

# The Size of Strength-Maximal Graphs

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## ABSTRACT

Let  $G$  be a graph and let  $\kappa'(G)$  be the edge-connectivity of  $G$ . The strength of  $G$ , denoted by  $\bar{\kappa}'(G)$ , is the maximum value of  $\kappa'(H)$ , where  $H$  runs over all subgraphs of  $G$ . A simple graph  $G$  is called  $k$ -maximal if  $\bar{\kappa}'(G) \leq k$  but for any edge  $e \in E(G^c)$ ,  $\bar{\kappa}'(G + e) \geq k + 1$ . Let  $G$  be a  $k$ -maximal graph of order  $n$ . In [3], Mader proved  $|E(G)| \leq (n - k)k + \binom{k}{2}$ . In this note, we shall show  $(n - 1)k - \binom{k}{2} \lfloor n/(k + 2) \rfloor \leq |E(G)|$ , and characterize the extremal graphs. We shall also give a characterization of all  $k$ -maximal graphs.

## I. INTRODUCTION

We shall adopt the notation and terminology of Bondy and Murty [2] except for contractions. A graph  $G$  may have multiple edges but loops are not allowed. Let  $G^c$  denote the complement of a simple graph  $G$ . Let  $H$  and  $G$  be graphs. By  $H \subseteq G$  we mean that  $H$  is a subgraph of  $G$  and by  $H \cong G$  we mean that  $H$  is isomorphic to  $G$ . If  $X$  is a subset of  $V(G)$  or of  $E(G)$ , then  $G[X]$  denotes the subgraph of  $G$  induced by  $X$ . An *edge-cut* of a graph  $G$  is an edge subset whose removal increases the number of components of  $G$ . A *bond* of  $G$  is a minimal edge-cut. An edge-cut of size  $k$  is called a  $k$ -*edge-cut*. We use  $\mathbb{N}$  to denote the set of all positive integers. The *floor* of a real number  $x$ , denoted by  $\lfloor x \rfloor$ , is the greatest integer not larger than  $x$ . For any  $k \in \mathbb{N}$ , we define  $\binom{k}{2} = [k(k - 1)]/2$  and so  $\binom{1}{2} = 0$ . The *join* of two graphs  $G$  and  $H$ , denoted by  $G \vee H$ , has

$$V(G \vee H) = V(G) \cup V(H)$$

and

$$E(G \vee H) = E(G) \cup E(H) \cup \{uv: u \in V(G) \text{ and } v \in V(H)\}.$$

We use the following definition for *contractions*. Let  $G$  be a graph and let  $X$  be a subset of  $E(G)$ . We use  $G/X$  to denote the graph obtained from  $G$  by identifying the two ends of each edge in  $X$  and then deleting all loops produced.

We define the *strength* of  $G$  to be the following number:

$$\bar{\kappa}'(G) = \max_{H \subseteq G} \kappa'(H). \tag{1}$$

The invariant  $\bar{\kappa}'(G)$ , first introduced by Matula [4], has been studied by Boesch and McHugh [1], by Matula [4,5], by Mitchem [6], and implicitly by Mader [3], among others. In [5], Matula gave a polynomial algorithm to determine  $\bar{\kappa}'(G)$ .

Fix  $k \in \mathbb{N}$ . A simple graph  $G$  is *k-maximal* if  $|V(G)| > k$ ,  $\bar{\kappa}'(G) \leq k$  and if for any edge  $e$  of  $G^c$ ,  $\bar{\kappa}'(G + e) > k$ .

Define, for  $n, k \in \mathbb{N}$ ,  $n > k \geq 1$ ,

$$f(n, k) = \min\{|E(G)|: G \text{ is simple, of order } n, \text{ and } k\text{-maximal}\},$$

and

$$F(n, k) = \max\{|E(G)|: G \text{ is simple, of order } n, \text{ and } k\text{-maximal}\}.$$

Since  $K_{k+1}$  is the only  $k$ -maximal graph of order  $k + 1$ ,

$$f(k + 1, k) = F(k + 1, k) = \binom{k + 1}{2}. \tag{2}$$

Let  $\mathcal{E}_\chi(f; n, k)$  ( $\mathcal{E}_\chi(F; n, k)$ , respectively) denote the set of simple  $k$ -maximal graphs of order  $n$  and with strength at most  $k$  such that  $|E(G)| = f(n, k)$  ( $|E(G)| = F(n, k)$ , respectively). In [3], Mader proved

**Theorem** (Mader [3]). For  $n, k \in \mathbb{N}$  with  $n > k \geq 1$ , we have

- (a)  $F(n, k) = (n - k)k + \binom{k}{2}$ ;
- (b)  $G \in \mathcal{E}_\chi(F; n, k)$  if and only if  $G = K_{k+1}$  or  $G$  has a vertex of  $v$  of degree  $k$  such that  $G - v \in \mathcal{E}_\chi(F; n - 1, k)$ .

In this note, we shall show

$$f(n, k) = (n - 1)k - \binom{k}{2} \left\lfloor \frac{n}{k + 2} \right\rfloor, \tag{3}$$

and shall characterize  $\mathcal{E}_\chi(f; n, k)$ .

## II. EXAMPLES

The following examples of  $k$ -maximal graphs will be used in this paper.

**Example 1.** For  $n, k \in \mathbb{N}$  with  $n > k + 1 > 2$ , we define a graph  $H(k, n - k)$  to be the simple graph  $K_k \vee (n - k)K_1$ . If  $H = H(k, n - k)$ , we use  $H^1$  to denote the subset of  $V(H)$  that corresponds to the  $n - k$   $K_1$ 's.

**Example 2.** For  $n, k, r \in \mathbb{N}$  with  $n > k + 1 > 2$  and with  $r \geq 2$ , and for  $m_1, m_2, \dots, m_r \in \mathbb{N}$  with  $k \geq m_i \geq 2$ ,  $1 \leq i \leq r$ , and with  $m_1 + m_2 + \dots + m_r = n - rk$ , and for any tree  $T$  with  $V(T) = \{v_1, v_2, \dots, v_r\}$ , we define  $T(k; m_1, m_2, \dots, m_r)$  to be the simple graph obtained from  $T$  by replacing each  $v_i$  by a graph  $H_i = H(k, m_i)$  and by replacing each edge of  $T$ , say  $v_s v_t$ ,  $1 \leq s, t \leq r$ , by a set  $E_{s,t}$  of  $k$  edges such that each vertex in  $H_s^1 \cup H_t^1$  is incident with at least one edge of  $E_{s,t}$ . Since this graph is simple,  $E_{s,t}$  has at most  $m_s m_t$  edges, and so  $k \leq m_s m_t$  for all  $s$  and  $t$  such that  $v_s v_t \in E(T)$ .

### III. MAIN RESULTS

We start with some lemmas.

**Lemma 1.** Let  $n = |V(G)|$ . Suppose that  $G$  has an edge-cut  $X$  such that  $G[X]$  is spanning subgraph of  $G$ .

(a) If  $G[X]$  is spanned by a complete bipartite graph, then

$$|X| \geq n - 1.$$

(b) If  $G$  is simple and  $G[X]$  is a complete bipartite graph, then either  $\kappa'(G) < |X|$  or  $G$  is complete and one component of  $G - X$  is a single vertex.

**Proof.** Suppose that  $X$  satisfies the hypothesis. If  $G[X]$  has a complete bipartite subgraph, then  $G[X]$  contains a spanning tree of  $G$  and so (a) follows. We only need to prove (b).

Suppose that  $G$  is simple and  $G$  is not complete. By (a) of this lemma,  $|X| \geq n - 1$  and, since  $G$  is simple, equality holds only when  $G[X]$  is a star  $K_{1, n-1}$ . Since  $G$  is simple and not complete, then  $G$  has a vertex of degree smaller than  $n - 1$  and so  $\kappa'(G) < n - 1 \leq |X|$ . ■

**Theorem 1.** If  $n = |V(G)| > k + 1$  and  $G$  is a  $k$ -maximal graph, then  $\bar{\kappa}'(G) = \kappa'(G) = k$ .

**Proof.** We argue by contradiction and assume that  $G$  is  $k$ -maximal but  $k > \kappa'(G)$ . Let  $X$  be an edge-cut of  $G$  with  $|X| = \kappa'(G) < k$  and let  $G_1$  and  $G_2$  be the two components of  $G - X$ . Clearly  $X \neq \emptyset$ .

Since  $X \neq \emptyset$  and since  $k < n - 1$ , it follows from (a) of Lemma 1 that there is an edge  $e \in E(G^c)$  such that  $e$  is incident with a vertex of each component of  $G - X$ . Since  $G$  is  $k$ -maximal,  $\bar{\kappa}'(G + e) > k$ . Let  $H$  be a subgraph of  $G + e$  such that  $\kappa'(H) = \bar{\kappa}'(G + e) > k$ . Since  $|X \cup \{e\}| \leq k$ ,  $H$  must be a

subgraph of either  $G_1$  or  $G_2$ . It follows that  $\bar{\kappa}'(G) \geq k + 1$ , contrary to the fact that  $\bar{\kappa}'(G) \leq k$ . ■

The converse of Theorem 1 is false. Let  $H_1$  and  $H_2$  be two  $H(2, 2)$ 's and let  $H_1^1 = \{w_1, w_2\}$  and  $H_2^1 = \{u_1, u_2\}$ . Let  $G$  be the graph obtained from the union of  $H_1$  and  $H_2$  by joining  $u_i$  and  $w_i$  by a path of length two,  $i = 1, 2$ . It is easy to see that  $\bar{\kappa}'(G) = 2 = \kappa'(G)$  and that  $G$  is not 2-maximal.

**Lemma 2.** Let  $G$  be a simple  $k$ -maximal graph of order  $n$ , where  $n > k + 1 > 2$ . Then exactly one of the following holds:

- (i)  $G \cong H(k, 2)$ , or
- (ii) For any  $k$ -edge-cut  $X$  of  $G$ , each component of  $G - X$  is either  $K_1$  or a  $k$ -maximal graph that is not  $K_{k+1}$ .

*Proof.* It is clear that (i) and (ii) of Lemma 2 are mutually exclusive.

Let  $X$  be a  $k$ -edge-cut, and let  $G_1$  and  $G_2$  denote the two components of  $G - X$ .

Suppose first that  $G_2 \cong K_1$ . If  $G_1$  is complete, then since  $n > k + 1$ ,  $|V(G_1)| \geq k + 1$ . Since  $\bar{\kappa}'(G) = \kappa'(G) = k$ , and since  $G_1$  is complete,  $G_1$  has order at most  $k + 1$ . Thus  $G_1 \cong K_{k+1}$  and so (i) of the lemma holds. Hence we may assume that  $G_1$  is not complete. Let  $e \in E(G_1^c)$ . Since  $G$  is  $k$ -maximal, there is a subgraph  $L \subseteq G_1 + e$  such that  $\kappa'(L) \geq k + 1$ . Since  $L$  is simple with  $\delta(L) \geq \kappa'(L) \geq k + 1$ ,  $|V(G_1)| \geq |V(L)| \geq k + 2$ . Hence  $G_1$  is  $k$ -maximal and we are done.

Similarly, the lemma will follow if  $G_1 \cong K_1$ . Hence we may assume that both  $|V(G_1)|$  and  $|V(G_2)|$  are greater than one. Thus

$$\min\{|V(G_1)|, |V(G_2)|\} \geq 2. \quad (4)$$

*Case 1.* Suppose that one of the  $G_i$ 's is complete, say

$$G_1 \cong K_m, \quad \text{for some } m \geq 2.$$

We shall derive a contradiction.

Since  $G$  is  $k$ -maximal,  $\kappa'(G) \leq k$  and so  $m \leq k + 1$ . Thus

$$2 \leq m \leq k + 1. \quad (5)$$

Let  $e$  be any edge of  $E(G^c)$  such that  $e$  has exactly one end in  $V(G_1)$  and one end in  $V(G_2)$ . We claim that such an edge  $e$  exists. There are  $|V(G_1)||V(G_2)|$  pairs  $(v_1, v_2)$  in  $V(G_1) \times V(G_2)$ , and only  $k$  of them cannot be the ends of  $e$ , because they are joined by one of the  $k$  edges of  $X$ . By (4),

$$|V(G_1)||V(G_2)| \geq |V(G_1)| + |V(G_2)| = n > k + 1 = |X| + 1,$$

and so  $e$  exists, as claimed.

Since  $G$  is  $k$ -maximal,  $G + e$  has a  $(k + 1)$ -edge-connected subgraph, say  $L = L(e)$ . If  $e \notin E(L)$ , then  $L \subseteq G$ , contrary to  $\bar{\kappa}'(G) = k$ . Hence,  $e \in E(L)$  and it follows that the edge-cut  $X \cup \{e\}$  of  $G + e$  must be  $E(L)$ .

Define  $L_i = L[V(G_i) \cap V(L)]$ ,  $i \in \{1, 2\}$ , and denote  $|V(L_i)| = t$ .

Without loss of generality, since (4) gives  $|V(G_1)| \geq 2$ , we can assume that  $t \geq 2$ : if all edges of  $X$  were incident with a single vertex of  $V(G)$ , then by (4),  $e$  can be chosen incident with a vertex of  $V(G_1)$  that is not incident with an edge of  $X$ .

Also without loss of generality, we can assume that  $t \leq k$ , as we now show. If the edges of  $X$  are incident with fewer than  $k$  vertices of  $V(G_1)$ , then  $t \leq k$ . If the edges of  $X$  are incident with  $k$  distinct vertices of  $V(G_1)$  then each of those  $k$  vertices is incident with exactly one edge of  $X$ . By (4),  $|V(G_2)| \geq 2$ , and so  $e$  may be chosen in  $E(G^c)$  such that  $e$  and some edge of  $X$  are incident with a common vertex on  $V(G_1)$ . Since  $k \geq 2$ , we can also assume as before that not all edges of  $X \cup \{e\}$  are incident with the same vertex in  $V(G_1)$ . Hence

$$2 \leq t \leq k. \quad (6)$$

Since  $\kappa'(L) \geq k + 1$ , all  $t$  vertices of  $V(G_1)$  have degree at least  $k + 1$  in  $L$ . This,  $L_1 \cong K_t$ , and  $X \cup \{e\} \subseteq E(L)$  give

$$t(k + 1) \leq \sum_{v \in V(L_1)} d_L(v) = 2 \binom{t}{2} + |X \cup \{e\}| = t(t - 1) + (k + 1).$$

Thus,

$$(t - 1)(k + 1) \leq t(t - 1).$$

By  $2 \leq t$  of (6), we can divide each side by  $(t - 1)$  to get  $k + 1 \leq t$ , which contradicts (6). This concludes Case 1.

*Case 2.*  $G_1$  is not complete.

For any edge  $e \in E(G_1^c) \subseteq E(G^c)$ ,  $G + e$  has a subgraph  $L$  with  $\kappa'(L) \geq k + 1$ . Since  $|X| \leq k$ ,  $L$  is a subgraph of  $G_1$ . Note that  $|V(G_1)| \geq |V(L)| \geq k + 1$ . Hence  $G_1$  is a  $k$ -maximal if we can show that  $\bar{\kappa}'(G_1) \leq k$ . By Theorem 1,  $\bar{\kappa}'(G_1) \leq \bar{\kappa}'(G) = k$ , and so  $G_1$  is  $k$ -maximal. Since  $G_1$  is not complete,  $G_1$  is not isomorphic to  $K_{k+1}$ .

Similarly,  $G_2$  is  $k$ -maximal and is not isomorphic to  $K_{k+1}$ . ■

By Lemma 2, we can determine the minimal  $k$ -maximal graphs.

**Corollary 2A.** Let  $n > k > 1$  and let  $G$  be a  $k$ -maximal simple graph of order  $n$  that is not isomorphic to  $K_{k+1}$ . If every proper  $k$ -maximal subgraph of  $G$  is isomorphic to  $K_{k+1}$ , then  $G \cong H(k, 2)$ .

**Proof.** By Theorem 1,  $G$  has an edge-cut  $X$  of size  $k$ . Let  $G_1$  and  $G_2$  be the two components of  $G - X$ . By Lemma 2, either  $G \cong H(k, 2)$  or one of  $G_1$  and  $G_2$  is a proper  $k$ -maximal subgraph of  $G$  that is not isomorphic to  $K_{k+1}$ . By the hypothesis,  $G \cong H(k, 2)$ . ■

**Corollary 2B.** Let  $k \in \mathbb{N}$  and let  $G$  be a  $k$ -maximal graph with  $|V(G)| = k + 2$ , then  $G \cong H(k, 2)$ .

**Proof.** The proof is trivial if  $k = 1$  and so we assume  $k > 1$ . By Theorem 1 and Lemma 2,  $G$  must have a vertex  $v$  of degree  $k$ . By (2),  $G - v \cong K_{k+1}$ . Hence  $G \cong H(k, 2)$ . ■

Let  $k \in \mathbb{N}$  and let  $H_1$  and  $H_2$  be two graphs with disjoint vertex sets and with  $\max\{|V(H_1)|, |V(H_2)|\} \geq k$ . A  $k$ -edge-join of  $H_1$  and  $H_2$  is a simple graph obtained from the disjoint union of  $H_1$  and  $H_2$  by adding  $k$  new edges  $e_1, e_1, \dots, e_k$  to the union of  $H_1$  and  $H_2$  such that each  $e_i$  is incident with a vertex of  $V(H_1)$  and a vertex of  $V(H_2)$ . Denote by  $[H_1, H_2]_k$  the set of all  $k$ -edge-joins of  $H_1$  and  $H_2$ . Clearly,  $[H_1, H_2]_k = [H_2, H_1]_k$ .

**Lemma 3.** Let  $k \in \mathbb{N}$ , let  $H_1$  be a  $k$ -maximal graph and let  $H_2$  be either a  $K_1$  or a  $k$ -maximal graph. Then all graphs in  $[H_1, H_2]_k$  are  $k$ -maximal.

**Proof.** Let  $G$  be a graph in  $[H_1, H_2]_k$ . It is easy to see that

$$\bar{\kappa}'(G) \leq \max\{\bar{\kappa}'(H_1), \bar{\kappa}'(H_2)\} \leq k.$$

The lemma becomes trivial if  $k = 1$ . Thus we assume  $k > 1$ .

By way of contradiction, let  $G \in [H_1, H_2]_k$  be a counterexample of minimum number of vertices, for some  $H_1$  and  $H_2$  satisfying Lemma 3, and let  $e \in E(G^c)$  such that  $\bar{\kappa}'(G + e) \leq k$ . Since  $H_1$  and  $H_2$ , if nontrivial, are  $k$ -maximal graphs,  $e \notin E(H_1) \cup E(H_2)$ . Hence we assume that  $e = x_1x_2$  with  $x_i \in V(H_i)$ , ( $i = 1, 2$ ).

Let  $E' = E(G) - E(H_1) - E(H_2)$ . Then  $|E'| = k$ , by the definition of  $[H_1, H_2]_k$ .

Let  $X$  be an edge-cut of  $G + e$  with  $|X| \leq k$ . Applying Theorem 1 to  $H_1$  and  $H_2$ , we conclude that neither  $H_1$  nor  $H_2$  has a  $k$ -edge-cut. This, together with the fact that the ends of  $e = x_1x_2$  are in  $V(H_1)$  and  $V(H_2)$ , respectively, implies that  $X \cap (E' \cup \{e\}) = \emptyset$ , and so for some  $i \in \{1, 2\}$ ,  $X \subseteq E(H_i)$ .

Let  $H'_i$  and  $H''_i$  be the components of  $H_i - X$ . Since  $H_i$  is  $k$ -maximal, by Lemma 2, each of  $H'_i$  and  $H''_i$  is either  $K_1$  or  $k$ -maximal. Let  $V'$  be the vertices of  $V(H_i)$  that are incident with edges in  $E' \cup \{e\}$ . Since  $X$  is an edge-cut of  $G + e$  and since  $(E' \cup \{e\}) \cap X = \emptyset$ , either  $V' \subseteq V(H'_i)$  or  $V' \subseteq V(H''_i)$ .

Without loss of generality, we assume that  $V' \subseteq V(H'_i)$ , and so the edges in  $X \cup \{e\}$  are all incident with vertices of  $V(H'_i)$ . Let  $G' = G - V(H''_i)$ . Then  $G'$

is a subgraph of  $G$  that is in  $[H'_i, H_{3-i}]_k$  with  $e \in E((G')^c)$ . By the minimality of  $G$ , all graphs in  $[H'_i, H_{3-i}]_k$  are  $k$ -maximal. Thus  $\bar{\kappa}'(G' + e) \geq k + 1$ , and so  $\bar{\kappa}'(G + e) \geq \bar{\kappa}'(G' + e) \geq k + 1$ , a contradiction. ■

**Definition of  $\mathcal{M}(k)$ .** For  $n, k \in \mathbb{N}$  with  $n > k$ , let  $\mathcal{M}(k)$  denote the family of graphs containing  $K_{k+1}$  as the only graph of order  $n + 1$ , such that a graph  $G$  of order  $n \geq k + 2$  is in  $\mathcal{M}(k)$  if and only if there exist graphs  $H_1$  and  $H_2$ , where  $H_i$  is either in  $\mathcal{M}(k)$  or  $K_1$ , ( $i = 1, 2$ ), and where at least one of the  $H_i$ 's is not  $K_1$ , such that  $G \in [H_1, H_2]_k$ .

**Corollary 3.** Let  $n, k \in \mathbb{N}$  with  $n > k$ . A graph  $G$  of order  $n$  is  $k$ -maximal if and only if  $G \in \mathcal{M}(k)$ .

*Proof.* It follows from Lemma 3 and induction on  $|V(G)|$ . ■

**Definition of  $\mathcal{F}(k)$ .** For  $n, k \in \mathbb{N}$  with  $n > k + 1$ , let  $\mathcal{F}(k)$  denote the graph family containing  $H(k, 2)$  as the only graph of order  $k + 2$ , such that a graph  $G$  of order  $n > k + 2$  is in  $\mathcal{F}(k)$  if and only if there exist graphs  $H_1$  and  $H_2$  with order  $n' = |V(H_1)|$  and  $n'' = |V(H_2)|$ , where  $H_i$  is either in  $\mathcal{F}(k)$  or  $K_1$ , ( $i = 1, 2$ ), and where at least one of the  $H_i$ 's is not  $K_1$ , such that  $G \in [H_1, H_2]_k$  and such that

$$\left\lfloor \frac{n}{k+2} \right\rfloor = \left\lfloor \frac{n'}{k+2} \right\rfloor + \left\lfloor \frac{n''}{k+2} \right\rfloor. \quad (7)$$

It is clear that  $\mathcal{F}(k)$  is a subfamily of  $\mathcal{M}(k)$ .

**Theorem 2.** For  $n, k \in \mathbb{N}$  with  $n > k + 1 \geq 2$ , we have

- (a)  $f(n, k) = (n - 1)k - \binom{k}{2} \lfloor n/(k + 2) \rfloor$ ;
- (b)  $G \in \mathcal{E}\chi(f; n, k)$  if and only if  $G \in \mathcal{F}(k)$  and  $|V(G)| = n$ .

*Proof.* We shall need the following trivial fact: for any real numbers  $x$  and  $y$ ,

$$\lfloor x \rfloor + \lfloor y \rfloor \leq \lfloor x + y \rfloor. \quad (8)$$

Let  $r = \lfloor n/(k + 2) \rfloor$ . It is easy to check that

$$\begin{aligned} |E(T(k; m_1, m_2, \dots, m_r))| &= r \binom{k}{2} + (r - 1)k + (m_1 + m_2 + \dots + m_r)k \\ &= r \binom{k}{2} + (r - 1)k + (n - rk)k \\ &= (n - 1)k - r \binom{k}{2}. \end{aligned}$$

Hence by the definition of  $f(n, k)$ ,

$$f(n, k) \leq (n - 1)k - r \binom{k}{2}. \quad (9)$$

Let  $G \in \mathcal{E}_\chi(f; n, k)$ . We shall prove (a) of Theorem 2 and  $G \in \mathcal{F}(k)$  by induction on  $n$ . If  $n = k + 2$ , then by Corollary 2B,  $G = H(k, 2)$  and so  $G \in \mathcal{F}(k)$  and  $f(k + 2, k) = (k + 1)k - \binom{k}{2}$ . Hence Theorem 12 holds for  $n = k + 2$ .

Now suppose that  $n > k + 2$ . We assume that if  $2 \leq k + 1 < m < n$  and if  $G \in \mathcal{E}_\chi(f; m, k)$ , then  $G \in \mathcal{F}(k)$  and

$$f(m, k) = (m - 1)k - \binom{k}{2} \left\lfloor \frac{m}{k + 2} \right\rfloor. \quad (10)$$

By Lemma 2,  $G$  has a  $k$ -edge-cut  $X$  such that each component of  $G - X$  is either  $K_1$  or a  $k$ -maximal graph of order greater than  $k + 1$ .

An edge-cut  $X$  of  $G$  is called a *fan* if one component of  $G - X$  is a single vertex.

**Claim.** If  $n > k + 2$  and if  $(k + 2)$  is a factor of  $n$ , then  $X$  is not a fan.

By way of contradiction, suppose that  $n = r(k + 2)$ , for some  $r \in \mathbb{N}$ , and that  $X$  is a fan.

Let  $v$  be the vertex of  $G$  that is incident with every edge in  $X$ , and let  $G' = G - v$ .

Since  $G$  is  $k$ -maximal and since  $n > k + 2$ , it follows from Lemma 2 that  $G'$  is  $k$ -maximal. Since  $n = r(k + 2)$ ,

$$\left\lfloor \frac{n - 1}{k + 2} \right\rfloor = r - 1. \quad (11)$$

By (9), (10), and (11),

$$\begin{aligned} (n - 1)k - r \binom{k}{2} &\geq f(n, k) \geq f(n - 1, k) + k \\ &= (n - 2)k - \left\lfloor \frac{n - 1}{k + 2} \right\rfloor \binom{k}{2} + k \\ &= (n - 1)k - \left\lfloor \frac{n - 1}{k + 2} \right\rfloor \binom{k}{2} \\ &= (n - 1)k - (r - 1) \binom{k}{2}, \end{aligned}$$

a contradiction. Hence the claim. ■



Case 1.  $X$  is a fan.

By the claim,  $(k + 2)$  is not a factor of  $n$ . Hence

$$r = \left\lfloor \frac{n}{k+2} \right\rfloor = \left\lfloor \frac{n-1}{k+2} \right\rfloor. \quad (12)$$

Let  $v$  denote the vertex of  $G$  that is incident with edges in  $X$ . Then by (9), (10), and (12),

$$\begin{aligned} (n-1)k - r \binom{k}{2} &\geq f(n, k) \geq f(n-1, k) + k \\ &= (n-2)k - \left\lfloor \frac{n-1}{k+2} \right\rfloor \binom{k}{2} + k \\ &= (n-1)k - r \binom{k}{2}. \end{aligned} \quad (13)$$

Thus equalities must hold in (13) and so

$$f(n, k) = (n-1)k - r \binom{k}{2}. \quad (14)$$

By Lemma 2,  $G - v$  is  $k$ -maximal. By (13) with equalities,  $G - v \in \mathcal{E}\chi(f; n-1, k)$ . By induction,  $G - v \in \mathcal{F}(k)$ . Thus  $G \in \mathcal{F}'(k)$  by definition.

Case 2.  $X$  is not a fan.

Let the two components of  $G - X$  be  $H_1$  and  $H_2$ , and their orders be  $n'$  and  $n''$ , respectively. By (8), (9), and (10).

$$\begin{aligned} (n-1)k - r \binom{k}{2} &\geq f(n, k) \geq f(n', k) + f(n'', k) \\ &= (n'-1)k - \left\lfloor \frac{n'}{k+2} \right\rfloor \binom{k}{2} + k + (n''-1)k \\ &\quad - \left\lfloor \frac{n''}{k+2} \right\rfloor \binom{k}{2} \\ &\geq (n-1)k - r \binom{k}{2}. \end{aligned} \quad (15)$$

Thus equalities must hold in (15) and so (7) follows. By Lemma 2, the  $H_i$ 's are either  $k$ -maximal or  $K_1$  and at least one of them is not  $K_1$ . By (15) with equalities and by induction, the  $H_i$ 's are either  $K_1$  or in  $\mathcal{F}(k)$ . Hence  $G \in \mathcal{F}(k)$  and (a) of Theorem 2 holds.

By Corollary 3, every graph in  $\mathcal{F}(k)$  is  $k$ -maximal. To complete the proof of (b) of Theorem 2, it suffices to show that

$$\text{if } n = |V(G)| \text{ and } G \in \mathcal{F}(k), \text{ then } G \in \mathcal{E}\chi(f; n, k). \quad (16)$$

Let  $G \in \mathcal{F}(k)$  be a graph of order  $n$  with  $n > k + 2$ .

By the definition of  $\mathcal{F}(k)$  and the assumption that  $G \in \mathcal{F}(k)$ , there exist graphs  $H_1$  and  $H_2$  with the  $H_i$ 's being in  $\mathcal{F}(k)$  or  $K_1$  and not both  $H_1$  and  $H_2$  being  $K_1$  such that  $G \in [H_1, H_2]_k$  and that (7) holds. If one of the  $H_i$ 's is  $K_1$ , then by (7) and by the assumption that  $n \geq k + 2$ ,  $(k + 2)$  is not a factor of  $n$ . Hence (12) holds. By (12) and by induction, we have

$$\begin{aligned} |E(G)| &= f(n - 1, k) + k \\ &= (n - 2)k - \left\lfloor \frac{n - 1}{k + 2} \right\rfloor \binom{k}{2} + k \\ &= (n - 1)k - r \binom{k}{2}. \end{aligned}$$

Thus (14) holds and so  $G \in \mathcal{E}\chi(f; n, k)$ .

If both  $H_1$  and  $H_2$  are not  $K_1$ , then by (7) and by induction, we have

$$\begin{aligned} |E(G)| &= f(n', k) + f(n'', k) \\ &= (n' - 1)k - \left\lfloor \frac{n'}{k + 2} \right\rfloor \binom{k}{2} + k + (n'' - 1)k - \left\lfloor \frac{n''}{k + 2} \right\rfloor \binom{k}{2} \\ &= (n - 1)k - r \binom{k}{2}, \end{aligned}$$

and so (14) holds also. Thus in any case,  $G \in \mathcal{E}\chi(f; n, k)$ . ■

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