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Deriving large-scale glacier velocities from a complete satellite archive : Application to the Pamir-Karakoram-Himalaya

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Abstract

Mountain glaciers are pertinent indicators of climate change and their dynamic, in particular surface velocity change, is an essential climate variable. In order to retrieve the climatic signature from surface velocity, large-scale study of temporal trends spanning multiple decades is required. Satellite image featuretracking has been successfully used to derive mountain glacier surface velocities, but most studies rely on manually selected pairs of images, which is not adequate for large datasets. In this paper, we propose a processing strategy to exploit complete satellite archives in a semi-automated way in order to derive robust and spatially complete glacier velocities and their uncertainties on a large spatial scale. In this approach, all available pairs within a defined time span are analyzed, preprocessed to improve image quality and features are tracked to produce a velocity stack; the final velocity is obtained by selecting measures from the stack with the statistically higher level of confidence. This approach allows to compute statistical uncertainty level associated with each measured image pixel.

This strategy is applied to 1536 pairs of Landat 5 and 7 images covering the 3000km long Pamir-Karakoram-Himalaya range for the period 1999-2001 to produce glacier annual velocity fields. We obtain a velocity estimate for $76000 km^2$

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or 92% of the glacierized areas of this region. We then discuss the impact of coregistration errors and variability of glacier flow on the final velocity. The median 95% confidence interval ranges from 2.0m/yr on average in stable areas and 4.4m/yr on average over glaciers with variability related to data density, surface conditions and strain rate. These performances highlight the benefits of processing of a complete satellite archive to produce glacier velocity fields and to analyse glacier dynamic at regional scales.

Keywords: Remote sensing, Feature-tracking, Surface velocity, Mountain glaciers, Landsat, Himalaya, Karakoram

1 1. Introduction

Mountain glaciers have a high societal impact; first on a local scale as they influence the water resources (Immerzeel et al., 2010) and economical activity (Barros et al., 2014) of a region, but also at a global scale by contributing to changes in the global sea level (Gardner et al., 2013). Moreover, mountain glaciers are sensitive to climate forcing and are thus relevant indicators of past 6 and present climate changes (IPCC, 2013). Satellite imagery, with its global coverage and repeated acquisition, represents a unique opportunity to quantify the spatial and temporal changes affecting mountain glaciers. In particular, feature-tracking using repeated images allows us to construct velocity fields 10 which are valuable information to understand dynamical processes such as the 11 response to climate changes, glacier surges or development of glacial lakes and 12 associated hazards (Paul et al., 2013). 13

Many studies have proven the capabilities of feature-tracking applied to repeated satellite images to measure glacier velocities. Scambos et al. (1992) applied normalized cross-correlation of Landsat TM images to measure the velocity of ice streams in Antarctica. Kääb (2002) and Berthier et al. (2005) show that it is possible to apply this method to mountain glaciers, using respectively ASTER and SPOT images. High resolution images as well as an improved algorithm, that determines the position of the correlation maximum from 1/2th to

1/20th of a pixel (Strozzi et al., 2002), allow the tracking of much smaller surface 21 features with a precision in yearly velocity of a few cm/yr, equivalent to the pre-22 cision obtained by synthetic aperture radar interferometry (InSAR) (Goldstein 23 et al., 1993) and multiple aperture InSAR (MAI) (Gourmelen et al., 2011). Par-24 ticular attention has been given to improving the techniques of feature-tracking. 25 Preprocessing steps to enhance and improve the performances of the tracking 26 include Principal Component Analysis, high-pass filters (Scambos et al., 1992; 27 Berthier et al., 2005) or edge-detection (Ahn and Howat, 2011). Several stud-28 ies focused on the choice of the feature-tracking algorithm (Strozzi et al., 2002; 29 Heid and Kääb, 2012a), reduction of the orthorectification errors (Scherler et al., 30 2008) or on optimizing the parameters for the feature-tracking (Debella-Gilo and 31 Kääb, 2012). However, automatation of the processing in order to reduce user 32 interaction remains a challenge (Ahn and Howat, 2011; Debella-Gilo and Kääb, 33 2012; Heid and Kääb, 2012a). 34

The large amount of currently available and future remote sensing data has 35 led to a large variety of applications. Copland et al. (2009) produced velocity 36 fields on a regional scale, for all glaciers within the central Karakoram region 37 for the period 2006-2007, thereby giving an instantaneous picture of the glacier 38 velocity in this region. This technique has also been applied to SAR images, to 30 study specific areas such as the Mont-Blanc glaciers (Fallourd et al., 2011), the 40 Everest region (Luckman et al., 2007) and the Baltoro glacier (Quincey et al., 41 2009a). Heid and Kääb (2012b) exploit the long time span of Landsat images 42 to investigate the link between variations in mass balance and velocity over 43 the period 1985-2011 for 6 selected regions across the globe. However, they also 44 outline the problem of the representativeness of the selected regions and the need 45 to increase the efforts at a regional scale. Several studies have processed larger 46 number of images to produce velocity fields at a regional scale. Willis et al. 47 (2012) processed 124 manually selected ASTER images to produce a velocity 48 field for the $3593km^2$ Northern Patagonian Icefield and the period 2000-2011. 49 They obtain a composite velocity by averaging the stack of velocities weighted by 50 the uncertainty of each velocity. Burgess et al. (2013) apply feature-tracking to 51

⁵² 344 pairs of ALOS images acquired between 2007 and 2010 but only 60 pairs are ⁵³ manually retained to produce a final mosaic velocity of the Alaska range glaciers. ⁵⁴ Scherler et al. (2011b) produce center flow line velocities for several parts of the ⁵⁵ himalayan range by computing the mean of a stack of velocities obtained from ⁵⁶ feature-tracking of 657 ASTER and SPOT images for the period 2000-2008. ⁵⁷ Nevertheless, all of these studies always rely on manually selected images and ⁵⁸ the repetitivity of the satellite imagery archive has not been exploited yet.

In this paper, we present a processing strategy to derive a robust and spatially dense velocity field over an extended region from a complete satellite archive. First, we give a broad outline of the method, we then apply this strategy to the Landsat 5 and 7 archive to produce glacier annual velocity fields over the Pamir-Karakoram-Hiamalaya (PKH) over a three-year period. This allows us to assess the performance and uncertainties of the strategy.

⁶⁵ 2. Data and methods

In this section, we describe the processing strategy including the selection of 66 image pairs, the preprocessing steps to reduce the dimensionality of the prob-67 lem and enhance the useful information, the feature-tracking algorithm and the 68 fusion of the multi-temporal results (Figure 1). The method can be applied to 69 any satellite imagery archive with sufficient repetition in the aquisition as for 70 example ASTER, SPOT or the upcoming Sentinel 1 and 2 missions of the Eu-71 ropean Space Agency that will provide repeated images of the Earth surface. In 72 this paper we focus on the Landsat serie that represents the longest continuous 73 satellite archive, with acquisitions of the Earth surface from 1972 to nowadays 74 and a repeat-cycle of 16 to 18 days at medium-resolution (15 to 60m) and a 75 quasi-global coverage. 76

77 2.1. Selection of image pairs

The main idea of the method is to process all available data without manual selection for several reasons. First, selecting the images beforehand with

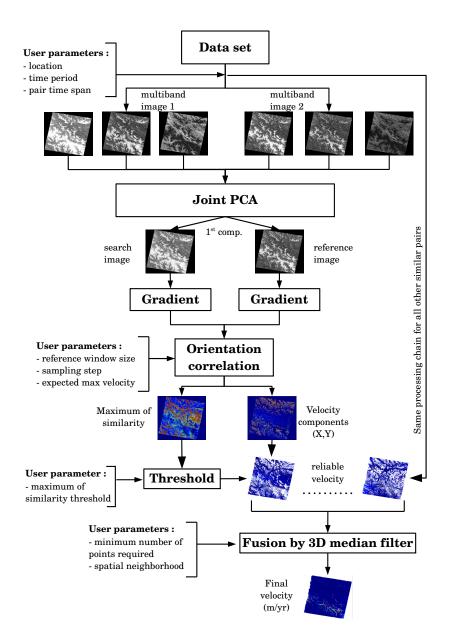


Figure 1: Processing strategy to derive glacier velocities from a complete multispectral satellite archive

consideration of the quality of the scene is very time consuming and subjective 80 and could lead to a loss of valuable information. Here we propose to process 81 all data and to filter the results based on the quality of the feature-tracking. 82 Secondly, a single pair rarely gives an spatially complete result due to shadows, 83 clouds or sensor saturation that induce outliers or gaps in the resulting data. 84 But several pairs might be complementary, allowing a more spatially complete 85 estimate of the velocity field. Thirdly, we can exploit data redundancy to reduce 86 the uncertainty in the results. 87

Thus images are selected solely based on the date and time of acquisition 88 and location. Pairs are then formed with a specific time span. In order to 89 produce, for example, annual velocity fields, we select pairs separated by one ٩N year, or multiples of a year, to minimize the effects of the seasonnal variabil-91 ity. It also increases the chances that the two images have a similar surface 92 condition (linked to snow cover) which will improve the performance of the 93 feature-tracking. Finally, the time span has to be large enough so that the 94 displacement is significant with reference to the pixel size. Here, we obtain an 95 annual velocity for year T by selecting all pairs of the form (T-1; T) and (T; 96 T+1), as well as (T-1; T+1), so that all velocity measured are centered around 97 year T. For example, the Landsat 5/7 repeat cycle is 16 days, and 23 cycles 98 represent 368 days, so not exactly one year, so we process pairs that have tem-99 poral baselines of 368-16, 368 and 368+16 days for one year and 736-16, 736 and 100 736+16 days for 2 years. Thus each image is paired with up to 6 other images. 101 This allows us to compensate for some missing or poor quality images. 102

103 2.2. Preprocessing

¹⁰⁴ 2.2.1. Image coregistration

We assume that the images are corrected for topographic distorsion, i.e. that the displacement observed between two images is actual horizontal motion and not influenced by topography. But as some images are not exactly georeferenced, they are first coregistered to a reference image. We chose to use the Global Land Survey as a reference data set that have a positional

accuracy better than 50m (Tucker et al., 2004). Coregistration consists in : 110 computing the offsets on a regular grid (typically 100x100 estimates), fitting 111 a degree 2 polynomial and resampling to the reference image grid using Sinc 112 interpolation. The resampling is done only if more than 10% of the pixels 113 have offsets higher than 0.5 pixels in order to preserve the actual radiometry 114 of images that are already well coregistered. Higher order offsets may still ap-115 pear, mainly due to instrumental uncertainties that cannot be corrected due to 116 the whiskbroom Landsat aquisition system (Scherler et al., 2008), but as long 117 as they are not coherent between images, they will be efficiently filtered out 118 by the proposed strategy. All images of the same frame are then cropped to 119 a common region to ensure that the correlation windows are the same from 120 pair to pair and the measurement always corresponds to the same region. We 121 use the coordinates of the frame corners provided by the USGS in shapefile 122 format (https://landsat.usgs.gov/tools_wrs-2_shapefile.php) to consis-123 tently crop the images. 124

125 2.2.2. Principal Component Analysis

Images are then enhanced in order to improve the quality of the featuretracking algorithm. Different steps have been proposed : Principal Component Analysis (PCA) to reduce the dimensionality of multi-spectral images, edge filters to enhance crevasse contours and high-pass filters for removing larger scale variations. (Scambos et al., 1992; Berthier et al., 2003; Ahn and Howat, 2011).

The PCA is the procedure of projecting a set of different observations of the 132 same variable, possibly correlated, into a new set of uncorrelated observations. It 133 is constructed so that the first component maximizes the variance of the variable, 134 then the second component maximizes the variance while being orthogonal to 135 the first etc... It is interesting as it enhances the signal into a single value but 136 the choice of the bands to be merged is a difficult task as it depends on the 137 gain of the acquisition, the surface conditions of the glacier (e.g clean or debris-138 covered) and the sensor. Heid and Kääb (2012a) use the Landsat panchromatic 139

band because of its higher resolution whereas Scambos et al. (1992) and Berthier 140 et al. (2003) apply a Principal Component Analysis (PCA) on near-infrared and 141 visible bands (1-5 for TM and ETM+) and use the first component, but this 142 method does not explore the choice of the bands. Necsoiu et al. (2009) produce 143 a combination of ASTER bands 1 and 2 to improve the performance of the 144 correlation with SPOT panchromatic images. Redpath et al. (2013) determine 145 the best band or band combination by comparing the result of the feature-146 tracking of ASTER images with ground truths. 147

As we are seeking a method that can be exploited globally, we decide not 148 to rely on ground truth for this step but rather on the performance of the 149 feature-tracking itself. First, a few representative scenes of the studied region 150 are selected. For each of these scenes, the feature-tracking is run for each band 151 individually and the performance assessed using the success rate as defined 152 in section 2.5. Once the best band or bands according to this criteria are 153 determined, several band combinations can be considered. Every combination 154 is then compared to the others using the same criteria and eventually an optimal 155 band or band combination can be chosen. The results of this method for our 156 study case is detailed in section 3. 157

Finally, we noticed that the result of the PCA can vary much from image to image, mostly due to changes in snow cover. In order to avoid correlating different band combinations, we perform the PCA on a concatenation of the 2 images of the pair instead of performing it for each image individually. This choice ensures that the same physical signal (same combination of spectral bands) is introduced in the correlation step. The PCA has thus to be applied for each pair specifically.

165 2.2.3. Intensity gradient

Two Sobel kernels of size 3x3 are applied to compute the intensity gradient in the x and y directions, which enhances surface features such as crevasses and serac or debris cover. The gradients are normalized in order to produce an orientation image, which is the input for the feature-tracking algorithm described ¹⁷⁰ below. The different enhancement steps are illustrated in Figure 2.

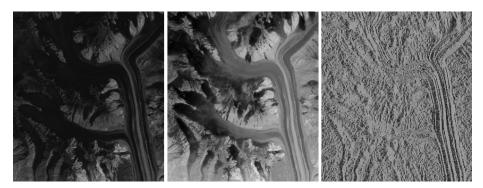


Figure 2: Example of enhancement procedure for Landsat images over northern tributaries of the Baltoro glacier (Karakoram) : Landsat mid-infrared band 5 (left) has the best performance in the Karakoram (see section 3.2.2), selecting the first component of a PCA of bands 4 & 5 results in brightening of the accumulation zones (middle), the gradient orientation displays enhanced glacier features (right).

171 2.3. Feature-tracking

Feature-tracking is a method that allows the estimation of a displacement 172 between a first image called reference image and a second image or search image. 173 First, a window Ω_r is chosen in the reference image centered around pixel (i,j). 174 Then a window of same size is extracted from the search image but translated 175 by (p,q) pixels within a specified search window Ω_s and compared to Ω_r using a 176 function of similarity. This operation is repeated for different values of (p,q) and 177 the position of the maximum of similarity, interpolated to a fraction of pixel, is 178 a measure of the displacement. 179

180 2.3.1. Algorithm

After a comparison between 6 different methods, Heid and Kääb (2012a) showed that the method called "orientation correlation" proposed in Fitch et al. (2002) has the best performance over mountain glaciers. Thus we focus only on this algorithm that is fast, illumination invariant and not sensitive to uniform areas such as in the saturated accumulation zones or the null-stripes that appear in the Landsat 7 ETM+ images after May 2003. In this algorithm, a synthetic complex image, called orientation image, is formed by setting the real and imaginary parts to the gradients in the x and y directions of the image intensity (I), respectively, and normalizing the quantity in order to take only the orientation into account (Fitch et al., 2002) :

$$f = \begin{cases} \frac{g_x + ig_y}{\sqrt{g_x^2 + g_y^2}} \\ 0, & \text{if } g_x = g_y = 0 \end{cases}$$
(1)

191

where
$$g_x = \frac{\partial I}{\partial x}, \quad g_y = \frac{\partial I}{\partial y},$$
 (2)

Because the input images are complex, we perform a complex cross-correlation between the two orientation images. The similarity function is given for each pixel (p,q) by :

$$CO(p,q) = \frac{1}{n} |\sum_{(i,j)\in\Omega_r} f_r(i,j) f_s^*(i+p,j+q)|$$
(3)

where n is the number of points in the reference window Ω_r , f_r (f_s) the ori-195 entation image of the reference (search) image and f_s^* is the complex conjugate 196 of f_s (this formula is simplified by the fact that the images being correlated 197 are already normalized). Concretely, we match the orientation of the intensity 198 gradient that is contained in the phase of the orientation image (see Figure 2 199 right). We use the coherence tracking function proposed by Strozzi et al. (2002) 200 that allows to track the gradient orientation which is contained in the phase of 201 the orientation image. The coherence is computed in the Fourier domain and 202 the maximum interpolated to a fraction of a pixel. The program also returns 203 the Signal-to-Noise Ratio (SNR) i.e. the ratio between the correlation maximum 204 and the average value in the search window which is a commonly used proxy 205 for the confidence of the matching (Strozzi et al., 2002; Quincey et al., 2009a). 206

207 2.3.2. Parameters setting

The optimum parameters for the feature-tracking, i.e. the reference and search window sizes must then be chosen. The choice of the reference window

size is complex since it must be large enough to avoid correlating only noise but 210 small enough to avoid deformation of the matched objects inside the window. 211 We perform the offset-tracking for a few selected pairs and different reference 212 window sizes γ_r and choose the lowest value that minimizes the errors in stable 213 areas. It ensures that the window is large enough with respect to the image 214 resolution while retaining the highest possible spatial resolution. This choice 215 might not be optimal for all glaciers because it depends on the texture and 216 size of the glaciers, but more sophisticated methods such as locally adaptive 217 reference window sizes (Debella-Gilo and Kääb, 2012) are computationally too 218 expensive for processing a large number of images. 219

The search window is chosen to be larger than the expected maximum displacement but small enough not to increase unnecessarily the computation time. For an expected maximum velocity V_{max} and a time span Δt between two images of pixel size R, the search window size is set to $\gamma_s = 2V_{max}\Delta t/R + \gamma_r$.

224 2.4. Postprocessing

After processing all the selected pairs, it is important to filter the displacement vectors and to merge all results into a single value. In the following sections, we propose a method to exploit the redundancy in the series of pairs in order to efficiently remove outliers and produce a more robust velocity field with very little user interaction.

230 2.4.1. Outliers removal

Mismatches or outliers are identified and removed using a threshold value of 231 SNR. The choice of the threshold is a compromise between removing most of the 232 mismatches while retaining the interesting information. The threshold can be 233 easily determined by looking at the residuals in stable areas (see MAD in section 234 2.5). We show in section 3.2.3 that the residuals are high for low thresholds and 235 drop dramatically to reach an asymptot in the range of the coregistration errors. 236 Thus, we recommend to compute the MAD in stable areas for different SNR 237 thresholds and select the lowest threshold that approaches the asymptot. 238

239 2.4.2. Fusion into a single velocity

At this stage, we have a set of displacement fields that may contain gaps 240 but also redundant values. The idea is to exploit the redundancy of information 241 and physical properties of the glaciers to merge this set into a single, more 242 robust velocity. We propose to compute a median of all neighbouring values 243 both in a spatial and temporal neighbourhood, for each x and y component 244 of the velocity. To ensure that the median is statistically significant and in 245 order to remove spatially isolated pixels, we do not retain the value of the 246 velocity if the number of points used to compute the median is less than a 247 certain value Nmin. This method relies on two assumptions. First, because 248 pairs were selected with similar time spans within a specified period, we assume 249 that the measured velocity does not vary much from pair to pair. Secondly, we 250 assume that the shear of the ice is low and that adjacent pixels on a glacier do 251 not have large velocity differences. This is arguable at the edge of the glaciers 252 where the moving ice is adjacent to the stable moraine and there might be a 253 strong gradient. Nevertheless, a median filter preserves edges and thus glacier 254 contours. The size of the spatial window for the median filtering depends on 255 the image resolution and the number of pairs available (the more points we 256 have, the smaller the window can be) and the size of the glaciers. For mountain 257 glaciers, this spatial window should not exceed a few hundred meters. 258

This method offers several advantages. First, the median is not sensitive 259 to isolated outliers and thus is able to filter out aberrant values that were not 260 removed in the first stage. The use of a median filter to discard aberrant values 261 is common in glaciology (Copland et al., 2009; Ahn and Howat, 2011; Heid and 262 Kääb, 2012a), but this method still requires supervision by an expert to select 263 the threshold and is region-dependent (Heid and Kääb, 2012a). By adding 264 more information with a set of displacement fields, we can minimize the expert 265 interaction. Secondly, several factors (orthorectification errors, shadows, clouds) 266 can induce matches with high confidence, because the features actually match 267 between the two images, but are not related to actual terrain motion. This 268

is often the main source of errors when applying feature-tracking to satellite
images. But because these errors are not coherent from pair to pair, the median
is not affected and the result of the fusion is still robust.

At last, in order to merge together velocity fields over a large region, with possible overlap and different projections (for example, different Landsat frames are projected on different UTM zones), we recommend to set a global grid and to merge the velocity fields by taking the median value of neighbor estimates, both spatially and in the stack of pairs, at each node of the grid.

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278 2.5. Performance assessment indices

In this section, we define the indices that are used throughout the study to 279 evaluate the velocity fields. As noted by Burgess et al. (2013), the presence 280 of mismatches in the velocity fields tend to stretch the tails of the velocity 281 distribution. It is thus important to use robust statistical estimators (Rousseeuw 282 and Hubert, 2011). It is the reason why we suggest to use the median and 283 Median Absolute Deviation (MAD) instead of the mean and standard deviation. 284 In the following, velocity estimates are considered as valid after applying the 285 SNR threshold. Glaciers are delimited using version 3.2 of the Randolph Glaciers 286 Inventory outlines (Pfeffer et al., 2014) except for some parts of the Karakoram 287 where we used manually edited outlines due to a misalignment between the 288 outlines and the actual glaciers location. The performance assessement indices 289 we retained are : 290

• The success rate *SR*, which is the percentage of valid velocity estimates on glaciers.

293

• The normalized Median Absolute Deviation (MAD) of the velocity :

$$MAD = 1.483 \times med(|V - med(V)|) \tag{4}$$

which is a robust equivalent of the standard deviation. When not mentionned, it is computed for the velocity magnitude V, or for each component of the velocity when a different behavior is expected for the two components. In particular, in stable areas, i.e off glaciers, where the velocity V is supposed to be null, the MAD is :

$$MAD_{off} = 1.483 \times med_{(i,j) \in \Omega_{off}}(|V(i,j)|) \tag{5}$$

where Ω_{off} is the ensemble of points off glaciers. This is a proxy for the uncertainty of the measurement.

• The dispersion : during the fusion step, the MAD can be calculated at each velocity location.

$$\sigma(i,j) = 1.483 \times med_{t \in T}(|V(i,j,t) - \bar{V}(i,j)|)$$
(6)

where T is the set of N velocity estimates V(i, j, t) merged to obtain the median velocity $\overline{V}(i, j)$ at pixel (i, j). This is indicative of the variability between the different velocity estimates.

• The coherence of the velocity vectors that contributed to the median, i.e. if they point in the same direction. We define the Velocity Vector Coherence (VVC) as :

$$VVC(i,j) = \frac{||\sum_{t \in T} \vec{V}(i,j,t)||}{\sum_{t \in T} ||\vec{V}(i,j,t)||}$$
(7)

According to the triangle inequality, VCC is in the interval [0,1], equal to 1 if all vectors are perfectly aligned and tend to 0 if they point in random directions.

312 2.6. Uncertainty

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307

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Uncertainties of the single-pair velocity fields are dominated by the precision of the feature-tracking algorithm, the image to image registration and the temporal variability of glaciers flow. But the uncertainty of the final, i.e the median velocity over the considered period, is known to decrease with the number of estimates. Suppose a sample of size N drawn from a normally distributed ³¹⁸ population with variance σ_n , the sample median converges asymptotically to a ³¹⁹ normal distribution with standard deviation $\sigma_m = \sqrt{\frac{\pi}{2}} \frac{\sigma_n}{\sqrt{N}}$ (Chu, 1955). Here, ³²⁰ we cannot make the hypothesis of a normal distributed velocity because of the ³²¹ possible presence of outliers, but because the different measurements are in-³²² dependant and symmetrically distributed, we assume that the 95% confidence ³²³ interval of each component of the final velocity follows a similar law :

$$t_{95} = k \frac{\sigma}{N^{\alpha}} \tag{8}$$

where σ is the MAD of the N velocities used to compute the median velocity, t₉₅ the 95% confidence interval, i.e the difference between the 97.5th quantile and the 2.5th quantile of the final velocity distribution, and k and α parameters to be determined. Applying a logarithm to this equation, we obtain a linear relationship :

$$log\left(\frac{t_{95}}{\sigma}\right) = p_0 + p_1 log(N) \tag{9}$$

We propose to compute the 95% confidence interval in the stable areas, where the true velocity is know to be null, for each value of N. The relationship between t_{95} , σ and N is then fitted to equation 9 using a Least-square regression. This relationship is extrapolated to glacier areas to compute the 95% confidence interval of each component of the final velocity.

334 3. Results

335 3.1. Data set

We assess the ability of the processing strategy to produce glacier annual velocity fields over a large region. We thus process all Landsat pairs available between 1999 and 2001 over the Pamir-Karakoram-Himalaya (PKH) extending over 3000km. As mentionned earlier, we process all pairs of images with a time span in the list 368-16, 368, 368+16, 736-16, 736 and 736+16 days. It represents 1382 images, 1536 pairs, covering 68 Landsat frames. The location of the studied region and the processed frames is shown in Figure 5. We use

Table 1: Selected test pairs for the choice of the preprocessing and feature-tracking parameters

Area	Path/Row	Sensor	First date	Second date	image 1	Image 2
Karakoram	148/35	LE7	25/02/2000	27/02/2001	LE71480352000056SGS01	LE71480352001058SGS00
Everest	140/41	LE7	30/10/2000	17/10/2001	LE71400412000304SGS00	LE71400412001290SGS00
Kunlun Shan	145/35	LT5	10/08/2007	15/08/2009	LT51450352007222IKR00	LT51450352009227KHC00

the Level 1T images, which are already terrain corrected using ground con-343 trol points (GCPs) and Digital Elevation Models (DEMs) and available at no 344 cost on the USGS website in GeoTIFF format in UTM projection. We down-345 loaded the images using the Bulk Download Application available on the USGS 346 website (https://lta.cr.usgs.gov/BulkDownloadApplication) that allows 347 downloading a large set of images at once. Each image is roughly 8000x7000 348 pixels (or 16000x14000 for the panchromatic) and each scene is over 600MB in 349 size. The processing of a pair takes approximately 15 minutes on an 8 cores 350 desktop computer and the entire processing took 16 days. 351

352 3.2. Parameters setting

Because it would be time-consuming to define specific parameters for each 353 of the available pairs, a few representative test pairs with a low cloud cover 354 and good contrast have been selected to set the parameters that will be applied 355 to all scenes. We selected three test pairs that are representative of different 356 glaciers types in the PKH (Table 1). A first frame covering a large part of the 357 Karakoram, north-west of the Himalaya is selected because it hosts some of 358 the largest mountain glaciers. The second frame covers the Everest region that 359 features smaller glaciers with an important debris cover which is an interesting 360 property for feature tracking. The last frame over the Kunlun Shan features 361 mostly clean-ice glaciers. Two different sensors, LE7 and LT5 have also been 362 selected to account for possible differences. 363

364 3.2.1. Feature-tracking parameters

The most critical parameter for the feature-tracking is the size of the reference window γ_r . Figure 3 shows the MAD in stable areas as a function of the reference window size for the three test pairs and a SNR threshold of 5. It clearly shows that for values of γ_r below 12, the measured offsets are noisy, which is likely due to the small window size. Choosing higher values of γ_r would reduce the noise even more, but it would also decrease the resolution of the results and increase the risk of deformation within the reference window, which is not desirable.

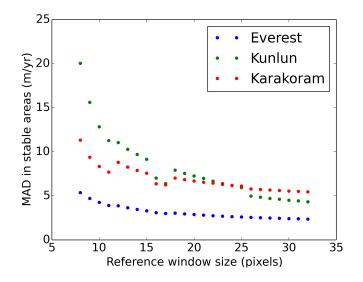


Figure 3: MAD of the velocity in stable areas as a function of the reference window size γ_r for the three test pairs and a SNR threshold of 5

We thus set the reference window to 16x16 pixels (480mx480m) that ap-373 proches a minimum in MAD while not being excessively large. Although not 374 necessary, using a power of 2 optimizes the computation of the feature-tracking 375 algorithm in Fourier domain. The search window is set to allow tracking dis-376 placements that are below 300m/year, which is the case for most of the studied 377 glaciers with the exception of the surging glaciers (Quincey et al., 2011). So 378 it varies from 30 to 48 pixels depending on the pair time span. Images time 379 span and search window are tuned to maximize precision and long-term trend, 380 for study aimed at the study of glaciers with rapidly changing dynamics (e.g. 381

³⁸² surging glaciers,) these parameters can be adapted; e.g. the inclusion of pairs
³⁸³ with shorter time span or larger search windows. We set the spacing between 2
³⁸⁴ correlation patches to half the reference window, so 8 pixels.

385 3.2.2. Band selection

We select the best band or band combination following the method described 386 in Section 2.2, for the three test pairs. The success rate for each pair and band 387 1 to 5 (and panchromatic when available) are shown in Table 2, upper part, 388 for a SNR threshold of 5. We observe that the visible bands 1 to 3 have low 389 performance, this is due to saturation on snow and clean-ice. Then, band 5 390 gives the best results for the Everest and Karakoram region whereas band 4 391 is more interesting for the Kunlun region. The panchromatic band has better 392 performances than the bands 1 to 3 but is still very saturated and doesn't give 393 the best results on snow and ice. This ranking is not affected by the choice 394 of the SNR threshold. This difference comes from differences in glaciers types. 305 The Kunlun scene contains essentially clean-ice glaciers, which have a very low 396 and almost uniform signal in band 5 (mid-infrared) and explain the poor per-397 formance for this band. On the contrary, the Everest and Karakoram regions 398 contain many debris-covered glaciers which have a more homogenous response 399 between all bands, but band 5 has a higher contrast in accumulation zones. In 400 summary, band 5 has overall best performance in the accumulation areas where 401 all others are saturated, except in shadows and over clean-ice where band 5 cap-402 tures a very low signal (Figure 2). In those areas, band 4 has a higher contrast, 403 thus band 4 and 5 seem to be complementary. 404

405

We then perform the same tests for the first component of different PCA combinations : the 1-5 combination that is used by Scambos et al. (1992) or Berthier et al. (2003), a combination that excludes band 5 and a combination of only bands 4-5. Results are shown in table 2 lower part.

410

411 They show that the combination of bands 4-5 has the best performance in

Table 2: Success rate of the feature-tracking over glaciers for each individual Landsat band (upper part) or different PCA combinations and component (lower part). The best value for each column is highlighted in bold. For the 15m band 8, the reference window has been set to 16x16 and 32x32 pixels to keep an identical window size in pixels and meters respectively.

	Everest	Karakoram	Kunlun
Band 1	8	7	4
Band 2	10	13	10
Band 3	9	8	8
Band 4	24	9	15
Band 5	42	40	9
Band 8 (r16)	19	14	
Band 8 (r32)	25	17	
1,2,3,4,5	37	48	15
1,2,3,4	24	14	15
4,5	44	48	15

all regions and it consistently performs better than any of the single bands. It 412 seems to profit from the complementarity of bands 4 and 5. This is not the case 413 for the PCA(1,2,3,4,5) that has sometimes worse performances than the best 414 band, as for example the Everest pair. So this band combination is not the best 415 choice for studying mountain glaciers of different cover types. The results for 416 PCA(1,2,3,4) confirm that band 5 brings valuable information and shouldn't be 417 excluded. In fact, it is the only band that differs significantly from all others 418 on snow and ice and allows to increase the variance of the PCA. Again, these 419 are robust conclusions for different choices of the SNR threshold (we tested 3, 420 5 and 7). 421

In conclusion, the first component of PCA(4,5) is the band combination that has the most robust performance over mountain glaciers.

424 3.2.3. SNR threshold

Once the feature-tracking parameters and the preprocessing steps are chosen, 425 we can run the feature-tracking for each available pair to compute velocity 426 fields and an associated SNR. These intermediate results allow us to set the 427 SNR threshold used to remove residuals. Figure 4 shows the MAD in stable 428 areas for each component of the velocity and the success rate for different SNR 429 thresholds for all processed pairs. Low values of SNR mean that the reference 430 and matching window don't match and the associated offsets are very noisy. But 431 it is interesting to note that the MAD drops suddenly for SNR threshold higher 432 than 3 and reaches an asymptot. The value of the asymptot represents the 433 mean residuals for single pairs velocities, here it is in the range of 1 - 2m/year434 and is slightly different for the x and y component. They are due to remaining 435 orthorectification errors but thanks to the coregistration step they are reduced 436 compared to estimated uncertainty in Landsat image to image registration (Lee 437 et al., 2004; Storey and Choate, 2004). The success rate drops in the same way 438 but continues to decrease for higher SNR threshold. Thus, we choose an SNR 439 threshold of 4 that allows to substantially filter outliers while not removing too 440 many interesting points. 441

442 3.2.4. Fusion

The individual velocity fields are then merged together using a median filter. 443 The median velocity of each component is computed within all velocity fields 444 and a spatial neighborhood. Because the Landsat frames over this large region 445 are projected on different UTM zones, the median velocity is computed on a 446 240m Lambert conformal conic grid. Each velocity estimate within a radius 447 of $\sqrt{2} \times 240 = 340m$ is then included in the median, which means up to the 448 nine closest neighbors are retained. Finally, if the number of data points used 449 to compute the median is lower than Nmin = 5, we discard the measurement 450 because the median is not robust enough. 451

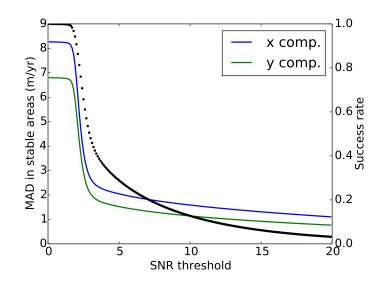


Figure 4: MAD of each component of the velocity in stable areas (plain lines) and success rate (black dots) for different SNR thresholds

452 3.3. Final velocity fields

The final velocity estimated for the PKH and year 2000 (period 1999-2001) 453 is presented in Figure 5 for several subregions. A velocity has been estimated 454 for $76000 km^2$ or 92% of the total glacierized areas within this region. Main 455 gaps (red patches) correspond to the accumulation zones with low texture and 456 specific glaciers flowing faster than 300m/year, especially in the Karakoram. 457 The pattern of the velocity fields are in good agreement with previous works, 458 in particular Copland et al. (2009), Heid and Kääb (2012a) and Rankl et al. 459 (2014) in the Karakoram (insert b), Quincey et al. (2009b) and Scherler et al. 460 (2011b) in the Everest region (insert d), Kääb (2005) in Bhutan (insert e). 461

462 4. Discussion

463 4.1. Contribution of the fusion versus single pairs

In this section, we assess the performance of the processing of the complete archive compared to the results of single pairs for the frame 148/35 (East

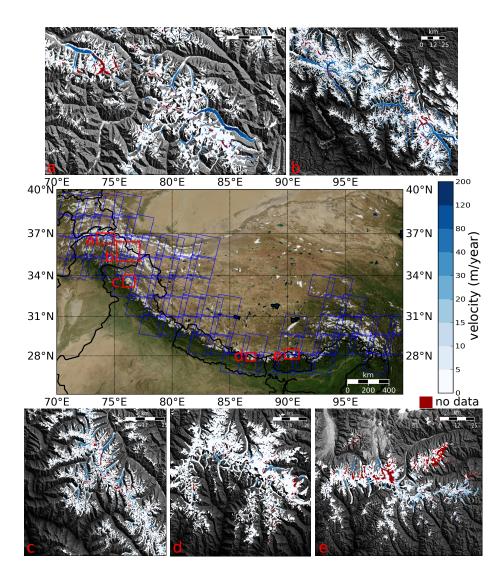


Figure 5: Map of the studied region : blue polygons show processed landsat frames, red squares highlight the position of the inserts a to e (a: Hindu-Kush, b : Karakoram, c : Jammu-Kashmir, d : Everest, e : Bhutan). Inserts show annual glacier velocity fields for year 2000 within the RGI masks (blue corlorscale). Red points are region without velocity estimate.

466 Karakoram) and year 2000 (pairs within the period 1999-2001). The data set
467 is 26 images and 29 pairs. Figure 6 represents the effect of each step of the

postprocessing for a velocity profile along the Baltoro glacier. The raw velocity 468 fields (in grey) contain many aberrant values due to clouds and shadows in the 469 images that need to be filtered out. Applying an SNR threshold of 4 removes 470 most of them, but some outliers still remain and it does not ensure that the 471 displacements are physically acceptable. By including more information, the 472 spatio-temporal filtering method has several advantages : it efficiently removes 473 outliers, it fills most gaps that may appear and gives a robust single value for 474 each location. 475

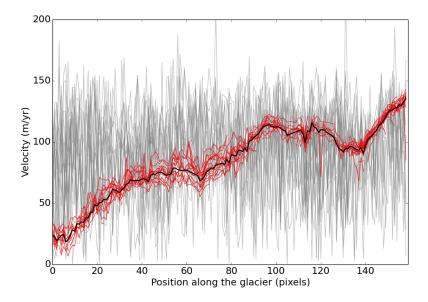


Figure 6: Velocity profiles along the Baltoro glacier (3542'29"N, 7623'21"E) for the 29 available pairs for years 1999 to 2001: unfiltered (grey), after selecting values with an SNR higher than 4 (red) and applying the spatio-temporal median (black).

More quantitatively, figure 7 (left) shows the success rate for each single pair and the fusion. The best single pair or optimum pair (i.e the pair with the highest success rate) allows an estimate of the velocity of 71% of the glacierized regions, main gaps are due to saturation in accumulation areas. Meanwhile, the result of the fusion returns a velocity estimate for 94% of the points. The fu481 sion outperforms all individual pairs by exploiting the complementarity between
482 different pairs.

Figure 7 (right) shows the MAD in stable areas for each pair individually and for the result of the fusion. The MAD for the optimum pair is 5.5m/yrand the mean MAD for all single pairs 5.4m/yr, mainly due to orthorectification errors. The fusion has the advantage of reducing this noise that is not correlated between successive pairs. As a consequence, the MAD for the fusion is 1.4m/yr, gaining a factor of almost 4 on the optimum pair.

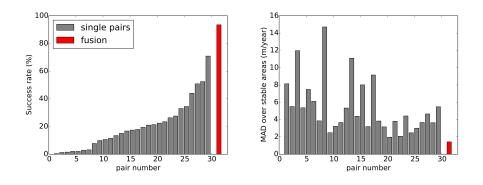


Figure 7: Left : Success rate for each individual pair, in ascending order and for the result of the fusion (red). Right : MAD in stable areas for same pairs in same order.

489 4.2. Uncertainties

In this section we show how the fusion approach allows to reduce the uncer-490 tainty of the final velocity fields with the example of the Karakoram subregion 491 (74-78E, 34.5-37N). Figure 8 shows the dispersion of the single velocities around 492 the median (cf Eq 6). It highlights the two main sources of uncertainties. The 493 first source of uncertainty is coregistration errors that are visible in the shape 494 of large rectangles displaying the contours of the Landsat frames or correlated 495 with the topography. Despite the coregistration with the GLS images, the mean 496 dispersion over stable areas is 4.1m/yr. The second source of uncertainty is the 497 variability in glacier flow over the three year period. Glaciers are clearly visi-498 ble on the figure in the shape of yellow or red tongues. In particular, a large 499

variability is observed on the central Rimo glacier (annoted with a *) of approximately 40m/yr. This is coherent with the reported surging behavior of this glacier during that period (Bhambri et al., 2013). The mean dispersion over glaciers is 6.4m/yr.

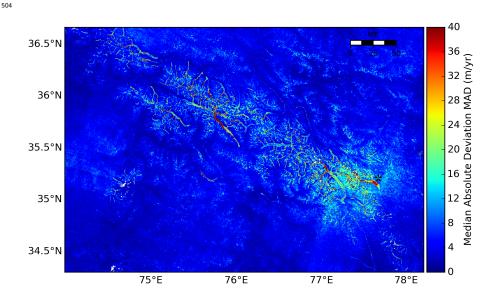


Figure 8: Dispersion of the velocities estimated from all pairs for the Karakoram and period 1999-2001

The uncertainty of the final velocity, i.e the median velocity, is impacted 505 by the dispersion of the velocities but is reduced with an increasing number of 506 observations. Figure 9 (left) shows the 95% confidence interval t_{95} of the final 507 velocity in stable areas as a function of the number of points used to compute 508 the median. When few velocity estimates are available, i.e the measurement 509 is spatially isolated or very few pairs allows for a measurement, the residuals 510 reach over 20m/yr but as the number of merged velocity estimates increases, the 511 confidence in the measurements reaches a few m/yr. Figure 9 (right) shows the 512 linear relationship between $log(t_{95}/\sigma)$ and log(N). The relationship is strong 513 except for N below 5 $(log(N) \leq 0.7)$. Actually, for a low number of samples, the 514 median and MAD are more difficult to estimate and their distributions diverge 515

Component	α	k	R^2
x	0.44	4.0	0.94
У	0.46	4.1	0.94

Table 3: Parameters for the linear regression between $log(t_{95}/\sigma)$ and log(N)

from the normal distribution. For these values, our method underestimate the uncertainty and we recommend to remove these points. For $N \ge 5$, the parameters of the regression are summarized in Table 3.

519

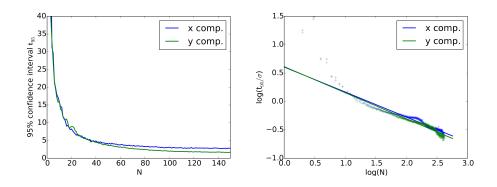


Figure 9: Residuals in stable areas as a function of the number of available velocity estimates for the Karakoram subregion

This allows us to compute a 95% confidence interval as a function of σ and 520 N. Figure 10 shows the result for the Karakoram region. The uncertainty map 521 has a similar shape as σ (Figure 8), but is weighted by N; in particular, on 522 stable grounds where there are generally more measurements (less problems of 523 saturation), the uncertainty is reduced whereas in snow covered areas, the low 524 contrast reduces the number of measurements and uncertainty remains relatively 525 high. The median uncertainty is 2.0m/yr in stable areas. Over glaciers, the 526 median uncertainty is 4.4m/yr, from a few m/yr on some glaciers tongues to 527 10m/yr in some accumulation zones. The uncertainty is also higher on glaciers 528 edges (as visible in the inset of Figure 10), due to higher strain rates and thus 529

a more variable velocity within the reference window. Some grid patterns are
also visible : they are due to the fact that the UTM and Lambert conic grids
are not superposed and the number of neighbors varies periodically.

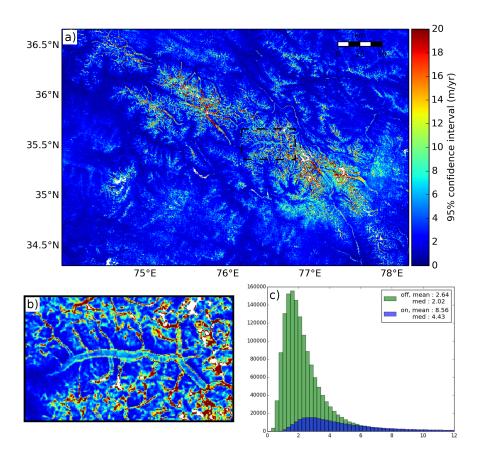


Figure 10: (a) Uncertainty of the final velocity for the Karakoram and period 1999-2001, (b) zoom over the Baltoro glacier (dash line), (c) histogram of the uncertainty on and off glacier

At last, the velocity vector coherence is illustrated in Figure 11 for the Karakoram region. Frame patterns or features correlated with topography remain in stable areas and are indicative of coregistration errors. Nevertheless, the coherence is much higher on glaciers which mean that the merged velocity vectors are well aligned and that we can be confident in the direction of the 538 velocity field.

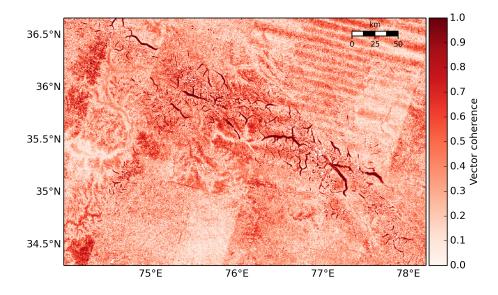


Figure 11: Velocity vector coherence for the Karakoram region. A value of 1 means perfect alignment of all the vectors contributing to the median velocity, 0 means completely random directions.

539 5. Conclusions

In this paper, we present a processing strategy to estimate mountain glacier 540 velocities from a complete satellite archive. We select all possible pairs for a spe-541 cific time span, avoiding the lengthy task of manually selecting the best available 542 images. The pairs are then submitted to the same preprocessing steps and a 543 feature-tracking algorithm is performed to produce surface velocity fields. Suc-544 cessful measurements are selected solely based on the quality of the correlation, 545 and merged together. First, the most aberrant displacement values are rejected 546 based on the confidence function returned by the feature-tracking algorithm; all 547 points below a certain threshold are removed. Secondly, the results are filtered 548 based on the spatial and temporal consistency of the displacement. A median 549 filter is applied to the resulting stack of velocities on a pixel by pixel basis within 550

⁵⁵¹ a spatio-temporal neighborhood to obtain the final glacier velocity field.

This strategy has been applied to produce glacier annual velocity fields from 552 a data set of 1536 pairs of Landsat 5 and 7 images acquired within a 3 year period 553 and covering the Pamir-Karakoram-Himalaya region extending over 3000km. 554 Results on a single Landsat frame shows that the percentage of successful mea-555 surements increases from 71% of glacierized area for the best available pair, to 556 94% for the merged results. In overall, it allows us to obtain a velocity estimate 557 for $76000 km^2$ or 92% of the glacierized areas of this region. We then estimate 558 the impact of the coregistration errors and variability of glacier flow on the final 550 velocity over the Karakoram region (300x200km). The median 95% confidence 560 interval is reduced to 2.0m/yr in stable areas and 4.4m/yr over glaciers thanks 561 to the redundancy in the measurements. 562

The strategy has been applied to Landsat images but is flexible and could 563 easily be applied to various sensors with different pixel resolution or wavelength. 564 including radar. This would be particularly valuable for the upcoming Sentinel 565 1-2 missions of the European Space Agency that will provide repeated images of 566 the Earth surface. This strategy can also be applied to derive not only annual 567 but seasonal velocities using set of pairs with shorter time span. More complex 568 postprocessing strategy as for example time series inversion (Lanari et al., 2007) 560 to select the coherent displacements along the time serie could be implemented, 570 potentially allowing to derive the seasonal velocity variations. 571

The analysis of complete satellite archives open new perspectives for the study of glacier's dynamic against physical parameters such as length, slope and debris cover, for the study of glacier response to climate changes, glacial geomorphology, erosion (Scherler et al., 2011a), glacial hazards (Bolch et al., 2008) and the estimation of the contribution of surface mass balance and ice fluxes to the observed glacier thinning/thickening (Berthier and Vincent, 2012).

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