



# Par-BF: a Parallel Partitioned Bloom Filter for Dynamic Data Sets

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# Outline

- Brief Summary of Bloom Filters (BFs)
- BF Design for Dynamic Data Sets
- Par-BF
- Evaluation
- Summary

# Bloom Filter (BF)

- A space-efficient index to quickly answer “**Is an element  $x$  in the target set  $S$  ?**”
- Widely used as an in-memory index

*“Wherever a list or set is used, and space is at a premium, consider using a Bloom filter if the effect of false positives can be mitigated.”*

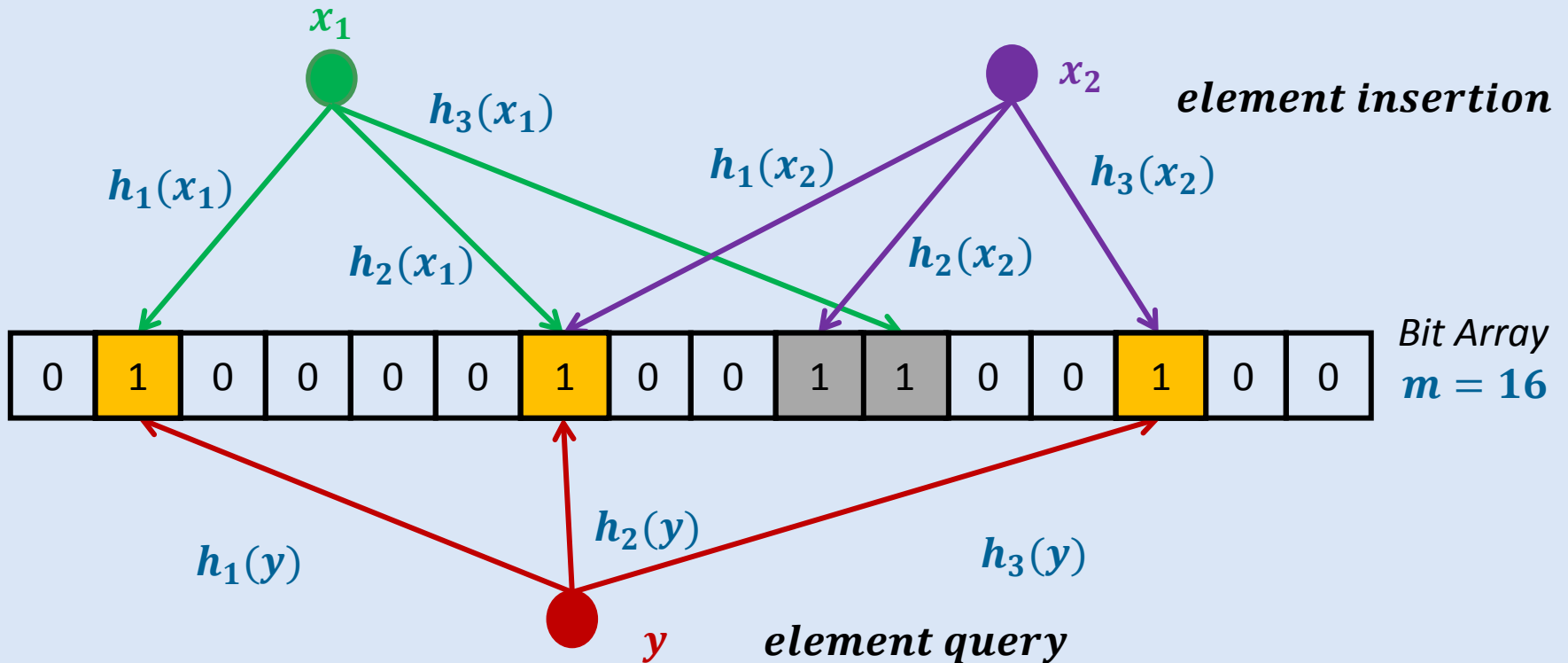
*--A. Broder and M. Mitzenmacher*

*Network applications of bloom filters: A survey*

# BF Definition

- A BF is an array of  $m$  bits representing a set  $S = \{x_1, x_2, \dots, x_n\}$  of  $n$  elements
  - *All bits are set to 0 initially*
- $k$  independent hash functions  $h_1, \dots, h_k$ , with range  $\{1, 2, \dots, m\}$ 
  - *Assume that each hash function maps each item in the universe to a random number uniformly over the range*
- For each element  $x$  in  $S$ , the bit position  $h_i(x)$  is set to 1, for  $1 \leq i \leq k$ 
  - *A bit in the array may be set to 1 multiple times for different elements*

# An example of BF



**False Positive** has been unexpectedly encountered that  $y$  is not existed in  $S$  but reports it is in!

# Dynamic Data Sets

- Multiple disjointed and independent sets competing for a limited and shared pre-allocated space
- Cannot predict the number of elements in each set in advance

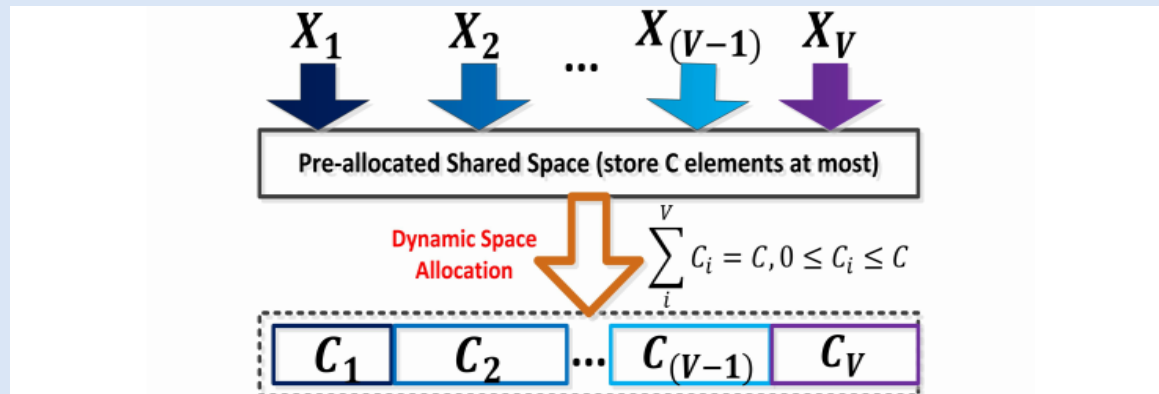


Figure 1: The size allocated to each  $X_i$  may change dynamically when  $V$  disjoint and independent sets  $\{X_1, X_2, \dots, X_V\}$  to compete for a limited and shared pre-allocated space.

# Designing a BF for Dynamic Data Sets

- Dividing the shared BF into a certain number of fixed-size sub-BF units (**much finer granularity**)
- A new sub-BF is added into the sub-BF list of a set  $X_i$  for new insertions when all the previous sub-BFs are full
- Similar with the memory paging policy that each page size is usually 4KB

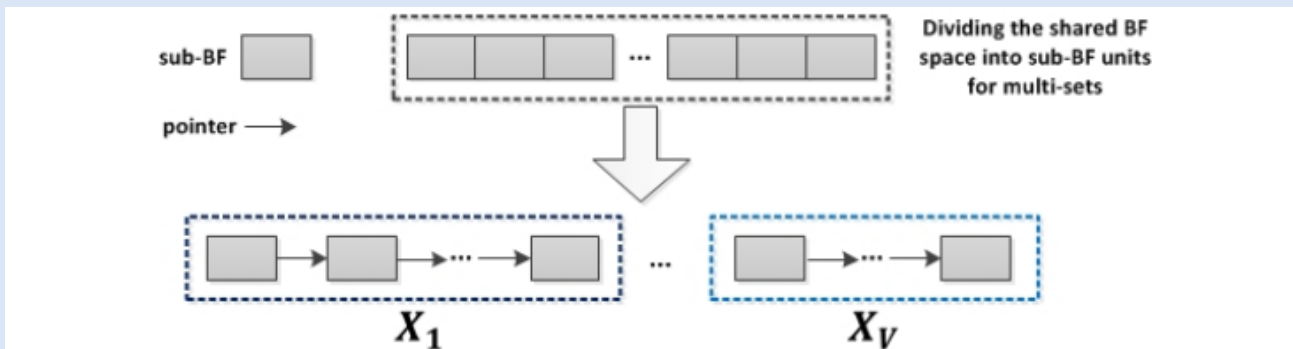


Figure 2: The sub-BF unit is the fundamental BF-based data structure for supporting dynamic sets.

# Previous work (1)

## The Dynamic Bloom Filters (DBF)

- A DBF consists of  $s$  **homogeneous** standard (or counting) sub-BFs
  - “Homogeneous” means both the array size  $m$  and the  $k$  hash functions are exactly the same
- **Merit:** support useful bit vector based algebra operations: *union*, *intersection*, and *halving*
- **Defect:** no mechanism to control the overall false positive rate,  $F$

$$F = 1 - \prod_{h=1}^s (1 - f(h)) \approx \sum_{h=1}^s f(h) \quad (3)$$

$(\forall h = 1, 2, \dots, s, f(h) \ll 1/s)$



# Previous work (2)

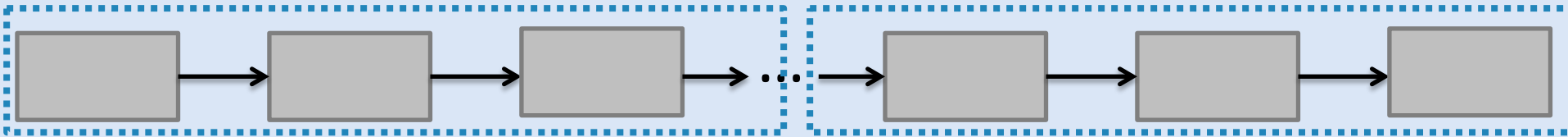
## The Scalable BF (SBF)

- A SBF is made up of a series of heterogeneous sub-BFs
  - “heterogeneous” means both the size  $m$  and the  $k$  hash mapping functions of each sub-BF are different
- The key idea is that both  $m$  and  $k$  of each sub-BF is well-conducted with a tighter maximum  $fpp$  on a geometric progression
- **Merit:** the overall false positive rate,  $F$  is in convergence
- **Main Defect:** No support of BF-based algebra operations for simplifying resource management

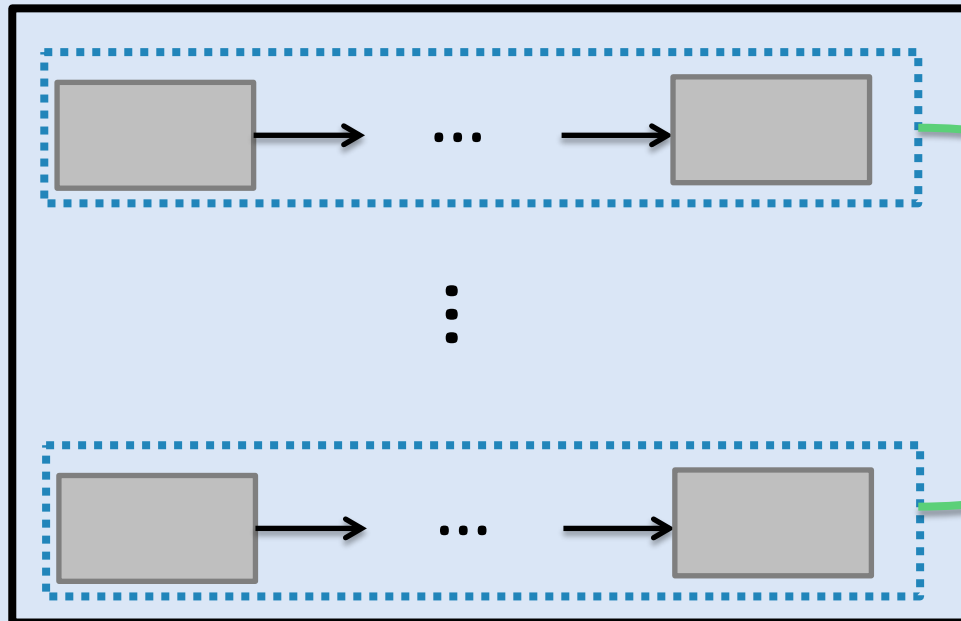
# Contributions of Par-BF

- Query in **Parallelism** on a sub-BF list basis
- Making the overall false positive rate  $F$  limited
- Supporting useful bit vector based algebra operations
- **Performance-driven** Initialization

# Partition Method

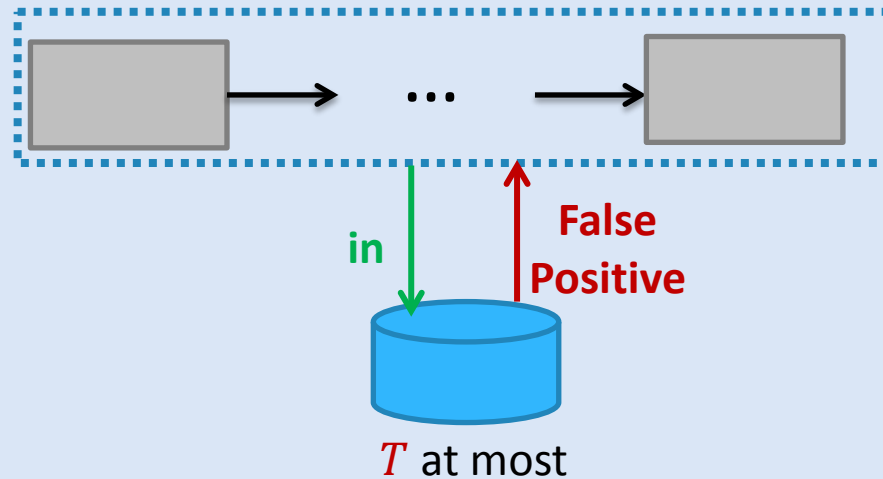
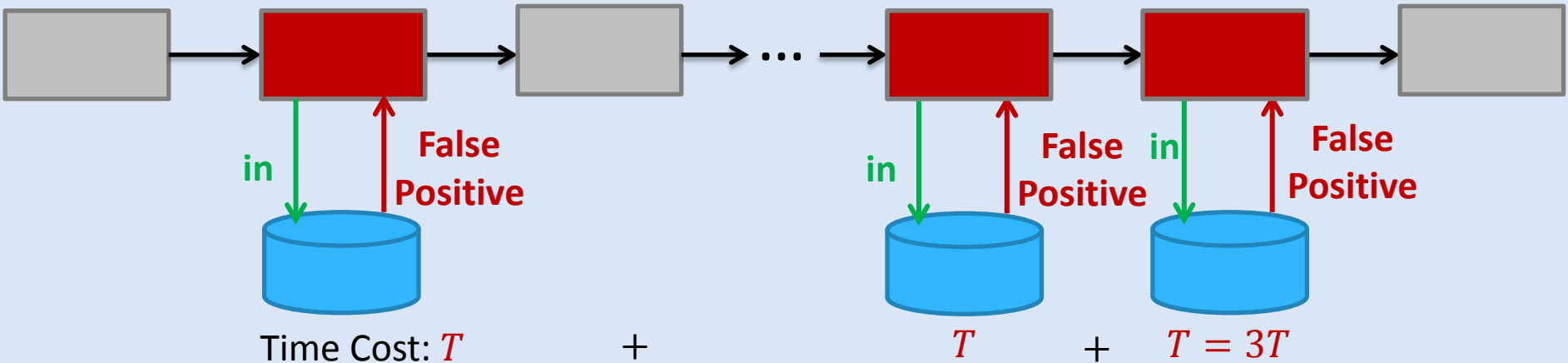


A *homogeneous* BF list for a set  $S$  is partitioned into certain number of sub-BF lists with giving the same maximum length of sub-BFs



Query in Parallelism

# Merits of the Partition



# Merits of the Partition

- Query in Parallelism makes  $fpp$  of an independent sub-BF list,  $F$ , in a limited range
- Supporting useful bit vector based algebra operations, since all sub-BFs are **homogeneous**

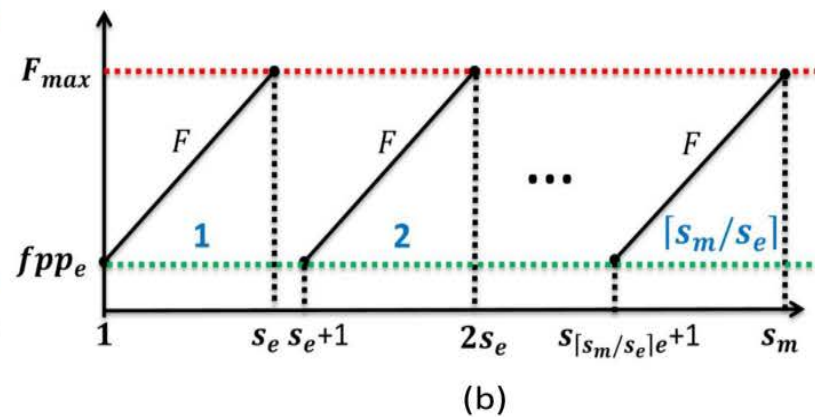
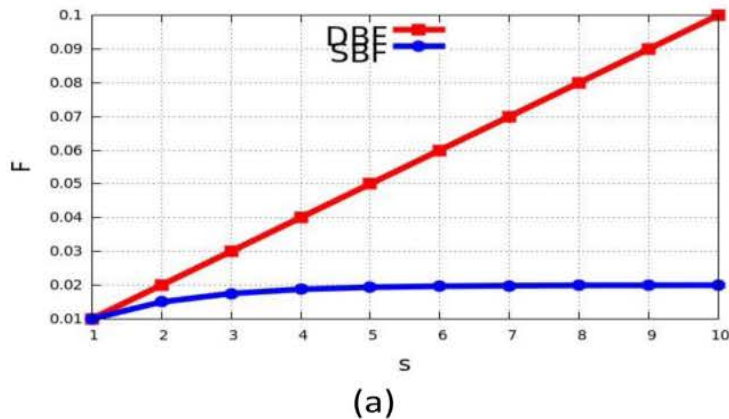


Figure 3: (a) An example of  $F$  value growth comparison between DBF and SBF,  $f(1) = 0.01$ ,  $r = 0.5$  in SBF. (b) Our recommended Par-BF design,  $s_m = \frac{C}{n}$ .

# Performance-driven Initialization

- The thread is assigned on **a sub-BF list basis** to do independent and parallel membership query
  - Assuming the thread number can be maximally equal to that of CPU cores
- Guaranteeing the worst lookup cost of each thread,  $Q_{worst}$ , when the element  $x$  is not in the set  $S$
- Guaranteeing the memory overhead is not exceed the expected size,  $M$

# The Worst Lookup Cost of each Thread

- If we define the expected look up cost,  $Q_{worst}$ , in advance, how to measure the upper bound value of  $fpp$  of each sub-BF list,  $F_{max}$ ?
- $S_q \gg S_b$ , when each sub-BF of the sub-BF list is in memory

$$\begin{aligned} Q_{worst} &= s_e(0.5^k \cdot (S_b + S_q) + (1 - 0.5^k) \cdot S_b) \\ &= F_{max} \cdot S_q + s_e \cdot S_b \end{aligned} \quad (7)$$

Average lookup cost of  
an element in backup  
container

Average lookup cost in a  
sub-BF

# Initialization Results

- If we previously define the whole capacity space  $C$ , the thread number  $T$ , the I/O metrics:  $S_q, S_b$  and  $Q_{worst}$ , the maximum memory overhead  $M$ , all essential parameters of par-BF can be properly initialized.

Table IV: The Results of Some Parameters

Symbol	Value
$F_{max}$	$\frac{Q_{worst} - s_e \cdot S_b}{S_q}$
$f_{ppe}$	$0.5^k$
$n$	$\frac{N \cdot f_{ppe}}{F_{max}} = \frac{C \cdot 0.5^k \cdot S_q}{T \cdot (Q_{worst} - s_e \cdot S_b)}$
$m(bits)$	$n \cdot 1.44 \cdot k$
$M$	$1.44 \cdot k \cdot C$
$s_e$	$\frac{M}{m \cdot T} = \frac{C}{n \cdot T}$

Known in advance



Table VI: Preliminary Definition of Parameters

<b>Parameter</b>	$T$	$C$	$s$	$S_q$	$S_b$	$M$	$Q_{worst}$
<b>Value</b>	32	$3 \times 10^9$	1KB	0.01ms	0	4.32GB	0.0006ms
<b>Parameter</b>	$k$	$f_{ppe}$	$F_{max}$	$n$	$m$	$s_e$	$N$
<b>Value</b>	8	0.4%	6%	$6.25 \times 10^6$	9MB	15	$9.375 \times 10^7$



# Evaluation

- Par-BF can find the sweet point, to balance the trade-off between high-performance and low-overhead
- The actual  $Q_{worst}$  is close to the expected  $Q_{worst}$  (nearly 99%) which validate our policy of parameter tunings

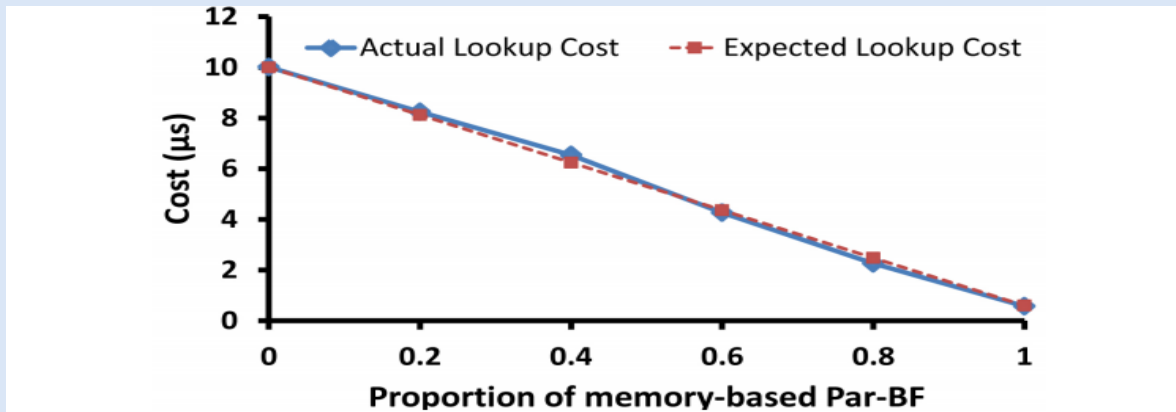


Figure 5:  $Q_{worst}$  comparisons between the actual value and the expected value when choosing different proportion of memory overhead  $\rho$ .  $Q_{worst}$  is taken place when the trace data from  $T2$  are only firstly written to the  $K-V$  store. The expected  $Q_{worst}$  is calculated by  $\rho \cdot S_b + (1 - \rho) \cdot S_q$ .

# The Performance of Par-BF

- We record the average read throughput during running the three data traces T1, T2, and T3
- The IOPS of Par-BF outperforms that of DBF and SBF, **from 6X to 10X** and **from 2X to 4X**, respectively

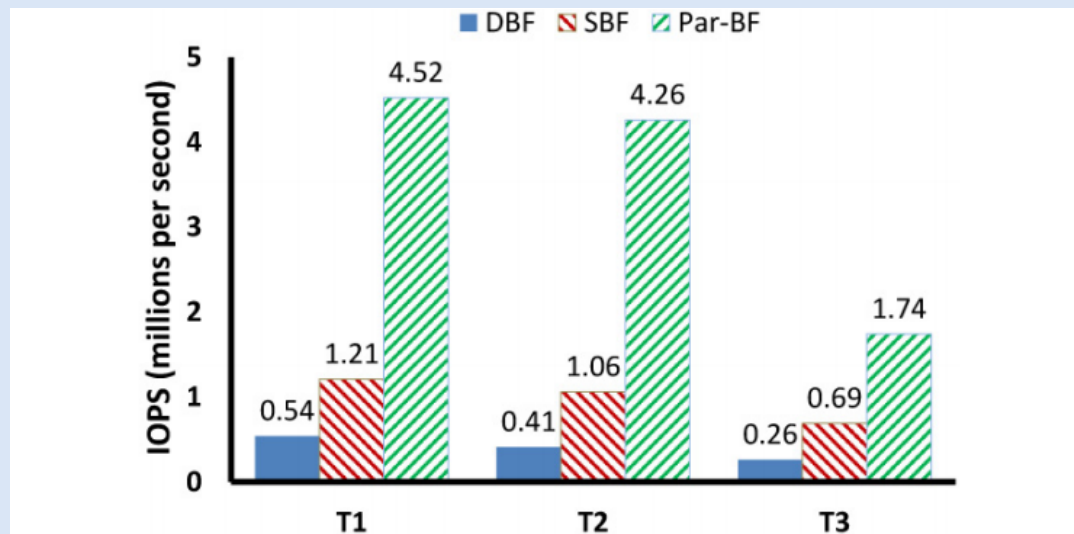


Figure 6: The throughput comparisons between DBF, SBF, and Par-BF through running T1, T2, and T3. *The initialized  $r = 0.5$ ,  $s = 2$ , and  $f(1) = f_{ppe}$  of SBF.*

# Garbage Collection

- The memory overhead of both DBF and Par-BF is less than that of SBF by about 0.18GB
- the GC process which is only supported by *union operation between homogenous sub-BFs* makes the memory space more efficient, such as reducing the memory overhead by 0.45X in T2

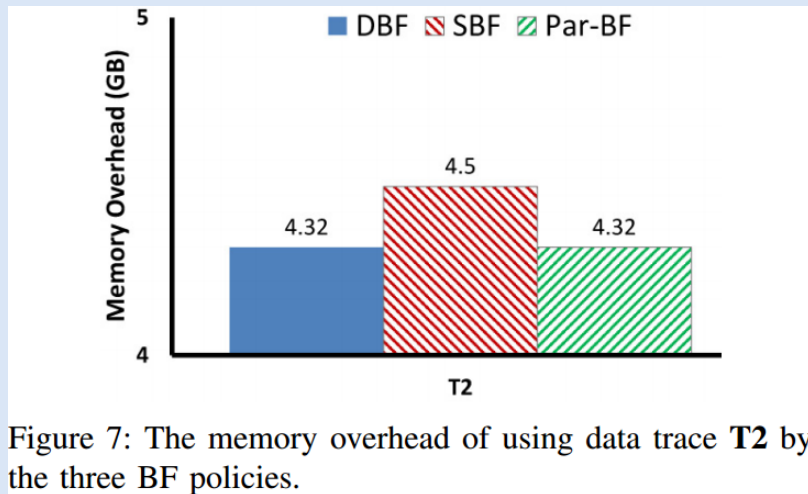


Figure 7: The memory overhead of using data trace T2 by the three BF policies.

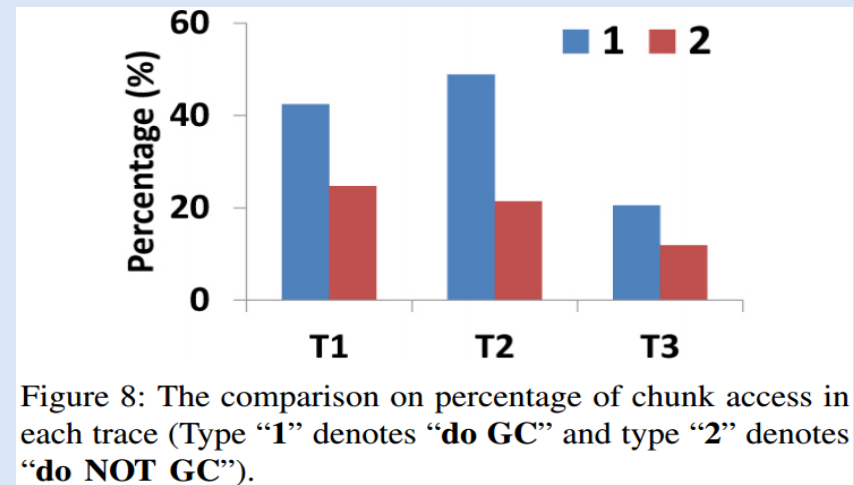


Figure 8: The comparison on percentage of chunk access in each trace (Type “1” denotes “do GC” and type “2” denotes “do NOT GC”).

# Summary and Future work

Table I: The comparison results of SBF, DBF, and Par-BF.

Property	DBF	SBF	Par-BF
Query method	Linear probing	Linear probing	Parallel probing
Algebra operations	Supported	Not Supported	Supported
FPP	Uncontrollable	Convergent	Controllable
Initializations	Empirical	Empirical	Calculated
Performance	Very Low	Low	High

## Future Work:

- 1 Model improvement
- 2 Experiments with scientific computing applications
- 3 Experiments with different thread number when using different CPU cores

Thanks  
Questions?

# Limiting the $k$ value in range

- If the maximum space overhead is limited by  $M'$ , the inequality  $k \leq \frac{M'}{1.44 \cdot C}$  must be satisfied
- $F_{max}$  must be greater than the expected  $fpp$  of a sub-BF,  $fpp_e$ , thus, the inequality  $F_{max} = \frac{Q_{worst} - s_e \cdot S_b}{S_q} \approx \frac{Q_{worst}}{S_q} \geq fpp_e = 0.5^k$

$$\log_{0.5}\left(\frac{Q_{worst} - s_e \cdot S_b}{S_q}\right) \leq k \leq \frac{M'}{1.44 \cdot C} \quad (10)$$

**Performance  
requirement limitation**

**Memory space  
limitation**