

PCT NEWSLETTER

World Patent Report 2008 Now Available

WIPO has recently published the 2008 edition of the annual publication, *World Patent Report – A Statistical Review* at:

www.wipo.int/ipstats/en/statistics/patents/

The publication of this report is part of a continuing effort at WIPO to improve statistical information on patent activity, including the PCT, covering as many countries as possible, and to develop and provide new indicators that are relevant to current intellectual property issues. It allows users to analyze and monitor the latest trends in patent activity.

This edition, based on 2006 figures (the last year for which complete worldwide patent statistics are available) and 2007 figures where available, contains a wide range of indicators, some of which are published for the first time. Statistics specifically relating to the PCT cover areas such as:

- trends in PCT filings since 1985 and filings by country of origin in 2007 (see D.1.1.);
- distribution of PCT filings by sector of the economy, for example, businesses, universities or governments, and top applicants by business sector and by university sector (see D.1.2.); and
- breakdown of international phase filings in 2007 and PCT national phase entries in 2006 (wherever the statistics have been provided by the national offices) (see Table A2).

A summary of some of the conclusions which have been drawn from the report is published in WIPO Press Release PR/2008/562 (“World Patent Report Confirms Increasing Internationalization of Innovative Activity”) at:

www.wipo.int/pressroom/en/articles/2008/article_0042.html

Patent Cooperation Treaty and Regulations (paper version)

It is recalled that, in view of the relatively limited number of changes that were made in the amendments to the PCT Regulations which entered into force on 1 July 2008, the paper version of the Patent Cooperation Treaty and Regulations (WIPO Publication No. 274) will not be reprinted on this occasion. However, for the convenience of PCT users, a booklet containing the PCT rules which were amended with effect from 1 July 2008, which can be printed out, cut to the appropriate size and inserted in the paper version of that publication, has therefore been published, in English, French, German and Spanish, on the PCT Resources page of PATENTSCOPE® at, respectively:

www.wipo.int/pct/en/texts/pdf/update274_2008.pdf

www.wipo.int/pct/fr/texts/pdf/update274_2008.pdf

www.wipo.int/pct/de/texts/pdf/update274_2008.pdf

www.wipo.int/pct/es/texts/pdf/update274_2008.pdf

It is recalled that the consolidated text of the Regulations under the PCT, as in force from 1 July 2008, is available in English and French on the PCT Resources page of PATENTSCOPE® at:

www.wipo.int/pct/en/texts/pdf/pct_reggs.pdf

www.wipo.int/pct/fr/texts/pdf/pct_reggs.pdf

and is now also available in Japanese at:

www.wipo.int/pct/ja/docs/pct_reggs.pdf

The full text of the Treaty and Regulations will next be reprinted to contain the January 2009 changes.

World Trade Organization

Cape Verde becomes a Member

On 23 July 2008, Cape Verde (country code: CV), which is not party to the PCT or the Paris Convention, became a Member of the WTO, thus bringing the total number of Members to 153. The table of States party to the PCT and the Paris Convention and Members of the WTO has been updated accordingly. See the PCT Resources page of PATENTSCOPE® at:

www.wipo.int/pct/en/texts/pdf/pct_paris_wto.pdf

It is recalled that under PCT Rule 4.10(a), it is possible to claim in an international application the priority of one or more earlier applications filed in, or for, any country party to the Paris Convention for the Protection of Industrial Property or in, or for, any Member of the World Trade Organization (WTO) that is not party to that Convention.

Budapest Treaty

Accession by Costa Rica

On 30 June 2008, Costa Rica (country code: CR), which is a PCT Contracting State, deposited its instrument of accession to the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure, thus bringing the total number of States party to that Treaty to 69. The Budapest Treaty will enter into force with respect to Costa Rica on 30 September 2008. An updated table of States party to the Budapest Treaty is available at:

www.wipo.int/treaties/en/documents/pdf/budapest.pdf

PCT Information Update

AO Angola (competent receiving Office, competent international authorities)

The Angolan Institute of Industrial Property has notified the International Bureau that it has delegated its functions as receiving Office to the International Bureau of WIPO, with effect from 27 December 2007.

The International Bureau, acting as receiving Office on behalf of the Angolan Institute of Industrial Property, has specified the Austrian Patent Office and the European Patent Office as

competent International Searching and Preliminary Examining Authorities for international applications filed on or after 27 December 2007 by nationals and residents of Angola.

(Updating of *PCT Applicant's Guide*, Annex C (IB))

BH Bahrain (competent international authority)

The Directorate of Industrial Property (Bahrain) has specified the European Patent Office as competent International Searching and Preliminary Examining Authority for international applications filed by nationals and residents of Bahrain with the Directorate as receiving Office, with effect from 18 March 2007.

IS Iceland (fees)

The amount of the transmittal fee, payable to the Icelandic Patent Office as receiving Office, changed with effect from 1 March 2008, as indicated in Fee Table I(a).

The amounts of the following national fees, payable to that Office as designated (or elected) Office, also changed with effect from that date:

Basic fee:.....	ISK	39,500
Claim fee for each claim in excess of 10:	ISK	1,900
Additional fee for late furnishing of translation:.....	[no change]	
Annual fees for the first three years:.....	ISK	11,100

(Updating of *PCT Applicant's Guide*, Annex C (IS) and National Chapter, Summary (IS))

MT Malta (general information)

General information about Malta as a Contracting State, as well as information on the requirements of the Industrial Property Registrations Directorate, Commerce Division, Ministry of Finance, Economy and Investment as receiving Office, has been published in the *PCT Applicant's Guide* (see Annex B1 (MT) and Annex C (MT)) on the PCT Resources page of PATENTSCOPE® at:

www.wipo.int/pct/guide/en/

PT Portugal (fees)

The amounts of the following fees, payable to the National Institute of Industrial Property (Portugal) as receiving Office, changed with effect from 1 July 2008:

Transmittal fee:.....	see Table I(a)	
Fee for priority document:.....	EUR	40.06

The amounts of the following national fees, payable to that Office as designated (or elected) Office, also changed with effect from that date:

For patent and for utility model:

Filing fee:	EUR	125.92
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Examination fee:	EUR	228.93
Fee for submission of any document:	EUR	5.73

(Updating of *PCT Applicant's Guide*, Annex C (PT) and National Chapter, Summary (PT))

Search fee (Australian Patent Office, Japan Patent Office, Korean Intellectual Property Office)

As from 1 September 2008, there will be a change in the equivalent amount payable in CHF for an international search carried out by the Japan Patent Office (for the purposes of the payment of fees to the International Bureau as receiving Office), and as from 15 October 2008, there will be a change in the equivalent amount payable in USD for an international search carried out by the Korean Intellectual Property Office.

As from 1 November 2008, there will be a change in the equivalent amount payable in NZD for an international search carried out by the Australian Patent Office.

The above-mentioned changes are indicated in Fee Table I(b).

(Updating of *PCT Applicant's Guide*, Annex D (AU, JP and KR))

New/Updated PCT Resources on the Internet (www.wipo.int/pct/en)

Modified PCT Regulations (Japanese)

The consolidated text of the Regulations under the PCT, as in force from 1 July 2008, is now available in Japanese on the PCT Resources page of PATENTSCOPE® at:

www.wipo.int/pct/ja/docs/pct_reg.pdf

Patent Cooperation Treaty and Regulations (Portuguese)

Consolidated versions of the Patent Cooperation Treaty and of the Regulations under the PCT in Portuguese (as in force from 1 April 2007) are now available for the first time on the PCT Resources page of PATENTSCOPE® at:

www.wipo.int/pct/pt/texts/pdf/pct.pdf

www.wipo.int/pct/pt/texts/pdf/pct_reg07.pdf

Amendments to the PCT Regulations (January 2009): PowerPoint presentation

A PowerPoint presentation containing an overview of the main changes to the PCT Regulations that will enter into force on 1 January 2009 (namely, that international applications may be published in Korean and Portuguese, and that applicants will be able to request that a supplementary international search be carried out by a different International Searching Authority) is now available in English at:

www.wipo.int/pct/en/texts/ppt/2009changes.ppt

Seminar materials

Seminar materials covering all aspects of the PCT procedure have been updated in English on the PCT Resources page of PATENTSCOPE® at:

www.wipo.int/pct/en/seminar/basic_1/index.html

The seminar materials, dated 22 July 2008, consist of two documents:

- “Background Reading on the Patent Cooperation Treaty (PCT)”, which gives detailed information on the filing of PCT applications, the functions of the receiving Office and the International Bureau, international search and preliminary examination, international publication, entry into the national phase and procedural safeguards for international applications; and
- “Seminar Presentation on the Patent Cooperation Treaty (PCT)”, which gives summarized information on a wide variety of PCT topics in the form of a slide presentation.

PCT Applicant's Guide

The introduction to the International Phase has been updated in English (in PDF and HTML formats) and French (in PDF format), to take into account the July 2008 amendments to the PCT Regulations, and is available at, respectively:

www.wipo.int/pct/guide/en/index.html

www.wipo.int/pct/guide/fr/index.html

It is recalled that users of the *PCT Applicant's Guide* may subscribe to an e-mail updating service at the above-mentioned addresses which sends out weekly e-mails informing users which *Guide* information has been updated that week, and containing links to those updated elements.

Receiving Office forms

The editable versions of a number of PCT receiving Office forms have been updated in English, French and German and are available at, respectively:

www.wipo.int/pct/en/forms/ro/index.htm

www.wipo.int/pct/fr/forms/ro/index.htm

www.wipo.int/pct/de/forms/ro/index.htm

We are most grateful to the European Patent Office for their assistance in translating these forms into German.

Updated Spanish versions of the forms will be available shortly at:

www.wipo.int/pct/es/forms/ro/index.htm

PCT in the News

The latest edition of the *WIPO Magazine* features an article entitled “Hot Property — IP Strategies in the Solar Tech Sector”, which describes the IP strategies, including the filing of

patent applications under the PCT, of Isofoton, one of the world's leading companies in photovoltaic and thermal solar energy technologies.

Extracts from the WIPO Magazine are available at:

www.wipo.int/pct/en/news/index.html

By clicking on “*WIPO Magazine*” in the “related links” box, you will be able to view the complete issue of the *WIPO Magazine*.

Booklet containing July 2008 rule changes

See “Patent Cooperation Treaty and Regulations (paper version)”, above.

PCT Statistics

The April to June 2008 editions of the two PCT Quarterly Reports: “Trends and Analysis” and “Performance Indicators” are now available at:

www.wipo.int/ipstats/en/statistics/pct/

It is recalled that the latest monthly statistics are available at:

www.wipo.int/ipstats/en/statistics/pct/pdf/monthly_report.pdf

Practical Advice

The consequences of a finding by the ISA of lack of unity of invention

Q: I have received Form PCT/ISA/206 (“Invitation to pay additional fees and, where applicable, protest fee”) from the International Searching Authority (ISA) in respect of an international application, stating that there are three inventions claimed in the application. I have therefore been invited to pay two additional search fees to cover each additional invention. I do not agree with the finding by the ISA of lack of unity of invention. What will happen if I fail to pay the additional search fees? If I pay only one additional fee, can I choose which additional invention I wish to be searched? Is it possible to protest against the ISA’s finding of lack of unity?

A: According to PCT Rule 13.1, the international application must relate to one invention only or to a group of inventions so linked as to form a single general inventive concept (“requirement of unity of invention”). The search fee is intended to cover the cost for the ISA to carry out an international search on the international application, but only to the extent that the international application meets the requirement of “unity of invention”. Therefore, if that requirement is not met, the ISA is entitled to request the applicant to pay an additional search fee for each invention beyond the first which is to be searched (see PCT Rule 40.1). Further details on the requirement of unity of invention can be found in the Administrative Instructions under the PCT, Annex B, at:

www.wipo.int/pct/en/texts/ai/annex_b.html

as well as in the *PCT Applicant’s Guide*, International Phase, paragraphs 129–138 at:

www.wipo.int/pct/guide/en/index.html

Consequence of the failure to pay the additional search fees

As in your case, ISAs will invite the applicant to pay additional search fees by way of Form PCT/ISA/206. Some ISAs will attach to that invitation the results of a “partial” international search (this is not to be confused with the international search report (ISR)), limited to the invention first mentioned in the claims (the “main invention”) (see PCT Article 17(3)(a)).

If you fail to pay the additional search fees within one month from the date of mailing of the invitation (note that this time limit cannot be extended), no searches of additional inventions will be made and the ISR, when it is established, will only cover the first claimed invention (where a partial search was furnished to the applicant, the results of that partial search only will be included in the ISR). If you do not pay all requested additional search fees, the ISA will only search the number of inventions covered by the payment — upon payment, it should be possible for you to specify to the ISA which claims you wish to be searched. If you pay all the additional fees within the applicable time limit, the ISR will include the results of the search of all inventions in the application.

If you disagree with the ISA’s finding under PCT Rule 40 of lack of unity of invention (or, in the number of additional search fees that you have been invited to pay), you may, under PCT Rule 40.2(c), protest against that finding, as explained below.

Protest procedure and, where applicable, protest fee

In order to initiate the protest procedure, you must pay the additional search fees within the time limit fixed by the ISA in Form PCT/ISA/206 (one month) for all inventions in respect of which you are still interested in receiving an ISR, and send a reasoned statement explaining why you consider that unity of invention has been complied with, or that the number of additional fees required is excessive. After payment of the fees, your protest will then be examined by a review body constituted in the framework of the ISA, which, if it finds your protest justified, would order the total or partial reimbursement to you of the additional fees.

In accordance with PCT Rule 40.2(e), such a protest may also be subjected by the ISA to the payment of a “protest fee” (currently, only five International Searching and Preliminary Examining Authorities charge such a fee. For details, consult the *PCT Applicant’s Guide*, Annex D, for the ISA concerned). Note however that, although an additional search fee is required for each separate invention, only one protest fee is payable, where applicable, regardless of the number of additional search fees that you have been invited to pay. The protest fee is refunded if the review finds that the protest was fully justified. If you fail to pay the protest fee within the applicable time limit, the ISA will declare that the protest has been considered not to have been made.

PCT Seminar Calendar (situation on 1 August 2008)			
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
2–3 October 2008 Cary, North Carolina (US)	English	PCT seminar WIPO speakers: Ms. Bidwell and Mr. Reed	North Carolina Bar Association (Ms. Melanie Bostic) Tel: (1–919) 657 15 69 Fax: (1–919) 677 17 74 E-mail: mbostic@ncbar.org
10 October 2008 London (GB)	English	PCT presentation in the framework of the 7th Annual Conference for Senior Patent Administrators WIPO speaker: Mr. Reischle	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
15 October 2008 Munich (DE)	German	Presentation on the recent developments in the PCT system, within the framework of the 4 th Annual Conference about Intellectual Property Law WIPO speaker: Mr. Reischle Other speakers: Mr. Naumann (European Patent Office) and Mr. Molnia (Dorries Frank-Molnia & Pohlman (df-mp), Munich)	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: a.kapeller@forum-institut.de
20 October 2008 Helsinki (FI)	English	PCT update seminar WIPO speaker: Ms. Bonvallet	National Board of Patents and Registration of Finland (Mr. Illmarinen) Tel: (358–9) 69 39 52 36 Fax: (358–9) 69 39 53 22 E-mail: olli.ilmariinen@prh.fi
22 October 2008 Stockholm (SE)	English	PCT update for patent attorneys and advanced paralegals WIPO speaker: Ms. Bonvallet	Swedish Patent and Registration Office (Ms. Inger Halvarsson, PRV InterPat - external training) Tel: (46–8) 782 28 84 Fax: (46–8) 783 01 63 E-mail: inger.halvarsson@prv.se
24 October 2008 Copenhagen (DK)	English	PCT update WIPO speaker: Ms. Bonvallet	Danish Patent and Trademark Office (Ms. Anette Høgh Sonnichsen) Tel: (45) 43 50 80 31 Fax: (45) 43 50 80 01 E-mail: ahs@dkpto.dk
30–31 October 2008 San Francisco (US)	English	Advanced PCT seminar WIPO speakers: Ms. Bidwell and Mrs. Coeckelbergs	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1–415) 381 26 15 Fax: (1–415) 389 89 38 E-mail: info@ipiseminars.com Internet: www.ipiseminars.com
3–4 November 2008 Chicago (US)	English	Advanced course on the PCT WIPO speakers: Ms. Bidwell and Mrs. Coeckelbergs	The John Marshall Law School Department of Event Management Tel: (1–312) 987 14 20 Fax: (1–312) 427 71 28 E-mail: Events@jmls.edu

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PCT Seminar Calendar [continued]			
(situation on 1 August 2008)			
Dates and location	Language of seminar	Nature of seminar; WIPO speakers (and others where known)	Organizer and contact numbers
11–12 November 2008 Heidelberg (DE)	German	Advanced PCT seminar WIPO speaker: Mr. Reischle Other speaker: Mr. Wolff (European Patent Office)	Forum Institut für Management GmbH Tel: (49–6221) 500 500 Fax: (49–6221) 500 505 E-mail: a.kapeller@forum-institut.de
13 November 2008 Reykjavik (IS)	English	PCT training course WIPO speaker: Mrs. Coeckelbergs	Icelandic Patent Office (Ms. Elfa Ólafsdóttir) Tel: (354) 580 94 00 Fax: (354) 580 94 01 E-mail: elfa@Einkaleyfastofan.is
18 November 2008 Miami (US)	English	“Patent Cooperation Treaty for the Americas” colloquium For further information see: www.pctcolloquium2008.org	WIPO, ASIPI, FICPI and AIPLA For conference questions: E-mail: secretaria@asipi.org For online registration: Internet: www.pctcolloquium2008.org For on-line registration questions: E-mail: oaliaga@meproductions.com
20–21 November 2008 Boston, Massachusetts (US)	English	Seminar on PCT practices and procedures WIPO speakers: Ms. Bidwell and Mr. Reischle	Boston Patent Law Association (Ms. Janice Roussel) Tel: (1–617) 526 68 04 Fax: (1–617) 526 50 00 E-mail: Janice.Roussel@wilmerhale.com
27–28 November 2008 Zurich (CH)	English	PCT seminar in the framework of the ETH Master of Advanced Studies in Intellectual Property program WIPO speaker: Mrs. Coeckelbergs and Ms. Schwarz	Eidgenössische Technische Hochschule Zurich (ETH), Master of Advanced Studies in Intellectual Property (Ms. Caroline Frischknecht) Tel: (41–44) 632 68 08 Fax: (41–44) 632 13 67 E-mail: frischknecht@recht.gess.ethz.ch Internet: www.masip.ethz.ch
9–10 December 2008 Paris (FR)	French	Advanced PCT seminar WIPO speakers: Mr. Genin and Ms. Bonvallet	Institut national de la propriété industrielle (INPI) (Ms. Françoise Chauvin) Tel: (33–1) 53 04 55 76 Fax: (33–1) 53 04 52 52 E-mail: fchauvin@inpi.fr
11–12 December 2008 London (GB) <i>[previously announced as 4–5 December 2008]</i>	English	Advanced formalities seminar WIPO speakers: Mrs. Coeckelbergs and Ms. Schwarz	Management Forum Ltd Tel: (44–1483) 57 00 99 Fax: (44–1483) 53 64 24 E-mail: josephine.leak@management-forum.co.uk
4 February 2009 Ecully (FR)	French	PCT presentation for patent attorneys WIPO speakers: to be announced	Centre Paul Roubier Tel: (33–4) 78 33 07 08 Fax: (33–4) 78 33 58 96
24–25 April 2009 Concord, New Hampshire (US)	English	Comprehensive PCT seminar WIPO speakers: Mrs. Bidwell and Mr. Reed	Franklin Pierce Law Center (Ms. Carol Ruh) Tel: (1–603) 228 15 41, ext. 1108 Fax: (1–603) 225 96 47 E-mail: cruh@piercelaw.edu Internet: www.piercelaw.edu/cle/pct.php

PCT Fee Tables

(amounts on 1 August 2008, unless otherwise indicated)

The following Tables show the amounts and currencies of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide*, Annexes C, D and E. Note that all amounts are subject to change due to variations in the fees themselves or fluctuations in exchange rates. The international filing fee may be reduced by CHF 100, 200 or 300 where the international application, or part of the international application, is filed in electronic form, as prescribed under Item 3(a), (b), (c) and (d) of the Schedule of Fees (annexed to the Regulations under the PCT) and the *PCT Applicant's Guide*, paragraph 202(A). A 90%¹ reduction in the international filing fee (including the fee per sheet over 30) and the handling fee, as well as an exemption from the transmittal fee payable to the International Bureau as receiving Office, is also available to applicants from certain States—see footnotes 3 and 13. (Note that if the CHF 100, 200 or 300 reduction, as the case may be, and the 90% reduction are applicable, the 90% reduction is calculated **after** the CHF 100, 200 or 300 reduction.) The footnotes to the Fee Tables follow Table II.

Key to abbreviations used in fee tables:

eq	equivalent of –	BGL	Bulgarian lev	GHC	Ghanaian cedi	LYD	Libyan dinar	SGD	Singapore dollar
IPEA	International Preliminary Examining Authority	BRR	Brazilian real	HRK	Croatian kuna	MAD	Moroccan dirham	SKK	Slovak koruna
ISA	International Searching Authority	BYR	Belarussian rouble	HUF	Hungarian forint	MKD	Macedonian denar	TJS	Tajik somoni
RO	receiving Office	BZD	Belize dollar	IDR	Indonesian rupiah	MWK	Malawian kwacha	TND	Tunisian dinar
		CAD	Canadian dollar	ILS	New Israel shekel	MXP	Mexican peso	TTD	Trinidad and Tobago dollar
		CHF	Swiss franc	INR	Indian rupee	MYR	Malaysian ringgit	UAH	Ukrainian hryvnia
		CNY	Yuan renminbi	ISK	Icelandic krona	NOK	Norwegian krone	USD	US dollar
		COP	Colombian peso	JPY	Japanese yen	NZD	New Zealand dollar	VND	Vietnamese dong
		CUC	Cuban convertible peso	KES	Kenyan shilling	PGK	Kina	XAF	CFA franc BEAC
AED	United Arab Emirates dirham	CZK	Czech koruna	KGS	Kyrgyz som	PHP	Philippine peso	XAF	CFA franc BEAC
ALL	Albanian lek	DKK	Danish krone	KPW	KP won	PLZ	Polish zloty	ZAR	South African rand
AMD	Armenian dram	DZD	Algerian dinar	KRW	KR won	ROL	Romanian leu	ZWD	Zimbabwe dollar
AUD	Australian dollar	EEK	Estonian kroon	KZT	Kazakh tenge	RSD	Serbian dinar		
AZM	Azerbaijani manat	EUR	Euro	LSL	Lesotho loti	RUR	Russian rouble		
BAM	Convertible mark	GBP	Pound sterling	LTL	Lithuanian litas	SDP	Sudanese pound		
		GEL	Georgian lari	LVL	Latvian lat	SEK	Swedish krona		

Table I(a) — Transmittal and international filing fees
(amounts on 1 August 2008, unless otherwise indicated)

RO	Transmittal fee ²		International filing fee ^{2, 3} (CHF 1,330)	Fee per sheet over 30 ^{2, 3, 4} (CHF 15)	E-filing reductions according to Schedule of Fees:			Competent ISA(s) ⁵
					Items 3(a) ⁶ and (b) ⁷ (CHF 100)	Item 3(c) ⁸ (CHF 200)	Item 3(d) ⁹ (CHF 300)	
AG	Information not yet available							
AL	ALL	9,000	CHF 1,330	15	–	–	–	EP
AM	AMD	32,000	USD 1,338	15	101	–	–	EP RU
AP	USD	50 (or eq in local currency)	USD 1,338	15	101	–	–	AT EP SE
AT	EUR	50	EUR 848	10	64	–	–	EP
AU	AUD	150	AUD 1,466 (from 15.9.08 : 1,353)	17 (15)	110 (102)	220 (204)	331 (305)	AU
AZ	AZM	55,000	USD 1,338	15	–	–	–	EP RU
BA	BAM	50	EUR 848	10	64	–	–	EP
BE	EUR	40	EUR 848	10	64	–	–	EP
BG	BGL	80	BGL eq CHF 1,330	eq CHF 15	eq CHF 100	–	–	EP RU
BH	Information not yet available							EP
BR	BRR	305	BRR eq CHF 1,330	eq CHF 15	eq CHF 100	–	–	AT EP SE US
BW	USD ¹⁰	–	USD 1,338	15	101	–	–	EP
BY	BYR eq USD	70	USD 1,338	15	–	–	–	EP RU
BZ	BZD	300	USD 1,338	15	101	–	–	CA EP
CA	CAD	300	CAD 1,375 (from 15.9.08: 1,289)	16 (15)	103 (97)	–	–	CA
CH	CHF	100	CHF 1,330	15	100	–	–	EP
CN	CNY	500	CNY eq CHF 1,330	eq CHF 15	eq CHF 100	eq CHF 200	eq CHF 300	CN
CO	COP ¹⁰	–	COP eq USD 1,338	eq USD 15	eq USD 101	–	–	AT, EP, ES, RU
CR	USD	250	USD 1,338	15	101	–	–	EP ES

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Table I(a) — Transmittal and international filing fees [continued]

(amounts on 1 August 2008, unless otherwise indicated)

RO	Transmittal fee ²		International filing fee ^{2,3}		Fee per sheet over 30 ^{2,3,4}	E-filing reductions according to Schedule of Fees:			Competent ISA(s) ⁵
						Items 3(a) ⁶ and (b) ⁷	Item 3(c) ⁸	Item 3(d) ⁹	
CU	CUC	200	CUC	1,338	15	101	–	–	AT EP ES RU
CY	EUR	128.15	EUR	848	10	–	–	–	EP
CZ	CZK	1,500	EUR	848	10	64	–	–	EP
DE	EUR	90	EUR	848	10	64	128	191	EP
DK	DKK	1,500	DKK	6,320	70	480	950	1,430	EP SE XN
DM	Information not yet available								
DO	USD	275	USD	1,338	15	101	–	–	EP ES US
DZ	DZD	None	CHF	1,330	15	100	–	–	AT EP
EA	RUR	1,600	USD	1,338	15	101	–	–	EP RU
EC	USD ¹⁰	–	USD	1,338	15	101	–	–	EP ES
EE	EEK	1,800	EEK eq CHF	1,330	eq CHF 15	eq CHF 100	–	–	EP
EG	USD	142	USD	1,338	15	101	–	–	AT EP US
EP	EUR	110	EUR	848	10	–	128	191	EP
ES	EUR	69.25	EUR	848	10	64	128	191	EP ES
FI	EUR	135	EUR	848	10	–	128	191	EP FI SE
FR	EUR	60	EUR	848	10	64	128	191	EP
GB	GBP	55	GBP	675	8	51	101	152	EP
GD	Information not yet available								
GE	GEL ¹¹	10	USD	1,338	15	101	–	–	EP RU
GH	GHC ¹²	2,500 or 5,000	USD	1,338	15	–	–	–	AT AU CN EP SE
GR	EUR	115	EUR	848	10	64	–	–	EP
GT	Information not yet available								
HN	Information not yet available								
HR	HRK	200	HRK eq CHF	1,330	eq CHF 15	eq CHF 100	–	–	EP
HU	HUF	10,700	HUF eq CHF	1,330	eq CHF 15	eq CHF 100	–	–	EP RU
IB	CHF ¹³ or EUR ¹³ or USD ¹³	100 64 101	CHF or EUR or USD	1,330 848 1,338	15 10 15	100 64 101	200 128 201	300 191 302	See footnote 14
ID	IDR	1,000,000	IDR eq CHF	1,330	eq CHF 15	eq CHF 100	–	–	AU EP KR RU
IE	EUR	76	EUR	848	10	64	–	–	EP
IL	ILS	503	USD	1,338	15	101	–	–	EP US
IN	INR	8,000 (filing by indiv: 2,000)	USD	1,338	15	101	–	–	AT AU CN EP SE US
IS	ISK	7,700	ISK	101,400	1,100	7,600	–	–	EP SE XN
IT	EUR	30.99	EUR	848	10	–	–	–	EP
JP	JPY	13,000	JPY	133,400	1,500	10,000	–	30,100	EP JP
KE	USD (or KES equiv) ²⁵⁰ plus cost of mailing		USD	1,338	15	101	–	–	AT AU CN EP SE
KG	KGS eq USD	100	USD	1,338	15	101	–	–	EP RU

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Table I(a) — Transmittal and international filing fees [continued]

(amounts on 1 August 2008, unless otherwise indicated)

RO	Transmittal fee ²	International filing fee ^{2,3}	Fee per sheet over 30 ^{2,3,4}	E-filing reductions according to Schedule of Fees:			Competent ISA(s) ⁵
				Items 3(a) ⁶ and (b) ⁷	Item 3(c) ⁸	Item 3(d) ⁹	
KM	Information not yet available						
KN	Information not yet available						
KP	KPW eq CHF 50	KPW eq CHF 1,330	eq CHF 15	eq CHF 100	–	–	AT CN RU
KR	KRW 45,000	KRW 1,327,000	15,000	100,000	–	299,000	AT AU JP ¹⁵ KR
KZ	KZT 7,360	USD 1,338	15	101	–	–	EP RU
LR	USD 45	USD 1,338	15	–	–	–	AT AU CN EP SE
LS	LSL ¹⁰ –	LSL eq CHF 1,330	eq CHF 15	–	–	–	AT EP
LT	LTL 320	EUR 848	10	64	–	–	EP RU
LU	EUR 19	EUR 848	10	–	–	–	EP
LV	LVL 47.20	EUR 848	10	64	–	–	EP RU
LY	LYD ¹⁰ –	CHF 1,330	15	100	–	–	AT EP
MA	None	CHF 1,330	15	–	–	–	AT EP RU SE
MC	EUR 54 ¹⁶	EUR 848	10	–	–	–	EP
MD	USD 40	USD 1,338	15	101	–	–	EP RU
MK	MKD 2,700	MKD eq CHF 1,330	eq CHF 15	eq CHF 100	–	–	EP
MN	None	CHF 1,330	15	100	–	–	EP KR RU
MT	EUR 55	EUR 848	10	64	–	–	EP
MW	MWK 6,000	MWK 182,500	2,100	13,700	–	–	EP
MX	MXP eq USD 323.70	MXP eq CHF 1,330	eq CHF 15	eq CHF 100	–	–	EP ES SE US
MY	MYR 375 ¹⁷	MYR eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	AU EP KR
NI	USD 200	USD 1,338	15	101	–	–	EP ES
NL	EUR 50	EUR 848	10	64	128	191	EP
NO	NOK 550	NOK 6,820	80	510	–	–	EP SE XN
NZ	NZD 180 ¹⁸	NZD 1,704	19	128	–	–	AU EP KR US
OA	XAF ¹⁰ –	XAF eq CHF 1,330	eq CHF 15	–	–	–	AT EP RU SE
PG	PGK 250	USD 1,338	15	101	–	–	AU
PH	PHP 3,500	USD 1,338	15	101	201	302	AU EP JP KR US
PL	PLZ 300	PLZ eq CHF 1,330	eq CHF 15	–	eq CHF 200	eq CHF 300	EP
PT	EUR 34.34	EUR 848	10	64	–	–	EP
RO	ROL 300,000	CHF 1,330	15	100	200	300	AT EP RU
RS	RSD 3,000	RSD eq CHF 1,330	eq CHF 15	eq CHF 100	–	–	EP
RU	RUR 600	USD 1,338	15	101	–	–	EP RU
SC	USD ¹⁰ –	USD 1,338	15	–	–	–	EP
SD	SDP 50	SDP eq CHF 1,330	eq CHF 15	–	–	–	EP
SE	SEK 1,200	SEK 7,960	90	600	1,200	1,790	EP SE
SG	SGD 150	SGD 1,845	21	139	–	–	AT AU EP KR
SI	EUR 91	EUR 848	10	64	–	–	EP
SK	SKK 2,000	SKK eq CHF 1,330	eq CHF 15	eq CHF 100	eq CHF 200	eq CHF 300	EP
SM	EUR 50	EUR 848	10	–	–	–	EP
SV	USD 200	USD 1,338	15	101	–	–	EP ES

[continued on next page]

Table I(a) — Transmittal and international filing fees [continued]

(amounts on 1 August 2008, unless otherwise indicated)

RO	Transmittal fee ²		International filing fee ^{2,3}		Fee per sheet over 30 ^{2,3,4}	E-filing reductions according to Schedule of Fees:			Competent ISA(s) ⁵
						Items 3(a) ⁶ and (b) ⁷	Item 3(c) ⁸	Item 3(d) ⁹	
SY	USD ¹⁰	–	USD	1,338	15	101	–	–	AT EP RU
TJ	TJS ¹⁰	–	USD	1,338	15	–	–	–	EP RU
TM	USD ¹⁰	–	USD	1,338	15	101	–	–	EP RU
TN	TND ¹⁰	–	CHF	1,330	15	–	–	–	EP
TR	CHF	100	CHF	1,330	15	100	–	–	EP
TT	TTD	750	USD	1,338	15	101	–	–	AT EP SE US
UA	UAH	255	USD (or eq UAH)	1,338	15	–	–	–	EP RU
US	USD	300	USD	1,338	15	101	201	–	EP KR US
UZ	USD ¹⁰	–	USD	1,338	15	101	–	–	EP RU
VC	Information not yet available								
VN	VND eq USD	150	VND eq CHF	1,330	eq CHF 15	–	–	–	AT AU EP KR RU SE
ZA	ZAR	500	ZAR	10,860 (from 1.9.08: 9,510)	120 (110)	820 (720)	– (–)	– (–)	AT AU EP US
ZM	USD	50	USD	1,338	15	–	–	–	AT SE
ZW	ZWD	6,000	ZWD eq USD	1,338	eq USD 15	eq USD 101	–	–	AT AU CN EP RU

Table I(b) — Search fees

(amounts on 1 August 2008, unless otherwise indicated)

ISA	Search fee ²											
AT	EUR	200	CHF	330	KRW	306,000	SGD	420	USD	282	ZAR	2,380
AU	AUD	1,600	CHF*	1,483	EUR	987	KRW**	1,415,000	NZD***	1,859	SGD	2,084
	USD	1,514	ZAR	11,640								
			CHF	1,594								
			KRW	1,590,000								
			NZD	2,029								
CA	CAD	1,600	CHF	1,587	EUR	995	USD	1,597				
CN	CNY	2,100	CHF	328	EUR	199	USD	280				
EP ¹⁹	EUR	1,700	CHF	2,726	DKK	12,670	GBP	1,273	ISK	192,000	JPY	268,800
	MWK	344,000	NOK	13,440	NZD	3,395	SEK	15,830	SGD	3,530	USD	2,665
	ZAR	20,650										
ES ¹⁹	EUR	1,700	CHF	2,726	USD	2,665						
FI	EUR	1,700	CHF	2,726	USD	2,665						
JP	JPY	97,000	CHF*	991	EUR	600	KRW	924,000	USD	949		
			CHF	926								
KR	KRW	225,000	CHF	226	EUR	148	NZD	289	SGD	320	USD*	244
			USD	220								
RU ²⁰	USD	500	CHF	507	EUR	319						
SE	SEK	15,830	CHF	2,726	DKK	12,670	EUR	1,700	ISK	192,000	NOK	13,440
	USD	2,665										
US	USD	1,800	CHF	1,789	EUR	1,154	NZD	2,370	ZAR	14,000		
XN	DKK	12,670	CHF	2,726	EUR	1,700	ISK	192,000	NOK	13,440	USD	2,665

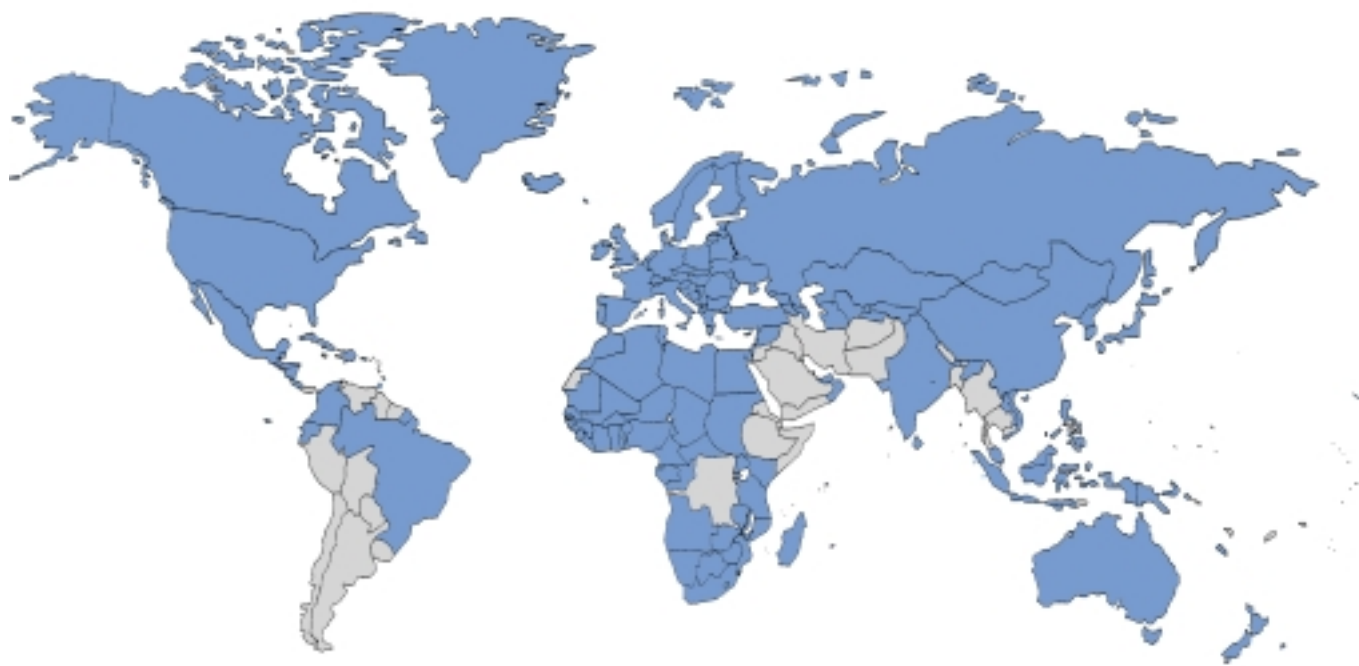
Table II — Preliminary examination fees
(amounts on 1 August 2008, unless otherwise indicated)

IPEA	Preliminary examination fee ²¹		Handling fee ^{3, 21} (CHF 200)	
AT	EUR	200	EUR	121
AU	AUD	550	780 ²²	AUD 196
CA	CAD	800	CAD	171
CN	CNY	1,500	CNY eq CHF	200
EP ¹⁹	EUR	1,675	EUR	121
ES	EUR	544.44	EUR	121
FI	EUR	550	EUR	121
JP	JPY	36,000	JPY	19,600
KR	KRW	225,000	KRW	157,000
RU ²⁰	USD	200 ²³	300 ²⁴	USD 171
SE	SEK	5,000	SEK	1,110
US	USD	600	750 ²⁵	USD 171
XN	DKK	5,000	DKK	900

Footnotes to fee tables:

- 1 For international applications received by the receiving Office before 1 July 2008, the former 75% reduction continues to apply.
- 2 Payable to the receiving Office in the currency or one of the currencies prescribed by it.
- 3 This fee is reduced by 90% (75% for international applications received by the receiving Office before 1 July 2008) where the applicant or, if there are two or more applicants, each applicant fulfills the criteria indicated on the PCT Resources page of PATENTSCOPE® at: www.wipo.int/pct/en/fees/fee_reduction.pdf
- 4 Where the PCT application as filed contains a sequence listing part in computer readable form only, or both in that form and on paper, under Section 801 of the Administrative Instructions under the PCT, and where that application is filed with a receiving Office which is prepared to accept such filings, a fixed component of 400 times the fee per sheet over 30 is payable for the sequence listing part, irrespective of the actual length of that part (see Section 803(ii) of the *Administrative Instructions*).
- 5 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.
- 6 If the international application is filed on paper together with a copy in electronic form, in character coded format, of the request and the abstract.
- 7 If the international application is filed in electronic form, the request not being in character coded format.
- 8 If the international application is filed in electronic form, the request being in character coded format.
- 9 If the international application is filed in electronic form, the request, description, claims and abstract being in character coded format.
- 10 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.
- 11 This fee is reduced by 80% where the applicant is a natural person.
- 12 The first amount of the transmittal fee is applicable to individuals or entities employing less than 25 persons. The second amount is applicable to entities employing 25 persons or more.
- 13 This fee is not payable in respect of international applications filed by applicants fulfilling the conditions indicated on the PCT Resources page of PATENTSCOPE® at: www.wipo.int/pct/en/fees/fee_reduction.pdf
- 14 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national. See also *PCT Applicant's Guide*, Annex C (IB).
- 15 The Japan Patent Office is competent only for international applications in Japanese.
- 16 Plus EUR 1.50 for the preparation of additional copies, for each page and each copy.
- 17 Plus MYR 45 for each sheet in excess of 30.
- 18 Plus 12.5% GST (Goods and Services Tax) for New Zealand residents.
- 19 The search fee payable to the EPO and the Spanish Patent and Trademark Office, as well as the preliminary examination fee payable to the EPO, are reduced by 75% under certain conditions, in accordance with the decision of the EPO's Administrative Council of 11 October 2000 (OJ EPO 2000, page 446, available at: www.european-patent-office.org/epo/pubs/oj000/10_00/10_4460.pdf). For a more detailed explanation of those conditions, together with a list of the States whose nationals and residents are entitled to this reduction, see: www.wipo.int/pct/en/fees/epo_fee_reduction.html
- 20 The applicant may, instead of paying the USD amount, pay the equivalent amount in RUR at the exchange rate applicable, on the date of payment, at the Central Bank of the Russian Federation, provided that, in the case of the search fee, the receiving Office accepts payment in RUR.
- 21 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.
- 22 Payable when the international search report was not issued by the Australian Patent Office.
- 23 Payable when the international search report was established by the Federal Service for Intellectual Property, Patents and Trademarks (Russian Federation).
- 24 In all cases where footnote 23 does not apply.
- 25 Payable when the international search was not carried out by the United States Patent and Trademark Office (USPTO) (provided that the USPTO is a competent International Preliminary Examining Authority in the particular case—see *PCT Applicant's Guide*, Annex C (US)).

PCT Contracting States and Two-letter Codes (139 on 1 August 2008)



AE United Arab Emirates	CU Cuba	IN India	MG Madagascar	SI Slovenia (EP) ²
AG Antigua and Barbuda	CY Cyprus (EP) ²	IS Iceland (EP)	MK The former Yugoslav Republic of Macedonia ¹	SK Slovakia (EP)
AL Albania ¹	CZ Czech Republic (EP)	IT Italy (EP) ²	ML Mali (OA) ²	SL Sierra Leone (AP)
AM Armenia (EA)	DE Germany (EP)	JP Japan	MN Mongolia	SM San Marino
AO Angola	DK Denmark (EP)	KE Kenya (AP)	MR Mauritania (OA) ²	SN Senegal (OA) ²
AT Austria (EP)	DM Dominica	KG Kyrgyzstan (EA)	MT Malta (EP) ²	ST Sao Tome and Principe
AU Australia	DO Dominican Republic	KM Comoros	MW Malawi (AP)	SV El Salvador
AZ Azerbaijan (EA)	DZ Algeria	KN Saint Kitts and Nevis	MX Mexico	SY Syrian Arab Republic
BA Bosnia and Herzegovina ¹	EE Estonia (EP)	KP Democratic People's Republic of Korea	MY Malaysia	SZ Swaziland (AP) ²
BB Barbados	EG Egypt	KR Republic of Korea	MZ Mozambique (AP)	TD Chad (OA) ²
BE Belgium (EP) ²	ES Spain (EP)	KZ Kazakhstan (EA)	NA Namibia (AP)	TG Togo (OA) ²
BF Burkina Faso (OA) ²	FI Finland (EP)	LA Lao People's Democratic Republic	NE Niger (OA) ²	TJ Tajikistan (EA)
BG Bulgaria (EP)	FR France (EP) ²	LC Saint Lucia	NG Nigeria	TM Turkmenistan (EA)
BH Bahrain	GA Gabon (OA) ²	LI Liechtenstein (EP)	NI Nicaragua	TN Tunisia
BJ Benin (OA) ²	GB United Kingdom (EP)	LK Sri Lanka	NL Netherlands (EP) ²	TR Turkey (EP)
BR Brazil	GD Grenada	LR Liberia	NO Norway (EP)	TT Trinidad and Tobago
BW Botswana (AP)	GE Georgia	LS Lesotho (AP)	NZ New Zealand	TZ United Republic of Tanzania (AP)
BY Belarus (EA)	GH Ghana (AP)	LT Lithuania (EP)	OM Oman	UA Ukraine
BZ Belize	GM Gambia (AP)	LU Luxembourg (EP)	PG Papua New Guinea	UG Uganda (AP)
CA Canada	GN Guinea (OA) ²	LV Latvia (EP) ²	PH Philippines	US United States of America
CF Central African Republic (OA) ²	GQ Equatorial Guinea (OA) ²	LY Libyan Arab Jamahiriya	PL Poland (EP)	UZ Uzbekistan
CG Congo (OA) ²	GR Greece (EP) ²	MA Morocco	PT Portugal (EP)	VC Saint Vincent and the Grenadines
CH Switzerland (EP)	GT Guatemala	MC Monaco (EP) ²	RO Romania (EP)	VN Viet Nam
CI Côte d'Ivoire (OA) ²	GW Guinea-Bissau (OA) ²	MD Moldova (EA)	RS Serbia ¹	ZA South Africa
CM Cameroon (OA) ²	HN Honduras	ME Montenegro	RU Russian Federation (EA)	ZM Zambia (AP)
CN China	HR Croatia (EP)		SC Seychelles	ZW Zimbabwe (AP)
CO Colombia	HU Hungary (EP)		SD Sudan (AP)	
CR Costa Rica	ID Indonesia		SE Sweden (EP)	
	IE Ireland (EP) ²		SG Singapore	
	IL Israel			

¹ Extension of European patent possible.

² May only be designated for a regional patent (the "national route" via the PCT has been closed).

Where a State can be designated for a regional patent, the two-letter code for the regional patent concerned is indicated in parentheses (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any State indicated in **bold italics** has adhered to the PCT but will only become bound by the PCT on the date shown in parentheses; it will not be considered to have been designated in international applications filed before that date.

Note that even though the filing of a request constitutes under PCT Rule 4.9(a) the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents, applicants should always use the latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) (the latest versions are dated July 2008) or, if filing the request using the PCT-EASY features of the PCT-SAFE software, the latest version of that software (which is available at: www.wipo.int/pct-safe). The request and demand forms can be printed from the website, in editable PDF format, at: www.wipo.int/pct/en/forms/, or obtained from receiving Offices or the International Bureau, or, in the case of the demand form, also from International Preliminary Examining Authorities.