

# 1 **Super-broadband On-chip Continuous Spectral Translation**

## 2 **Unlocking Coherent Optical Communications**

### 3 **Beyond Conventional Telecom Bands**

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#### 12 **Abstract**

13 Today's optical communication systems are fast approaching their capacity limits in the conventional telecom  
14 bands. Opening up new wavelength bands is becoming an appealing solution to the capacity crunch. How-  
15 ever, this ordinarily requires the development of optical transceivers for any new wavelength band, which  
16 is time-consuming and expensive. Here, we present an on-chip continuous spectral translation method that  
17 leverages existing commercial transceivers to unlock the vast and currently unused potential new wave-  
18 length bands. The spectral translators are continuous-wave laser pumped aluminum gallium arsenide on  
19 insulator (AlGaAsOI) nanowaveguides that provide a continuous conversion bandwidth over an octave. We  
20 demonstrate coherent transmission in the 2- $\mu$ m band using well-developed conventional C-band transmit-  
21 ters and coherent receivers, as an example of the potential of the spectral translators that could also unlock

22 communications at other wavelength bands. We demonstrate 318.25-Gbit s<sup>-1</sup> Nyquist wavelength-division  
23 multiplexed coherent transmission over a 1.15-km hollow-core fibre using this approach. Our demonstration  
24 paves the way for transmitting, detecting, and processing signals at wavelength bands beyond the capability  
25 of today's devices.

## 26 **Introduction**

27 Coherent optical communication is a truly revolutionary technology that has transformed old and overbur-  
28 dened optical fibre networks into data superhighways. Owing to its overwhelming advantages in terms of  
29 spectral efficiency and receiver sensitivity<sup>1</sup>, coherent optical communication has significantly increased the  
30 capacity and transmission distance of fibre networks and has been critical to meeting the ever-increasing  
31 data traffic demands of the worldwide internet. Today, coherent technology has expanded its applications  
32 from long-haul, large-capacity transmission to metro networks and short-reach links<sup>2</sup> due to advances in  
33 electronic and photonic devices which have enabled tremendous cost and power consumption reductions<sup>3</sup>.

34 Using coherent optical communication, information can be encoded onto optical carriers with advanced mod-  
35 ulation<sup>4,5</sup> and multiplexing<sup>6-8</sup> in the complex domain by I/Q modulators. The complex field of the signal can  
36 be completely recovered after transmission by a coherent receiver, in which the linear transmission impair-  
37 ments such as chromatic dispersion can be fully compensated by digital signal processing<sup>9,10</sup>. Yet, coherent  
38 transceivers need to be specially designed and are only available for the conventional C and L bands<sup>11,12</sup>,  
39 as well as recently the S band<sup>13</sup>. Today, coherent optical communication systems in the conventional wave-  
40 length bands are reaching their capacity limits<sup>14-16</sup>. The potential to open up new wavelength bands is now  
41 attracting significant interest<sup>17</sup>. New fibre technologies have emerged which give rise to the possibility of  
42 transmitting optical signals for instance in the 2- $\mu$ m band<sup>18-21</sup> and the 1- $\mu$ m band<sup>22-24</sup>. Also, an ambitious  
43 vision of utilizing the huge bandwidth resources of free space could support a possible universal solution  
44 for future ubiquitous optical communications with unlimited sustainability<sup>25</sup>. However, it is generally very  
45 challenging to build a complete coherent optical communication system for wavelength bands away from  
46 C and L, due to the lack of telecom-grade, commercially available narrow-linewidth lasers, I/Q modulators,  
47 and coherent receivers, including for wavelength bands such as O, E, S or U, and beyond. Developing these  
48 crucial devices is a cost-intensive and time-consuming process and it has been a major obstacle to opening  
49 up new wavelength bands.

50 An alternative solution is the use of spectral translation as a method to provide a bridge between the tele-  
51 com bands to any other wavelength band of interest for optical transmission. By translating the wavelength  
52 of the signal forth and back using degenerate four-wave mixing (FWM), one can effectively build coherent  
53 transmitters and receivers for new wavelength bands. Demonstrations based on silicon nanowaveguides have  
54 shown the feasibility of bridging the telecom bands and the 2- $\mu\text{m}$  band<sup>26,27</sup>, exhibiting a large conversion  
55 bandwidth of 820 nm<sup>26</sup>. However, the conversion efficiency is limited due to intrinsic material properties  
56 and two-photon absorption (TPA) induced nonlinear loss. Thus, picosecond pulsed lasers with a high peak  
57 power are required for the pump. The need for a pulsed pump adds complexity and severely limits the speed  
58 and spectral efficiency of the signal. Recently, a highly efficient 650-nm spectral translation based on a  
59 continuous-wave (CW) pump has been demonstrated using a silicon nitride ( $\text{Si}_3\text{N}_4$ ) microring resonator<sup>28</sup>.  
60 However, the nature of the resonance gives a discrete translation band in frequency and a narrow resonance  
61 bandwidth, which limits the data rate and compromises the potential for opening up new wavelength band-  
62 width.

63 Here, we propose and demonstrate continuous (in both time and frequency) spectral translation for coher-  
64 ent optical communication in the 2- $\mu\text{m}$  band as an example of the potential to unlock new wavelength bands  
65 utilizing conventional C-band transceivers. Some of the preliminary results of this work have been reported  
66 in ref.<sup>29</sup>. In this paper, we provide a significant number of new findings and extension of results, analysis,  
67 and discussion. Simulation shows our designed AlGaAsOI nanowaveguides could have a continuous trans-  
68 lation band of over an octave. With the fabricated AlGaAsOI nanowaveguides as spectral translators, as well  
69 as a short-wavelength thulium-doped fibre amplifier (TDFA) and hollow-core fibre (HCF), we have enabled  
70 coherent transmission in the 2- $\mu\text{m}$  band using C-band transmitters and coherent receivers. We have success-  
71 fully transmitted  $4 \times 32$ -Gbaud 16 quadrature-amplitude modulation (QAM) Nyquist wavelength-division  
72 multiplexing (N-WDM) signals over a 1.15-km HCF at the 2- $\mu\text{m}$  wavelength band with a spectral efficiency  
73 of  $2.4 \text{ bit s}^{-1} \text{ Hz}^{-1}$ . Beyond the application in optical transmission, the spectral translators may also find  
74 significance in a wider range of applications such as massive photonic integration in TPA-free wavelength  
75 bands, high-resolution spectroscopy for uncharted wavelength bands, as well as high-sensitivity infrared as-  
76 tronomy, due to the opportunities they provide for processing signals in wavelength bands that are currently  
77 inaccessible with today's devices.

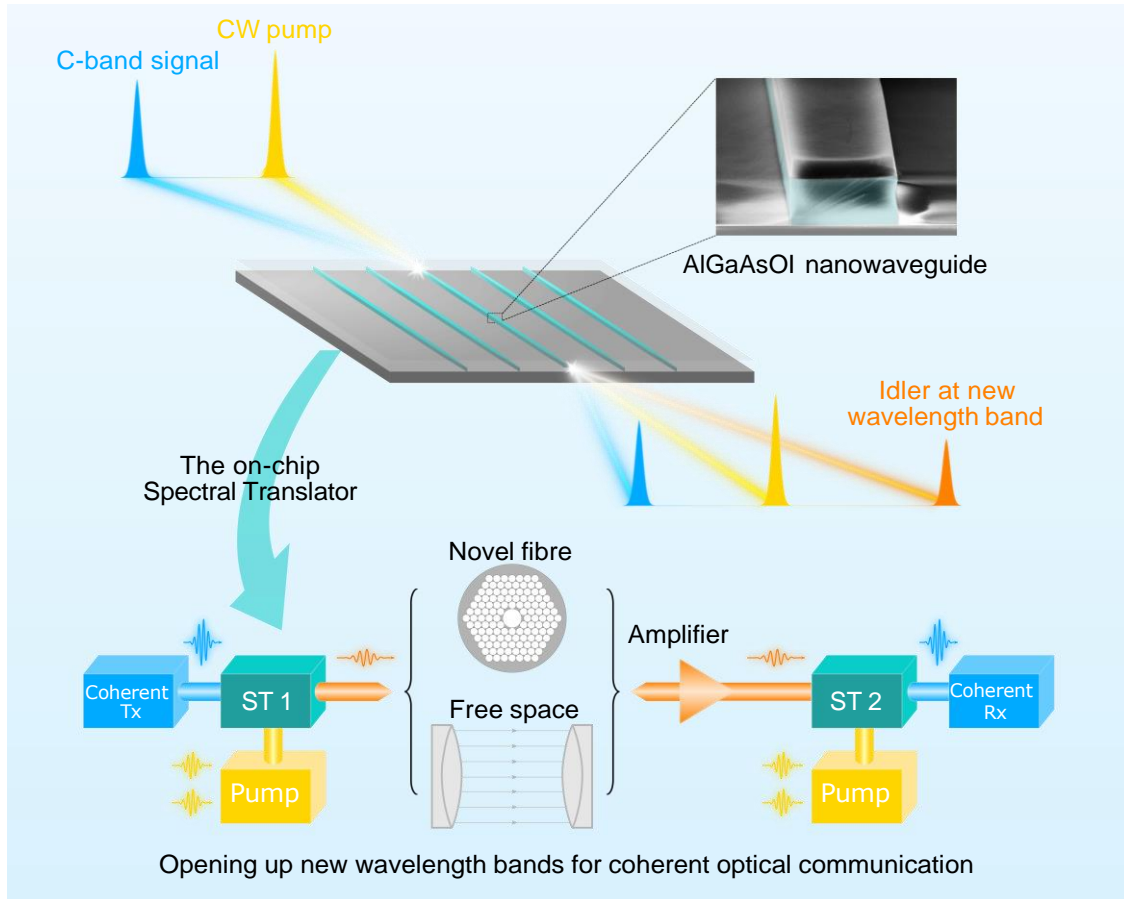
## 78 **Results and Discussion**

### 79 **Continuous spectral translation opens up new wavelength bands for coherent optical communication.**

80 As shown in Fig. 1, the basic principle of the proposed coherent optical communication at new wavelength  
81 bands is to bridge between the mature telecom 1.55- $\mu\text{m}$  band (C band) and the new band by spectrally  
82 translating the signal forth and back using an on-chip solution based on CW-pumped degenerate FWM in  
83 AlGaAsOI nanowaveguides. We can then effectively build a pair of optical coherent transmitters and re-  
84 ceivers that are compatible with advanced modulation and multiplexing technologies working in the new  
85 wavelength band based on mature C-band devices. At the transmitter side, advanced modulation and optical  
86 multiplexing such as QAM and N-WDM can be utilized and the high spectral efficiency signals converted  
87 to the new wavelength band. At the receiver side where the signal is converted back to the C band, coherent  
88 detection with advanced digital signal processing can be enabled for high performance and tolerance to linear  
89 impairments from the transceiver and transmission.

90 To achieve continuous spectral translation, both CW pumping and a non-resonant structure are needed,  
91 which puts a very strict requirement on the integrated nonlinear platform. Our work is based on recent  
92 advances in ultra-efficient AlGaAsOI nonlinear nanowaveguides<sup>30</sup>. The high refractive index contrast be-  
93 tween the AlGaAs and the silica insulator can strongly confine light in the waveguide, enabling a very high  
94 conversion efficiency (CE) and bandwidth product amongst the various nonlinear material platforms<sup>31</sup>. The  
95 AlGaAsOI nanowaveguide can also be dispersion engineered to accommodate high-order phase matching  
96 for a very large conversion bandwidth of over an octave<sup>32,33</sup>, supporting spectral translation with flexible  
97 target wavelength bands. The energy bandgap of AlGaAs can also be engineered by tuning the aluminium  
98 concentration, so that the nanowaveguide can be made free from TPA when pumping at the desired wave-  
99 length band, increasing the CE. These unique features make the AlGaAsOI nanowaveguide an appealing  
100 solution for continuous spectral translation.

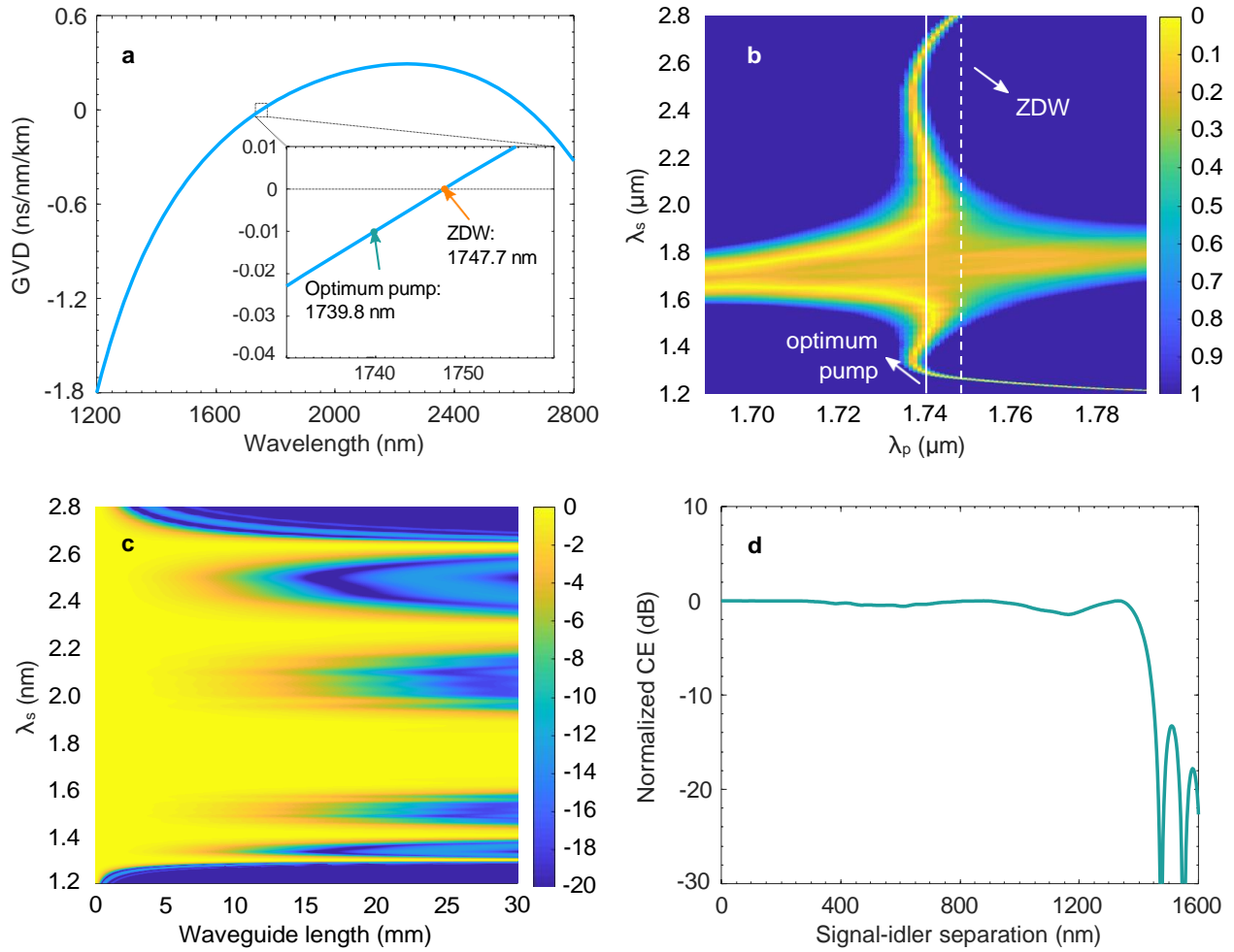
101 Here, continuous spectral translation between the C band and the 2- $\mu\text{m}$  band is explored and demon-  
102 strated, since the 2- $\mu\text{m}$  band is becoming a leading contender for optical communication amongst new wave-  
103 length bands (Supplementary Note 2). Although we are targeting the 2- $\mu\text{m}$  band in this demonstration, the  
104 spectral translation should work for any new potential wavelength band within the transparency window of  
105 the AlGaAsOI platform, i.e., from 500 nm to 10  $\mu\text{m}$ <sup>34</sup>. Envisioning short-term applications, the proposed  
106 continuous spectral translation can be used to activate the O-, E-, S-, or U- bands in standard single-mode



**Fig. 1 Opening up new wavelength bands for coherent optical communication through on-chip continuous spectral translation.** The spectral translators are based on continuous-wave (CW) pumped aluminum gallium arsenide on insulator (AlGaAsOI) nanowaveguides where a continuous conversion bandwidth over an octave can be achieved with reasonable conversion efficiency. Using a pair of spectral translators (ST 1 and ST 2), an optical coherent transmitter and a receiver working at new wavelength bands can be realized with high-performance C-band counterparts. Such a spectral translator based coherent optical communication scheme has the potential to utilize the vast unexploited wavelength bands possible in novel fibres or free space without the need to develop optical transceivers at those wavelength bands.

107 fibre (SSMF), thereby expanding the capacity of current telecommunication infrastructures. **Optical com-**  
108 **munication** in the 2- $\mu\text{m}$  band, to the best of our knowledge, has focused on intensity modulation and direct  
109 detection<sup>18–21,35</sup>. Enabling a 2- $\mu\text{m}$ -band coherent optical communication system based on spectral trans-  
110 lation requires a sufficiently powerful 1.74- $\mu\text{m}$  pump and AlGaAsOI nanowaveguides with a conversion  
111 bandwidth  $>450$  nm. For the 1.74- $\mu\text{m}$  pump, we have built an all-fibre TDFA working in the 1700–1800 nm  
112 band, achieving an output power of  $>500$  mW<sup>36</sup>. The design and fabrication details of the short-wavelength  
113 TDFA are given in the Methods.

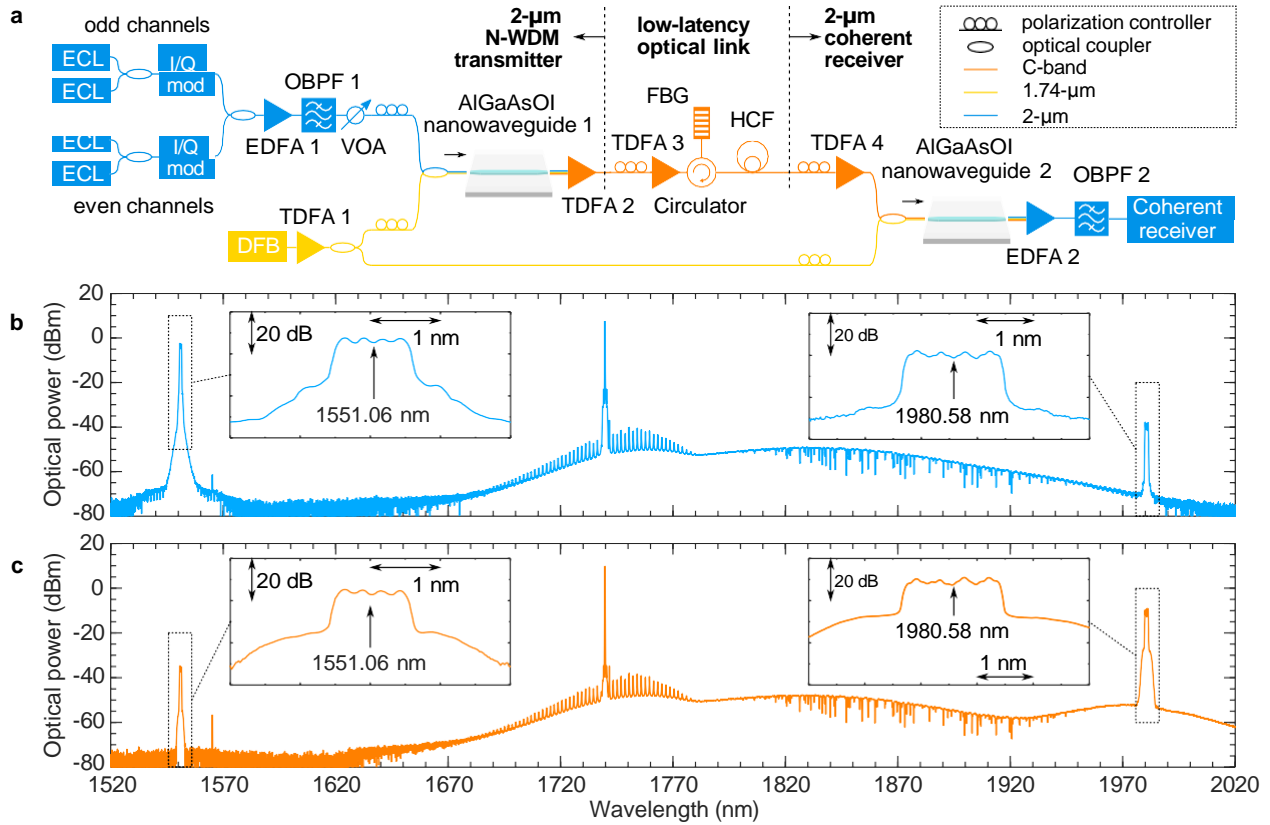
114 **The AlGaAsOI nanowaveguides.** We have first explored the conversion bandwidth of the AlGaAsOI  
115 nanowaveguide using a numerical simulation. The geometric dimensions of the AlGaAsOI nanowaveg-  
116 uides are 910 nm in width, 350 nm in height, and 5 mm in length. Figure 2a shows the simulation results of  
117 the dispersion profile of the AlGaAsOI nanowaveguide. The zero-dispersion wavelength (ZDW) is around  
118 1747.7 nm. High-order phase matching can be used to expand the conversion bandwidth by combining  
119 both the fundamental phase matching band and high-order phase matching bands, albeit at some expense in  
120 CE<sup>32,33</sup>. Figure 2b illustrates the normalised phase mismatch  $|\Delta\beta L/\pi|$  ( $\Delta\beta$  is the phase mismatch and  $L$  is  
121 the length of the waveguide, see Supplementary Note 1) as a function of pump and signal wavelengths, for  
122 a waveguide length of 5 mm. We see that a much wider continuous conversion bandwidth can be achieved  
123 by exploiting the fundamental and high-order phase matching bands, by slightly detuning the pump from the  
124 ZDW to the optimum wavelength at 1739.8 nm. Figure 2c shows the normalised CE (defined to characterize  
125 the wavelength-dependent conversion efficiency, see Supplementary Note 1) on a decibel scale against the  
126 length of the nanowaveguide and the signal wavelength when the pump is set to the optimum wavelength.  
127 The fundamental and high-order phase matching bands start to split when the length of the nanowaveguide  
128 increases. A synergetic conversion band is lost when the length surpasses the designed 5 mm. The conver-  
129 sion enables the continuous spectral translation from 1285.2 nm (233.27 THz) to 2691.9 nm (111.37 THz),  
130 spanning an octave. Figure 2d gives the normalised CE against the signal-idler separation in nanometres  
131 when the length of the nanowaveguide is set to 5 mm. Note that the conversion bandwidth is defined as  
132 the 3-dB width of the normalised CE against signal-idler separation. The overall conversion bandwidth is  
133 larger than 1400 nm with a flat (within 1 dB variation) conversion bandwidth of over 1000 nm. The design  
134 and fabrication details are given in the Methods. The measured flatness of the translation band from 1500–  
135 1580 nm can be found in Supplementary Note 3. Spectral translation of an optical frequency comb (OFC)  
136 from the C band to the 2- $\mu\text{m}$  band has been performed to explore the potential of our spectral translators



**Fig. 2 Simulation results of the AlGaAsOI nanowaveguide.** **a**, Group velocity dispersion (GVD) of the AlGaAsOI nanowaveguide. The optimum pump wavelength (1739.8 nm) is shifted from the zero-dispersion wavelength (ZDW) (1747.7 nm) to utilize the high-order phase matching, where the fundamental conversion band can be merged with the high-order phase matching band for an extended conversion bandwidth. **b**, Normalised phase mismatch  $|\Delta\beta L/\pi|$  as a function of pump and signal wavelengths, with a waveguide length of 5 mm. The white straight solid and dash-dotted lines indicate the optimum pump wavelength and the ZDW. **c**, Normalised CW as a function of waveguide length and signal wavelength with the pump at the optimum wavelength. **d**, Normalised conversion efficiency (CE) versus signal-idler separation with a 5-mm-long AlGaAsOI nanowaveguide, when the pump is located at the optimum wavelength. The simulation results show a conversion bandwidth of over 1400 nm.

137 (Supplementary Note 4).

138 **Experimental setup.** The continuous spectral translation is tested within the scenario of multidimensional



**Fig. 3 2- $\mu$ m-band coherent transmission based on a pair of spectral translators with 4 $\times$ 32 Gbaud 16-QAM signal.** **a**, The 2- $\mu$ m-band N-WDM transmitter is based on a spectral translator (AlGaAsOI nanowaveguide 1) to convert the C-band signal to the 2- $\mu$ m band. The 2- $\mu$ m-band coherent receiver is based on a second spectral translator (AlGaAsOI nanowaveguide 2) to convert the 2- $\mu$ m-band signal back into the C band. The pump for the spectral translators is generated through a distributed feedback (DFB) laser working at 1739.74 nm and a short-wavelength thulium-doped fibre amplifier (TDFA 1). The 2- $\mu$ m-band signal is transmitted through a  $\sim$ 1.15-km hollow-core fibre (HCF) link with a low latency of 3.82  $\mu$ s. **b**, Transmitter-side spectral translation from 1551.06 nm to 1980.58 nm with an output CE of  $-35.4$  dB. **c**, Receiver-side spectral translation from 1980.58 nm back to 1551.06 nm with an output CE of  $-24.5$  dB. The difference in the CE is due to the difference in the AlGaAsOI nanowaveguides and the fact that the 2- $\mu$ m-band signal experiences more loss during coupling and propagation. (ECL: external cavity laser; EDFA: erbium-doped fibre amplifier; OBPF: optical bandpass filter; VOA: variable optical attenuator; FBG: fibre Bragg grating.)



139 modulation and multiplexing, in particular 32 Gbaud signal with 16-QAM format and four N-WDM chan-  
140 nels. Figure 3a shows the experimental setup. We built up the 1.55- $\mu\text{m}$ -band transmitter and receiver using  
141 discrete components in this demonstration for flexibility, but commercial transceivers should also work with  
142 the spectral translators. At the transmitter side, the  $4 \times 32$  Gbaud 16-QAM signal is firstly generated using  
143 mature C-band external cavity lasers and I/Q modulators with a spacing of 33 GHz between adjacent chan-  
144 nels. A CW single mode distributed feedback (DFB) laser is used as a pump, thermally tuned to a central  
145 wavelength of 1739.74 nm with a linewidth of 2 MHz and a power of 5 dBm. In order to simultaneously  
146 pump each nanowaveguide device (one at the transmitter and one at the receiver), and to maximize the CE,  
147 the CW pump was amplified by the short-wavelength TDFA and split into two with a 3-dB coupler, with  
148 measured optical powers of 20.0 dBm and 19.9 dBm just before the input tapered couplers of AlGaAsOI  
149 nanowaveguides 1 and 2, respectively. The transmitter-side spectral translator converts the N-WDM sig-  
150 nal to the 2- $\mu\text{m}$  band. A 2- $\mu\text{m}$ -band TDFA is used to amplify the translated N-WDM signal and reject the  
151 residual C-band signal and the pump.

152 We transmitted the 2- $\mu\text{m}$ -band  $4 \times 32$ -Gbaud 16-QAM signal over a  $\sim 1.15$ -km low-latency hollow-core  
153 fibre (HCF, i.e., a 19-cell hollow-core photonic bandgap fibre<sup>18</sup> (see Methods for further details)). The HCF  
154 has a flat-topped transmission window with the 3-dB width extending from 1959 nm to 2045 nm, with a  
155 minimum loss of 2.8 dB km<sup>-1</sup>. It is spliced to two SSMF patch cords, giving an overall measured loss of 9  
156 dB. The extra loss is mostly due to a large mode-field diameter mismatch between the HCF and the SSMF.  
157 The measured latency (i.e., pulse propagation delay) of the HCF is 3.82  $\mu\text{s}$  (Supplementary Note 5).

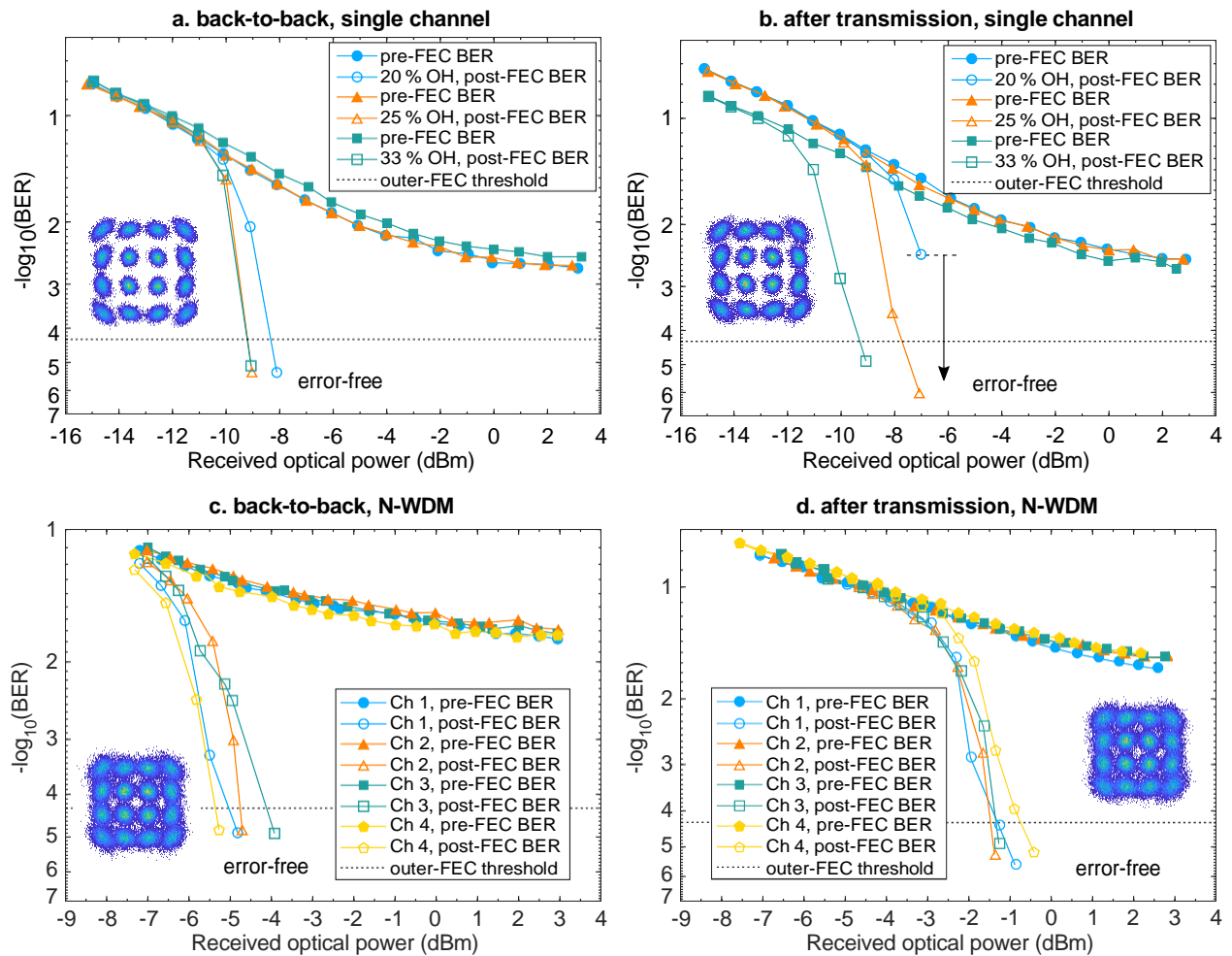
158 At the receiver side, the 2- $\mu\text{m}$ -band N-WDM signal is amplified and launched into the receiver-side  
159 spectral translator, where the 2- $\mu\text{m}$ -band signal is converted back into the C band. An erbium-doped fibre  
160 amplifier (EDFA) amplifies the C-band N-WDM signal and rejects the residual 2- $\mu\text{m}$ -band signal and the  
161 pump. The N-WDM signal is launched into a C-band coherent receiver for detection and performance eval-  
162 uation. More details about the experimental setup, including the signal generation, detection, and digital  
163 signal processing are described in the Methods.

164 **System performance.** The optical spectra of the transmitter-side and receiver-side spectral translations  
165 are shown in Fig. 3b and Fig. 3c, respectively. The spectra are measured at the output of the AlGaAsOI  
166 nanowaveguides, thus the coupling losses are included. The transmitter-side spectral translator converts the  
167  $4 \times 32$ -Gbaud 16-QAM signal centred at 1551.06 nm to a centre wavelength at 1980.58 nm. The transmitter-  
168 side CE is measured to be  $-35.4$  dB. The receiver-side spectral translator converts the 2- $\mu\text{m}$ -band signal back

169 into the C-band with a centre wavelength of 1551.06 nm, with a measured CE of  $-24.5$  dB. The difference  
170 in the CEs is mainly due to the larger coupling loss and waveguide loss experienced by the 2- $\mu$ m-band signal  
171 than the C-band signal, as well as the difference between the AlGaAsOI nanowaveguides.

172 We evaluated the 2- $\mu$ m-band transmission system by measuring the bit error ratio (BER) performance of  
173 a single 16-QAM channel, in the back-to-back scenario (Fig. 4a) and after 1.15-km HCF transmission (Fig.  
174 4b). The received optical power is measured before the TDFA (TDFA 4 shown in Fig. 3) in the 2- $\mu$ m-band  
175 coherent receiver. Since the 1.74- $\mu$ m pump laser has a large linewidth of 2 MHz, we have observed severe  
176 cycle slips<sup>37</sup> when processing the received signal. Therefore, we used a 20% pilot overhead in the carrier  
177 recovery to remove the cycle slips. The pilot overhead could be significantly reduced if a carrier recovery  
178 algorithm with high tolerance to phase noise is used<sup>38,39</sup> or a narrow linewidth laser is utilized for the 1.74-  
179  $\mu$ m-band pump<sup>40</sup>. We have investigated the use of low-density parity-check (LDPC) code overheads of  
180 20%, 25%, and 33%. We assume a 0.8% overhead for outer-hard-decision forward error correction (HD-  
181 FEC) to eliminate the well-known BER floor from the LDPC decoding<sup>41</sup>. We can conclude that all cases  
182 result in error-free performance after LDPC decoding. A  $\sim 2$ -dB penalty in terms of received optical power  
183 is observed after transmission. For the performance of the N-WDM signal (Fig. 4c, back-to-back; Fig.  
184 4d, after transmission), we use 33% overhead for the LDPC coding to adapt to the signal-to-noise ratio  
185 of the received signal and achieve error-free performance. The line rate of the 2- $\mu$ m-band  $4 \times 32$ -Gbaud  
186 16-QAM signal is  $32 \text{ Gbaud} \times 4$  (16 QAM modulation)  $\times 4$  (N-WDM) =  $512 \text{ Gbit s}^{-1}$ . The net rate is  
187  $512 \text{ Gbit s}^{-1} \div (1 + 20\%) \div (1 + 33\%) \div (1 + 0.8\%) = 318.25 \text{ Gbit s}^{-1}$ , excluding the forward error  
188 correction (FEC) overheads and the pilot overhead. Since the spectral occupation of the N-WDM signal  
189 is only 131.32 GHz, the spectral efficiency of  $2.4 \text{ bit s}^{-1} \text{ Hz}^{-1}$  is achieved. We have observed a 4.5-dB  
190 power penalty for the N-WDM signal after transmission. The penalties observed for the single-channel and  
191 N-WDM transmissions mainly come from the losses of the HCF and the receiver-side spectral translator.  
192 To improve the conversion efficiencies of the spectral translations, the transmitter-side and receiver-side  
193 AlGaAsOI nanowaveguides should be further optimized for the 2- $\mu$ m-band and C-band signals, respectively,  
194 to minimize the waveguide loss and coupling loss.

195 We have proposed and demonstrated a scheme to unlock new wavelength bands for optical coherent  
196 communication using a pair of integrated continuous spectral translators based on CW pumped AlGaAsOI  
197 nanowaveguides and high-performance C-band transmitters and receivers. With a short-wavelength TDFA  
198 and HCF, we have demonstrated the spectrally translated 2- $\mu$ m-band coherent optical transmission of high-



**Fig. 4 Bit error ratio (BER) measurement for the 2- $\mu$ m-band signals using the 2- $\mu$ m-band transmitter and receiver based on the spectral translators. a**, BER performance of the single-channel 2- $\mu$ m-band 16-QAM signal at back-to-back. **b**, BER performance of the single-channel 2- $\mu$ m-band 16-QAM signal after 1.15-km HCF transmission. Error-free performance is achieved for both back-to-back and transmission scenarios with 20%, 25%, and 33% LDPC overheads, indicating a good performance margin. **c**, BER performance of the 2- $\mu$ m-band 4 $\times$ 32 Gbaud Nyquist wavelength-division multiplexing (N-WDM) 16-QAM signal at back-to-back. **d**, BER performance of the 2- $\mu$ m-band 4 $\times$ 32 Gbaud N-WDM 16-QAM signal after 1.15-km HCF transmission. With 33% LDPC overhead, error-free performance is achieved for both back-to-back and transmission scenarios. Insets give the typical constellation diagrams of the 16-QAM signals that can achieve error-free performance after forward error correction (FEC) decoding.

199 capacity N-WDM signals with a spectral efficiency of  $2.4 \text{ bit s}^{-1} \text{ Hz}^{-1}$ . The spectral translation scheme  
200 could be adapted to other wavelength bands, since the AlGaAsOI nanowaveguides can unlock at least an  
201 octave spanning conversion bandwidth. This approach could facilitate the use of new wavelength bands  
202 for coherent optical communications still utilizing conventional C-band transceivers. We also believe this  
203 scheme has significance in broader applications beyond optical communications, such as in massive pho-  
204 tonic integration in TPA-free wavelength bands, in high-resolution multi-banded spectroscopy, and in high-  
205 sensitivity infrared astronomy, through the possibility of coherently transmitting, detecting, and processing  
206 signals at wavelength bands that are currently inaccessible using current signal processing devices.

## 207 **Methods**

### 208 **The design and fabrication of the AlGaAsOI nanowaveguide**

209 Each AlGaAsOI nanowaveguide used in the experiment consists of a straight waveguide and two inverse ta-  
210 pers serving as input and output ports to the waveguide. The core of the nanowaveguide has a high refractive  
211 index contrast with the silica cladding from both the top and bottom, leading to strong light confinement<sup>30</sup>.  
212 Thus, the required pump power for the spectral translation is significantly reduced. Dispersion engineering  
213 is efficiently achieved by adjusting the dimensions (height and width) of the nanowaveguide thanks to the  
214 highly confined waveguide mode, enabling a large conversion bandwidth. The high-order phase-matching  
215 technique is also used to extend the conversion bandwidth over  $1400 \text{ nm}$ <sup>32</sup>. The cross-section dimensions  
216 of the straight waveguide are designed to be  $910 \times 350 \text{ nm}^2$ , resulting in a ZDW at  $1747.7 \text{ nm}$ . A pair of  
217 inverse tapers are placed at each side of the straight waveguide, and are optimized for low coupling loss.  
218 The nanowaveguide is  $5 \text{ mm}$  in length to enable a suitable balance between the conversion bandwidth and  
219 efficiency. Although the  $910\text{-nm}$ -wide nanowaveguide supports higher-order modes besides the fundamen-  
220 tal, the excitation of the higher-order modes is suppressed by the adiabatic design of the inverse taper and  
221 fully-straight design of the nanowaveguide.

222 The fabrication of the AlGaAsOI nanowaveguides starts with a GaAs substrate with a  $3\text{-}\mu\text{m}$ -thick layer of  
223 silicon dioxide and a  $350\text{-nm}$ -thick layer of GaAs on top. The aluminium composition ( $x$ ) for  $\text{Al}_x\text{Ga}_{1-x}\text{As}$  is  
224  $21\%$ . The AlGaAsOI wafer was achieved by wafer bonding and substrate removal<sup>42</sup>. Then, the nanowave-  
225 guides and tapers were defined by E-beam lithography followed by a boron trichloride based dry etching  
226 process. Both the E-beam process and the dry etching process were optimized to ensure minimal sidewall

roughness<sup>31</sup>. The oxide-like E-beam resist hydrogen silsesquioxane was left on top of the nanowaveguide for the simplicity of fabrication. Another 3- $\mu\text{m}$ -thick silicon dioxide layer was lastly deposited on top of the nanowaveguides by plasma-enhanced chemical vapour deposition to form a cladding. The sample was lastly cleaved at the inverse tapers, which were probed by lensed fibres for light coupling.

### 231 **The 1.74- $\mu\text{m}$ -band TDFA**

232 For the 1.74  $\mu\text{m}$  pump source, we developed an all-fibre short-wavelength (1650–1800 nm) TDFA using 233 commercially available thulium-doped fibre (TDF) (OFS TmDF200). To enhance the short-wavelength gain 234 of the TDFA a relatively short overall length of TDF ( $\sim 1.2$  m) was used to reduce the signal reabsorption 235 and an additional short-pass spectral filter was incorporated within the amplifier to suppress gain and the 236 build-up of amplified spontaneous emission/spurious lasing at longer wavelengths. The short-pass filter was 237 based on macrobend-induced loss from a 10 m length of dispersion compensating fibre (DCF) (Thorlabs 238 DCF38), wound on a mandrel at an optimized bend diameter of 5.5 cm. This filter was spliced into the 239 middle of the active fibre to increase the available gain at the 1.74- $\mu\text{m}$  band<sup>36</sup>. The fibre was core pumped 240 in a bidirectional configuration by an in-house built erbium-doped fibre laser operating at 1560 nm. The 241 amplifier provides a maximum small-signal gain of  $>30$  dB at 1750 nm and supports gain over a more than 242 200 nm wide spectral window in the range 1730-1950 nm. In our experiment, the amplifier was seeded 243 by a discrete mode DFB laser diode operating at 1739.74 nm (Eblana Photonics EP1742-DM-B) and the 244 maximum achievable output power was higher than 500 mW with an optical signal-to-noise ratio (OSNR) 245 of  $>40$  dB (as shown in Fig. 3).

### 246 **The 1.15- $\mu\text{m}$ HCF**

247 The HCF used in our experiment is a hollow-core photonic bandgap fibre with a 19-cell core structure de- 248 signed to operate in the 2  $\mu\text{m}$  region and was previously fabricated in-house using a conventional stack and 249 draw technique<sup>20</sup>. The central hollow core region is surrounded by multiple periodic layers of air holes and 250 glass struts (i.e. micro-structured cladding with a  $7\frac{1}{2}$  ring structure) and most of the light ( $>99\%$ ) propagates 251 in air rather than silica glass through the photonic bandgap effect. The core diameter is approximately 27 252  $\mu\text{m}$  and the cladding diameter is  $\sim 166$   $\mu\text{m}$  (including the 105  $\mu\text{m}$  diameter micro-structured region). The 253 average hole-to-hole spacing is  $\sim 6$   $\mu\text{m}$ . The calculated mode field diameter is  $\sim 18$   $\mu\text{m}$  and the effective

254 index of the fundamental mode is  $\sim 0.998$ . The fibre is 1.15 km long and shows a wide low loss transmission  
255 window centred around 2  $\mu\text{m}$ , having a minimum loss of 2.8 dB  $\text{km}^{-1}$  at 1993 nm and a 3 dB bandwidth of  
256 86 nm (1959-2045 nm). Both ends of the HCF were spliced to conventional SSMF and the total link loss  
257 was  $\sim 9$  dB. The link loss was dominated by loss due to the mode field diameter mismatch between the  
258 SSMF and HCF. Note that current state-of-the-art HCF now has a much lower attenuation (0.22 dB  $\text{km}^{-1}$   
259 now reported at wavelengths around 1625 nm)<sup>43</sup> and much lower interconnection losses can be achieved  
260 (0.15dB now reported from SSMF to HCF)<sup>44</sup>, and is emerging as an appealing solution for latency-sensitive  
261 optical interconnects.

## 262 **Data modulation and digital signal processing**

263 In the experiment, the C-band 4 $\times$ 32 Gbaud 16-QAM signal is generated by four external cavity lasers (ECLs)  
264 and two standard I/Q modulators. The ECLs, each with a linewidth of 10 kHz and output power of 15 dBm,  
265 are grouped into odd and even channels. The odd and even channels are then independently modulated by the  
266 I/Q modulators at 32 Gbaud with different source data. The data comes from a pseudo-random bit sequence  
267 with a length of  $2^{23} - 1$ . The data is encoded with LDPC block code from the digital video broadcasting –  
268 satellite – second generation (DVB-S2) standard and mapped to 16-QAM symbols. Then, the pilot symbols  
269 are added. The symbols are digitally shaped into waveforms using a root-raised-cosine filter with 401 taps  
270 and 0.01 roll-off. Finally, the waveforms are resampled and loaded to an arbitrary waveform generator with  
271 a sampling rate of 64 GSa  $\text{s}^{-1}$  for the I/Q signals. The N-WDM signal is launched into the transmitter-side  
272 spectral translator with a measured optical power of 21 dBm before the AlGaAsOI nanowaveguide and then  
273 converted to the 2- $\mu\text{m}$  band. A 2- $\mu\text{m}$ -band TDFA is used as a link amplifier. A fibre-Bragg grating with  
274 4-nm bandwidth with a circulator as an optical filter is used as an optical bandpass filter (OBPF) to eliminate  
275 the excess amplified spontaneous emission noise.

276 The received 2- $\mu\text{m}$ -band N-WDM signal is launched to the receiver-side spectral translator with a mea-  
277 sured optical power of 15.7 dBm before the AlGaAsOI nanowaveguide and then converted back into the  
278 C-band. The C-band N-WDM signal is then amplified and filtered by a tuneable OBPF with 3-dB bandwidth  
279 of 0.4 nm to pre-align a target N-WDM channel for performance investigation. The channel-under-test is  
280 then launched to the C-band coherent receiver, which consists of a polarization-diversity 90-degree hybrid,  
281 a tuneable local oscillator with 10-kHz linewidth and 16-dBm output power, four pairs of balanced pho-  
282 todetectors, and a digital sampling oscilloscope with 80 GSa  $\text{s}^{-1}$  sampling rate and 33-GHz bandwidth. For

283 each received power of each N-WDM channel, five 2-million-sample records from the oscilloscope are pro-  
284 cessed offline to evaluate the performance. The detected signals are digitally lowpass filtered and resampled  
285 to 2 samples per symbol. The signals are then synchronized and equalized using a T/2-spaced pilot-aided  
286 radius-directed adaptive equalizer with 51 taps. We use only 51 taps because the chromatic dispersion of  
287 the 1.15-km HCF can be neglected. The equalization rectifies inter-symbol interference due to the imperfect  
288 frequency response of the transmitter and receiver. A decision-directed phase-locked loop is applied for  
289 frequency offset correction and carrier phase recovery. Finally, the signal is LDPC decoded.

## 290 **Data Availability**

291 Source data are provided with this paper. The measurements data generated in this study have also been  
292 deposited in <https://doi.org/10.5281/zenodo.6417777>.

## 293 **Code Availability**

294 The algorithms used for the digital signal processing at the transmitter and the coherent receiver are standard  
295 and are outlined in detail in the Methods. MATLAB scripts can be provided by the corresponding authors  
296 upon reasonable request.

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399 design and fabrication of the 19-cell HCF.

## 400 **Author Contributions Statement**

401 D.K. and H.H conceived the concept and the experiment; Y.L. designed the AlGaAsOI nanowaveguides,  
402 supervised by H.H. and M.P; Y.L. and D.K. performed the simulation of the AlGaAsOI nanowaveguides;  
403 Y.L., C.K., and K.Y. fabricated the AlGaAsOI nanowaveguides; D.K. and Y.L. characterized the AlGaAsOI  
404 nanowaveguides, and H.H. and M.P provided suggestions; Z.R. and Y.J. designed and fabricated the 1.74-  
405  $\mu\text{m}$  TDFA, supervised by D.J.R.; M.N.P, Y.C. and N.W. designed and fabricated the 19-cell HCF, supervised  
406 by D.J.R.; D.K. constructed the experiment setup, performed the experiment and processed the data; H.H.  
407 supervised the experiment; D.K., H.H., Y.L., Y.J., M.P, M.G., L.K.O., and D.J.R. discussed the results; The

408 manuscript was written by D.K., Y.J., and H.H., and all authors contributed to the writing; H.H., L.K.O., and  
409 D.J.R. supervised the projects.

## 410 **Competing Interests Statement**

411 The authors declare no competing interests.

## 412 **Figure Legends**

413 **Fig. 1 | Opening up new wavelength bands for coherent optical communication through on-chip contin-**  
414 **uous spectral translation.** The spectral translators are based on continuous-wave (CW) pumped aluminum  
415 gallium arsenide on insulator (AlGaAsOI) nanowaveguides where a continuous conversion bandwidth over  
416 an octave can be achieved with reasonable conversion efficiency. Using a pair of spectral translators (ST 1  
417 and ST 2), an optical coherent transmitter and a receiver working at new wavelength bands can be realized  
418 with high-performance C-band counterparts. Such a spectral translator based coherent optical communica-  
419 tion scheme has the potential to utilize the vast unexploited wavelength bands possible in novel fibres or free  
420 space without the need to develop optical transceivers at those wavelength bands.

421

422 **Fig. 2 | Simulation results of the AlGaAsOI nanowaveguide. a,** Group velocity dispersion (GVD) of the  
423 AlGaAsOI nanowaveguide. The optimum pump wavelength (1739.8 nm) is shifted from the zero-dispersion  
424 wavelength (ZDW) (1747.7 nm) to utilize the high-order phase matching, where the fundamental conver-  
425 sion band can be merged with the high-order phase matching band for an extended conversion bandwidth.  
426 **b,** Normalised phase mismatch  $|\Delta\beta L/\pi|$  as a function of pump and signal wavelengths, with a waveguide  
427 length of 5 mm. The white straight solid and dash-dotted lines indicate the optimum pump wavelength and  
428 the ZDW. **c,** Normalised CW as a function of waveguide length and signal wavelength with the pump at  
429 the optimum wavelength. **d,** Normalised conversion efficiency (CE) versus signal-idler separation with a 5-  
430 mm-long AlGaAsOI nanowaveguide, when the pump is located at the optimum wavelength. The simulation  
431 results show a conversion bandwidth of over 1400 nm..

432

433 **Fig. 3 | 2- $\mu$ m-band coherent transmission based on a pair of spectral translators with 4 $\times$ 32 Gbaud**

434 **16-QAM signal.** **a**, The 2- $\mu\text{m}$ -band N-WDM transmitter is based on a spectral translator (AlGaAsOI  
435 nanowaveguide 1) to convert the C-band signal to the 2- $\mu\text{m}$  band. The 2- $\mu\text{m}$ -band coherent receiver is  
436 based on a second spectral translator (AlGaAsOI nanowaveguide 2) to convert the 2- $\mu\text{m}$ -band signal back  
437 into the C band. The pump for the spectral translators is generated through a distributed feedback (DFB)  
438 laser working at 1739.74 nm and a short-wavelength thulium-doped fibre amplifier (TDFA 1). The 2- $\mu\text{m}$ -  
439 band signal is transmitted through a  $\sim 1.15$ -km hollow-core fibre (HCF) link with a low latency of 3.82  $\mu\text{s}$ .  
440 **b**, Transmitter-side spectral translation from 1551.06 nm to 1980.58 nm with an output CE of  $-35.4$  dB.  
441 **c**, Receiver-side spectral translation from 1980.58 nm back to 1551.06 nm with an output CE of  $-24.5$  dB.  
442 The difference in the CE is due to the difference in the AlGaAsOI nanowaveguides and the fact that the 2-  
443  $\mu\text{m}$ -band signal experiences more loss during coupling and propagation. (ECL: external cavity laser; EDFA:  
444 erbium-doped fibre amplifier; OBPF: optical bandpass filter; VOA: variable optical attenuator; FBG: fibre  
445 Bragg grating.)

446

447 **Fig. 4 | Bit error ratio (BER) measurement for the 2- $\mu\text{m}$ -band signals using the 2- $\mu\text{m}$ -band transmit-**  
448 **ter and receiver based on the spectral translators.** **a**, BER performance of the single-channel 2- $\mu\text{m}$ -band  
449 16-QAM signal at back-to-back. **b**, BER performance of the single-channel 2- $\mu\text{m}$ -band 16-QAM signal af-  
450 ter 1.15-km HCF transmission. Error-free performance is achieved for both back-to-back and transmission  
451 scenarios with 20%, 25%, and 33% LDPC overheads, indicating a good performance margin. **c**, BER per-  
452 formance of the 2- $\mu\text{m}$ -band  $4 \times 32$  Gbaud Nyquist wavelength-division multiplexing (N-WDM) 16-QAM  
453 signal at back-to-back. **d**, BER performance of the 2- $\mu\text{m}$ -band  $4 \times 32$  Gbaud N-WDM 16-QAM signal after  
454 1.15-km HCF transmission. With 33% LDPC overhead, error-free performance is achieved for both back-  
455 to-back and transmission scenarios. Insets give the typical constellation diagrams of the 16-QAM signals  
456 that can achieve error-free performance after forward error correction (FEC) decoding.