LightCROSS: A Secure and Memory Optimized Post-Quantum Digital Signature CROSS -Authors' Version-

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Abstract. CROSS is a code-based post-quantum digital signature scheme based on a zero-knowledge (ZK) framework. It is a second-round candidate of the National Institute of Standards and Technology's additional call for standardizing post-quantum digital signatures. The memory footprint of this scheme is prohibitively large, especially for small embedded devices. In this work, we propose various techniques to reduce the memory footprint of the key generation, signature generation, and verification by as much as 50%, 52%, and 74%, respectively, on an ARM Cortex-M4 device. Moreover, our memory-optimized implementations adapt the countermeasure against the recently proposed (ASIACRYPT-24) fault attacks against the ZK-based signature schemes.

Keywords: Post-quantum cryptography \cdot Digital signatures \cdot Code-based digital signatures \cdot CROSS \cdot Software implementation \cdot ARM Cortex-M4 \cdot Countermeasure

1 Introduction

In August 2022, the National Institute of Standards and Technology (NIST) released its first set of standards (FIPS 203-5) [NIS24a, NIS24b, NIS24c] for post-quantum (PQ) key-encapsulation mechanisms and digital signature (DS) schemes. One observation that immediately stands out is that these standard schemes are heavily dependent on hard lattice problems. Therefore, one major cryptanalysis breakthrough may jeopardize the whole migration plan from classical to PQC. Further, the current standard DS schemes *i.e.* Dilithium [DLL⁺17], Falcon [FHK⁺20], and SPHIINCS+ [BHK⁺19] have very large signatures. This is very problematic for many real-world scenarios, e.g. chain-of-trust-based authentication mechanisms. NIST has also acknowledged these issues and initiated another standardization procedure for additional post-quantum DS schemes [NIS23]. It aims to diversify the portfolio of digital signature schemes and to develop digital signatures with small signature sizes and fast verification.

CROSS [BBB⁺24] is a promising new code-based scheme currently in the second round of the NIST's additional DS standardization procedure [NIS24d]. It is based on the hard restricted syndrome decoding problem (RSDP) [BBC⁺21] in an interactive zero-knowledge framework. One major drawback of this scheme is the huge memory footprint. It is prohibitively large for small microcontrollers, and some of its parameters do not even fit on these platforms. Therefore, reducing the memory footprint is a crucial step for widespread real-world deployment. It is also essential for further progress in the standardization procedure, as evident from the previous NIST standardization procedures. Therefore, addressing this issue is our major focus in this work. In particular, our contributions are as follows.

- Memory optimized key generation: We have analyzed the key generation routine and observed that two big matrices (V and W) are the most significant contributors to the large memory footprint consuming almost 2118 - 15150 bytes¹ of memory to generate a vector η . We have shown that storing the full matrices at a time is unnecessary. Instead, η can be generated on the fly. With this modification, we have reduced memory requirement 43% - 50% on an ARM Cortex-M4 microcontroller.
- Memory efficient signature generation and verification: In several applications of DS, the key generation is usually performed once and, if required, can be done outside the device. On the other hand, signature generation and verification are performed more frequently and must be performed on-device. Therefore, memory-efficient implementations of these two procedures are even more important. First, in CROSS, these procedures use a large Merkle tree of size 2t 1 (9760 127424 bytes) and an array of commitments (4896 63744 bytes) to generate the Merkle root and MerkleProof consuming significant memory. We show a memory-efficient technique of Merkle root generation without storing the commitments. Second, in some cases, the input of HASH is stored in a big array before applying the HASH (e.g., the digests d_1 and d_b generation). We have exploited the sponge construction of SHAKE [KjCP16]. We have streamlined the input generation and xof_shake_update function to reduce the memory requirement of the input array to a fraction of the original requirement. Third, we removed many redundant memory usages through a thorough execution flow analysis.
- Countermeasure against ZKFault: Recently, a fault attack ZKFault [MAKK24], has been shown on zero-knowledge based signature schemes. The authors have shown that their attack can recover the full signing key of CROSS from a single faulted signature. We have observed that this attack is also valid for the latest version of CROSS-v1.2. The paper [MAKK24] also proposed a secure implementation of LESS [BMPS20] against the fault attack. In this work, we have adapted their countermeasure technique for CROSS-v1.2. Further, we have also integrated our memory reduction techniques into this countermeasure. Finally, our implementations of the signature generation and verification algorithm reduce the memory consumption 40% 52% and 52% 74% compared to the original implementations with minuscule performance overhead even after the addition of the countermeasure.

We want to note that all our implementations² are constant-time, and we do not introduce any secret dependent branches or any other new side-channel vulnerability. Also, our implementations are fully compatible with the original implementation of CROSS.

2 CROSS and its memory footprint

In this section, we briefly describe the CROSS digital signature. We also describe the primary factors responsible for its large memory requirements. We begin with the notions used in the text. \mathbb{F}_p and \mathbb{F}_p^* represent the finite field of prime order p and the corresponding multiplicative group. The set of all size k vectors over the field \mathbb{F}_p is denoted by \mathbb{F}_p^k and all $k \times n$ matrices over the field \mathbb{F}_p is indicated by $\mathbb{F}_p^{k \times n}$. We denote elements of \mathbb{F}_p or

¹Since the CROSS scheme has several parameter sets, we present the smallest and largest values of the corresponding parameter sets. We follow this notation throughout the text.

²Our code is available at https://github.com/s-adhikary/pqm4-CROSS

scalars with lowercase letters, elements of \mathbb{F}_p^k and $\mathbb{F}_p^{k \times n}$ with bold lowercase letters and bold uppercase letters. \mathscr{I}_m and I_m denote the set $\{0, 1, \ldots, m-1\}$ and identity matrix of dimension m, respectively. We denote a[i] and A[i, j] to represent the *i*-th element of the vector a and (i, j)-th element of the matrix A respectively. Let $\mathscr{J} \subset \mathscr{I}_n$ be an ordered set, then the notation $a_{(\mathscr{I})}$ and $A_{(*, \mathscr{I})}$ (corresponding $A_{(\mathscr{I}, *)}$) represents the subvector of a and submatrix of A formed by selecting the entries of the vector a and columns (rows) of A with indices specified in the set \mathscr{J} .

2.1 CROSS signature scheme

Let g be a generator of the multiplicative group \mathbb{F}_p^* of order z. Given the matrix $H \in \mathbb{F}_p^{n \times (n-k)}$ and $s \in \mathbb{F}_p^{(n-k)}$, the RSDP problem is to decide if there exists a vector $e \in \mathbb{E}^n (= \{g^i : i \in \mathscr{I}_z\}^n)$ such that it satisfies s = eH. CROSS has another compact variant called RSDPG [BBP⁺24]. Here, the problem is to decide if there exists a vector $e \in G$ such that the equality s = eH holds where G is a subgroup of \mathbb{E}^n with cardinality $z^m < z^n$. For each of these two variants, CROSS provides three primary parameters satisfying three NIST security levels of 1, 3, and 5. For each of these primary parameters, CROSS further has three optimization corners, namely (i) fast, (ii) balanced, and (iii) small. The fast version aims to attain speed, the small version targets to reduce the signature size, and the balanced version strikes a trade-off between them.

In this section, we discuss the key generation and signature generation algorithms and explain some portions of these algorithms that are required for our work. However, the verification algorithm is quite similar to the signature generation algorithm. Due to the page restriction, we do not describe the verification algorithm in our paper. For more details, we refer to the CROSS documentation [BBB⁺24].

2.1.1 Key generation algorithm (Alg. 1)

The parity check matrix in CROSS_KeyGen() is $H = \begin{bmatrix} V \\ I_{n-k} \end{bmatrix}$, where the matrix V can be generated from the Seed_{pk} using CSPRNG function. On the other hand, the restricted vector e is generated from Seede using CSPRNG. However, for the RSDPG variant, a vector ζ and a matrix G are generated from Seede and Seed_{pk} respectively. Then the matrix $M_G = [W|I_m]$ and the vector ζ are used to compute the restricted vector e. The Seed_{pk} and s = eH is set as public key and Seed_{sk} is our secret key.

Memory footprint of CROSS_KeyGen(): Here, we have observed that the matrices V of order $k \times (n-k)$ and W of order $m \times (n-m)$ occupy 1368 – 15150 bytes and 750 – 2784 bytes of memory, respectively. Therefore, for RSDP variant 1368 – 15150 bytes (for matrix V) and for RSDPG, 2118 – 15150 bytes (for V and W) are required in CROSS_KenGen() Alg. 1.

This algorithm mostly uses CSPRNG function. The above two matrices are generated using CSPRNG function, which will take *seed* as input and return a random element from the domain \mathcal{D} as outputs. Fig. 1 shows the procedure of generating the matrices V and W for both RSDP and RSDPG. The algorithm initialized_csprng first initializes a state. Then, csprng_randombytes generates a buffer say buf of length r from the state, where r is a fixed value depending on the domain \mathcal{D} and the parameter sets. Next, the CSPRNG_mat function generates each element of the domain using the buffer. All of these functions are explained in the documentation of CROSS [BBB⁺24].

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state \leftarrow \texttt{initialize\_csprng}(seed_{pk}, len) \ RSDP \ buf \leftarrow \texttt{csprng\_randombytes}(state, r) \ \mathbf{V} \leftarrow \texttt{CSPRNG\_mat}(buf, \mathbb{F}_p^{k 	imes (n-k)}) \ buf_1 \leftarrow \texttt{csprng\_randombytes}(state, r') \ \mathbf{W} \leftarrow \texttt{CSPRNG\_mat}(buf_1, \mathbb{F}_z^{m 	imes (n-m)})
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Figure 1: Generation of V and W from the seed seed_{pk}

Algorithm 1 CROSS_KeyGen() [BBB+24]

Input: Security parameter λ Output: Public key: (Seed_{pk}, s), Private key: Seed_{sk} 1: Seed_{sk} $\stackrel{\$}{\leftarrow} \{0, 1\}^{\lambda}$ 2: (Seede, Seed_{pk}) \leftarrow CSPRNG (Seed_{sk}, \{0, 1\}^{\lambda} \times \{0, 1\}^{\lambda}) 3: if RSDP then 4: $V \leftarrow \texttt{CSPRNG}\left(\texttt{Seed}_{\texttt{pk}}, \mathbb{F}_p^{k \times (n-k)}\right)$ $\eta \leftarrow \texttt{CSPRNG}(\texttt{Seede}, \ \mathbb{F}_z^n)$ 5:6: if RSDPG then $(V, W) \leftarrow \texttt{CSPRNG}\left(\texttt{Seed}_{\texttt{pk}}, \ \mathbb{F}_p^{k imes (n-k)} imes \mathbb{F}_z^{m imes (n-m)}
ight)$ 7: 8: $M_G \leftarrow [W|I_m]$ $\boldsymbol{\zeta} \leftarrow \texttt{CSPRNG}(\texttt{Seede}, \ \mathbb{F}^m)$ 9: $\eta \leftarrow \zeta M_G$ 10:11: $\boldsymbol{e}_{(\mathscr{I}_n)} \leftarrow g^{\boldsymbol{\eta}_{(\mathscr{I}_n)}}$ 12: $\boldsymbol{H} \leftarrow \begin{bmatrix} \boldsymbol{V} \\ \boldsymbol{I}_{n-k} \end{bmatrix}$ 13: $s \leftarrow eH$ 14: return Public key: (Seed_{pk}, s), Private key: Seed_{sk}

2.1.2 Signature generation algorithm (Alg. 2)

The signature generation algorithm first constructs t many ephemeral seeds Seed[0], ..., Seed[t-1] from the master seed MSeed and a random salt Salt. The seeds are generated in different ways depending on the parameter sets of the CROSS signature. For "fast" parameter sets of CROSS, the method does not use the Goldreich-Goldwasser-Micali (GGM) [GGM86] tree construction or Merkle tree construction. In this case, using MSeed \in $\{0, 1\}^{\lambda}$, Salt $\in \{0, 1\}^{\lambda}$ as input seeds we generate all the Seeds using CSPRNG function. The remaining parameter sets of CROSS construct a GGM tree called SeedTree to generate t many random ephemeral seeds. These seeds and the Salt are utilized to compute u'and η' , which are also used to generate two sets of commitments $\operatorname{cmt}_0[0], \ldots, \operatorname{cmt}_0[t-1]$ (5216 - 63744 bytes) and $\operatorname{cmt}_1[0], \ldots, \operatorname{cmt}_1[t-1]$ (5216 - 63744 bytes) utilizing the SeedTree, and has a good contribution in memory-footprint.

After the SeedTree generation, the Merkle tree T is constructed with commitments $\operatorname{cmt}_1[i], i \in \mathscr{I}_t$. Then Hash is applied on the commitments cmt_0 and cmt_1 . The output is stored in d_{01} and sent as part of the signature. Next, the first challenge beta is generated using the message and commitments. After that, η' , u' and beta are used to generate y, which is utilized to construct the second challenge $b = (b[0], \ldots, b[t-1])$. Here, $b[i] \in \{0, 1\}, \forall i \in \mathscr{I}_t$ and let us assume $J = \{i \in \mathscr{I}_t : b[i] = 1\}$. Then, to ensure that all the seed tree leaves $\operatorname{Seed}[i], i \in J$ can be recomputed, $\operatorname{SeedPath}$ is calculated by

assembling intermediate seeds from GGM tree. Here, another complete binary tree x, called ReferenceTree is generated from the digest \boldsymbol{b} , which decides which seed node will be published. Then, the intermediate seed SeedTree[$c - missing_nodes[h']$] will be published if all the leaf nodes corresponding to the subtree rooted as x[c] have to be published. SeedPath is also added as part of the signature together with (y_i, σ_i) (or $(\boldsymbol{y}_i, \boldsymbol{\delta}_i)$), $\mathtt{cmt}_1[i]$, $i \in J$. Lastly, for the remaining leaves of the seed tree $i \notin J$, MerkleProofs is constructed with $\mathtt{cmt}_0[i]$, $i \notin J$ and sent as part of the signature. Memory footprint of CROSS_Sign(): In the signature generation algorithm, when hash functions are computed, the hash inputs are large and are loaded into the main memory. We have shown that we do not need to load the complete input for hash computation. Rather, we can compute small portions of hash in part. Also, The variables in the signature algorithm are allocated memory when computations are needed, but once the computations are complete, the memory is not reclaimed, even if the data is no longer required for subsequent processes. Finally, in the Merkle proof generation process, the cmt_0 array is copied to the leaf nodes of the Merkle tree. After the tree generation, we compute the Merkle proof; thus, storing cmt_0 array requires considerably large memory (5216 - 63744 bytes). We have discussed our technique to avoid this extra memory consumption.

3 Our memory optimization of CROSS

This section describes our techniques to reduce the memory footprint of CROSS key generation, signature generation, and verification algorithms.

3.1 Our memory optimization of CROSS key generation

As we described earlier, the method CSPRNG_mat generate each of the matrix elements of the matrices $\mathbf{V} \in \mathbb{F}_p^{k \times (n-k)}$, $\mathbf{W} \in \mathbb{F}_z^{m \times (n-m)}$ sequentially and stores it. The vectors $\boldsymbol{\eta}$, $\boldsymbol{e}(=g^{\boldsymbol{\eta}})$ are also computed and stored. The public vector \boldsymbol{s} is computed by multiplying the stored matrix \boldsymbol{V} and vector \boldsymbol{e} . Similarly, for the RSDPG variant, the private vector $\boldsymbol{\zeta}$ is stored and multiplied with \boldsymbol{W} . The results of these multiplications are as follows:

$$\boldsymbol{s}[j] = \boldsymbol{e}[k+j] + \sum_{i=0}^{k} \boldsymbol{e}[i]\boldsymbol{V}[i, j], \text{ for each } 0 \le j \le n-k-1.$$
$$\boldsymbol{\eta}[j] = \boldsymbol{\zeta}[m+j] + \sum_{i=0}^{m} \boldsymbol{\zeta}[i]\boldsymbol{W}[i, j], \text{ where } 0 \le j \le n-m-1.$$

Observe that, each entry V[i, j] and W[i, j] is required only once to generate the vectors s and η respectively. Therefore, we compute ζ before the computation of W and initialized each $\eta[j]$ by $\zeta[m+j]$. After that we generate (i, j)-th element of W say w := W[i, j], we can update $\eta[j]$ as $\eta[j] \leftarrow \eta[j] + \zeta[i] \cdot w$. Therefore, we do not need to store the complete matrix W at all. The final vector η is still the multiplication of matrix W and vector ζ . Similarly, multiplication of V and e is possible without storing V. In Alg. 3, we have shown the matrix generation technique the authors of CROSS have used. We have modified the method to directly compute multiplication at the time of matrix generation. We have struck through the parts that we have omitted in our updated method, and the parts that we have included instead are coloured blue. As we discussed, to merge the generation process of matrix V and the computation of s, only modification of the function CSPRNG_mat is not enough as we need to initialize s to some values of η . We need to generate the vector s before the generation of V. This step will not hamper the security, as the generation of η and V are independent of each other. Similarly, we also need to re-organize the part for the RSDPG variant. So, we have modified the steps of

Algorithm 2 CROSS_Sign(Msg, Seed_{sk})

Input: Message: Msg and private key: Seed_{sk}. Output: Signature: signature 1: if RSDP then $(\eta, H) \leftarrow \texttt{ExpandPrivateSeed}(\texttt{Seed}_{sk})$ 2: else $(\eta, \zeta, H, M_G) \leftarrow \text{ExpandPrivateSeed}(\text{Seed}_{sk})$ 3: MSeed $\stackrel{\$}{\leftarrow} \{0, 1\}^{\lambda}$, Salt $\stackrel{\$}{\leftarrow} \{0, 1\}^{2\lambda}$ 4: if No_Tree then $(\texttt{Seed}[0], \ldots, \texttt{Seed}[t-1]) \leftarrow \texttt{ComputeRoundSeed}(\texttt{Mseed}, \texttt{Salt})$ 5:6: else Seed Tree \leftarrow SeedTreeGen(Mseed, Salt) 7: $(\texttt{Seed}[0], \ldots, \texttt{Seed}[t-1]) \leftarrow \texttt{SeedTreeLeaves}(\texttt{Seed_Tree})$ 8: for i = 0 to t - 1 do 9: $(\texttt{Seed}_{u'}, \texttt{Seed}_{e'}) \leftarrow \texttt{CSPRNG} \left(\texttt{Seed}[i], \{0, 1\}^{\lambda} \times \{0, 1\}^{\lambda}\right)$ 10: if RSDP then η'_i , $u'_i \leftarrow \text{CSPRNG}(\text{Seed}[i]||\text{Salt}||i+c, \mathbb{F}^n_z \times \mathbb{F}^n_p)$ 11: else 12: $\zeta', u'_i \leftarrow \texttt{CSPRNG}(\texttt{Seed}[\texttt{i}]||\texttt{Salt}||i+c, \mathbb{F}^m_z \times \mathbb{F}^n_p)$ 13: $\delta_i \leftarrow \zeta - \zeta', \ \eta_i' \leftarrow \zeta' M_G$ 14: $\sigma_i \leftarrow \eta - \eta_i'$ 15: $\boldsymbol{v}_{(\mathscr{I}_n)} = g^{\boldsymbol{\sigma}_{i}(\mathscr{I}_n)}$ 16: 17: $u = v * u'_i // *$ represents component-wise product $ilde{s} \leftarrow uH$ 18: if RSDP then $\operatorname{cmt}_0[i] \leftarrow \operatorname{Hash}(\tilde{s}||\boldsymbol{\sigma}_i||\operatorname{Salt}||i+c+\operatorname{dsc})$ 19:else $\operatorname{cmt}_0[i] \leftarrow \operatorname{Hash}(\tilde{s}||\delta_i||\operatorname{Salt}||i+c+\operatorname{dsc})$ 20: $\operatorname{cmt}_1[i] \leftarrow \operatorname{Hash}\left(\operatorname{Seed}[i]||\operatorname{Salt}||i+c+\operatorname{dsc}\right)$ 21:22: d_0 , Merkle_Tree \leftarrow MerkleRoot(cmt₀[0], ..., cmt₀[t-1]) 23: $d_1 \leftarrow \operatorname{Hash}(\operatorname{cmt}_1[0]|| \dots ||\operatorname{cmt}_1[t-1])$ 24: $d_{01} \leftarrow \operatorname{Hash}(d_0||d_1), \ d_m \leftarrow \operatorname{Hash}(\operatorname{Msg})$ 25: $d_{\beta} \leftarrow \text{Hash}(d_m || d_{01} || \text{Salt})$ 26: beta $\leftarrow \text{CSPRNG}\left(d_{\beta}, \ (\mathbb{F}_{p}^{*})^{t}\right)$ 27: for i = 0 to t - 1 do $\boldsymbol{e'_{(\mathscr{I}_n)}} \gets g^{\boldsymbol{\eta}_{i'(\mathscr{I}_n)}}$ 28:29: $m{y}_i \leftarrow m{u}'_i + \texttt{beta}[i]m{e}'_i$ 30: $d_b \leftarrow \operatorname{Hash}(\boldsymbol{y}_0 || \dots || \boldsymbol{y}_{t-1} || d_\beta)$ 31: $\boldsymbol{b} \leftarrow \texttt{CSPRNG}\left(d_b, \ \mathcal{B}_w^t\right)$ 32: if No Tree then MerkleProofs \leftarrow MerkleProof(cmt₀[0], ..., cmt₀[t-1], b) 33: $\texttt{SeedPath} \leftarrow \texttt{SeedTreePaths}(\texttt{Seed}, \boldsymbol{b})$ 34: 35: else $MerkleProofs \leftarrow MerkleProof(Merkle_Tree, b)$ 36: 37: $\texttt{SeedPath} \leftarrow \texttt{SeedTreePaths}(\texttt{Seed}_\texttt{Tree}, \boldsymbol{b})$ 38: $j \leftarrow 0$ 39: for i = 0 to t - 1 do if $\boldsymbol{b}[i] = 0$ then 40: if RSDP then $rsp_0[j] \leftarrow (\boldsymbol{y}_i, \boldsymbol{\sigma}_i)$ 41: else $rsp_0[j] \leftarrow (\boldsymbol{y}_i, \boldsymbol{\delta}_i)$ 42: $rsp_1[j] \leftarrow cmt_1[i]$ 43: 44: $j \leftarrow j + 1$ 45: return signature \leftarrow Salt $||d_{01}||d_b||$ MerkleProofs||SeedPath||rsp $_0||$ rsp $_1$ $\textbf{Algorithm 3 CSPRNG_mat_update(buf, } \mathcal{D}(=\mathbb{F}_q^{k \times n}), \ \boldsymbol{a})$

Input: A buffer **buf**, a domain $\mathcal{D} = \mathbb{F}_q^{k \times n}$ of the matrix that is generated from the buffer, a vector *a*. **Output:** The matrix A is generated from buf The resultant multiplication b = aA1: $mask = 2^{\log q} - 1$ 2: placed = 0, i = 83: j = 64, i' = 0, j' = 04: s buf = buf [0]5: while placed < kn do6: if j < 32 then $r_buf = buf[i]$ 7:8: i = i + 4s buf = (s buf \lor r buf) $\times 2^{j}$ 9: j = j + 3210: v[placed, =]s buf \land mask \triangleright This value is equivalent to A[i', j']11: if v[placed] < q (s_buf $\land mask$) < q then 12: $s[j'] = s[j'] + (\eta[i'] \times (\texttt{s_buf} \land \texttt{mask}))$ 13:j' = j' + 114: if j' = n - k then j' = 0, i' = i' + 115:placed = placed + 116: $s_buf = s_buf/2^{\log q}$ 17: $j = j - \log q$ 18:19: else $s_buf = s_buf/2, j = j - 1$ 20:

CROSS_KeyGen in Alg. 1 and provided the modified version as Updated_CROSS_KeyGen in Alg. 4.

3.2 Optimizing signature generation and verification

This section explains our techniques for memory-efficient signature generation and verification algorithms. Although this work explains the strategy for memory optimization of the signature generation procedure, we have used similar techniques in the verification.

3.2.1 Just-in-time strategy for HASH function

The latest CROSS (v1.2) [BBB⁺24] uses SHAKE as the HASH function. It takes an input buffer (message), say **buf**, of arbitrary length, say **len**, and returns a fixed length string as output. However, in the CROSS signature, the hash input is first stored separately e.g., the arrays of cmt_1 (5216 - 63744 bytes) and y (16830 - 242968 bytes). However, we do not have to generate the complete hash input at once. Instead, we can only generate the first few commitments and proceed with hash generation. After that, the next batch of commitments is generated and fed to the hash generation procedure. This process is repeated until all commitments are passed.

SHAKE has a parameter r, called the rate of the hash. SHAKE takes an input message buf of length len. Then, it divides the whole buffer into blocks of r bits and updates the state. It works as follows:

• First, state is initialized using the function xof_shake_init. After initialization, the function xof_shake_update takes the buffer buf and the length of the buffer

Algorithm 4 Updated_CROSS_KeyGen()

Input: None Output: Public key: (Seed_{pk}, s), Private key: Seed_{sk} 1: Seed_{sk} $\leftarrow \{0, 1\}^{\lambda}$ 2: (Seede, Seed_{pk}) \leftarrow CSPRNG $\left($ Seed_{sk}, $\left\{0, 1\right\}^{\lambda} \times \left\{0, 1\right\}^{\lambda} \right)$ 3: if RSDP then $\eta \leftarrow \texttt{CSPRNG}(\texttt{Seede}, \ \mathbb{F}_z^n)$ 4: 5: $state \leftarrow initialized_csprng(seed_{pk}, len)$ $buffer \leftarrow csprng_randombytes(state, r)$ 6: 7: if RSDPG then $\boldsymbol{\zeta} \leftarrow \texttt{CSPRNG}(\texttt{Seede}, \mathbb{F}_z^m)$ 8: $state \leftarrow initialized_csprng(seed_{pk}, len)$ 9: $buffer \leftarrow csprng_randombytes(state, r)$ 10: $buffer1 \leftarrow csprng_randombytes(state, r')$ 11: 12: $\boldsymbol{\eta}_{(\mathscr{I}_{n-m})} = 0$ 13: $\boldsymbol{\eta}_{(\{n-m,\cdots,n-1\})} = \boldsymbol{\zeta}_{(\mathscr{I}_m)}$ $oldsymbol{\eta} \leftarrow extsf{CSPRNG_mat_update}(extsf{buffer1}, \ \mathbb{F}^n_z, \ oldsymbol{\zeta})$ 14:15: $\boldsymbol{e}_{(\mathscr{I}_n)} = g^{\boldsymbol{\eta}_{(\mathscr{I}_n)}}$ 16: $s_{(\mathscr{I}_{n-k})} = e_{(\{k, \cdots, n-1\})}$ 17: $s \leftarrow \texttt{CSPRNG_mat_update}(\texttt{buffer}, \mathbb{F}_p^{k \times (n-k)}, \eta)$ 18: return Public key: (Seed_{pk}, s), Private key: Seed_{sk}

len as inputs and modifies the state. For each message, this function takes the block of r bits and XORs the first r bits of state with it. Then, it permutes the updated state to introduce a pseudorandomness. We continue this process until the remaining bits in the buffer are less than r bits, after which the remaining buffer is only XOR-ed with the state.

• Next, the function xof_shake_final function finalize the value of state. Finally, the function xof_shake_extract is used to extract the fixed length digest value from the state state.

We have observed that the functions xof_shake_init, xof_shake_final and

xof_shake_extract take equal memory for different sizes of input buffers. Therefore, we keep these functions unchanged. However, the function xof_shake_update takes the complete buffer as input, but what can be observed is that only a small part of the buffer is used at each step of the hash computation. Therefore, we do not need to generate the complete buffer at once. We have used a technique that only generates a small amount of the buffer and operates on it before generating the next part of the buffer. This approach helps us reduce the memory required to store the complete buffer. We have explained the technique in detail.

- Step 1: We initialize state.
- Step 2: We generate the first few commitments and store them until the total length is $\geq r$. A small part of the generated commitments may not fit in the buffer. Therefore, we fix the buffer size to $\ell = r + digest \ length$ bits to store the excess bits.
- Step 3: If the loaded elements in buffer ℓ_1 bits, and $\ell_1 \ge r$ in the previous step, then we set our flag "Flag" to 0. If $\ell_1 = 0$ then we go to Step 6. Otherwise, we set "Flag" to 1.

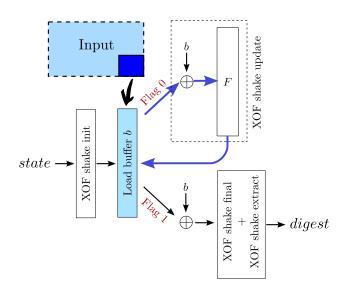


Figure 2: Our streamlined integration of Hash function with input array. Here F denotes the KeccakF1600_StatePermute function.

- Step 4: If the Flag is 0, then we use the method xof_shake_update and update the state. Next put the stored excess part from the previous step at the beginning of the buffer and generate the next few commitments to fill the rest of the buffer and repeat the process from step 2.
- Step 5: If the Flag is 1 then the input of the buffer is XORed with the state.
- Step 6: After all the commitments are generated and went through the above process we apply the final xof_shake_final and xof_shake_extract function to get the digest.

Fig. 2 illustrates the method we have implemented. Our technique does not generate a new hash digest but generates the same digest as the original method.

3.2.2 Efficient memory utilization through execution flow analysis

We performed a thorough analysis of the execution flow of the signature generation algorithm to remove the redundant memory usage leading to a compact implementation. Note that we need to keep a value stored in the memory only if it is going to be used by any operation in subsequent steps. Otherwise, we can overwrite it with any different value without affecting the correctness. We observed that in CROSS_Sign (Alg 2), the value $\mathbf{y}_i \in \mathbb{F}_p^n$ is computed as $\mathbf{y}_i = \mathbf{u}'_i + \mathtt{beta}[i]\mathbf{e}'_i$ (line: 29 of Alg 2), where \mathbf{u}'_i , $\mathbf{e}'_i \in \mathbb{F}_p^n$, $\mathtt{beta}[i] \in \mathbb{F}_p^*$, for each $i \in \mathscr{I}_n$. Also, the value \mathbf{u}'_i is not used after the above computation. Therefore, we can overwrite \mathbf{u}'_i with the value of \mathbf{y}_i and the resultant computation would be the same. Therefore, replacing the variables \mathbf{y}_i by \mathbf{u}'_i saves us 16830 – 242968 bytes (size $tn \log p$) memory as $\mathbf{y} = (\mathbf{y}_0, \cdots, \mathbf{y}_{t-1}) \in (\mathbb{F}_p^n)^t$.

In Alg 2, for each $i \in \mathscr{I}_t$ the vector σ_i is computed as $\sigma_i = \eta - \eta_i$ (line: 15). The vector σ_i is used only to compute the commitment $\operatorname{cmt}_0[i]$ for RSDP parameter set and to compute v. Therefore, we do not need to store the vector σ_i once we have generated vector v and commitment $\operatorname{cmt}_0[i]$. However, later we need to store the *i*-th value σ_i for the output response component $\operatorname{rsp}_0[i]$ for RSDP parameter set which satisfies b[i] = 0, where b is a fixed length random digest vector. For this reason, all the vectors σ_i , $\forall i \in \mathscr{I}_t$ are

stored. However, we observe that the cardinality of the set $\{i \in \mathscr{I}_t : \mathbf{b}[i] = 0\}$ rather small (usually in the range 22 – 158). So, if we re-compute the σ_i corresponding to $\mathbf{b}[i] = 0$, then some computations needed to be add-on in lieu of storing the vector $\boldsymbol{\sigma}$. This way, we can save 16720 – 242717 bytes of (size $(t-1)n \log p$) memory by incurring small computation costs.

3.2.3 Re-formulate the Merkle root generation

We have found that two of the most memory-intensive functions in the CROSS_Sign algorithm are Merkle_Root and Merkle_Proof generation. However, this Merkle tree generation is not used for "fast" parameter sets of CROSS. Therefore, the techniques described in this section do not contribute towards memory reduction for the "fast" parameters.

The function Merkle_Root uses a Merkle_Tree and the commitment array cmt_0 to compute the root. Array cmt_0 and the Merkle tree occupy $t \times digest length$ (5216 - 63744 bytes) and $(2t-1) \times digest length$ (10400 - 127424 bytes) amount of memory, respectively. Also, the function Merkle_Proof uses the cmt_0 array and Merkle tree with another binary flag tree with 2t - 1 nodes. These two functions (Merkle_Root and Merkle_Proof) use a huge amount of memory. Therefore, the memory reduction in this part will significantly reduce the scheme's memory consumption.

The Merkle_Root function copies each element of the vector cmt_0 one by one to the leaf nodes of the Merkle_Tree in an intermediate step. This is the only location where the stored commitment vector cmt_0 is used. We can do the same work by copying the *i*-th element $cmt_0[i]$ of cmt_0 to the *i*-th leaf node of Merkle_tree just after $cmt_0[i]$ generation. To maintain the execution flow of the algorithm, we have to re-organize the steps of the Merkle_Root function. We first allocate the required memory for the Merkle tree and then start generating the commitments cmt_0 . After each element of cmt_0 is generated, it is stored in the corresponding leaf node of the Merkle tree. This way, we do not need to allocate memory to store cmt_0 . Finally, we can generate the Merkle root using the remaining parts of the Merkle root generation after the storing process of the leaf nodes of Merkle_Tree.

3.3 Countermeasure

The paper [MAKK24] proposed a countermeasure strategy for LESS against ZKFault and claimed that it can be applied to the initial version of CROSS. However, recently, the CROSS signature scheme's algorithms and implementation have been modified significantly in the updated version. Unfortunately, the prior fault attack is still possible on the new version of CROSS. Therefore, we adapted the countermeasure strategy against the ZKFault attack for the current version of CROSS, along with the memory-optimized implementation in this work. We elaborate on this below.

3.3.1 ZKFault attack on CROSS

The binary vector **b** has been checked twice in Alg. 2 (lines: 34/37 depending on RSDP/RSDPG variant and 40). First, whether $\mathbf{b}[i] = 1$ is checked, and if satisfies then the information of Seed[*i*] is published using the function SeedTreePaths. Second, whether $\mathbf{b}[i] = 0$ is checked, and if satisfies then \mathbf{rsp}_0 is computed (lines:41-42 in Alg. 2). Let us assume that for some *i*-th location, $\mathbf{b}[i]$ is zero. Then, depending on the RSDP or RSDPG parameter set, the pair (\mathbf{y}_i, σ_i) or (\mathbf{y}_i, δ_i) is stored in \mathbf{rsp}_0 . The Seed[*i*] information will not be public in this case. However, if a fault is injected in SeedTreePaths algorithm and Seed[*i*]

information is retrieved then η'_i or ζ' can be calculated from Seed[*i*]. These values help us to find the secret vector η or ζ by computing $\eta = \sigma_i + \eta'_i$ for RSDP version (or $\zeta = \delta_i + \zeta'$ for RSDPG). The security of the scheme will be compromised as the attacker can generate a valid signature of any message from recovered η or ζ .

In the CROSS version v1.2 [BBB⁺24], SeedTreePaths is defined for two cases: one is for "fast" parameters, which does not use any tree construction, and the remaining parameter sets that use SeedTree. We explain the attack for both cases as follows:

Attack on "fast" parameters: For each $i \in \mathscr{I}_t$, this function SeedTreePaths checks whether b[i] = 1. If b[i] = 1 for some $i \in \mathscr{I}_t$, then it stores Seed[i] in SeedPath, which is a component of signature. In this case, if we skip the checking condition for some iwhich satisfies b[i] = 0, then we will get corresponding seed Seed[i]. The next checking condition, we will get (y_i, σ'_i) . As mentioned earlier if we can get both Seed[i] and the corresponding pair (y_i, σ'_i) (or (y_i, δ'_i)), then the ZKFault attack will work.

Attack on other parameters: These schemes use a technique that compactly store the Seed's in SeedPath. The function SeedTreePaths computes a complete binary tree called as Reference_Tree x using another function compute_seeds_to_publish. The leaf nodes of x are assigned by the digest vector b and the remaining nodes are computed as $x[i] = x[\texttt{Left_child}(i)] \land x[\texttt{Right_child}(i)]$. After the computation of x, for each node x[i] (from top to bottom), it checks whether x[i] = 1 and x[Parent(i)] = 0. If satisfies, then SeedPath stores Seed_Tree $[i - \texttt{missing_nodes}[h']]$, where h' is the height of the node x[i]. Let x[i] = b[i-l+1] = 0 for some i-th leaf node of the tree x. But, if we change the value of the node x[i] to 1 by injecting ZKFault, then the SeedTreePaths stores the information of the seed Seed[i-l+1] =Seed_Tree $[i - \texttt{missing_nodes}[\log t]]$ in SeedPath. Therefore, the attack will work.

3.3.2 Countermeasure against ZKFault attack

Now, we describe the countermeasure strategy against ZKFault for the fast and other parameter sets of CROSS.

Countermeasure for "fast" parameters: To counter this attack, we will check each value of **b** once. For each $i \in \mathscr{I}_t$, if we observe that $\mathbf{b}[i] = 0$, then we will store (\mathbf{y}_i, σ_i) or (\mathbf{y}_i, δ_i) in rsp_0 , otherwise we will store Seed[i] in SeedPath. Since for each digest value $\mathbf{b}[i]$ either (\mathbf{y}_i, σ_i) (or corresponding (\mathbf{y}_i, δ_i)) or Seed[i] will be published; therefore the attacker can not get both values at a time. Therefore, this attack can be prevented.

Countermeasure for other parameters: To prevent the ZKFault attack in this scenario, we have to compute SeedPath and two responses by checking each digest element only once. We can observe that the path of each leaf node of \boldsymbol{x} toward the root will be of the form $1^{r}0^{s}$ due to the computation process of the tree \boldsymbol{x} . We check this path of each leaf node starting from the leftmost node of \boldsymbol{x} ($\boldsymbol{x}[l-1]$). Then, we must find the location of the last 1, which contains the index c' and the node height (h') that satisfies the storage condition. Suppose that there is no 1 in this path for node $\boldsymbol{x}[i]$. In that case, the corresponding element of the digest vector $\boldsymbol{d}[i-l+1]$ is zero. We store the corresponding rsp_0 and rsp_1 . Otherwise, we will store Seed_Tree[c' - missing_nodes[h']] in SeedPath and ignore the next $2^{\log t-h'}$ many nodes. The algorithm CROSS_Countermeasure_Others in Alg. 5 shows the exact process of the rsp_0, rsp_1 and SeedPath generation.

Let a value of a node $\boldsymbol{x}[i]$ be changed from 0 to 1 by the ZKFault attack. Let $\boldsymbol{x}[i'']$ be the leftmost leaf node corresponding to the subtree rooted as $\boldsymbol{x}[i]$ and $\boldsymbol{x}[i']$ be the highest ancestor of node $\boldsymbol{x}[i]$ (with height h) having the value 1. Therefore, SeedPath must store the seed SeedTree[i'-missing_nodes[h]] and the next $2^{\log t-h}$ leaf nodes will be skipped. Therefore, we cannot get both the values ($\boldsymbol{y}_i, \boldsymbol{\sigma}_i$) and Seed[i] from this fault preventing the attack.

Algorithm 5 CROSS_Countermeasure_Others()

```
Input: b: the digest vector, For RSDP parameter set, (y_i, \sigma_i), and (y_i, \sigma_i) otherwise for
     all 0 \leq i \leq t - 1.
Output: Compute rsp_0, rsp_1, SeedPath
  1: x \leftarrow \texttt{compute\_seeds\_to\_publish}(b)
 2: i = 0, j = 0, j' = 0
 3:
     while i < t do
          c = l - 1 + i, \ c' = c, \ h' = \log t
 4:
           flag = 0, h = \log t
 5:
 6:
           while c \neq 0 do
               if \boldsymbol{x}[c] = 1 then
 7:
                    c' = c, h' = h, \text{flag} = 1
 8:
               c = \texttt{Parent}(c), h = h - 1
 9:
10:
          if flag = 0 then
               if RSDP then rsp_0[j] \leftarrow (\boldsymbol{y}_i, \boldsymbol{\sigma}_i)
11:
               else rsp_0[j] \leftarrow (\boldsymbol{y}_i, \ \boldsymbol{\delta}_i)
12:
               \texttt{rsp}_1[j] \leftarrow \texttt{cmt}_1[i]
13:
               j \leftarrow j+1, i \leftarrow i+1
14:
15:
          else
               \texttt{SeedPath}[j'] = \texttt{Seed\_Tree}[c' - \texttt{missing\_nodes\_before}[h']
16:
               j' \leftarrow j' + 1, \quad i \leftarrow i + 2^{\log t - h}
17:
18: Return rsp_0, rsp_1, SeedPath
```

4 Results

In this section, we present the results of our implementation of CROSS on the STM32 Nucleo-144 development board with STM32L4R5ZI MCU, featuring an ARM Cortex-M4 chip. For our implementation, we have used the popular post-quantum cryptographic framework PQM4 [KRSS], along with the arm-none-eabi-gcc compiler, version 10.3.1.

We provide the improvement in memory consumption of CROSS RSDPG-1 after applying the optimizations described in this paper in Table 1. S-1 represents the key generation optimization steps described in Sec. 3.1 and the signature generation and verification optimization steps illustrated in Sec. 3.2.1. S-2 and S-3 denote the optimization strategy for the signature generation and verification explained in Sec. 3.2.2 and Sec. 3.2.3, respectively. CM represents the countermeasure of ZKFault described in Sec. 3.3. We also provide the implementation results of the original CROSS-v1.2, along with our improvement for comparison. We observe that S-1 provides 43% improvements in memory consumption for key generation in all the versions. Signature generation improves memory consumption by 27%/15%/16% for fast/balanced/small versions in S-1. Verification improves memory consumption by 35%/10%/11% for fast/balanced/small versions in S-1. Signature generation and verification improves memory consumption by 37%/32%/33% and 56%/41%/44%, respectively, for fast/balanced/small versions in S-2 compared to S-1. In S-3, signature generation and verification improves memory consumption by 9%/9% and 19%/21%, respectively, for balanced/small versions. Finally, after including the countermeasure, the overall memory requirements of our CROSS implementation with respect to the original one decreased by 43% for the key generation, 54%/47%/49% for signature generation in fast/balanced/small versions, and 71%/57%/60% for the verification in fast/balanced/small versions with negligible performance overhead.

In Table 2, we present the memory consumption of our secure implementations of all the versions of CROSS for all the security categories and compare them with the original

SDPG	Opt.	Steps	Performance (kcycles)			Memory (bytes)		
Ι			KG	Sign	Verify	KG	Sign	Verify
	f a s t	S-1	248	$13,\!586$	7,958	2,216	67,600	30,184
This		S-2	248	$13,\!544$	7,961	2,216	42,408	$13,\!344$
work		S-3	248	$13,\!544$	7,960	2,216	$42,\!408$	$13,\!344$
		CM	248	$13,\!548$	$7,\!960$	2,216	$42,\!408$	$13,\!344$
v1.2[BBB+24]			182	12,710	$7,\!958$	3,920	$93,\!096$	46,772
		S-1	248	25,021	14,972	2,216	123,792	64,996
This		S-2	248	$25,\!107$	$14,\!954$	2,216	83,784	$38,\!252$
work	bal.	S-3	248	25,069	$14,\!954$	2,216	$76,\!568$	$31,\!120$
	-	CM	248	$25,\!101$	$14,\!961$	2,216	$76,\!864$	$31,\!120$
$v1.2[BBB^+24]$			182	24,624	$15,\!030$	3,920	145,484	71,860
	S	S-1	248	88,592	$53,\!674$	2,216	431,220	220,132
This	m	S-2	248	$87,\!905$	$53,\!543$	2,216	$287,\!668$	124,316
work	a	S-3	248	88,238	$53,\!429$	2,216	$261,\!620$	98,660
	1	CM	248	$88,\!297$	$53,\!409$	2,216	$263,\!356$	$98,\!668$
$v1.2[BBB^+24]$	1		182	86,124	$53,\!618$	3,920	$512,\!284$	247,092

Table 1: Improvement steps of our implementations for CROSS RSDPG-1 on ARM Cortex-M4. bal. denotes the balanced version.

Table 2: Comparison of memory consumption (in bytes) between our implementations of different versions of CROSS with the state-of-the-art implementation on ARM Cortex-M4. KG denotes the key generation algorithm, bal. denotes the balanced version.

denotes the key generation algorithm, bal. denotes the balanced version.									
Algo.	Ont	CROS	SS-v1.2[BE	$3B^{+}24]$	This work				
& sec.	Opt.	KG	Sign	Verify	KG	Sign	Verify		
RSDP 1	fast	7,984	120,416	58,296	4,240	$59,\!136$	15,220		
	bal.	7,984	$203,\!436$	$92,\!524$	4,240	99,368	$33,\!480$		
	small	-	-	-	4,240	$352,\!004$	108,772		
RSDP 3	fast	16,616	264,160	127,024	8,352	158,024	61,100		
	bal.	$16,\!616$	$471,\!268$	$211,\!444$	8,352	$227,\!552$	$73,\!480$		
	small	-	-	-	8,352	$514,\!144$	$157,\!796$		
RSDP 5	fast	-	-	-	14,368	$227,\!864$	$51,\!648$		
	bal.	-	-	-	14,368	$386,\!680$	$121,\!688$		
	small	-	-	-	-	-	-		
RSDPG 1	fast	3,920	80,664	43,568	2,216	$42,\!408$	13,344		
	bal.	3,920	$145,\!484$	$71,\!860$	2,216	$76,\!864$	$31,\!120$		
	small	3,920	$512,\!284$	$247,\!092$	2,216	$263,\!356$	98,660		
RSDPG 3	fast	7,336	174,680	93,368	3,936	90,144	26,528		
	bal.	7,336	$223,\!656$	$110,\!604$	3,936	$118,\!320$	48,344		
	small	-	-	-	3,936	$417,\!164$	$155,\!284$		
RSDPG 5	fast	11,312	306,368	162,140	6,296	$156,\!096$	42,076		
	bal.	11,312	$412,\!636$	203,212	6,296	$215,\!384$	$84,\!352$		
	small	-	-	-	6,296	$577,\!468$	$214,\!108$		
	I	l							

CROSS-v1.2 [BBB⁺24] implementations on the PQM4 framework [KKPY24]. Thanks to our memory optimizations, we could run all parameter sets except one on the board, whereas only 11 out of 18 parameter sets of CROSS-v1.2 fit on the board. Finally, we show 43-50% decrease in memory requirement for the key generation, 40-52% for the signature generation, and 52-74% for the verification compared to the original CROSS.

5 Conclusion

CROSS uses a novel zero-knowledge framework with a quantum hard problem to design a PQ DS scheme. Compared to the other DS schemes such types of constructions are relatively newer has not been explored much. Many practical aspects of such designs, such as efficient and secure implementations, side-channel resistance, and countermeasures, etc., have not been investigated properly yet. Due to their structures, these schemes often have huge memory requirements. We would like to note that our optimization methods are not limited to CROSS and can be applied to other code-based schemes that use similar ZK frameworks, like LESS [BMPS20]. It is another second-round candidate in the NIST additional signature standardization competition. Due to its massive dynamic memory allocation of the commitment array, the implementation of LESS can not run on the board³. We believe that our memory optimization process will help resolve LESS's memory issues. As memory-optimized implementations are essential for real-world applications, we believe this work will benefit the overall advancement of PQC DS schemes.

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 $^{^{3}} https://github.com/mupq/pqm4/issues/278$

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