

## Broker To Broker Transfer Form

Please complete this form to transfer your existing holdings from another broker to your Superhero account. Once completed and signed, please return this form to [hello@superhero.com.au](mailto:hello@superhero.com.au) or submit via online chat at [superhero.com.au](https://superhero.com.au).

In order for your stock transfer to be actioned as quickly as possible, please make sure to read the below instructions.

### Instructions

1. NO DIGITAL SIGNATURES
2. Attach a copy of a government issued photo ID (driver's licence or passport). It must show your signature
3. For transfers from Australian Investment Exchange (AUSIEX), please supply a certified copy of your photo ID
4. No liquid paper
5. Crossing out of mistakes will not be accepted
6. Signature on your ID must match the signature on the form
7. If you wish to add the cost base to your holdings in your Superhero account, add it to the form in the fields provided.
8. For Minor, SMSF, or Trust, ensure to correctly fill out the Account Name and Account Designation fields. Refer to the example below:

### ABC FAMILY INVESTMENTS PTY LTD <ABC FAMILY SUPER FUND A/C>

Account Name (as at other broker)

Account Designation (if applicable)

ABC FAMILY INVESTMENTS PTY LTD

<ABC FAMILY SUPER FUND A/C>

### Mandatory Fields

1. Sponsoring broker
2. PID
3. Account name
4. Account number
5. Registration address
6. HIN number
7. Superhero account number
8. Email Address
9. Security code, name and quantity (number of shares held)
10. Date
11. Signature and Name

# Broker to Broker Transfer Form



**FinClear Execution Limited**  
 ABN: 56 061 751 102 AFSL: 246842  
 Market participant of ASX & Chi-X Clearing & settlement  
 participant of ASX

**Superhero Securities Limited**  
 ABN: 96 160 456 315  
 AFSL: 430150

Sponsoring Broker		Broker PID (if known)	
<input type="text"/>		<input type="text"/>	
Account Name (as at other broker)		Account Designation (if applicable)	
<input type="text"/>		<input type="text"/>	
Registered address (as at other broker)			
<input type="text"/>			
Suburb	State	Postcode	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Holder Identification Number (HIN)		Account Number (as at other broker)	
<input type="text"/>		<input type="text"/>	
Superhero Account Number		Client email address (as at Superhero)	
<input type="text"/>		<input type="text"/>	

By completing this section you authorise Superhero to arrange with the broker sponsor or custodian/provider to transfer the securities detailed below to Superhero's Participant (FinClear Execution Limited) PID of 1792.

Security Code	Security Name (e.g. BHP)	QTY	Cost Price (per share)^

^Cost price is optional

\*\*Please attach a separate page, along with a signature and date, if additional securities are required to be transferred.

- I/We authorise FinClear Execution Limited to transfer the above listed holdings into my/our trading account and I/we agree to be bound by the terms and conditions of Superhero Markets Pty Ltd and FinClear Execution Limited

**Signature of Client/Security Holders requesting transfer (all security holders must sign)**

Account Holder 1 / Director 1 / Trustee 1	Signature	Date (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Account Holder 2 / Director 2 / Trustee 2	Signature	Date (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Account Holder 3 / Director 3 / Trustee 3	Signature	Date (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>