Bounded Intention Planning Revisited: Proof

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We claim that each applicable operator partition induces a strong semistubborn set such that the applicable operators are the same as the operators in the partition.

Theorem 1. Let s be a state, $X \in P_s$ be an applicable partition. Then $T_s := X \cup \{o \mid o \text{ interferes with } o' \in X\}$ is a strong semi-stubborn set with the same applicable operators as X.

We split the proof for Theorem 1 into three parts, proving the claim for each possible type of operator partitions separately.

We will use the following notation: an operator $o \in \overline{\mathcal{O}}$ affects a variable $v \in \overline{\mathcal{V}}$ if and only if $v \in vars(eff_o)$ (and hence also $v \in vars(pre_o)$).

Proposition 1. First case of Theorem 1. Let s be a state, $X \in P_s$ be an applicable operator partition of type Fire_o , for the original operator $o \in \mathcal{O}$. Then the set $T_s := X \cup \{o' \mid o' \text{ interferes with } o'' \in X\}$ is a strong semistubborn set with the same applicable operators as X.

Proof. We first note that Fire_o contains exactly one operator, namely Fire(o). Wlog. we assume that o affects $v \in \mathcal{V}$, i. e. $v \in vars(pre_o)$ and $v \in vars(eff_o)$. Furthermore, o possibly has a prevail-condition on some variable $w \in \mathcal{V}$, $w \neq v$, i. e. $w \in vars(prv_o)$. By definition Fire(o) has the following properties:

$$\begin{array}{lll} pre_{\mathit{Fire}(o)}[v] & = \mathit{pre}_o[v] \\ eff_{\mathit{Fire}(o)}[v] & = \mathit{eff}_o[v] \\ pre_{\mathit{Fire}(o)}[O_v] & = o \\ eff_{\mathit{Fire}(o)}[O_v] & = \mathit{free} \\ prv_{\mathit{Fire}(o)}[w] & = \mathit{prv}_o[w] & \forall w \in \mathit{vars}(\mathit{prv}_o) \\ pre_{\mathit{Fire}(o)}[O_w] & = \mathit{frozen} & \forall w \in \mathit{vars}(\mathit{prv}_o) \\ eff_{\mathit{Fire}(o)}[O_w] & = \mathit{free} & \forall w \in \mathit{vars}(\mathit{prv}_o) \\ pre_{\mathit{Fire}(o)}[C_w] & = v & \forall w \in \mathit{vars}(\mathit{prv}_o) \\ eff_{\mathit{Fire}(o)}[C_w] & = \mathit{free} & \forall w \in \mathit{vars}(\mathit{prv}_o) \\ eff_{\mathit{Fire}(o)}[C_w] & = \mathit{free} & \forall w \in \mathit{vars}(\mathit{prv}_o) \\ \end{array}$$

Second, note that we know the following about state s, considering that Fire(o) is applicable in s:

$$\begin{array}{lll} s[v] & = pre_o[v] \\ s[w] & = prv_o[w] & \forall w \in vars(prv_o) \\ s[O_v] & = o \\ s[O_w] & = frozen & \forall w \in vars(prv_o) \\ s[C_w] & = v & \forall w \in vars(prv_o) \end{array}$$

We show that all operators o' that interfere with Fire(o) are not applicable in s. Thus Fire(o) is the only applicable operator in T_s . Second, we show that for all these operators $o' \in T_s$ (except for Fire(o)), T_s already contains a necessary enabling set for o' in s.

Let $u \neq Fire(o)$ be an arbitrary operator interfering with Fire(o). Whenever we mention o' in the following, we refer to an operator $o' \in \mathcal{O}$, $o' \neq o$.

- 1. If u disables Fire(o), we must distinguish the following cases.
 - (a) $v \in vars(eff_u)$ and $eff_u[v] \neq pre_{Fire(o)}[v]$. By definition, only fire operators can affect variables in \mathcal{V} . Let u = Fire(o'). From the definition of augmented operators, a fire operator affecting variable v also affects O_v . We conclude $pre_{Fire(o')}[O_v] = o' \neq o = s[O_v]$. Therefore Fire(o') is not applicable in s. $\{Fire(o)\}$ is a necessary enabling set for Fire(o') in s: only Fire(o) can change O_v from o to free, which is required because all SetO operators (which can set O_v to o') require $O_v = free$ as precondition.
- (b) $O_v \in vars(eff_u)$ and $eff_u[O_v] \neq pre_{Fire(o)}[O_v]$. We have to distinguish three possible types for u:
 - i. u = Fire(o'). If $v \in vars(eff_{o'})$, Case 1(a) applies. If $v \in vars(prv_{o'})$, we have $pre_{Fire(o')}[O_v] = frozen \neq o = s[O_v]$. Thus Fire(o') is not applicable. $\{Fire(o)\}$ is a necessary enabling set for Fire(o') for the same reasons as in Case 1(a).
 - ii. u=SetO(o'). We have $pre_{SetO(o')}[O_v]=free \neq o=s[O_v]$ and Case 1(a) applies.
- iii. u = Freeze(v, x) for $x \in \mathcal{D}(v)$. We have $pre_{Freeze(v, x)}[O_v] = free \neq o = s[O_v]$ and Case 1(a) applies.
- (c) $w \in vars(eff_u)$ for variable $w \in vars(prv_{Fire(o)})$ and $eff_u[w] \neq prv_{Fire(o)}[w]$. Only fire operators can affect original variables from \mathcal{V} . Let u = Fire(o'). We conclude $pre_{Fire(o')}[O_w] = o' \neq frozen = s[O_w]$. Therefore Fire(o') is not applicable.

We claim that $\{Fire(o)\}$ is a necessary enabling set for Fire(o') in s: we observe that in order to apply Fire(o'), O_w must not have the value frozen. Consider an operator \tilde{o} that changes the value of O_w from frozen to free. Note that only a fire operator $\tilde{o}:=Fire(o'')$ with $w\in vars(prv_{o''})$ can achieve this, because exactly for such fire operators, we have $pre_{Fire(o'')}[O_w]=frozen$ and $eff_{Fire(o'')}[O_w]=free$. If $v\in vars(eff_{Fire(o'')})$, then $pre_{Fire(o'')}[O_v]=o''\neq o=s[O_v]$ and thus Fire(o) must be applied first, as argued in Case 1(a). If $v\notin vars(eff_{\tilde{o}})$, then for some variable $v', v'\in vars(eff_{Fire(o'')})$. By definition of Fire(o''), $pre_{Fire(o'')}[C_w]=v'\neq v=s[C_w]$. All operators that set C_w from v to free also affect v and thus have a precondition on O_v , and thus Fire(o) needs to be applied before them.

(d) $O_w \in \mathit{vars}(\mathit{eff}_u)$ for variable $w \in \mathit{vars}(\mathit{prv}_{\mathit{Fire}(o)})$ and $\mathit{eff}_u[O_w] \neq \mathit{pre}_{\mathit{Fire}(o)}[O_w]$. We have to distinguish two possible types for u (freeze operators for variable w do not disable $\mathit{Fire}(o)$):

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- i. u = Fire(o'). If $w \in vars(eff_{o'})$, we have $w \in vars(eff_{Fire(o')})$ and Case 1(c) applies. If $w \in vars(prv_{o'})$, there must be a variable $v' \in \mathcal{V}$ for which $v' \in vars(eff_{o'})$. If v' = v, then $pre_{Fire(o')}[O_v] = o' \neq o = s[O_v]$ and Fire(o') is not applicable in s. $\{Fire(o)\}$ is a necessary enabling set in s for Fire(o') as argued in Case 1(a). If $v' \neq v$, then $pre_{Fire(o')}[C_w] = v' \neq v = s[C_w]$ and thus Fire(o') is not applicable in s. $\{Fire(o)\}$ is a necessary enabling set for Fire(o'), because all operators that set C_w from v to free also affect v and thus have a precondition on O_v , and thus Fire(o) needs to be applied before them, because $s[O_v] = o$.
- ii. u = SetO(o'). We have $pre_{SetO(o')}[O_w] = free \neq frozen = s[O_w]$ and thus SetO(o') is not applicable in s. $\{Fire(o)\}$ is a necessary enabling set for SetO(o'): Case 1(c) applies.
- (e) $C_w \in vars(eff_u)$ for variable $w \in vars(prv_{Fire(o)})$ and $eff_u[C_w] \neq pre_{Fire(o)}[C_w]$. We have to distinguish two possible types for u:
 - i. u = Fire(o'). We know that $pre_{Fire(o')}[C_w] = v'$ for some variable $v' \in \mathcal{V}$. The case reduces to Case 1(d)i, the case "If $w \in vars(prv_{o'})$ ".
 - ii. u = SetC(w,c) for some $c \in CG(w)$. We have $pre_{SetC(w,c)}[C_w] = free \neq v = s[C_w]$ and thus SetC(w,c) is not applicable in s. $\{Fire(o)\}$ is a necessary enabling set for SetC(w,c), because only fire operators affecting v can change C_w from v to free and $s[O_v] = o$ (see also Case 1(d)i, second case "If $v' \neq v$ ").
- 2. If Fire(o) disables u, we must distinguish the following cases.
 - (a) $v \in \mathit{vars}(\mathit{pre}_u)$ and $\mathit{pre}_u[v] \neq \mathit{eff}_{\mathit{Fire}(o)}[v]$. Only fire operators $u = \mathit{Fire}(o')$ can have original variables from $\mathcal V$ as a precondition. From the definition of fire operators, we conclude $\mathit{pre}_{\mathit{Fire}(o')}[O_v] = o' \neq o = s[O_v]$. Hence $\mathit{Fire}(o')$ is not applicable in s.
 - $\{Fire(o)\}\$ is a necessary enabling set for Fire(o') because only Fire(o) can change O_v from o to free, which is precondition for all SetO operators, which are in turn needed to set O_v to o' (see also Case 1(a)).
- (b) $v \in vars(prv_u)$ and $prv_u[v] \neq eff_{Fire(o)}[v]$. We must distinguish three possible types for u:
 - i. u = Fire(o'). From $v \in vars(prv_{o'})$, we know that $pre_{o'}[O_v] = frozen \neq o = s[O_v]$. Hence Fire(o') is not applicable in s. Furthermore, $\{Fire(o)\}$ is a necessary enabling set for Fire(o') because only Fire(o) can change O_v from o to free, which is required because all Freeze operators (which can set O_v to frozen) require $O_v = free$ as precondition.
 - ii. u = SetO(o'). From the definition of SetO operators, we know that $pre_{SetO(o')}[O_v] = free \neq o = s[O_v]$. Hence Fire(o') is not applicable in s. Furthermore, $\{Fire(o)\}$ is a necessary enabling set for Fire(o') because only Fire(o) can change O_v from o to free, which is the condition for SetO(o') to become applicable.
- iii. u=Freeze(v,x) for some $x\in \mathcal{D}(v)$. We have $pre_{Freeze(v,x)}[O_v]=free\neq o=s[O_v]$ and thus Case 2(b)ii applies.
- (c) $O_v \in vars(pre_u)$ and $pre_u[O_v] \neq eff_{Fire(o)}[O_v]$. As $eff_{Fire(o)}[O_v] = free$, only fire operators u = Fire(o') can be disabled (and not operators of type SetO or Freeze, as these

- could only have preconditions $O_v = free$). If $v \in vars(eff_{o'})$, Case 2(a) applies. If $v \notin vars(eff_{o'})$, $v \in vars(prv_{o'})$ and Case 2(b)i applies.
- (d) $O_w \in vars(pre_u)$ for some $w \in vars(prv_{Fire(o)})$ and $pre_u[O_w] \neq eff_{Fire(o)}[O_w]$. As $eff_{Fire(o)}[O_w] = free$, only fire operators u = Fire(o') can be disabled (and not operators of type SetO or Freeze, as these could only have preconditions $O_w = free$). If $w \in vars(eff_{o'})$, Case 2(a) applies. If $w \notin vars(eff_{o'})$, $w \in vars(prv_{o'})$ and Case 2(b)i applies.
- (e) $C_w \in vars(pre_u)$ for some $w \in vars(prv_{Fire(o)})$ and $pre_u[C_w] \neq eff_{Fire(o)}[C_w]$. Only a fire operator u = Fire(o') can be disabled by $C_w = free$ (and no SetC operator, because they have free as precondition). Let $pre_{Fire(o')}[C_w] := v'$. If v' = v, then we have $v \in vars(pre_{Fire(o')})$ and thus Case 2(a) applies. If $v' \neq v$, then $pre_{Fire(o')}[C_w] = v' \neq v = s[C_w]$ and hence Fire(o') is not applicable. Furthermore, $\{Fire(o)\}$ is a necessary enabling set for Fire(o'), because any (fire) operator that can change C_w from v to free (which is required for SetC operators to set C_w to v') must also affect v and thus O_v . As $s[O_v] = o$, Fire(o) must necessarily be applied before Fire(o').
- If Fire(o) and u have conflicting effects, we must distinguish the following cases.
- (a) $v \in vars(eff_u)$ and $eff_u[v] \neq eff_{Fire(o)}[v]$. This case mirrors Case 1(a).
- (b) $O_v \in vars(eff_u)$ and $eff_u[O_v] \neq eff_{Fire(O)}[O_v]$. This case mirrors Case 1(b), with the exclusion of sub-case i., because Fire(o) and Fire(o') cannot disable each other via (intention) variables, as both set such variables to free.
- (c) $O_w \in vars(eff_u)$ for some variable $w \in vars(prv_{Fire(o)})$ and $eff_u[O_v] \neq eff_{Fire(o)}[O_v]$. Same case as the previous one, i. e. Case 3(b).
- (d) $C_w \in vars(eff_u)$ for some variable $w \in vars(prv_{Fire(o)})$ and $eff_u[O_v] \neq eff_{Fire(o)}[O_v]$. This case mirrors Case 1(e), with the exclusion of sub-case i., because Fire(o) and Fire(o') cannot disable each other via (intention) variables, as both set such variables to free.

Proposition 2. Second case of Theorem 1. Let s be a state, $X \in P_s$ be an applicable operator partition of type $SetO_{v=x}$ for a variable $v \in \mathcal{V}$. Then the set $T_s := X \cup \{o' \mid o' \mid nterferes with o'' \in X\}$ is a strong semistubborn set with the same applicable operators as X.

Proof. We first note that $SetO_{v=x}$ contains operators SetO(o) for operators $o \in \mathcal{O}$ with $pre_o[v] = x$ and one operator Freeze(v,x). By definition, we have:

$$\begin{array}{ll} \textit{pre}_{\textit{SetO(o)/Freeze(v,x)}}[O_v] & = \textit{free} \\ \textit{prv}_{\textit{SetO(o)/Freeze(v,x)}}[v] & = x \\ \textit{eff}_{\textit{SetO(o)}}[O_v] & = o \\ \textit{eff}_{\textit{Freeze(v,x)}}[O_v] & = \textit{frozen} \end{array}$$

Second, note that we know the following about state s, considering that SetO(o) and Freeze(v,x) are applicable in s:

$$\begin{array}{ll} s[v] &= x \\ s[O_v] &= \mathit{free} \end{array}$$

We show that all operators o' that interfere with the operators from the partition X are not applicable in s. Thus the operators from X are the only applicable operators in T_s . Second, we show that for all these operators $o' \in T_s$, T_s already contains a necessary enabling set for o' in s.

Let $u \neq SetO(o)$, Freeze(v, x) be an arbitrary operator interfering with SetO(o) or Freeze(v, x).

1. If u disables SetO(o) and Freeze(v,x), we only have one case: $O_v \in vars(eff_u)$ and $eff_u[O_v] \neq pre_{SetO(o)/Freeze(v,x)}[O_v]$. Note that the case $v \in vars(eff_u)$ and $eff_u[v] \neq prv_{SetO(o)/Freeze(v,x)}[v]$ can be reduced to the same case, because only fire operators can affect variables $v \in \mathcal{V}$ and if they affect variable v, they also affect O_v .

We must distinguish three possible types for u.

- (a) u = Fire(o'). We have $pre_{Fire(o')}[O_v] = o' \neq free = s[O_v]$ and hence Fire(o') is not applicable in s. Furthermore, SetO(o') must be applied before Fire(o') can be applied. We claim that X is a necessary enabling set for Fire(o'): either $SetO(o') \in X$ in which case we are done or $prv_{SetO(o')}[v] = x'$ for $x' \neq x$ and thus any operator SetO(o) from X must be applied in order to allow to change the value of v through the intended operator o. Note that it is possible that Freeze(v, x) is required to allow the application of an operator which in turn fulfills a prevail-condition of Fire(o'). It is therefore not enough to choose the subset $SetO(o) \subseteq X$ as a necessary enabling set for Fire(o') in s, but the set X is.
- (b) u = SetO(o'). We know $prv_{SetO(o')}[v] = x'$. If x' = x, SetO(o') is applicable in s and $SetO(o') \in X$. If $x' \neq x$, $prv_{SetO(o')}[v] = x' \neq x = s[v]$ and hence SetO(o') is not applicable in s. In the latter case, X is a necessary enabling set for SetO(o') in s for the same arguments as shown in the previous Case 1(a).
- (c) u = Freeze(v, x') (By assumption $u \neq Freeze(v, x)$ and hence $x' \neq x$). We have $prv_{Freeze(v, x')}[v] = x' \neq x = s[v]$ and thus Freeze(v, x') is not applicable in s. X is a necessary enabling set for Freeze(v, x') in s for the same reasons shown in Case 1(a).
- 2. If SetO(o) disables u, we only have one case: $O_v \in vars(pre_u)$ and $pre_u[O_v] \neq eff_{SetO(o)}[O_v]$. We must distinguish three possible types for u.
 - (a) u = Fire(o'). If $v \in vars(eff_{o'})$, Case 1(a) applies. If $v \notin vars(eff_{o'})$, $v \in vars(prv_{o'})$ and we have $pre_{Fire(o')}[O_v] = frozen \neq free = s[O_v]$ and thus Fire(o') is not applicable. We claim that X is a necessary enabling set for Fire(o') in s. We observe that Freeze(v, x') for $x' = prv_{o'}[v]$ must be applied before Fire(o') can be applied. If x' = x, $Freeze(v, x') \in X$ and we are done. If $x' \neq x$, we must first apply SetO operators in X to enable other operators to change v to v eventually, which is prevail-condition for applying Freeze(v, x'), in turn requirement for application of Fire(o').
- (b) u = SetO(o'). We have $prv_{SetO(o')}[v] = x'$ and thus Case 1(b) applies
- (c) u = Freeze(v, x'). We have $prv_{Freeze(v, x')}[v] = x'$ and Case 1(c) applies.
- 3. If Freeze(v,x) disables u, we only have one case: $O_v \in vars(pre_u)$ and $pre_u[O_v] \neq eff_{SetO(o)}[O_v]$. We must distinguish three possible types for u.

- (a) u = Fire(o'). If $v \in vars(eff_{o'})$, Case 1(a) applies. If $v \notin vars(eff_{o'})$, $v \in vars(prv_{o'})$ and we have $prv_{Fire(o')}[v] = x'$. If x' = x, Freeze(v, x) does not disable Fire(o'). If $x' \neq x$, we have $prv_{Fire(o')}[v] = x' \neq x = s[v]$ and hence Fire(o') is not applicable in s. For Fire(o') to become applicable, v must change its value from x to x'. The remaining argumentation mirrors the one of Case 2(a).
- (b) u = SetO(o'). We have $prv_{SetO(o')}[v] = x'$ and thus Case 1b) applies.
- (c) $u = \mathit{Freeze}(v, x')$. We have $\mathit{prv}_{\mathit{Freeze}(v, x')}[v] = x'$ and Case 1(c) applies.
- 4. If SetO(o) and u have conflicting effects, we only have one case: $O_v \in vars(eff_u)$ and $eff_u[O_v] \neq eff_{SetO(o)}[O_v]$. We must distinguish three possible types for u.
 - (a) u = Fire(o'). We have $pre_{Fire(o')}[O_v] = o'$. This case mirrors Case 1(a).
- (b) u = SetO(o'). We have $prv_{SetO(o')}[v] = x'$. This case mirrors Case 1(b).
- (c) u=Freeze(v,x'). We have $pre_{Freeze(v,x')}[v]=x'$. This case mirrors Case 1(c).
- 5. If Freeze(v,x) and u have conflicting effects, we only have one case: $O_v \in vars(eff_u)$ and $eff_u[O_v] \neq eff_{Freeze(v,x)}[O_v]$. We must distinguish two possible types for u (there is only one Freeze operator per variable and Freeze operators for different variables cannot interfere).
- (a) u = Fire(o'). We have $pre_{Fire(o')}[O_v] = o'$. This case mirrors Case 1(a).
- (b) u = SetO(o'). We have $prv_{SetO(o')}[v] = x'$. This case mirrors Case 1b).

Proposition 3. Third case of Theorem 1. Let s be a state, $X \in P_s$ be an applicable operator partition of type $SetC_v$ for a variable $v \in V$. Then the set $T_s := X \cup \{o' \mid o' \text{ interferes with } o'' \in X\}$ is a strong semistubborn set with the same applicable operators as X.

Proof. We first note that $SetC_v$ contains operators SetC(v,c) for $c \in CG(v)$. By definition, we have:

$$\begin{array}{ll} \mathit{pre}_{\mathit{SetC}(v,c)}[C_v] & = \mathit{free} \\ \mathit{eff}_{\mathit{SetC}(v,c)}[C_v] & = c \end{array}$$

Second, note that we know the following about state s, considering that SetC(v,c) is applicable in s:

$$s[C_c] = free$$

We show that all operators o' that interfere with the operators from the partition X are not applicable in s. Thus the operators from X are the only applicable operators in T_s . Second, we show that for all these operators $o' \in T_s$, T_s already contains a necessary enabling set for o' in s.

We first observe that for an operator $SetC(v,c) \in X$, only other SetC operators for the same variable v and Fire operators may share the variable C_v with SetC(v,c). Furthermore, all operators

SetC(v,c') for all $c' \in CG(v)$ are already included in X (and applicable). We thus only need to consider Fire operators for possible interference in the following.

Let Fire(o') be an arbitrary operator interfering with SetC(v,c). We observe that Fire(o') cannot disable SetC(v,c) because it could only set C_v to free. There are two remaining cases.

- 1. If SetC(v,c) disables Fire(o'), we have only one case: $C_v \in vars(pre_{Fire(o')})$ and $pre_{Fire(o')}[C_v] \neq eff_{SetC(v,c)}$. We have $pre_{Fire(o')}[C_v] = c'$ for a variable $c' \in vars(prv_{o'})$. If c' = c, SetC(v,c) does not disable Fire(o'). If $c' \neq c$, we have $pre_{Fire(o')}[C_v] = c' \neq free = s[C_v]$ and hence Fire(o') is not applicable in s. We observe that SetC(v,c') must be applied before Fire(o') can be applied, and because $SetC(v,c') \in X$, X is a necessary enabling set for Fire(o') in s.
- 2. If SetC(v,c) and Fire(o') have conflicting effects, we know $pre_{Fire(o')}[C_v] = c'$ for a variable $c' \in vars(prv_{o'})$. Hence Case 1 applies.

We have shown for all operators o' that interfere with the operators of an operator partition X applicable in s that they are not applicable in s. Furthermore, X is a necessary enabling set for all such o' in s. The criteria for $T_s = X \cup \{o \mid o \text{ interferes with } o' \in X\}$ to be a strong semistubborn set in s are thus met. The overall proof for Theorem 1 follows from Propositions 1, 2, and 3.