a novel indexing technique for inputs Σ and D . We give algorithms to build and choose indexes for Σ and D , and to update indexes in response to ΔD . We show that POD violations *w.r.t.* Σ incurred by ΔD can be efficiently identified by leveraging the proposed indexes, with a cost dependent on $\log(|D|)$. (2) We then present an effective algorithm for computing $\Delta\Sigma$, based on Σ and identified violations caused by ΔD . The PODs in Σ that become invalid on $D + \Delta D$ are efficiently detected with the proposed indexes, and further new valid PODs on $D + \Delta D$ are identified by refining those invalid PODs in Σ on $D + \Delta D$. (3) Finally, using both real-life and synthetic datasets, we experimentally show that our approach outperforms the batch approach that computes from scratch, up to orders of magnitude.

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1. INTRODUCTION

Data dependencies are valuable in, *e.g.*, schema design [4], query optimization [6, 31, 36] and data quality management [10, 20], among others. A host of dependencies are proposed in literature; see *e.g.*, functional dependencies (FDs), conditional functional dependencies [11], denial constraints (DCs) [8], sequential dependencies [17] and differential depen-

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dencies [32]. Recently, pointwise order dependencies (PODs) are introduced in [15, 16, 37], as a dependency language to specify ordering semantics on attributes of tuples.

Example 1: Consider the sample tax data (relation instance D_1) in Table 1. Each tuple denotes a person with the following attributes: Tuple ID (TID), first name (FName), last name (LName), tax date (Date), social security number (SSN), tax serial number (NUM), area code (AC), phone number (PH), state (ST), zip code (ZIP), salary (SAL), tax rate (RATE) and tax exemption amount (TXA).

We present some constraints that hold on D_1 .

- σ_1 : Persons with the same zip code live in the same state.
- σ_2 : The SSN of a person determines his/her name.
- σ_3 : A person with a lower salary and a higher tax exemption amount has a lower tax rate.
- σ_4 : The tax serial number increases with the tax date for a single person.

We see that σ_1 and σ_2 are two FDs that only require the *equality* operator (=). In contrast, more comparison operators are needed for σ_3 and σ_4 , to express ordering semantics on tuple values. Specifically, all these constraints can be expressed in the notation of PODs (formalized in Section 3).

- σ_1 : $\{\text{ZIP}^=\} \leftrightarrow \{\text{ST}^=\}$
- σ_2 : $\{\text{SSN}^=\} \leftrightarrow \{\text{FName}^=, \text{LName}^=\}$
- σ_3 : $\{\text{TXA}^>, \text{SAL}^<\} \leftrightarrow \{\text{RATE}^<\}$
- σ_4 : $\{\text{SSN}^=, \text{Date}^<\} \leftrightarrow \{\text{NUM}^<\}$ □

PODs subsume FDs as a special case, and can additionally specify ordering semantics. This is desirable since ordered attributes are very common in data values. Hence, PODs can be employed to define data quality rules [10]. PODs can also be leveraged to optimize queries with inequality joins [22].

To take advantage of PODs, the set Σ of PODs that hold (are valid) on a relation D is expected to be known. It is typically too expensive to design dependencies manually [1, 2], which highlights the quest for automatic discovery techniques, to find the set Σ of PODs that hold on D .

Worse, data in practice typically keeps changing, which means that a set ΔD of updates may be applied to D . In this paper, we make a first effort for the case of tuple insertions. While deletions may be prohibited, such as data warehouses and block chains, insertions are typically supported. We must update dependencies for tuple insertions because tuple insertions (but not deletions) may cause valid dependencies to be invalid and using invalid dependencies leads to errors.

For discovering the set of PODs on $D + \Delta D$, a naive way is to recompute all PODs from scratch on $D + \Delta D$, which is obviously computationally expensive. Intuitively, when ΔD is small compared to D , a better approach is to com-

Table 1: Tax Data: D_1

TID	FName	LName	Date	SSN	NUM	AC	PH	ST	ZIP	SAL	RATE	TXA
t_0	Ali	Sadam	20140410	719975883	1448	719	6059466	CO	80612	32000	1.24	3000
t_1	Eser	Duparc	20140224	303975883	1401	303	5872027	CO	80612	50000	1.42	1500
t_2	Hennie	Hannen	20140413	701178073	1486	701	1638673	ND	58671	30000	1.21	3400
t_3	Rene	Beke	20130403	801350874	1386	801	6192334	UT	84308	55000	2.04	1300
t_4	Ali	Sadam	20140329	719975883	1427	719	6059466	CO	80612	7500	1.21	0
t_5	Hennie	Hannen	20130404	701178073	1386	701	1638673	ND	58671	6500	0.24	900
t_6	PerOlof	Motwani	20150324	970122634	1547	970	8484643	CO	80209	95000	2.85	1100

Table 2: Incremental Tax Data: ΔD_1

TID	FName	LName	Date	SSN	NUM	AC	PH	ST	ZIP	SAL	RATE	TXA
t_7	Yuichiro	Uckun	20130322	435849162	1368	435	5872027	UT	84308	40000	1.42	3300
t_8	Hennie	Hannen	20140218	701178073	1478	701	1638673	ND	58671	33000	2.04	1400
t_9	Rene	Beke	20150213	801350874	1533	801	6192334	UT	84308	32000	1.23	3200

pute changes $\Delta\Sigma$ to Σ , such that $\Sigma \oplus \Delta\Sigma$ is a set of PODs that hold on $D + \Delta D$. We use the notation “ \oplus ” since some PODs in Σ no longer hold and are removed from Σ , while some new valid PODs may be discovered and added into Σ . This approach is known as incremental dependency discovery [3, 30, 39], in contrast to batch (non-incremental) approaches that discover dependencies on the whole data set. Incremental POD discovery is very intricate for tuple insertions, as illustrated in the following example.

Example 2: Consider the incremental ΔD_1 (Table 2). Suppose $\Sigma = \{\sigma_1, \sigma_2, \sigma_3, \sigma_4\}$ in Example 1, is the set of PODs discovered on D_1 . On $D_1 + \Delta D_1$, we can see that σ_1 , σ_2 and σ_4 still hold, but σ_3 is invalid now. Specifically, σ_3 is invalid due to violations caused by tuples t_1 , t_7 and t_3 , t_8 .

Therefore, from Σ we should remove σ_3 that does not hold on $D_1 + \Delta D_1$. More importantly, we should discover a set of new PODs holding on $D_1 + \Delta D_1$ as additions to Σ . Intuitively, we can evolve new valid PODs based on PODs (in Σ) that are invalid on $D_1 + \Delta D_1$.

Based on σ_3 , we may find two new PODs valid on $D_1 + \Delta D_1$. $\sigma'_3 = \{ST^=, TXA^>, SAL^<\} \leftrightarrow \{RATE^<\}$ and $\sigma''_3 = \{TXA^>, SAL^<\} \leftrightarrow \{RATE^{\leq}\}$. σ'_3 introduces an additional attribute with a comparison operator to the left-hand side (LHS) of σ_3 ; the LHS conditions are strengthened. In contrast, σ''_3 relaxes σ_3 in its right-hand side (RHS) condition by changing ‘<’ to ‘ \leq ’. Both σ'_3 and σ''_3 hold on D_1 ; obviously any POD that holds on $D_1 + \Delta D_1$ holds on D_1 . But neither σ'_3 nor σ''_3 is in Σ . The reason is that discovery algorithms typically find only *minimal* constraints (formalized in Section 3). σ'_3 (resp. σ''_3) is not minimal on D_1 since σ_3 is valid on D_1 and *logically implies* σ'_3 (resp. σ''_3), in the sense that any relation that satisfies σ_3 must satisfy σ'_3 (resp. σ''_3). Since σ_3 does not hold on $D_1 + \Delta D_1$, σ'_3 and σ''_3 are minimal and valid PODs that should be added into Σ . \square

Contributions & organizations. In this work, we make a first effort to study incremental POD discovery, for Σ on D and a set ΔD of tuple insertions to D .

(1) Violation detection is a crucial step of incremental POD discovery. We present a novel indexing technique for two attributes with inequality operators. Leveraging the indexes, we show that violations of Σ incurred by ΔD can be identified with a cost dependent on $\log(|D|)$, where $|D|$ is the size of D . (Section 4).

(2) We develop an algorithm for building an *optimal* index for two attributes with inequality operators. We study techniques for choosing indexes for the set Σ of PODs, to balance the index space and efficiency. We also present an algorithm for updating indexes in response to ΔD , when POD violations are identified simultaneously (Section 5).

(3) We develop techniques for discovering $\Delta\Sigma$ based on Σ and violations incurred by ΔD . After identifying in Σ invalid PODs on $D + \Delta D$, we present algorithms to evolve new valid and minimal PODs based on invalid PODs by refining LHS and (or) RHS attributes and operators (Section 6).

(4) Using a host of real-life and synthetic datasets, we conduct extensive experiments to verify the effectiveness and efficiency of our approaches (Section 7).

2. RELATED WORK

Dependency discoveries are known as one of the most important aspects of data profiling [1, 2], and are extensively studied for a host of dependencies; see *e.g.*, [12–14, 17–19, 24, 28, 29, 38]. This section investigates works close to ours: the hierarchy of order dependency classes and denial constraints (DCs), discovery of order dependencies and DCs, incremental dependency discoveries, and techniques for inequality joins.

The hierarchy of order dependencies and DCs. There is a different notion of order dependency in literature, referred to as lexicographical order dependency (LOD) [35, 37]. As opposed to PODs that are defined on sets of attributes, LODs are defined on lists of attributes. PODs *strictly generalize* LODs [37], in the sense that each LOD can be mapped into a set of PODs, and a relation satisfies the LOD iff it satisfies all PODs in the set. The generalization is *strict*, since LODs do not generalize PODs. Set-based canonical order dependencies are presented in [33, 34], as alternatives to LODs. PODs again strictly generalize canonical order dependencies.

Denial constraints (DCs) [7, 8] are given in a universally quantified first order logic formalism. DCs generalize PODs, and each POD can be encoded as a DC.

Discovery of order dependency. To our best knowledge, we are not aware of any existing works on POD discovery. Batch discovery methods are studied for LODs in [9, 21, 25], and for set-based canonical ODs in [33, 34]. They cannot be applied for discovering PODs, since PODs are more general.

As will be studied in Section 3, the batch discovery of PODs has a complexity *exponential* in the number of attributes, similar to the set-based canonical OD discovery [33, 34], and is better than the LOD discovery [9, 21, 25], which is of a *factorial* complexity in the number of attributes.

Batch DC discoveries are investigated in [5, 7, 26]. We implement batch POD discovery algorithms by adapting DC discovery methods, to compare against our incremental POD discovery technique in experimental evaluations (Section 7).

Different from batch discoveries, this work investigates the incremental discovery problem, aiming at computing $\Delta\Sigma$ in response to ΔD , based on known Σ discovered on D .

Incremental Dependency Discovery. Incremental discoveries have received an increasing attention, due to their practical demands. Incremental discovery techniques are developed in [3] for unique column combinations (UCCs), *a.k.a.* candidate keys, and in [30] for FDs. Recently, incremental LOD discovery is also studied in [39].

Given a set Σ of discovered constraints on D and a set ΔD of updates, incremental discoveries mainly address two problems. The first one is to efficiently identify violations of Σ incurred by ΔD , and the second one is to refine Σ for $\Sigma \oplus \Delta\Sigma$ that is valid on the updated data set. The solutions of the two problems, however, differ significantly from one constraint type to another. Incremental POD discovery is necessarily more complex; PODs subsume FDs (UCCs) and generalize LODs. As will be studied in Section 4, the incremental POD violation detection problem already deserves an in-depth treatment and motivates us to develop a novel indexing technique. Also, a completely new refinement strategy for PODs is required for computing $\Delta\Sigma$, since we can not only add more attributes to the LHS, but also refine operators for both LHS and RHS attributes.

Inequality joins. Inequality joins are to join relations with inequality conditions, and violations of PODs on a relation D can be identified by a self-join on D with inequality conditions. [22] is the state-of-the-art technique for inequality joins, which is further extended in [23] for incremental inequality joins in response to data updates. As opposed to [23] that has a cost dependent on $|D|$, we present a novel indexing technique that enables finding violating tuple pairs incurred by tuple insertions in a cost dependent on $\log(|D|)$. Experimental evaluations demonstrate that our technique significantly outperforms [23] on tuple insertions.

3. PRELIMINARIES

In this section, we first review basic notations of PODs. We then present definitions of minimal PODs and show the complexity of batch POD discovery. We finally formalize the incremental POD discovery problem.

Basic notations. $R(A, B, \dots)$ denotes a relation schema with attributes A, B, \dots . We use D to denote a specific instance (relation). t, s denote tuples, and t_A denotes the value of attribute A in a tuple t . Each tuple t is associated with a distinct identifier (*id*), denoted by t_{id} .

Marked Attributes [15, 16]. A marked attribute is of the form A^{op} , where operator $op \in \{<, \leq, >, \geq, =\}$. For two tuples t and s , we write $A^{op}(t, s)$, if $t_A op s_A$ holds.

Example 3: In Table 1, we have $SAL^>(t_1, t_0)$, since t_1 has a larger value on SAL than t_0 . We also have $AC^=(t_2, t_5)$. \square

Pointwise Order Dependencies (PODs) [15, 16, 37]. A POD σ is of the form $\mathcal{X} \hookrightarrow \mathcal{Y}$, where \mathcal{X} and \mathcal{Y} are two sets of

Table 3: Operator Inverse and Implication

op	$=$	$<$	$>$	\leq	\geq
\overline{op}	$<, >$	\geq	\leq	$>$	$<$
$im(op)$	$=, \geq, \leq$	$<, \leq$	$>, \geq$	\leq	\geq

marked attributes. σ holds on a relation D , iff for any two tuples t, s in D , $B^{op}(t, s)$ for all $B^{op} \in \mathcal{Y}$ if $A^{op}(t, s)$ for all $A^{op} \in \mathcal{X}$. We say σ is valid on D if σ holds on D .

Formal theoretical foundations of PODs, *e.g.*, a sound and complete set of inference rules and logical implication, are studied in [15]. Below we present some observations and additional definitions, inspired by the inference rules.

In the sequel, we consider PODs in which each attribute (neglecting operator) occurs at most once in the LHS and RHS. It can be verified that PODs with multiple occurrences of a same attribute can be safely skipped, *e.g.*, $\{A^<\} \hookrightarrow \{A^<\}$ and $\{A^<, A^>\} \hookrightarrow \{B^<\}$ trivially hold; $\{A^<, A^<\} \hookrightarrow \{B^<\}$ is equivalent to $\{A^<\} \hookrightarrow \{B^<\}$.

Example 4: $\sigma_4 = \{SSN^=, Date^<\} \hookrightarrow \{NUM^<\}$ holds on D_1 (Table 1): for any two tuples s and t in D_1 , if $SSN^=(s, t)$ and $Date^<(s, t)$, then $NUM^<(s, t)$. \square

Remark. Observe the following. (1) Functional dependencies (FDs) are special cases of PODs when only operator “=” is used. (2) A POD $\mathcal{X} \hookrightarrow \mathcal{Y}$ can be expressed as a set of PODs $\mathcal{X} \hookrightarrow B_i^{op_i}$ for each $B_i^{op_i} \in \mathcal{Y}$. Obviously, $\mathcal{X} \hookrightarrow \mathcal{Y}$ is valid iff every $\mathcal{X} \hookrightarrow B_i^{op_i}$ is valid. We hence consider PODs with a single RHS marked attribute in the sequel. (3) Each POD σ has a *symmetry* POD σ_{sym} by reversing “>” and “<”, *e.g.*, $\{A_1^<, A_2^>, A_3^<\} \hookrightarrow \{B^>\}$ and $\{A_1^>, A_2^<, A_3^>\} \hookrightarrow \{B^<\}$. It is easy to see that σ is valid iff σ_{sym} is valid. To avoid redundancy, we consider PODs that take $op \in \{<, \leq, =\}$ on its RHS, *e.g.*, $\{A_1^<, A_2^<, A_3^<\} \hookrightarrow \{B^<\}$.

The number of PODs valid on an instance D can be very large. Similar to other dependency discoveries [7, 24], it is more instructive to find the set of *minimal* PODs rather than all PODs. Before formalizing minimal PODs, we introduce some additional notations.

The inverse and implication of operator. We denote by \overline{op} (resp. $im(op)$), the inverse (resp. implication) of an operator op , as summarized in Table 3. It can be seen that, (1) either $A^{op}(t, s)$ or $A^{\overline{op}}(t, s)$, but never both; and (2) if $A^{op}(t, s)$ then $A^{im(op)}(t, s)$.

Containment of marked attribute sets. For two sets \mathcal{X} and \mathcal{X}' of marked attributes, we say \mathcal{X} *contains* \mathcal{X}' , written as $\mathcal{X}' \subseteq \mathcal{X}$, if $\forall A^{op} \in \mathcal{X}', op' \in im(op)$ if $A^{op'} \in \mathcal{X}$.

Example 5: It can be seen that $\{TXA^>, SAL^<\} \subseteq \{ST^=, TXA^>, SAL^<\}$, and $\{RATE^<\} \subseteq \{RATE^<\}$. \square

Intuitively, $\mathcal{X}' \subseteq \mathcal{X}$ implies that \mathcal{X} is “stricter” than \mathcal{X}' : $\mathcal{X} \hookrightarrow \mathcal{X}'$ is valid on any relation D .

Formally, a POD σ is *not* minimal if σ is logically implied by another valid POD σ' , which means that any relation D that satisfies σ' must satisfy σ .

Minimal PODs. A POD $\sigma = \mathcal{X} \hookrightarrow B^{op}$ is minimal if there does not exist another valid POD $\sigma' = \mathcal{X}' \hookrightarrow B^{op'}$, where $\mathcal{X}' \subseteq \mathcal{X}$ and $op \in im(op')$.

Example 6: If $\{TXA^>, SAL^<\} \hookrightarrow \{RATE^<\}$ is valid, then it can be verified by definition that neither $\{ST^=, TXA^>, SAL^<\} \hookrightarrow \{RATE^<\}$ nor $\{TXA^>, SAL^<\} \hookrightarrow \{RATE^<\}$ is minimal. We can see that any relation that satisfies $\{TXA^>, SAL^<\} \hookrightarrow \{RATE^<\}$ must satisfy both $\{ST^=, TXA^>, SAL^<\} \hookrightarrow \{RATE^<\}$ and $\{TXA^>, SAL^<\} \hookrightarrow \{RATE^<\}$. \square

Batch POD discovery. Given a relation D of schema R , batch POD discovery is to find the complete set Σ of minimal and valid PODs on D .

The worst-case complexity of a dependency discovery is usually measured as the total number of candidate dependencies (the search space of the dependency discovery) with a given schema R . See *e.g.*, LODs [9, 25], set-based canonical ODs [33, 34] and DCs [7]. Observe that for a POD, (1) on the RHS, an attribute $A \in R$ is present with one operator among the three possible ones ($\{<, \leq, =\}$ due to symmetry); and (2) on the LHS, any attribute in $R \setminus A$ is either (a) not present, or (b) present with one operator among the five possible ones ($\{<, \leq, >, \geq, =\}$). Therefore, batch POD discovery has a complexity of $O(3 \cdot |R| \cdot 6^{|R|-1})$ in the number $|R|$ of attributes.

Set-based canonical ODs [33, 34] generalize LODs, and the canonical OD discovery has a complexity exponential in $|R|$, in contrast to the *factorial* complexity $O(|R|!)$ of LOD discovery [9, 21, 25]. This is because different LODs may be mapped to the same canonical ODs. PODs further generalize canonical ODs, still with an exponential complexity. We find the increased expressive power of PODs is necessary, since only PODs can express the *conjunction* of order specifications. For example, $\{\text{Mid}^>, \text{Final}^>\} \leftrightarrow \{\text{Grade}^>\}$ states that a student has a better grade if he/she has a better score in both the midterm and the final exam [15]. This ordering specification common in practice *cannot* be expressed in either LODs or canonical ODs.

Incremental POD discovery for tuple insertions. Given the complete set Σ of minimal valid PODs on D of schema R , and a set ΔD of tuple insertions to D , incremental POD discovery is to find, changes $\Delta\Sigma$ to Σ that makes $\Sigma \oplus \Delta\Sigma$ the complete set of minimal and valid PODs on $D + \Delta D$.

Specifically, $\Delta\Sigma = \Delta\Sigma^+ \cup \Delta\Sigma^-$, where $\Delta\Sigma^+$ and $\Delta\Sigma^-$ are disjoint. (1) $\Delta\Sigma^+ \cap \Sigma = \emptyset$: $\Delta\Sigma^+$ contains new minimal valid PODs on $D + \Delta D$ as additions to Σ . (2) $\Delta\Sigma^- \subseteq \Sigma$: $\Delta\Sigma^-$ contains PODs in Σ that are invalid on $D + \Delta D$ and to be removed from Σ . That is, $\Sigma \oplus \Delta\Sigma$ is computed as $(\Sigma \cup \Delta\Sigma^+) \setminus \Delta\Sigma^-$.

The incremental discovery problem has a worst-case complexity as its batch counterpart: each batch discovery on D' is equivalent to the incremental discovery if $D = \phi$, $\Sigma = \phi$ and $\Delta D = D'$. In practice, ΔD is typically (much) smaller than D . An incremental algorithm can greatly improve the efficiency if its computation cost is dependent on $|\Delta D|$ and $\log(|D|)$, instead of $|D|$. We present techniques that indeed achieve this goal in the following sections.

4. INDEXES FOR INEQUALITY OPERATORS

In this section, we present a novel index structure, which is an important building block for efficiently identifying POD violations in response to ΔD .

It is important for any incremental dependency discovery algorithm to efficiently identify violations incurred by ΔD . Based on the known D and Σ , all existing incremental discoveries [3, 30, 39] leverage indexes to speed up violation detections. However, the introduction of inequality operators ($<, \leq, >, \geq$) in PODs, significantly complicates the problem and hinders common indexing techniques.

Example 7: Consider the violation detection for $\sigma_3 = \{\text{TXA}^>, \text{SAL}^<\} \leftrightarrow \{\text{RATE}^<\}$ on relation D_1 (Table 1),

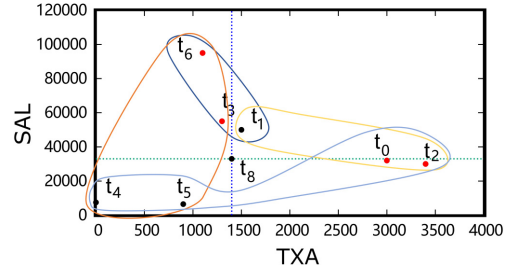


Figure 1: Candidates of Violating Tuples *w.r.t.* t_8

when tuple t_8 is inserted. As shown in Figure 1, (1) we first compute four sets: $\{t | \text{TXA}^>(t_8, t)\} = \{t_3, t_4, t_5, t_6\}$ and $\{t | \text{SAL}^<(t_8, t)\} = \{t_1, t_3, t_6\}$, while $\{t | \text{TXA}^>(t, t_8)\} = \{t_0, t_1, t_2\}$, and $\{t | \text{SAL}^<(t, t_8)\} = \{t_0, t_2, t_4, t_5\}$. (2) We then compute two sets: $\{t | \text{TXA}^>(t_8, t)\} \cap \{t | \text{SAL}^<(t_8, t)\} = \{t_3, t_6\}$, and $\{t | \text{TXA}^>(t, t_8)\} \cap \{t | \text{SAL}^<(t, t_8)\} = \{t_0, t_2\}$. Intuitively, these two sets contain *candidates* of violating tuples *w.r.t.* t_8 . On tuples in the two sets, (3) we finally check remaining conditions in σ_3 to see whether σ_3 is violated. For example, t_3, t_8 lead to a violation if $\text{RATE}^{\geq}(t_8, t_3)$, since t_3 is in the set $\{t | \text{TXA}^>(t_8, t)\} \cap \{t | \text{SAL}^<(t_8, t)\}$.

All tuples are visited for the computing in step (1). The reason is that for a tuple s in D_1 , either $\text{TXA}^>(s, t_8)$ or $\text{TXA}^>(t_8, s)$; similarly for $\text{SAL}^<$. Intuitively, inequality operators typically have a *low selectivity* [22, 23]. \square

Incremental POD discovery calls for novel indexing techniques. In contrast, incremental FD (UCC) discoveries [3, 30] only consider operator “=”.

Intuitively, indexes can be built by combining together several marked attributes with inequality operators to remedy the low selectivity of a single one. There is a trade-off concerning the number of marked attributes: more attributes would lead to more *selective* indexes, that are however more specific, *i.e.*, only apply to PODs with all these attributes (Section 5.2), and that lead to indexes with large *ranks* (to be explained shortly). In the sequel, we develop a set of novel techniques for indexes on two marked attributes with inequality operators, and they are experimentally verified to perform very well.

Index Structure. Given a relation D and two marked attributes A^{op_1}, B^{op_2} ($op_1, op_2 \in \{<, \leq, >, \geq\}$), we present an index structure for tuples in D *w.r.t.* A^{op_1}, B^{op_2} , denoted as $\text{Index}(A^{op_1}, B^{op_2})$.

We conduct a pre-processing step. We cluster tuples in D based on their values on A and B , and keep an arbitrary tuple, say t , for each cluster. We remove all other tuples from D and put them into an additional hash map with t_{id} as the key, denoted as Equ_{AB}^t .

$\text{Index}(A^{op_1}, B^{op_2}) = \{\text{Sorted}_1, \dots, \text{Sorted}_k\}$, where each Sorted_i ($i \in [1, k]$) is a sorted data structure on tuples (*ids*) in D . We call k the *rank* of the index, and use $\text{Sorted}_i[n]$ to denote the n -th element in Sorted_i , starting from 0.

(1) For each tuple t in D , there exists a single Sorted_i such that $t \in \text{Sorted}_i$;

(2) For tuples t', t in each Sorted_i , $A^{op_1}(t', t)$ and $B^{op_2}(t', t)$ if t' is after t .

Example 8: We can build $\text{Index}(\text{TXA}^>, \text{SAL}^<) = \{\{t_6, t_3, t_1, t_0, t_2\}, \{t_4, t_5\}\}$, as shown in Figure 2. Tuples are organized in two sorted structures Sorted_1 and Sorted_2 . In each Sorted_i , $\text{TXA}^>(t', t)$ and $\text{SAL}^<(t', t)$ if t' is after t . \square

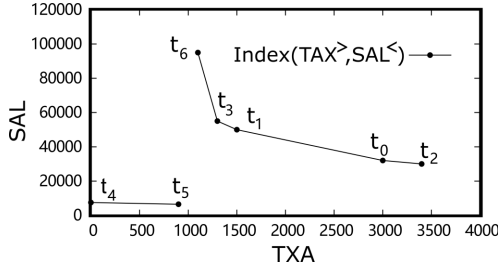


Figure 2: $\text{Index}(\text{TXA}^>, \text{SAL}^<)$

Intuitively, we aim to divide D into several parts (Sorted_i), and tuples in the same Sorted_i are sorted on both A and B . The idea is enlightened by the conditional dependencies [11], which only hold on parts of the relation rather than the whole relation. Implementation details of the indexes will be studied in Section 5.3.

Before illustrating the benefit of indexes, we provide some additional notations.

Candidates of violating tuples. For a tuple s in ΔD , we denote by $T_{A^{op1}, B^{op2}}^s$ the set of tuples in D , where $T_{A^{op1}, B^{op2}}^s = \{t | A^{op1}(s, t), B^{op2}(s, t)\}$, and by $\bar{T}_{A^{op1}, B^{op2}}^s$ the set of tuples in D , where $\bar{T}_{A^{op1}, B^{op2}}^s = \{t | A^{op1}(t, s), B^{op2}(t, s)\}$.

For example, $T_{\text{TXA}^> \text{SAL}^<}^{t_8} = \{t_3, t_6\}$, $\bar{T}_{\text{TXA}^> \text{SAL}^<}^{t_8} = \{t_0, t_2\}$ for tuple $t_8 \in \Delta D_1$. They are partial results computed in Example 7, *i.e.*, candidates of violating tuples *w.r.t.* t_8 .

We present Algorithm Fetch to efficiently compute both $T_{A^{op1}, B^{op2}}^s$ and $\bar{T}_{A^{op1}, B^{op2}}^s$, leveraging $\text{Index}(A^{op1}, B^{op2})$.

Algorithm. Fetch takes a tuple s and $\text{Index}(A^{op1}, B^{op2})$ as inputs, and works on each Sorted_i of $\text{Index}(A^{op1}, B^{op2})$.

(1) It first finds position p (line 3). Specifically, (a) $p = -1$, if $A^{op1}(\text{Sorted}_i[0], s)$; or (b) $p = \text{Sorted}_i.size - 1$, if $A^{op1}(\text{Sorted}_i[\text{Sorted}_i.size - 1], s)$; or (c) p is found such that $A^{op1}(\text{Sorted}_i[p], s)$ and $A^{op1}(\text{Sorted}_i[p+1], s)$. It then finds position q similarly by replacing A^{op1} with B^{op2} (line 4);

(2) From position $\max(p, q) + 1$ to the right in Sorted_i , it collects all tuples in the set $T_{A^{op1}, B^{op2}}^s$ (lines 6-8);

(3) From position $\min(p, q)$ to the left in Sorted_i , it collects all tuples in the set $\bar{T}_{A^{op1}, B^{op2}}^s$ (lines 9-11).

As a post-processing step, if a tuple $t \in T_{A^{op1}, B^{op2}}^s$ (resp. $\bar{T}_{A^{op1}, B^{op2}}^s$), then we also add all tuples in Equ_{AB}^t into $T_{A^{op1}, B^{op2}}^s$ (resp. $\bar{T}_{A^{op1}, B^{op2}}^s$). Recall that tuples in Equ_{AB}^t have same values on both A and B as t .

Example 9: For $\text{Index}(\text{TXA}^>, \text{SAL}^<) = \{[t_6, t_3, t_1, t_0, t_2], [t_4, t_5]\}$ and tuple $t_8 \in \Delta D_1$ (shown in Figure 3), we illustrate how to compute $T_{\text{TXA}^> \text{SAL}^<}^{t_8}$ and $\bar{T}_{\text{TXA}^> \text{SAL}^<}^{t_8}$.

(1) On $\text{Sorted}_1 = [t_6, t_3, t_1, t_0, t_2]$, we find $\text{TXA}^<(t_3, t_8)$ and $\text{TXA}^>(t_1, t_8)$, and hence $p = 1$. Similarly, $\text{SAL}^>(t_1, t_8)$ and $\text{SAL}^<(t_0, t_8)$, and we find $q = 2$.

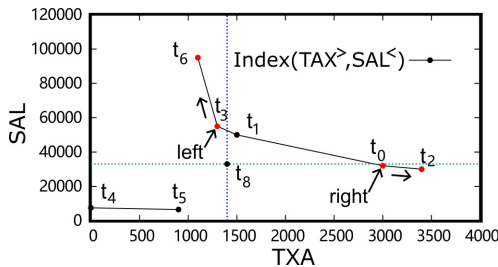


Figure 3: Algorithm Fetch on $\text{Index}(\text{TXA}^>, \text{SAL}^<)$

Algorithm 1: Fetch

```

input : Tuple  $s$ ,  $\text{Index}(A^{op1}, B^{op2})$ 
output:  $T_{A^{op1}, B^{op2}}^s, \bar{T}_{A^{op1}, B^{op2}}^s$ 
1  $T_{A^{op1}, B^{op2}}^s \leftarrow \emptyset; \bar{T}_{A^{op1}, B^{op2}}^s \leftarrow \emptyset;$ 
2 for each  $\text{Sorted}_i \in \text{Index}(A^{op1}, B^{op2})$  do
3    $p \leftarrow \text{find}(s, A^{op1}, \text{Sorted}_i);$ 
4    $q \leftarrow \text{find}(s, B^{op2}, \text{Sorted}_i);$ 
5    $\text{right} \leftarrow \max(p, q) + 1; \text{left} \leftarrow \min(p, q);$ 
6   while  $\text{right} < \text{Sorted}_i.size$  do
7     add  $\text{Sorted}_i[\text{right}]$  into  $\bar{T}_{A^{op1}, B^{op2}}^s;$ 
8      $\text{right} \leftarrow \text{right} + 1;$ 
9   while  $\text{left} \geq 0$  do
10    add  $\text{Sorted}_i[\text{left}]$  into  $T_{A^{op1}, B^{op2}}^s;$ 
11     $\text{left} \leftarrow \text{left} - 1;$ 

```

We have $\text{right} = \max(p, q) + 1 = 3$, and put t_0 into $\bar{T}_{\text{TXA}^> \text{SAL}^<}^{t_8}$. We then move to the right in Sorted_1 and put t_2 into $\bar{T}_{\text{TXA}^> \text{SAL}^<}^{t_8}$. We have $\text{left} = \min(p, q) = 1$, and add t_3 into $T_{\text{TXA}^> \text{SAL}^<}^{t_8}$. We then move to the left in Sorted_1 and put t_6 into $T_{\text{TXA}^> \text{SAL}^<}^{t_8}$.

(2) On $\text{Sorted}_2 = [t_4, t_5]$, $p = 1$ since $\text{TXA}^<(t_5, t_8)$, and $q = -1$ since $\text{SAL}^<(t_4, t_8)$. We set $\text{right} = \text{Sorted}_2.size$ and $\text{left} = -1$, and find no new tuples for $T_{\text{TXA}^> \text{SAL}^<}^{t_8}$ or $\bar{T}_{\text{TXA}^> \text{SAL}^<}^{t_8}$.

To sum up, $T_{\text{TXA}^> \text{SAL}^<}^{t_8} = \{t_3, t_6\}$, $\bar{T}_{\text{TXA}^> \text{SAL}^<}^{t_8} = \{t_0, t_2\}$. \square

Correctness & complexity. The correctness of Fetch can be easily inferred from the index specification.

Given $\text{Index}(A^{op1}, B^{op2}) = \{\text{Sorted}_1, \dots, \text{Sorted}_k\}$, it takes $\sum_{i \in [1, k]} O(\log(n_i)) \leq k \cdot O(\log(|D|))$ to identify positions p, q on all Sorted_i , where n_i is the number of tuples in Sorted_i and $|D| = \sum_{i \in [1, k]} n_i$, is the number of tuples in D . This is because each Sorted_i is sorted on both A and B . It is linear in the size of $T_{A^{op1}, B^{op2}}^s$ (resp. $\bar{T}_{A^{op1}, B^{op2}}^s$) to collect tuples for $T_{A^{op1}, B^{op2}}^s$ (resp. $\bar{T}_{A^{op1}, B^{op2}}^s$) on all Sorted_i . This cost is linear in the result size, and is obviously necessary.

Remark. The complexity depends on $\log(|D|)$ instead of $|D|$. It can be seen that the rank k of the index impacts the efficiency, and an index with a small rank is preferable. In Section 5, we study how to choose marked attributes and build indexes for small ranks. In Section 7, we experimentally study ranks of indexes on various datasets.

5. ALGORITHMS FOR INDEXES

In this section, we present a set of algorithms for index processing. Given inputs D , ΔD and Σ , we develop algorithms to (1) build an *optimal* index for two marked attributes with inequality operators (Section 5.1), to (2) choose indexes for all PODs in Σ (Section 5.2), and to (3) update indexes in response to ΔD (Section 5.3).

5.1 Building Indexes

For D and A^{op1}, B^{op2} , the possible indexes may not be unique. As an example, for $\text{Date}^<$ and $\text{NUM}^<$, besides index $I' = \{[t_6, t_3], [t_2, t_0, t_5], [t_4, t_1]\}$ (Figure 4), we have another index $I = \{[t_6, t_2, t_0, t_4, t_1, t_5], [t_3]\}$ (Figure 5). As illustrated in Section 4, they both satisfy the index specification, but we prefer I to I' since I has a smaller rank than I' .

We say $\text{Index}(A^{op1}, B^{op2})$ is *optimal* if its rank is the minimum among all indexes for A^{op1}, B^{op2} .

Algorithm. We present Algorithm OptIndex, which builds an optimal index with the minimum rank, taking as inputs

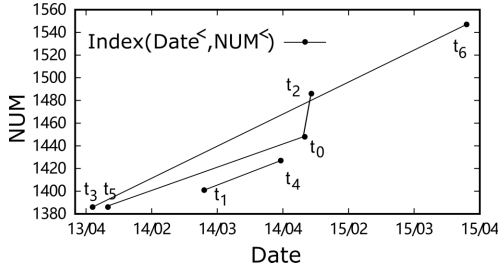


Figure 4: Index I' for $Date^<$ and $NUM^<$

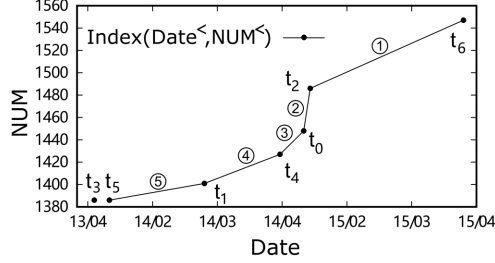


Figure 5: Index I for $Date^<$ and $NUM^<$ with $OptIndex$ two marked attributes A^{op_1} , B^{op_2} and the relation instance D ($op_1, op_2 \in \{<, \leq, >, \geq\}$).

(1) Tuples in D are sorted on A according to op_1 , and then on B according to op_2 for breaking ties (line 1). Specifically, tuples are sorted in a descending order if op_1 (op_2) is in $\{<, \leq\}$, otherwise in an ascending order.

(2) $OptIndex$ initializes the index with $Sorted_1$ containing the first tuple (line 2), and then enumerates remaining tuples (lines 3-12). For each tuple s , if there exist multiple $Sorted_i$ that satisfy the index specification *w.r.t.* s , *i.e.*, $B^{op_2}(s, t)$ if t is the last element of $Sorted_i$, then $OptIndex$ chooses the one to minimize the *difference* of attribute values on B incurred by s (lines 5-10), and adds s to the tail of it (line 11). Observe that $A^{op_1}(s, t)$ is guaranteed since D is sorted on A according to op_1 . If no such $Sorted_i$ exists, then a new $Sorted_j$ containing s is added into the index (line 12).

Example 10: Index is built on D_1 for $Date^<$, $NUM^<$ with $OptIndex$, shown in Figure 5. (1) Tuples are sorted in descending order of $Date$, *i.e.*, $[t_6, t_2, t_0, t_4, t_1, t_5, t_3]$, and the index is initialized as $\{[t_6]\}$. (2) $OptIndex$ then enumerates all other tuples in D_1 . t_2 is appended to $Sorted_1$ containing t_6 , since $NUM^<(t_2, t_6)$; similarly for t_0, t_4, t_1, t_5 . (3) Since t_3 and t_5 have a same value on NUM , a new $Sorted_2$ is built for t_3 . (4) Finally, we have $I = \{[t_6, t_2, t_0, t_4, t_1, t_5], [t_3]\}$. \square

We then show the optimality property of $OptIndex$.

THEOREM 1. For two marked attributes A^{op_1} and B^{op_2} ($op_1, op_2 \in \{<, \leq, >, \geq\}$), $OptIndex$ creates an index with the minimum rank among all indexes for A^{op_1} , B^{op_2} .

Proof sketch: We denote by I_{opt} the output of $OptIndex$. Given any index I' for A^{op_1} and B^{op_2} , we show that I' can be transformed into I_{opt} in a finite number of steps, and after each step, (a) the index specification is still satisfied, and (b) the index rank *never* increases. Specifically,

(1) As a pre-processing, we determine an order μ for tuples in D , following the same way as line 1 of $OptIndex$.

(2) We treat tuples s in I' one by one following the order μ , by considering s in I_{opt} . Tuple s remains unchanged in I' , if (a) s is the first element of some $Sorted_i$ in I_{opt} , or (b) s is right behind a tuple t both in I' and in I_{opt} .

Algorithm 2: OptIndex

```

input : relation  $D$  and marked attributes  $A^{op_1}, B^{op_2}$ 
output: an optimal index for  $A^{op_1}$  and  $B^{op_2}$  on  $D$ 
1 Sort tuples in  $D$  on  $A$  according to  $op_1$ , and then on  $B$ 
  according to  $op_2$  for breaking ties;
2  $Index \leftarrow \{ [ D[0] ] \}$ ;
3 for each tuple  $s \in D \setminus D[0]$  do
4    $pos \leftarrow NULL$ ;  $min \leftarrow -1$ ;
5   for each  $Sorted_i \in Index$  do
6      $t \leftarrow$  the last element of  $Sorted_i$ ;
7     if  $B^{op_2}(s, t)$  then
8       if  $min = -1$  or  $min > |(s_B - t_B)|$  then
9          $min \leftarrow |(s_B - t_B)|$ ;
10         $pos \leftarrow Sorted_i$ ;
11 if  $pos \neq NULL$  then append  $s$  to the end of  $pos$ ;
12 else add  $[s]$  into  $Index$ ;

```

Now suppose s is right behind t in I_{opt} , but not in I' . We should move s such that it is also right behind t in I' (all tuples behind s in the same $Sorted_j$ of I' are also moved). In I' , we denote by o the tuple right in front of s , and by p the tuple right behind t .

case Figure 6a: If neither o nor p exists, then we move s to be right behind t in I' . This *reduces* the rank of I' by 1.

case Figure 6b: If p exists but o does not, then p becomes the first element of the new $Sorted_j$ in I' after moving s . This does not change the rank of I' .

case Figure 6c: If o exists but p does not, then o becomes the last element of $Sorted_j$ in I' after we move s . This does not affect the rank of I' .

case Figure 6d: Now both o and p exist. We know that $A^{op_1}(p, s)$ since s is right behind t in I_{opt} , and that $A^{op_1}(s, o)$ in I' . Hence, we have $A^{op_1}(p, o)$. Obviously, o is processed before s . Recall that t incurs the minimum value change on attribute B against s , among all tuples that are processed before s . From this we know $B^{op_2}(t, o)$. We also know $B^{op_2}(p, t)$ in I' , and hence have $B^{op_2}(p, o)$. Since we know $A^{op_1}(p, o)$ and $B^{op_2}(p, o)$, we can put p right behind o , and simultaneously put s right behind t . Again, this does not affect the rank of I' .

(3) It can be verified that we get index I_{opt} after (2). Recall that the index rank never increases, in either of (a), (b), (c), or (d) stated above. Since I' is an arbitrary index for A^{op_1} and B^{op_2} , we conclude that I_{opt} has the minimum rank among all indexes for A^{op_1} and B^{op_2} . \square

Complexity. $OptIndex$ has a complexity of $O(|D| \cdot \log(|D|))$. Line 1 and lines 3-12 both have this complexity. We check the tail elements of all $Sorted_i$ to find the desired $Sorted_i$ for

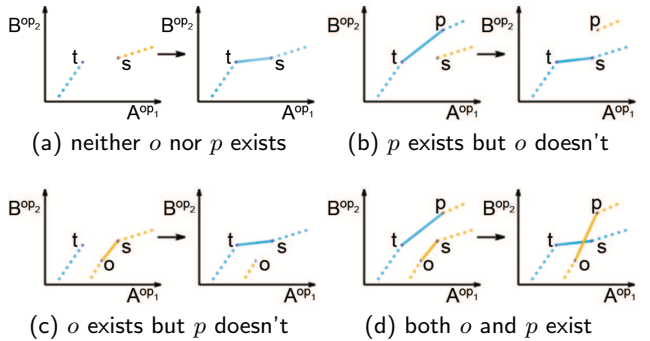


Figure 6: Illustration for Proof of Theorem 1

the current tuple. This is done in $O(\log(k))$ by building an auxiliary sorted structure on the last elements of all Sorted_i , where $k \ll |D|$ in practice, is the rank of the index.

5.2 Choosing Indexes

In this subsection, we first show that indexes can be employed for efficiently identifying violating tuples incurred by ΔD , and that some indexes can be used as alternatives to others. We then develop algorithms to choose indexes for Σ .

Given Σ valid on D , we need to identify violations of Σ incurred by ΔD . It is to find all tuple pairs (s, t) such that s, t violate some PODs in Σ ; obviously at least one of s, t is in ΔD . We consider the case both s and t are in ΔD in Section 5.3, and now suppose $s \in \Delta D$ and $t \in D$.

Fetching violating tuple pairs with indexes. If s, t violate $\sigma = \{A_1^{op_1}, \dots, A_m^{op_m}\} \leftrightarrow B^{op'}$, then we know (a) $A_i^{op_i}(s, t)$ for all $i \in [1, m]$ and $B^{op'}(s, t)$, or (b) $A_i^{op_i}(t, s)$ for all $i \in [1, m]$ and $B^{op'}(t, s)$. We do the following.

(1) With indexes on attributes of σ , we can identify a (small) set of candidates of $t \in D$ for $s \in \Delta D$. Specifically,

(a) if some op_i is “=”, then we can adopt an index that sorts D on attribute A_i , denoted as $\text{Index}(A_i^=)$. $\text{Index}(A_i^=)$ helps efficiently find all tuples t such that $A_i^=(t, s)$.

(b) If some $op_i, op_j \in \{<, \leq, >, \geq\}$, then $t \in T_{A_i^{op_i}, A_j^{op_j}}^s \cup \overline{T}_{A_i^{op_i}, A_j^{op_j}}^s$. Leveraging indexes for $A_i^{op_i}, A_j^{op_j}$, we can employ Algorithm Fetch to find such t (Section 4). Note that $\text{Index}(A_i^{op_i}, B^{op'})$ can be used as well ($op' \in \{<, \leq, >, \geq\}$, as summarized in Table 3); we need two indexes $\text{Index}(A_i^{op_i}, B^{>})$ and $\text{Index}(A_i^{op_i}, B^{<})$, if op' is “=”.

(2) We then check remaining conditions of σ for the genuine set of t such that s, t violate σ .

Alternative indexes. We then show that some indexes can be used as alternatives to others.

(1) $\text{Index}(A^{\geq}, B^{op_2})$ can support $A^>$ and B^{op_2} as well, which requires only a slight modification in Algorithm Fetch. More specifically, we just neglect tuples t if $A^=(t, s)$, when collecting tuples for $T_{A^>, B^{op_2}}^s$ (resp. $\overline{T}_{A^>, B^{op_2}}^s$) on the index.

(2) By slight modifications, Algorithm Fetch can employ $\text{Index}(A^{<}, B^{<})$ (resp. $\text{Index}(A^{<}, B^{>})$) to compute $T_{A^{<}, B^{>}}^s$ and $\overline{T}_{A^{<}, B^{>}}^s$ (resp. $T_{A^{<}, B^{<}}^s$ and $\overline{T}_{A^{<}, B^{<}}^s$). This does not affect the computational complexity of Fetch. Without loss of generality, we illustrate this with the following example.

Example 11: We compute $T_{\text{Date}^{<}, \text{NUM}^{<}}^{t_8}$ and $\overline{T}_{\text{Date}^{<}, \text{NUM}^{<}}^{t_8}$ by employing $\text{Index}(\text{Date}^{<}, \text{NUM}^{<}) = \{[t_6, t_2, t_0, t_4, t_1, t_5], [t_3]\}$, as shown in Figure 7. We find $p=4$ and $q=1$ in Sorted_1 , such that $\text{Date}^{<}(\text{Sorted}_1[p+1], t_8)$, $\text{Date}^{>}(\text{Sorted}_1[p], t_8)$, $\text{NUM}^{<}(\text{Sorted}_1[q+1], t_8)$, and $\text{NUM}^{>}(\text{Sorted}_1[q], t_8)$.

Different from the original algorithm, (1) we let $left = \min(p, q)+1=2$, $right=\max(p, q)=4$; and (2) check tuples from position $left$ to $right$ in Sorted_1 for $T_{\text{Date}^{<}, \text{NUM}^{<}}^{t_8}$ and $\overline{T}_{\text{Date}^{<}, \text{NUM}^{<}}^{t_8}$. All nodes from $left$ to $right$ belong to one of these two sets. We add t_0 into $T_{\text{Date}^{<}, \text{NUM}^{<}}^{t_8}$: $\text{Date}^{<}(t_8, t_0)$ and $\text{NUM}^{>}(t_8, t_0)$; t_4 and t_1 are also put into $T_{\text{Date}^{<}, \text{NUM}^{<}}^{t_8}$. After that $\overline{T}_{\text{Date}^{<}, \text{NUM}^{<}}^{t_8} = \{t_0, t_1, t_4\}$, $\overline{T}_{\text{Date}^{<}, \text{NUM}^{<}}^{t_8} = \emptyset$.

We find no new results on $\text{Sorted}_2 = [t_3]$. Finally, we have $T_{\text{Date}^{<}, \text{NUM}^{<}}^{t_8} = \{t_0, t_1, t_4\}$ and $\overline{T}_{\text{Date}^{<}, \text{NUM}^{<}}^{t_8} = \emptyset$. \square

Cover PODs in Σ by indexes. It is too costly to build an index for each POD if Σ is large. We propose to build a set of

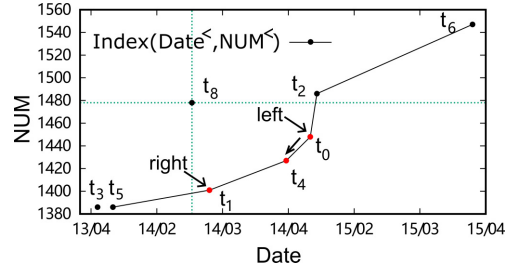


Figure 7: Leveraging an Index Reversely

indexes such that for each $\sigma \in \Sigma$ at least one index is usable; we say σ is *covered* in this case. According to the discussions, (1) we can use (a) $\text{Index}(A_i^=)$, or (b) $\text{Index}(A_i^{op_i}, A_j^{op_j})$, or (c) $\text{Index}(A_i^{op_i}, B^{op'})$ to cover $\sigma = \{A_1^{op_1}, \dots, A_m^{op_m}\} \leftrightarrow B^{op'}$; and (2) we can use $\text{Index}(A^{\geq}, A'^{>})$ or $\text{Index}(A^{>}, A'^{<})$, in place of $\text{Index}(A^{>}, A'^{>})$.

We then introduce score functions to show the preference for different indexes.

Score for equality index. The operator “=” on attribute A may exhibit a good selectivity if the number of distinct values on A is large. We measure $\text{Index}(A^=)$ with the following scoring function, and prefer a small score.

$$\text{score}(\text{Index}(A^=)) = 1 - \frac{\text{the number of distinct values on } A}{|D|}$$

Score for inequality index. We adopt the following scoring function for measuring $\text{Index}(A^{op_1}, B^{op_2})$.

$$\text{score}(A^{op_1}, B^{op_2}) = \frac{1 - |r(A, B)|}{\text{coverage}(A^{op_1}, B^{op_2})}$$

Herein, $\text{coverage}(A^{op_1}, B^{op_2})$ is the number of PODs covered by $\text{Index}(A^{op_1}, B^{op_2})$, and $|r(A, B)|$ is the absolute value of correlation coefficient for attributes A and B . In D , $r(A, B)$ is computed based on all tuples $t \in D$ as follows:

$$r(A, B) = \frac{\sum(t_A * t_B) - \sum t_A \sum t_B}{\sqrt{\sum t_A^2 - (\sum t_A)^2} \sqrt{\sum t_B^2 - (\sum t_B)^2}}$$

Note that $\text{Index}(A^{>}, B^{>})$ (resp. $\text{Index}(A^{>}, B^{<})$) has a rank of 1, if $r(A, B) = 1$ (resp. -1). We prefer indexes with small scores, *i.e.*, indexes that are on attributes with high correlation coefficient and cover more PODs. We also find that if A, B show a positive correlation, *i.e.*, $r(A, B) > 0$, then $\text{Index}(A^{>}, B^{>})$ and $\text{Index}(A^{\geq}, B^{>})$ tend to have a small rank, while $\text{Index}(A^{>}, B^{<})$ and $\text{Index}(A^{\geq}, B^{<})$ are likely to have a small rank if A, B show a negative correlation. We experimentally justify our score functions in Section 7.

Example 12: On relation D_1 in Table 1, instead of building $\text{Index}(\text{Date}^{<}, \text{NUM}^{\geq})$ that covers $\sigma_4 = \{\text{SSN}^=, \text{Date}^{<}\} \leftrightarrow \{\text{NUM}^{<}\}$, we build $\text{Index}(\text{Date}^{<}, \text{NUM}^{<})$ that also covers σ_4 and has a small rank, since $r(\text{Date}, \text{NUM}) = 0.88$ on D_1 . This explains why we compute $T_{\text{Date}^{<}, \text{NUM}^{<}}^{t_8}$ and $\overline{T}_{\text{Date}^{<}, \text{NUM}^{<}}^{t_8}$ with $\text{Index}(\text{Date}^{<}, \text{NUM}^{<})$ in Example 11. \square

Algorithm. We present Algorithm ChooseIndex to find the set $\text{Ind}(\Sigma)$ of indexes for the given POD set Σ .

(1) It first employs indexes $\text{Index}(A^=)$ whose score values are below a predefined threshold l , to serve Σ (lines 1-4)¹.

¹We set $l = 0.6$ by default. If there are several $A_i^= \in \mathcal{X}$, then we can build an equality index on the combination of these attributes for a better selectivity. The details are omitted, since equality indexes are extensively studied in literature.

Algorithm 3: ChooseIndex

```

input : a set  $\Sigma$  of PODs
output: a set  $Ind(\Sigma)$  of indexes for covering PODs in  $\Sigma$ 
1 foreach  $\sigma = \mathcal{X} \hookrightarrow \mathcal{B}^{op'}$   $\in \Sigma$  do
2   if there exists  $A^\equiv \in \mathcal{X}$  and  $score(Ind(A^\equiv)) < l$  then
3     add  $Ind(A^\equiv)$  into  $Ind(\Sigma)$ ;
4     remove from  $\Sigma$  all PODs covered by  $Ind(A^\equiv)$ ;
5  $Candidates \leftarrow \{\}$ ;
6 foreach  $\sigma = \mathcal{X} \hookrightarrow \mathcal{B}^{op'}$   $\in \Sigma$  do
7   for  $A_i^{op_i}, A_j^{op_j} \in \mathcal{X} \cup \{\overline{\mathcal{B}^{op'}}\}$  ( $op_i, op_j \in \{<, \leq, >, \geq\}$ )
8     do
9     add  $(A_i^{op_i}, A_j^{op_j})$  into  $Candidates$ ;
10 while  $Candidates$  is not empty do
11   if  $\Sigma$  is empty then break;
12   pick  $(A_i^{op_i}, A_j^{op_j})$  from  $Candidates$  with the
13   minimum  $score(A_i^{op_i}, A_j^{op_j})$ ;
14   foreach  $\sigma = \mathcal{X} \hookrightarrow \mathcal{B}^{op'}$   $\in \Sigma$  such that  $A_i^{op_i}, A_j^{op_j}$ 
15   cover  $\sigma$  do remove  $\sigma$  from  $\Sigma$ ;
16    $op_j \leftarrow$  reverse  $op_j$  if necessary according to  $r(A_i, A_j)$ 
17   and a parameter  $\alpha$ ;
18   add  $Ind(A_i^{op_i}, A_j^{op_j})$  into  $Ind(\Sigma)$ ;
19 for each  $\sigma = \mathcal{X} \hookrightarrow \mathcal{B}^{op'}$   $\in \Sigma$  do
20   choose  $A_i^\equiv \in \mathcal{X}$  with the smallest  $score(Ind(A_i^\equiv))$ 
21   among all  $A^\equiv \in \mathcal{X}$ , and add  $Ind(A_i^\equiv)$  into  $Ind(\Sigma)$ ;

```

(2) After collecting all possible marked attribute pairs in a set $Candidates$ (lines 5-8), it continues to pick $(A_i^{op_i}, A_j^{op_j})$ with the minimum $score$ values from $Candidates$, for covering PODs and building indexes, until all pairs are used up or Σ is empty (lines 9-14). In addition, we may adjust op_j for $Ind(A_i^{op_i}, A_j^{op_j})$ with a small rank. Specifically, this is done according to $r(A_i, A_j)$ and a parameter $\alpha > 0$ (line 13). For example, we reverse “ $>$ ” as “ $<$ ” on A_j and build $Ind(A_i^<, A_j^<)$, if we have $A_i^<$ and $A_j^>$ but $r(A_i, A_j) > \alpha$. We set $\alpha = 0.3$ in our implementation.

(3) If there are still uncovered PODs, then it can be seen that they must contain A^\equiv but $score(Ind(A^\equiv)) \geq l$. We heuristically build index on A_i^\equiv with the smallest scores for the PODs (lines 15-16).

Complexity. ChooseIndex takes $O(|R|^2 \cdot |\Sigma|)$ in the worst case, where $|\Sigma|$ is the number of PODs in Σ . Note that (1) the upper bounds for computing score values of $Ind(A^\equiv)$ and $Ind(A_i^{op_i}, A_j^{op_j})$ are $O(|R| \cdot |D| \log(|D|))$ and $O(|R|^2 \cdot |D|)$ respectively. We use uniform random sampling to estimate score values in our implementation; and (2) only $coverage(A^{op_1}, B^{op_2})$ needs to be recomputed in line 11 as Σ changes, with a cost independent of $|D|$.

Remark. Since Σ on D is known, building indexes with ChooseIndex and OptIndex is conducted as a *pre-processing* stage for the incremental POD discovery.

Application of indexes. Indexes in $Ind(\Sigma)$ are employed to identify violating tuples for tuple $s \in \Delta D$. Specifically, (1) by an index I in $Ind(\Sigma)$, we first identify a (small) set T of candidate violating tuples for s ; and (2) for each POD σ covered by I in ChooseIndex (line 4 or 12), we then check remaining conditions of σ to find those genuine violating tuples t from T such that s, t violate σ . When I is used to cover several PODs in ChooseIndex, note that different treatments are required for these PODs in (2).

5.3 Updating Indexes & Implementation

We have shown that violations incurred by tuples $t \in D$ and $s \in \Delta D$ can be efficiently identified with indexes. A re-

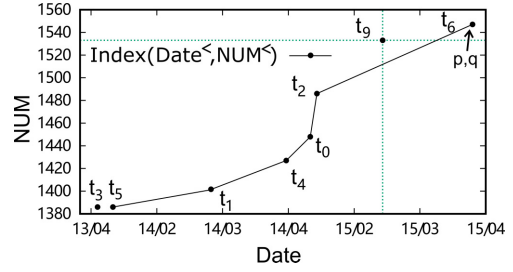


Figure 8: Tuple t_9 and Tuples in D_1

maining issue concerns how to check violations *w.r.t.* two tuples in ΔD . To detect the violations with indexes as well, we propose to update the indexes in response to ΔD .

Applying ΔD to indexes. Before visiting an index I , we first sort tuples in ΔD in the same way as line 1 of OptIndex. Tuples $s \in \Delta D$ are then applied to I one by one as follows: we (1) run Algorithm Fetch on I for s , and (2) update I with s . In this way, violations incurred by $s, s' \in \Delta D$ can be detected with the updated indexes. We then show that for s , updating indexes can be done along with running Fetch. The additional cost of updating indexes is hence marginal.

Example 13: Consider $Sorted_1$ of $Index(Date^<, NUM^<)$ in Example 10. We run Fetch on it for tuple t_9 . To help understanding, Figure 8 shows t_9 and all tuples in D_1 of Table 1. Just like Example 11, we first identify position $p = 0$, such that $Date^<(Sorted_1[p+1], t_9)$ and $Date^{\geq}(Sorted_1[p], t_9)$. We also have position $q = 0$ such that $NUM^<(Sorted_1[q+1], t_9)$ and $NUM^{\geq}(Sorted_1[q], t_9)$. We find t_9 can be inserted into $Sorted_1$ since $p = q$ on $Sorted_1$. \square

Remark. Note the following. (1) When updating an index with a tuple $s \in \Delta D$, s can be inserted into a $Sorted_i$ of the index if we find position $p = q$ on $Sorted_i$. If there are multiple such $Sorted_i$, then we heuristically pick the one with the maximum size. If none exists, then we create a new $Sorted_j$ with s ; this increases the index rank by 1. (2) Updating an index may violate the *optimality* of the index rank. That is, the updated index with ΔD may have a larger rank than the index on $D + \Delta D$ built with OptIndex. However, the difference between the ranks is small, as experimentally verified in Section 7. (3) Each index is visited and updated once for each tuple in ΔD , and the update is the only maintenance overhead of indexes. This further justifies our idea of sharing indexes among PODs, for a small number of indexes. Moreover, experimental evaluations (Section 7) show that our approach is hence insensitive to the size of Σ .

Implementation. We implement each $Sorted_i$ of the indexes in a lightweight data structure, known as SkipList [27]. Recall that a SkipList is a multi-layered sorted list, where the bottom layer contains all the nodes, and nodes at layer $i+1$ serve as indexes for nodes at layer i , helping “skip” some nodes at layer i in the search. As proved in [27], it takes $O(\log(n))$ to search and update a SkipList, where n is the number of nodes in the bottom layer.

6. INCREMENTAL DISCOVERY

In this section, we present our incremental POD discovery algorithm in response to ΔD of tuple insertions.

Algorithm. We develop Algorithm IncPOD for incrementally discovering PODs, with inputs Σ on D , ΔD , attribute set R , and the set $Ind(\Sigma)$ of indexes for Σ on D . It finds the

complete set Σ' of minimal valid PODs on $D + \Delta D$. This is done in an incremental way by computing $\Delta\Sigma$, such that $\Sigma' = \Sigma \oplus \Delta\Sigma$. Recall that $\Delta\Sigma = \Delta\Sigma^+ \cup \Delta\Sigma^-$, where $\Delta\Sigma^+$ contains new minimal valid PODs as additions to Σ , while $\Delta\Sigma^-$ contains PODs that are to be removed from Σ .

(1) It first finds the set T of violating tuple pairs, leveraging $Ind(\Sigma)$ (line 1). This step consists of index visits with `Fetch` (Section 4) that help identify violating tuple pairs (Section 5.2) and index updates with ΔD (Section 5.3).

(2) Σ' is initialized as Σ (line 2). For a violating tuple pair (t, s) , `IncPOD` enumerates all $\sigma \in \Sigma'$ (lines 3-8). If (t, s) violates σ , then `ExtendPOD` is called to evolve a set Ψ of new PODs based on σ for resolving the violation incurred by (t, s) (line 7). Σ' is then updated by removing σ and adding Ψ (line 8). That is, σ is put into $\Delta\Sigma^-$, while Ψ contains candidates for $\Delta\Sigma^+$. After processing (t, s) , `IncPOD` moves to the next tuple pair with the updated Σ' . As will be seen shortly, new PODs added into Σ' in dealing with (t, s) may evolve again after handling subsequent tuple pairs, and once the violation incurred by (t, s) is resolved, it is guaranteed that further modifications of Σ' will never introduce new violations *w.r.t.* (t, s) . Note that in line 6 it suffices to only check σ that is newly introduced to Σ' (line 8). This is because all tuple pairs violating $\sigma \in \Sigma$ are already known (line 1). Indeed, we only check σ with (t, s) if σ evolves from $\sigma' \in \Sigma$ and (t, s) violates σ' . As will be seen shortly, `ExtendPOD` guarantees that (t, s) cannot violate σ if it does not violate σ' .

(3) Function `Minimize` is to remove non-minimal PODs from $\Sigma' \setminus \Sigma$ (line 9), *i.e.*, the set of new valid PODs. PODs in Σ are still minimal if they are valid on $D + \Delta D$. To check the minimality of $\sigma = \mathcal{X} \hookrightarrow B^{op'}$ by definition (Section 3), it suffices to only consider PODs $\mathcal{X}' \hookrightarrow B^{op''}$ in Σ' , where $|\mathcal{X}'| \leq |\mathcal{X}|$ and $op' \in im(op'')$. Σ' is then the complete set of minimal and valid PODs on $D + \Delta D$, and $\Delta\Sigma^+$ and $\Delta\Sigma^-$ are the differences between Σ and Σ' (line 10).

Algorithm. `ExtendPOD` is employed to generate new valid PODs based on invalid PODs in $\Delta\Sigma^-$. `ExtendPOD` enumerates all possible ways to resolve the violation, for new PODs in Ψ . Specifically, `ExtendPOD` may (1) strengthen the LHS conditions when handling $\{\leq, \geq\}$ (lines 2-5), or (2) relax the RHS conditions when handling $\{=, <\}$ (lines 6-8), or (3) add more marked attributes on the LHS (lines 9-11). It suffices to only consider $=, <$ for the RHS attribute, due to symmetry (Section 3). By inference rules of PODs [15], it can be seen that σ logically implies any $\sigma' \in \Psi$: any relation that satisfies σ must satisfy σ' . Hence, it never introduces new violations when replacing σ by Ψ in Σ' (line 8 in `IncPOD`).

Example 14: For $\{TXA^>, SAL^<\} \hookrightarrow \{RATE^<\}$, we find a violating tuple pair (t_8, t_3) : $TXA^>(t_8, t_3)$, $SAL^<(t_8, t_3)$ and $RATE^<(t_8, t_3)$. `ExtendPOD` may add more marked attributes (lines 9-11), *e.g.*, $\{ST^=, TXA^>, SAL^<\} \hookrightarrow \{RATE^<\}$ or $\{PH^=, TXA^>, SAL^<\} \hookrightarrow \{RATE^<\}$, or modify its RHS operator (lines 6-8), *e.g.*, $\{TXA^>, SAL^<\} \hookrightarrow \{RATE^{\leq}\}$.

Consider $\{PH^=, TXA^>, SAL^<\} \hookrightarrow \{RATE^<\}$ and another violating pair (t_7, t_1) , where $PH^=(t_7, t_1)$, $TXA^>(t_7, t_1)$, $SAL^<(t_7, t_1)$, $RATE^{\geq}(t_7, t_1)$. We can see that (t_7, t_1) also violates $\{PH^=, TXA^>, SAL^<\} \hookrightarrow \{RATE^<\}$ and is hence in the set of violating tuple pairs identified on D . To resolve this violation, `ExtendPOD` may again add more marked attributes, *e.g.*, $\{ST^=, PH^=, TXA^>, SAL^<\} \hookrightarrow \{RATE^<\}$. However, since $\{ST^=, TXA^>, SAL^<\} \hookrightarrow \{RATE^<\}$ is valid,

Algorithm 4: IncPOD

Input: the complete set Σ of minimal valid PODs on D , a set ΔD of tuple insertions, attribute set R , and the set $Ind(\Sigma)$ of indexes for covering PODs in Σ
Output: the complete set Σ' of minimal valid PODs on $D + \Delta D$. $\Sigma' = \Sigma \oplus \Delta\Sigma$, $\Delta\Sigma = \Delta\Sigma^+ \cup \Delta\Sigma^-$

- 1 find the set T of violating tuple pairs *w.r.t.* Σ on $D + \Delta D$, by leveraging $Ind(\Sigma)$;
- 2 $\Sigma' \leftarrow \Sigma$;
- 3 **for** each tuple pair $(t, s) \in T$ **do**
- 4 $\Sigma_{temp} \leftarrow \Sigma'$;
- 5 **for** each $\sigma \in \Sigma_{temp}$ **do**
- 6 **if** (t, s) violate σ **then**
- 7 $\Psi \leftarrow \text{ExtendPOD}(\sigma, (t, s), R)$;
- 8 $\Sigma' \leftarrow \Sigma' \setminus \sigma \cup \Psi$;
- 9 $\Sigma' \leftarrow \text{Minimize}(\Sigma', \Sigma' \setminus \Sigma)$;
- 10 $\Delta\Sigma^+ \leftarrow \Sigma' \setminus \Sigma$; $\Delta\Sigma^- \leftarrow \Sigma \setminus \Sigma'$;

Algorithm 5: ExtendPOD

Input: a violating tuple pair (t, s) *w.r.t.* $\sigma = \mathcal{X} \hookrightarrow B^{op'}$, attribute set R
Output: the set Ψ of PODs

- 1 $\Psi \leftarrow \{\}$;
- 2 **for** each $x^{op} \in \mathcal{X}$ **do**
- 3 **if** $op \in \{\leq, \geq\}$ **then**
- 4 $\mathcal{X}' \leftarrow$ replace x^{\leq} (resp. x^{\geq}) by $x^<$ or $x^=$ (resp. $x^>$ or $x^=$) in \mathcal{X} , if the violation is resolved;
- 5 $\Psi \leftarrow \Psi \cup \{\mathcal{X}' \hookrightarrow B^{op'}\}$;
- 6 **if** $op' \in \{=, <\}$ **then**
- 7 $op'' \leftarrow$ replace $op' =$ (or $<$) by \leq , if the violation incurred by t, s is resolved;
- 8 $\Psi \leftarrow \Psi \cup \{\mathcal{X} \hookrightarrow B^{op''}\}$;
- 9 **for** attribute $A \in R \setminus (X \cup \{B\})$ **do**
- 10 **for** each A^{op} such that $A^{op}(t, s)$ **do**
- 11 $\Psi \leftarrow \Psi \cup \{\mathcal{X} \cup \{A^{op}\} \hookrightarrow B^{op'}\}$

$\{ST^=, PH^=, TXA^>, SAL^<\} \hookrightarrow \{RATE^<\}$ is not minimal and will be removed by `Minimize` (line 9 of `IncPOD`). \square

THEOREM 2. `IncPOD` finds the complete set Σ' of minimal valid PODs on $D + \Delta D$.

PROOF. (1) *Validity.* If a tuple pair (t, s) incurs a violation against σ , then `ExtendPOD` is called to generate a set Ψ of new PODs by refining σ . It never introduces new violations beyond T , the set of violating tuple pairs detected on $D + \Delta D$ for Σ . Recall that `ExtendPOD` is guided by inference rules of PODs [15], and guarantees that if any tuple pair (t', s') violates a POD in Ψ , then (t', s') also violates σ and is hence in T . Therefore, when no violation is detected on T , all PODs in Σ' are valid on $D + \Delta D$. (2) *Minimality.* The minimality of PODs is guaranteed due to `Minimize` in line 9 of `IncPOD`. (3) *Completeness.* Suppose σ is valid on $D + \Delta D$ but not in Σ' . We show σ is not minimal on $D + \Delta D$. (a) If σ is minimal on D , then σ is in Σ . This contradicts the assumption that σ is not in Σ' since σ is obviously not in Σ^- . (b) If σ is not minimal on D then there exists σ' that is valid on D and logically implies σ . Obviously σ is not minimal if σ' is still valid on $D + \Delta D$. Now suppose σ' is invalid on $D + \Delta D$. Based on σ' , `ExtendPOD` enumerates all possible ways for generating PODs valid on $D + \Delta D$. If σ is not in Σ' , then there must be some POD in Σ' that logically implies σ , and hence makes σ not-minimal on $D + \Delta D$. \square

Complexity. `IncPOD` is far more efficient than the batch counterpart in practice, since new PODs are discovered by

refining PODs in Σ that become invalid on $D + \Delta D$. Specifically, (1) the set T of violating tuple pairs is efficiently computed leveraging $Ind(\Sigma)$, with a cost dependent on $|\Delta D|$ and $\log(|D|)$. (2) It is linear in the size of T for computing $\Delta\Sigma$, since each tuple pair in T is treated only once.

Remark. If $\sigma \in \Sigma$ becomes invalid on $D + \Delta D$, then σ is replaced by a set Ψ of more “specified” PODs in $\Sigma \oplus \Delta\Sigma$. PODs in Ψ are more “specified”: if a tuple pair (t, s) violates any POD in Ψ , then it must violate σ . Hence, the index that serves σ also serves all PODs in Ψ , for identifying possible violations. This feature is desirable: indexes are built in an *off-line* process with a *one-time* cost and not required to be rebuilt for continuous sets of tuple insertions.

7. EXPERIMENTAL STUDY

We present the experimental settings, and conduct experiments to (1) verify the effectiveness and efficiency of incremental POD discovery, to (2) demonstrate the effectiveness and efficiency of our indexing techniques, and to (3) analyze properties of indexes and algorithms in detail. All datasets and code are available at <https://github.com/Lab-yi/Alg>.

7.1 Experimental Settings

Datasets. We use a host of real-life and synthetic datasets that are employed in experimental studies on constraint discoveries [5, 25, 30, 33, 34] (see Section 2). (1) Real-life data. SPS contains stock records (<http://pages.swcp.com/stocks/>). FLI is about US flights information (www.transtats.bts.gov). LETTER is a dataset of character image features (<https://archive.ics.uci.edu/ml/datasets>). NCV contains data of voters from North Carolina (ncsbe.gov). STR is a dataset about 3D shapes of proteins, nucleic acids and complex assemblies (<http://www.rcsb.org>). CLAIM contains the airport baggage claims data (<https://www.dhs.gov/tsa-claims-data>).

(2) Synthetic data. FDR15 and FDR30 are two synthetic datasets available online (<http://metanome.de>).

We summarize details of all datasets in Table 4, denote the number of attributes (resp. tuples) by $|R|$ (resp. $|D|$).

Implementation. We implement all the algorithms in Java.

(1) *ChooseIndex* and *OptIndex* (Section 5), for choosing and building indexes, as a pre-processing stage for *IncPOD*.

(2) *IncPOD* (Section 6), the incremental POD discovery algorithm that combines our techniques together.

(3) *Hydra** and *Finder**, algorithms for batch POD discovery. We implement *Hydra** (resp. *Finder**) by adapting [5] (resp. [26]), state-of-the-art DC discovery techniques. This is possible since each POD can be encoded as a DC. For example, a POD $\{A^>, B^>\} \leftrightarrow \{C^>\}$ can be denoted as a DC: $\forall t, s, \neg(t_A > s_A \wedge t_B > s_B \wedge t_C \leq s_C)$. Specifically, we modify the obtained source code (www.metanome.de) such that only predicates of the form $t_A \text{ op } s_A$ are considered, where $op \in \{<, \leq, =, >, \geq\}$. In addition, we allow at most one predicate of the form $t_B \neq s_B$ in each DC, for encoding PODs of the form $\{A_1^{op_1}, \dots, A_m^{op_m}\} \leftrightarrow B^=$.

(4) *IEJoin* [23], the state-of-the-art algorithm for inequality joins with data updates. Violations of PODs on a relation D can be identified by a self-join on D with inequality conditions. For example, violating tuple pairs *w.r.t.* $\{A^>, B^>\} \leftrightarrow \{C^>\}$ can be computed as the result of a SQL query:

```
SELECT r.id, s.id
FROM D r, D s
WHERE r.A > s.A AND r.B > s.B AND r.C ≤ s.C
```

Table 4: Datasets and Execution Statistics

Data	$ R $	$ D $	$ \Delta D $	Inc	Hydra*	Finder*	$ Ind(\Sigma) $	$ \Sigma $	$ \Delta\Sigma $
SPS	7	90K	27K	0.9s	8.57s	104.5s	5	20	10
FLI	17	300K	60K	67s	461s	1927s	25	1,481	132
STR	5	450K	125K	9s	109s	2376s	11	21	0
LETTER	12	15K	4.5K	175s	1538s	4912s	23	8,172	719
NCV	18	300K	100K	76s	7601s	3188s	13	1,134	36
CLAIM	11	97K	50K	1.54s	17.1s	49.8s	4	12	29
FDR15	15	200K	50K	14s	65s	1521s	13	185	40
FDR30	30	200K	50K	48s	265s	1791s	31	1,152	701

Running environment. All experiments are run on a machine powered by an Intel Core(TM)2 Duo 3.00GHz CPU T7300 with 64GB of memory, using scientific Linux.

Parameter settings. We consider 3 parameters: (1) $|D|$: the number of tuples; (2) $|\Delta D|$: the number of inserted tuples; and (3) $|R|$: the number of attributes. When required, we vary $|D|$, $|\Delta D|$ and $|R|$ by taking random sampling (or projections) of the data. We define the ratio of incremental data as $\frac{|\Delta D|}{|D|}$. The average of 5 runs is reported here.

Measurement. We first compute Σ on D with the batch methods (*Hydra** and *Finder**), and then build indexes for Σ . Leveraging the indexes, we incrementally find the complete set of minimal valid PODs on $D + \Delta D$ with *IncPOD*. The correctness of *IncPOD* is verified by checking its result against that of the batch method on $D + \Delta D$. Note that the complete set of minimal valid PODs on a dataset is deterministic. The time of *IncPOD* consists of the times for index visits and updates, for fetching violating tuples, and for computing $\Delta\Sigma$. The time of the batch method is for computing all PODs on $D + \Delta D$ from scratch.

7.2 Experimental Findings

Exp-1: *IncPOD* against Batch methods. We report running times (in seconds) of incremental and batch methods in Table 4. We see *IncPOD* performs far better on all datasets, up to two orders of magnitude. We also show the number of PODs in Σ and $\Delta\Sigma$, denoted by $|\Sigma|$ and $|\Delta\Sigma|$, respectively. We find even when $\Delta\Sigma = \Delta\Sigma^+ \cup \Delta\Sigma^-$ is large, *e.g.*, on *LETTER* and *FDR30*, *IncPOD* still performs much better.

We conduct more experiments by varying parameters.

(1) We set $|D| = 300K$, $\frac{|\Delta D|}{|D|} = 20\%$ and $|R| = 17$ by default on *FLI*, and vary one parameter in each experiment.

Varying $|D|$. Figure 9a shows results by varying $|D|$ from 100K to 300K ($|\Delta D|$ from 20K to 60K). *Finder** is more sensitive to $|D|$ than *Hydra**, consistent with the results in [26]. *IncPOD* performs much better. It takes only 67 seconds for *IncPOD* but 461 seconds for *Hydra**, when $|D| = 300K$.

Varying $|\Delta D|$. Figure 9b shows results by varying $|\Delta D|$ from 45K to 120K (the ratio of $|\Delta D|$ to $|D|$ increases from 15% to 40%). *IncPOD* consistently outperforms batch methods, and scales very well with $|\Delta D|$: the time increases from 65 seconds to 223 seconds as $|\Delta D|$ increases.

Varying $|R|$. By varying $|R|$ from 5 to 17, we report results in Figure 9c. $|R|$ significantly affects the efficiency, since $|\Sigma|$ grows exponentially with $|R|$. For reference, we show $|\Sigma|$ (in the bracket) together with $|R|$ on the x-axis. *Hydra** is more sensitive to $|R|$ than *Finder**, consistent with the results in [26]. *IncPOD* scales much better: as $|R|$ increases from 5 to 17, the time of *Hydra** increases by more than 40 times, while the time of *IncPOD* only increases by 5 times. We find the time of *IncPOD* is affected by the number of indexes that cover all PODs in Σ ($Ind(\Sigma)$) of *ChooseIndex*

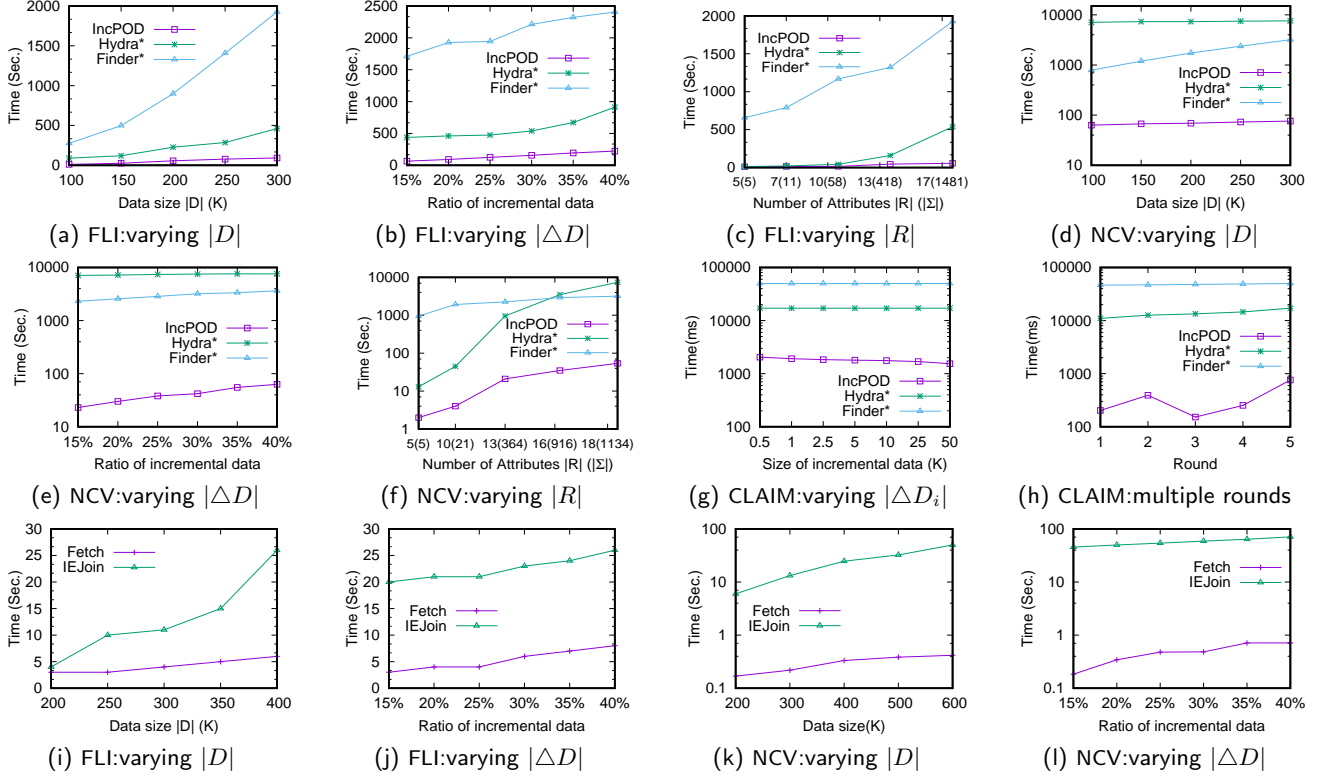


Figure 9: IncPOD against Batch Methods, and Fetch against IEJoin

in Section 5.2). We show the results in Table 4, denoted as $|Ind(\Sigma)|$. It can be seen that $|Ind(\Sigma)|$ is typically much smaller than $|\Sigma|$; this explains why IncPOD is less sensitive to $|R|$. We will further analyze $|Ind(\Sigma)|$ in Exp-3.

(2) We set $|D| = 300K$, $|\Delta D| = 100K$ and $|R| = 18$ by default on NCV. We vary $|D|$ from 100K to 300K in Figure 9d, $\frac{|\Delta D|}{|D|}$ from 15% to 40% in Figure 9e, and $|R|$ from 5 to 18 in Figure 9f. Note that we use log scale in these experiments. We find numbers of distinct values on most attributes of NCV are large. It hence takes both batch methods far more time to handle inequality operators on NCV than FLI. In contrast, our incremental approach is less sensitive to this factor, and delivers great improvements in the efficiency.

(3) We conduct experiments on CLAIM with $|\Delta D| = 50K$. Tuple insertions are processed in the order of their timestamps, so as to follow the real change history. We handle ΔD as a series of sets of tuple insertions ($\Delta D = \Delta D_1 \cup \dots \cup \Delta D_k$), where ΔD_i ($i \in [1, k]$) is of the same size. Recall that indexes are not required to be rebuilt in the whole process.

In Figure 9g, we vary $|\Delta D_i|$ from 0.5K to 50K, and report the times for the whole change history. For example, a single set of tuple insertions is applied to D if $|\Delta D_i| = 50K$; if $|\Delta D_i| = 0.5K$, then 100 sets of tuple insertions are applied to D one by one.

We see IncPOD always significantly outperforms batch methods. The times for batch methods are computed on $D + \Delta D$ and hence not affected by $|\Delta D_i|$. The time for IncPOD decreases by nearly 25% as $|\Delta D_i|$ increases from 0.5K to 50K, as expected. Although the total time for incremental violation detection is almost not affected by varying

$|\Delta D_i|$, we find the total time for computing $\Delta \Sigma$ decreases due to fewer rounds of requests, as $|\Delta D_i|$ increases.

We fix $|\Delta D_i| = 10K$ in Figure 9h, and show the times for applying ΔD_1 to D , ΔD_2 to $D + \Delta D_1$, etc. The times for batch methods monotonically increase as data sizes grow, but in IncPOD times for ΔD_i may vary since different ΔD_i causes different violations and changes in the POD set.

Exp-2: Fetch against IEJoin. We experimentally verify the benefit of our indexing technique against IEJoin [23]. We still report the average over 5 runs; each time we randomly choose an attribute pair A, B and enumerate all tuple $s \in \Delta D$. We compare Fetch against IEJoin in the time for identifying $T_{A^{op_1} B^{op_2}}^s$ and $\bar{T}_{A^{op_1} B^{op_2}}^s$ (Section 4).

(1) We set $|D| = 300K$, $\frac{|\Delta D|}{|D|} = 20\%$ by default on FLI. Figure 9i shows results by varying $|D|$ from 200K to 400K. Fetch scales better than IEJoin: the time for Fetch (resp. IEJoin) increases from 3.3 (resp. 4) seconds to 6.4 (resp. 27) seconds. We find the time increase of Fetch is mainly due to more time required for collecting results. We vary $\frac{|\Delta D|}{|D|}$ from 15% to 40% in Figure 9j. Fetch scales well with $|\Delta D|$: the time increases from 3.4 seconds to 8 seconds, which is almost linear in $|\Delta D|$.

(2) We further compare Fetch against IEJoin using NCV with $|D| = 600K$ and $\frac{|\Delta D|}{|D|} = 20\%$ by default. We vary $|D|$ from 200K to 600K in Figure 9k, and $\frac{|\Delta D|}{|D|}$ from 15% to 40% in Figure 9l. The result size on NCV is much smaller than that on FLI, and hence both Fetch and IEJoin take less time in collecting results. This favors Fetch: the time for collecting results is a necessary cost of both algorithms, while Fetch

Table 5: Indexes for Datasets

Data	$ R $	$ D $	$ Ind(\Sigma) $	Data Memory	Index Memory	Time
SPS	7	90K	5	28MB	42MB	1.44s
FLI	17	300K	25	198MB	501MB	68s
STR	5	450K	11	124MB	650MB	21s
LETTER	12	15K	23	2MB	8MB	2.68s
NCV	18	300K	13	355MB	635MB	18s
CLAIM	11	97K	4	6MB	26MB	1.4s
FDR15	15	200K	13	167MB	263MB	9.6s
FDR30	30	200K	31	345MB	580MB	22s

takes far less time than IEJoin in other steps. We see Fetch scales better than IEJoin with $|D|$. As $|D|$ increases from 200K to 600K, the time for Fetch increase from 0.16 seconds to 0.4 seconds, while IEJoin increase from 6 seconds to 50 seconds. Fetch also significantly outperforms IEJoin when $\frac{|\Delta D|}{|D|} = 40\%$, by almost two orders of magnitude.

Exp-3: Analyses of indexes. We conduct experiments to study the properties of our indexes in detail.

(1) The memory footprint and creation time of indexes are shown in Table 5. For reference, we also show the memory usage and the number $|Ind(\Sigma)|$ of indexes for each dataset. The costs of indexes are also affected by data types and numbers of distinct values of the indexing attributes. Recall that building indexes is a pre-processing step with a one-time cost, and its time is not included in that of IncPOD.

(2) Indexes are employed to find candidate violating tuples for ΔD , on which remaining conditions of related PODs are checked (Section 5.2). In Figure 10a we compute the ratio of the size of candidates to $|D|$ for datasets in Table 4. We see the ratios are always below 20%, which implies that indexes help skip more than 80% of the tuples. The results show that the selectivity of indexes is high and indexes are hence very effective on the tested datasets.

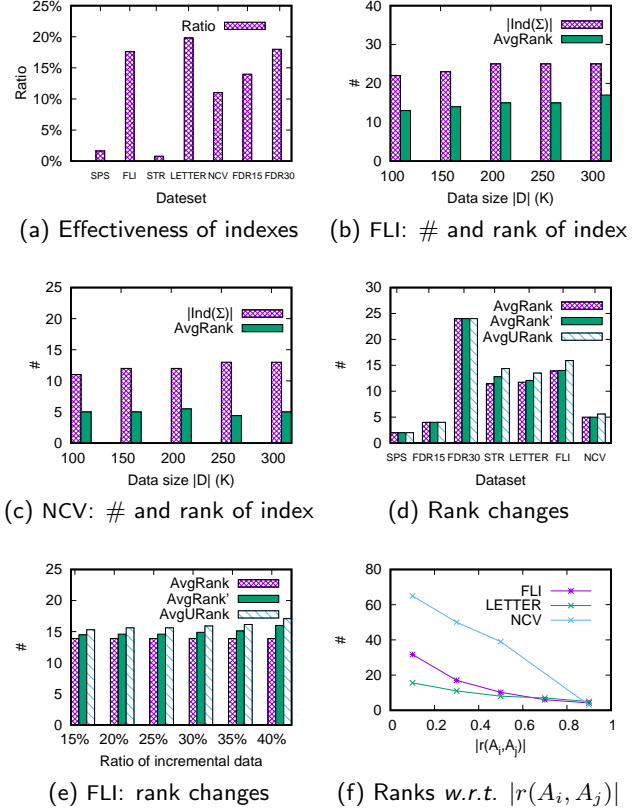
(3) The efficiency of IncPOD is affected by $|Ind(\Sigma)|$ and index ranks (Section 4). We denote by *AvgRank* the average rank of indexes in $Ind(\Sigma)$, excluding equality indexes. We set $|R| = 17$, vary $|D|$ from 100K to 300K on FLI, and report results in Figure 10b. We see that $|Ind(\Sigma)|$ only increases from 22 to 25, and that *AvgRank* also varies in the range of [13, 17]. In Figure 10c, we show results on NCV with $|R| = 18$ and $|D|$ from 100K to 300K. $|Ind(\Sigma)|$ is in the range of [11, 13] and *AvgRank* is in [4.4, 6]. We contend that both $|Ind(\Sigma)|$ and *AvgRank* are insensitive to $|D|$.

(4) Updating an index may increase its rank (Section 5.3). We denote by *AvgURank* the average index rank on $D + \Delta D$ after updates. For comparison, we also apply OptIndex to $D + \Delta D$ for indexes with guaranteed minimum ranks, and denote the average rank by *AvgRank'*. Figure 10d shows results for the datasets in Table 4. *AvgURank* always increases slightly, at most 115% of *AvgRank* on D . The difference between *AvgURank* and *AvgRank'* is small; *AvgURank* is at most larger than *AvgRank'* by 12%. The results show that index ranks are not sensitive to updates with ΔD .

We then vary the ratio of incremental data. We set $|R|=17$, $|D|=300K$, vary $\frac{|\Delta D|}{|D|}$ from 15% to 40% on FLI, and report results in Figure 10e. *AvgURank* increases slightly from 15.5 to 17.1, and is consistently within [105%, 107%] of *AvgRank'*. We see that the impact on index ranks incurred by updates is small even when $\frac{|\Delta D|}{|D|}$ is 40%.

Exp-4: Score function. We experimentally study the score functions employed in ChooseIndex (Section 5.2).

(1) On real-life FLI, LETTER and NCV, we randomly choose 100 attribute pairs and build indexes on them. We com-


Figure 10: Effectiveness of Indexes, Index Numbers and Ranks, and Score Function

pute the absolute value of correlation coefficient for each pair A_i, A_j , *i.e.*, $|r(A_i, A_j)|$. Based on $|r(A_i, A_j)|$, we cluster indexes into five ranges $[0, 0.2], \dots, [0.8, 1]$, and compute the average rank of indexes in each range. Figure 10f clearly shows that indexes on attribute pairs with a large $|r(A_i, A_j)|$ have small ranks. The results justify our score function.

8. CONCLUSIONS

This is a first effort towards incremental POD discovery. We have presented an indexing technique for effectively identifying violations incurred by ΔD , algorithms for choosing indexing attributes and for building and updating the indexes. We have also proposed and experimentally verified our methods to compute $\Delta \Sigma$.

We are currently studying methods to handle updates with both tuple insertions and deletions. We are also to extend our indexing techniques for DCs. Different from PODs, DCs allow comparisons across attributes and the inequality operator “ \neq ”. Although it is relatively easy to support comparisons across attributes of a same tuple, and the inequality operator by taking it as the union of “ $>$ ” and “ $<$ ”, more efforts are required to cope with comparisons across attributes of different tuples, *e.g.*, $t_A < t_B$, and still guarantee a cost independent of $|D|$.

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