

US Shares Transfer Form

Please complete this form to transfer your existing US holdings from another broker to your Superhero account.

Once completed and signed, please return this form to hello@superhero.com.au.

In order for your stock transfer to be actioned as quickly as possible, please make sure to read the below instructions.

Instructions

1. Check with your existing broker regarding the rules of transferring out your US securities.
2. Please include a copy of your holding statement with your current broker.
3. If your shares are held with a share registry, please include a copy of the DRS statement.
4. NO DIGITAL SIGNATURES.
5. Attach a copy of a government issued photo ID (driver's licence or passport). It must show your signature.
6. No liquid paper.
7. Crossing out of mistakes will not be accepted.
8. Signature on your ID must match the signature on the form.

Mandatory Fields

1. Current broker name
2. Current broker account name
3. Current broker account number
4. Current broker email address and phone number
5. Superhero account name
6. Superhero account number
7. Email Address
8. Security code, name, exchange, ISIN and quantity (number of shares held).
9. Date
10. Signature and Name

Apex Clearing Corporation LLC

Registered with the SEC

Member of FINRA and SIPC

Superhero Securities Limited

ABN: 96 160 456 315

AFSL: 430150

For any assistance please contact Superhero Client Services at hello@superhero.com.au.

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1. Details of the account the US Stocks are coming from

Account Name (as at other broker)	Broker the Stocks are held with	
<input type="text"/>	<input type="text"/>	
Account Number (as at other broker)	Broker email address	Broker phone number
<input type="text"/>	<input type="text"/>	<input type="text"/>

2. Details of the Superhero account the US Stocks are moving to

Account Name (as at Superhero)	
<input type="text"/>	
Superhero Account Number	Client email address (as at Superhero)
<input type="text"/>	<input type="text"/>

3. US Stocks to be transferred

Security Code	Security Name (e.g. BHP)	Exchange (e.g. NASDAQ)	ISIN (eg. US0378331005)	QTY (No. of shares)	Cost Price (per share)^

^ Cost price is optional

** Please attach a separate page, along with a signature and date, if additional securities are required to be transferred.

- I/We give authority to transfer the above listed holdings into my Superhero account with APEX Clearing Corporation. I/We hereby certify that the transfer of stock does not involve in a change of beneficial ownership

Signature of Client/Security Holders requesting transfer (all security holders must sign)

Account Holder 1 / Director 1 / Trustee 1	Signature	Date (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Account Holder 2 / Director 2 / Trustee 2	Signature	Date (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Account Holder 3 / Director 3 / Trustee 3	Signature	Date (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>