

Online appendix for the paper

# *A Logical Characterization of the Preferred Models of Logic Programs with Ordered Disjunction*

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A. CHARALAMBIDIS, P. RONDOGIANNIS, and A. TROUMPOUKIS

*Department of Informatics and Telecommunications,  
National and Kapodistrian University of Athens  
(e-mail: {a.charalambidis, prondo, antru}@di.uoa.gr)*

## A Proofs of Section 5

### *Lemma 1*

Let  $P$  be a consistent extended logic program. Then the three-valued answer sets of  $P$  coincide with the standard answer sets of  $P$ .

### *Proof*

By taking  $n = 1$  in Definition 10, we get the standard definition of reduct for consistent extended logic programs.  $\square$

### *Lemma 2*

Let  $P$  be an LPOD and let  $M$  be an answer set of  $P$ . Then,  $M$  is a model of  $P$ .

### *Proof*

Consider any rule  $R$  in  $P$  of the form:

$$C_1 \times \cdots \times C_n \leftarrow A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k$$

If  $R_{\times}^M = \emptyset$ , then  $M(B_i) = T$  for some  $i$ ,  $1 \leq i \leq k$ . But then, the body of the rule  $R$  evaluates to  $F$  under  $M$ , and therefore  $M$  satisfies  $R$ . Consider now the case where  $R_{\times}^M$  is nonempty and consists of the following rules:

$$\begin{array}{lcl} C_1 & \leftarrow & F^*, A_1, \dots, A_m \\ & \dots & \\ C_{r-1} & \leftarrow & F^*, A_1, \dots, A_m \\ C_r & \leftarrow & A_1, \dots, A_m \end{array}$$

We distinguish cases based on the value of  $M(A_1, \dots, A_m)$ :

*Case 1:*  $M(A_1, \dots, A_m) = F$ . Then, for some  $i$ ,  $M(A_i) = F$ . Then, rule  $R$  is trivially satisfied by  $M$ .

*Case 2:*  $M(A_1, \dots, A_m) = F^*$ . This implies that  $M(C_r) \geq F^*$ . We distinguish two subcases. If  $r = n$  then  $M(C_1 \times \cdots \times C_n) = M(C_1 \times \cdots \times C_r) \geq F^*$  because, by the definition of  $P_{\times}^M$  it is  $M(C_1) = \cdots = M(C_{r-1}) = F^*$  and we also know that  $M(C_r) \geq F^*$ . Thus, in this subcase  $M$  satisfies  $R$ . If  $r < n$ , then by the definition of  $P_{\times}^M$ ,  $M(C_r) \neq F^*$ ; however, we know that  $M(C_r) \geq F^*$ , and thus  $M(C_r) = T$ . Thus, in this subcase  $M$  also satisfies  $R$ .

*Case 3:*  $M(A_1, \dots, A_m) = T$ . Then, for all  $i$ ,  $M(A_i) = T$ . Since  $M$  is a model of  $P_{\times}^M$ , we have  $M(C_r) = T$ . Moreover, by the definition of  $P_{\times}^M$ ,  $M(C_1) = \cdots = M(C_{r-1}) = F^*$ . This implies that  $M(C_1 \times \cdots \times C_n) = T$ .  $\square$

*Lemma 3*

Let  $M$  be a model of an LPOD  $P$ . Then,  $M$  is a model of  $P_{\times}^M$ .

*Proof*

Consider any rule  $R$  in  $P$  of the form:

$$C_1 \times \cdots \times C_n \leftarrow A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k$$

and assume  $M$  satisfies  $R$ . If  $M(B_i) = T$  for some  $i$ ,  $1 \leq i \leq k$ , then no rule is created in  $P_{\times}^M$  for  $R$ . Assume therefore that  $M(\text{not } B_1, \dots, \text{not } B_k) = T$ . By the definition of  $P_{\times}^M$  the following rules have been added to  $P_{\times}^M$ :

$$\begin{array}{lcl} C_1 & \leftarrow & F^*, A_1, \dots, A_m \\ & \dots & \\ C_{r-1} & \leftarrow & F^*, A_1, \dots, A_m \\ C_r & \leftarrow & A_1, \dots, A_m \end{array}$$

where  $r$  is the least index such that  $M(C_1) = \cdots = M(C_{r-1}) = F^*$  and either  $r = n$  or  $M(C_r) \neq F^*$ . Obviously, the first  $r - 1$  rules above are satisfied by  $M$ . For the rule  $C_r \leftarrow A_1, \dots, A_m$  we distinguish two cases based on the value of  $M(A_1, \dots, A_m)$ . If  $M(A_1, \dots, A_m) = F$ , then, the rule is trivially satisfied. If  $M(A_1, \dots, A_m) > F$ , then, since rule  $R$  is satisfied by  $M$  and  $M(C_r) \neq F^*$ , it has to be  $M(C_r) = T$ . Therefore, the rule  $C_r \leftarrow A_1, \dots, A_m$  is satisfied by  $M$ .  $\square$

*Lemma 4*

Every (three-valued) answer set  $M$  of an LPOD  $P$ , is a  $\preceq$ -minimal model of  $P$ .

*Proof*

Assume there exists a model  $N$  of  $P$  with  $N \preceq M$ . We will show that  $N$  is also a model of  $P_{\times}^M$ . Since  $N \preceq M$ , we also have  $N \leq M$ . Since  $M$  is the  $\leq$ -least model of  $P_{\times}^M$ , we will conclude that  $N = M$ .

Consider any rule  $R$  in  $P$  of the form:

$$C_1 \times \cdots \times C_n \leftarrow A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k$$

Assume that  $R_{\times}^M$  is nonempty. This means that there exists some  $r$ ,  $1 \leq r \leq n$ , such that  $M(C_1) = \cdots = M(C_{r-1}) = F^*$  and either  $r = n$  or  $M(C_r) \neq F^*$ . Then,  $R_{\times}^M$  consists of the following rules:

$$\begin{array}{lcl} C_1 & \leftarrow & F^*, A_1, \dots, A_m \\ & \dots & \\ C_{r-1} & \leftarrow & F^*, A_1, \dots, A_m \\ C_r & \leftarrow & A_1, \dots, A_m \end{array}$$

We show that  $N$  satisfies the above rules. We distinguish cases based on the value of  $M(A_1, \dots, A_m)$ :

*Case 1:*  $M(A_1, \dots, A_m) = F$ . Then,  $N(A_1, \dots, A_m) = F$  and the above rules are trivially satisfied by  $N$ .

*Case 2:*  $M(A_1, \dots, A_m) = F^*$ . Then, since  $N \preceq M$ , it is  $N(A_1, \dots, A_m) \leq F^*$ . If  $N(A_1, \dots, A_m) = F$  then  $N$  trivially satisfies all the above rules. Assume therefore that  $N(A_1, \dots, A_m) = F^*$ . Recall now that  $M(C_i) = F^*$  for all  $i$ ,  $1 \leq i \leq r - 1$ . Moreover, it has to be  $M(C_r) \geq F^*$ , because otherwise  $M$  would not satisfy the rule  $R$ . Since  $N \preceq M$ ,

it can only be  $N(C_i) = F^*$  for all  $i$ ,  $1 \leq i \leq r - 1$  and  $N(C_r) \geq F^*$ , because otherwise  $N$  would not be a model of  $P$ . Therefore,  $N$  satisfies the given rules of  $P_{\times}^M$ .

*Case 3:*  $M(A_1, \dots, A_m) = T$ . Then, since  $N \preceq M$ , it is either  $N(A_1, \dots, A_m) = F$  or  $N(A_1, \dots, A_m) = T$ . If  $N(A_1, \dots, A_m) = F$  then  $N$  trivially satisfies all the above rules. Assume therefore that  $N(A_1, \dots, A_m) = T$ . Recall now that  $M(C_i) = F^*$  for all  $i$ ,  $1 \leq i \leq r - 1$ . Moreover, it has to be  $M(C_r) = T$ , because otherwise  $M$  would not satisfy the rule  $R$ . Since  $N \preceq M$ , it can only be  $N(C_i) = F^*$  for all  $i$ ,  $1 \leq i \leq r - 1$  and  $N(C_r) = T$ , because otherwise  $N$  would not be a model of  $P$ . Therefore,  $N$  satisfies the given rules of  $P_{\times}^M$ .  $\square$

In the proofs that follow, we will use the term *Brewka-model* to refer to that of Definition 2 and *Brewka-reduct* to refer to that of Definition 3 (although, to be precise, this definition of reduct was initially introduced in the paper by Brewka et al. (2004)).

In order to establish Lemmas 5 and 6 we first show the following three propositions.

*Proposition A.1*

Let  $P$  be an LPOD and let  $M$  be a three-valued model of  $P$ . Then,  $N = \text{collapse}(M)$  is a Brewka-model of  $P$ .

*Proof*

Consider any rule  $R$  of  $P$  of the form

$$C_1 \times \dots \times C_n \leftarrow A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k$$

If there exists  $A_i \notin N$  or there exists  $B_j \in N$  then then  $N$  trivially satisfies  $R$ . Assume that  $\{A_1, \dots, A_m\} \subseteq N$  and  $\{B_1, \dots, B_k\} \cap N = \emptyset$ . By Definition 15 it follows that  $M(A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k) = T$ . Since  $M$  is a three-valued model of  $P$ , it must satisfy  $R$  and therefore  $M(C_1 \times \dots \times C_n) = T$ . Then, there exists  $r \leq n$  such that  $M(C_r) = T$  and by Definition 15 we get that  $C_r \in N$ . Therefore,  $N$  satisfies rule  $R$ .  $\square$

*Proposition A.2*

Let  $P$  be an LPOD and  $M$  be a Brewka-model of  $P$ . Then,  $M$  is also a model of the Brewka-reduct  $P_{\times}^M$ .

*Proof*

Consider any rule  $R$  in  $P$  of the form:

$$C_1 \times \dots \times C_n \leftarrow A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k$$

and assume  $M$  satisfies  $R$ . If there exists  $B_i \in M$  for some  $1 \leq i \leq k$ , then no rule is created in the Brewka-reduct for  $R$ . Moreover, if for all  $i \leq n$ ,  $C_i \notin M$  then also no rule is created in the Brewka-reduct. Assume therefore that  $\{B_1, \dots, B_k\} \cap M = \emptyset$  and there exists  $r \leq n$  such that  $C_r \in M$  and  $\{C_1, \dots, C_{r-1}\} \cap M = \emptyset$ . By the definition of  $P_{\times}^M$  the only rule added to  $P_{\times}^M$  because of  $R$  is  $C_r \leftarrow A_1, \dots, A_m$ . Since  $C_r \in M$  the rule is satisfied by  $M$ .  $\square$

*Proposition A.3*

Let  $P$  be an LPOD and let  $M_1, M_2$  be three-valued answer sets of  $P$  such that  $\text{collapse}(M_1) = \text{collapse}(M_2)$ . Then,  $M_1 = M_2$ .

*Proof*

Assume, for the sake of contradiction, that  $M_1 \neq M_2$ . We define:

$$M(A) = \begin{cases} M_1(A) & \text{if } M_1(A) = M_2(A) \\ F & \text{otherwise} \end{cases}$$

It is  $M \prec M_1$  and  $M \prec M_2$ . We claim that  $M$  is a model of  $P$ . This will lead to contradiction because, by Lemma 4,  $M_1$  and  $M_2$  are  $\preceq$ -minimal models of  $P$ .

Consider any rule  $R$  in  $P$  of the form:

$$C_1 \times \cdots \times C_n \leftarrow A_1, \dots, A_m, \text{ not } B_1, \dots, \text{ not } B_k$$

If  $M(B_i) = T$  for some  $i$ ,  $1 \leq i \leq k$ , then  $M$  satisfies the rule. Assume therefore that  $M(B_i) \neq T$  for all  $i$ ,  $1 \leq i \leq k$ . We distinguish cases:

*Case 1:*  $M(A_1, \dots, A_m) = F$ . Then, obviously,  $M$  satisfies  $R$ .

*Case 2:*  $M(A_1, \dots, A_m) = F^*$ . Then,  $M_1(A_1, \dots, A_m) = F^*$  and  $M_2(A_1, \dots, A_m) = F^*$ . Since, by Lemma 2,  $M_1$  and  $M_2$  are models of  $P$  it follows that  $M_1(C_1 \times \cdots \times C_n) \geq F^*$  and  $M_2(C_1 \times \cdots \times C_n) \geq F^*$ . First assume that  $M_1(C_1 \times \cdots \times C_n) = T$ . This implies that there exists  $1 \leq r \leq n$  such that  $M_1(C_r) = T$  and  $M_1(C_i) = F^*$  for all  $1 \leq i < r$ . Since, by assumption  $\text{collapse}(M_1) = \text{collapse}(M_2)$  it follows that  $M_2(C_r) = T$  and therefore  $M(C_r) = T$ . Moreover, it must be  $M_2(C_i) = F^*$  for all  $i < r$  because we have already established that  $M_2(C_1 \times \cdots \times C_n) \geq F^*$ . Therefore,  $M(C_i) = F^*$  and  $M(C_1 \times \cdots \times C_n) = T$  and  $M$  satisfies the rule. Now assume that  $M_1(C_1 \times \cdots \times C_n) = F^*$ . It is easy to see that the only case is  $M_1(C_i) = F^*$  for all  $1 \leq i \leq n$ . Since  $M_2$  has the same collapse with  $M_1$  it follows that  $M_2(C_i) \leq F^*$  and because  $M_2(C_1 \times \cdots \times C_n) \geq F^*$  it also follows that  $M_2(C_i) = F^*$ . By definition of  $M$ ,  $M(C_i) = F^*$  for all  $1 \leq i \leq n$  and  $M(C_1 \times \cdots \times C_n) = F^*$ .

*Case 3:*  $M(A_1, \dots, A_m) = T$ . Then,  $M_1(A_1, \dots, A_m) = T$  and  $M_2(A_1, \dots, A_m) = T$  and therefore  $M_1(C_1 \times \cdots \times C_n) = T$  and  $M_2(C_1 \times \cdots \times C_n) = T$ . This implies that there exists  $r$  such that  $M_1(C_1) = M_2(C_1) = F^*$ ,  $\dots$ ,  $M_1(C_{r-1}) = M_2(C_{r-1}) = F^*$ , and  $M_1(C_r) = M_2(C_r) = T$ . Therefore,  $M(C_1) = \cdots = M(C_{r-1}) = F^*$  and  $M(C_r) = T$ , which implies that  $M(C_1 \times \cdots \times C_n) = T$ , and therefore  $M$  satisfies  $R$ .  $\square$

*Lemma 5*

Let  $P$  be an LPOD and  $M$  be a three-valued answer set of  $P$ . Then,  $\text{collapse}(M)$  is an answer set of  $P$  according to Definition 4.

*Proof*

Since  $M$  is an answer set of  $P$ , then by Lemma 2,  $M$  is also a model of  $P$ . Moreover, by Proposition A.1,  $N = \text{collapse}(M)$  is a Brewka-model of  $P$ . It also follows from Proposition A.2 that  $N$  is a model of the Brewka-reduct  $P^N$ . It suffices to show that  $N$  is also the minimum model of  $P^N$ . Assume there exists  $N'$  that is a model of  $P^N$  and  $N' \subset N$ . We define  $M'$  as

$$M'(A) = \begin{cases} F^* & A \in N \text{ and } A \notin N' \\ M(A) & \text{otherwise} \end{cases}$$

It is easy to see that  $M' < M$ . We will show that  $M'$  is also model of  $P^M$  leading to contradiction because we assume that  $M$  is the minimum model of  $P^M$ . Consider first a rule of the form  $C_i \leftarrow F^*, A_1, \dots, A_m$ . Since  $M$  is an answer set of  $P$  it must be  $M(C_i) = F^*$ . By the definition of  $M'$  it follows that  $M'(C_i) \geq F^*$  and  $M'$  satisfies the

rule. Now consider a rule of the form  $C_r \leftarrow A_1, \dots, A_m$ . We distinguish cases based on the value of  $M(A_1, \dots, A_m)$ .

*Case 1:*  $M(A_1, \dots, A_m) = F$ . Then, since  $M' < M$  it is  $M'(A_1, \dots, A_m) = F$  and the rule is trivially satisfied.

*Case 2:*  $M(A_1, \dots, A_m) = F^*$ . Then,  $M(A_i) \geq F^*$  and there exists  $A_i$  such that  $M(A_i) = F^*$ . It follows that  $A_i \notin N$  and therefore  $M'(A_i) = M(A_i) = F^*$ . Moreover, by the construction of  $M'$ , for all  $A_i$  we have  $M'(A_i) \geq F^*$  and therefore  $M'(A_1, \dots, A_m) = F^*$ . Since  $M$  is a model of  $P_{\times}^M$ ,  $M(C_r) \geq F^*$ . Again, by the construction of  $M'$  we have  $M'(C_r) \geq F^*$  and the rule is satisfied.

*Case 3:*  $M(A_1, \dots, A_m) = T$ . By the construction of  $P_{\times}^M$  the rule  $C_r \leftarrow A_1, \dots, A_m$  is a result of a rule in  $P$  of the form

$$C_1 \times \dots \times C_r \times \dots \times C_n \leftarrow A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k$$

and it must be  $M(C_i) = F^*$  for all  $i \leq r-1$  and  $M(B_j) \leq F^*$  for all  $1 \leq j \leq k$ . It follows that  $\{C_1, \dots, C_{r-1}\} \cap N = \emptyset$  and  $\{B_1, \dots, B_k\} \cap N = \emptyset$ . Moreover, since  $M$  is a model of  $P_{\times}^M$  we get that  $M(C_r) = T$  and it follows that  $C_r \in N$ . By the construction of the Brewka-reduct, there exists a rule  $C_r \leftarrow A_1, \dots, A_m$  in  $P^N$ . We distinguish two cases. If  $\{A_1, \dots, A_m\} \subseteq N'$  then  $C_r \in N'$  because  $N'$  is a model of  $P^N$ . It follows by the construction of  $M'$  that  $M'(C_r) = M(C_r) = T$  and  $M'$  satisfies the rule. Otherwise, there exists  $l$ ,  $1 \leq l \leq m$  such that  $A_l \notin N'$ . Notice also that  $\{A_1, \dots, A_m\} \subseteq N$ , so  $A_l \in N$ . Therefore,  $M'(A_l) = F^*$  and  $M'(A_1, \dots, A_m) \leq F^*$ . Moreover, since  $C_r \in N$ , we have  $M'(C_r) \geq F^*$  that satisfies the rule.  $\square$

#### Lemma 6

Let  $N$  be an answer set of  $P$  according to Definition 4. There exists a unique three-valued interpretation  $M$  such that  $N = \text{collapse}(M)$  and  $M$  is a three-valued answer set of  $P$ .

#### Proof

We construct iteratively a set of literals that must have the value  $F^*$  in  $M$ . Let  $\mathcal{F}^n$  be the sequence:

$$\begin{aligned} \mathcal{F}^0 &= \emptyset \\ \mathcal{F}^{n+1} &= \{C_j \mid (C_1 \times \dots \times C_n \leftarrow A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k) \in P \\ &\quad \text{and } \{B_1, \dots, B_k\} \cap N = \emptyset \\ &\quad \text{and } \{C_1, \dots, C_j\} \cap N = \emptyset \\ &\quad \text{and } \{A_1, \dots, A_m\} \subseteq N \cup \mathcal{F}^n\} \\ \mathcal{F}^\omega &= \bigcup_{n < \omega} \mathcal{F}^n \end{aligned}$$

We construct  $M$  as

$$M(A) = \begin{cases} F & A \notin N \text{ and } A \notin \mathcal{F}^\omega \\ F^* & A \notin N \text{ and } A \in \mathcal{F}^\omega \\ T & A \in N \end{cases}$$

First we prove that  $M$  is a model of  $P_{\times}^M$ . Consider first any rule of the form  $C_i \leftarrow F^*, A_1, \dots, A_m$ . By the construction of  $P_{\times}^M$ , such a rule exists because  $M(C_i) = F^*$ ; therefore  $M$  satisfies this rule. Now consider any rule of the form  $C_r \leftarrow A_1, \dots, A_m$ . Such a rule was produced by a rule  $R$  in  $P$  of the form

$$C_1 \times \dots \times C_r \times \dots \times C_n \leftarrow A_1, \dots, A_n, \dots, \text{not } B_1, \dots, \text{not } B_k.$$

By the construction of  $P_{\times}^M$  it follows that  $M(C_i) = F^*$  for all  $i < r$ . Therefore  $C_i \notin N$  and also  $C_i \in \mathcal{F}^\omega$  for all  $i < r$ . Moreover, it must be  $M(B_j) \leq F^*$  for all  $1 \leq j \leq k$ , so  $\{B_1, \dots, B_k\} \cap N = \emptyset$ . We distinguish cases based on the value of  $M(A_1, \dots, A_m)$ .

*Case 1:* If  $M(A_1, \dots, A_m) = F$  then the rule is trivially satisfied by  $M$ .

*Case 2:* If  $M(A_1, \dots, A_m) = F^*$  then for some  $A_i$ ,  $M(A_i) = F^*$ . By the construction of  $M$ , it follows that  $A_i \in \mathcal{F}^\omega$ . It follows by the definition of  $\mathcal{F}^\omega$  that  $C_r \in \mathcal{F}^\omega$  and therefore  $M(C_r) \geq F^*$ .

*Case 3:* If  $M(A_1, \dots, A_m) = T$  then  $\{A_1, \dots, A_m\} \subseteq N$  and since  $N$  is an answer set according to Definition 4 it follows that  $N$  is a model of  $P$ . It follows that there exists a least  $j \leq n$  such that  $C_j \in N$ . Since we have already established that for all  $i < r$ ,  $C_i \notin N$  it must be  $r \leq j \leq n$ . But, if  $r < j$  then  $C_r \notin N$  and by the construction of  $M$  it must be  $M(C_r) = F^*$ . If  $M(C_r) = F^*$ , then, by the construction of  $P_{\times}^M$ , the rule for  $C_r$  should be of the form  $C_r \leftarrow F^*, A_1, \dots, A_m$ . So, it must  $j = r$  and  $C_r \in N$ . Therefore,  $M(C_r) = T$  and  $M$  satisfies the rule.

Therefore, we have established that  $M$  is a model of  $P_{\times}^M$ . It remains to show that  $M$  is the  $\leq$ -least model of  $P_{\times}^M$ . Assume now that there exists  $M'$  that is a model of  $P_{\times}^M$  and  $M' < M$ . Let  $N' = \text{collapse}(M')$ . We distinguish two cases.

*Case 1:*  $N' = N$  and thus  $M'$  differs from  $M$  only on some atoms  $C_r$  such that  $M'(C_r) = F$  and  $M(C_r) = F^*$ . First, by the construction of  $M$ , if  $M(C_r) = F^*$  then  $C_r \in \mathcal{F}^\omega$ . We show by induction on  $n$  that for every  $C_r \in \mathcal{F}^n$ ,  $M'(C_r) \geq F^*$ . This leads to contradiction and therefore  $M$  is minimal.

*Induction base:*  $n = 0$ : the statement is satisfied vacuously.

*Induction step:*  $n = n_0 + 1$ : Every atom  $C_r \in \mathcal{F}^{n_0+1}$  must occur in a head of a rule in  $P$  such that  $\{C_1, \dots, C_{r-1}\} \cap N = \emptyset$  and therefore  $\{C_1, \dots, C_r\} \subseteq \mathcal{F}^{n_0+1}$ . It follows then that  $M(C_i) = F^*$  for  $1 \leq i \leq r$ . By the construction of  $P_{\times}^M$ , for every atom  $C_r \in \mathcal{F}^{n_0+1}$  there must be a rule in  $P_{\times}^M$  either of the form  $C_r \leftarrow F^*, A_1, \dots, A_m$  or of the form  $C_r \leftarrow A_1, \dots, A_m$ . Moreover, since  $C_r \in \mathcal{F}^{n_0+1}$  it follows that  $\{A_1, \dots, A_m\} \subseteq N \cup \mathcal{F}^{n_0}$ . Therefore, by the induction hypothesis,  $M(A_1, \dots, A_m) = M'(A_1, \dots, A_m) \geq F^*$ . Since  $M'$  is also a model of  $P_{\times}^M$  it must satisfy those rules thus  $M'(C_r) \geq F^*$ .

*Case 2:*  $N' \subset N$ . We show that  $N'$  is a model of  $P^N$  leading to contradiction because, by definition,  $N$  is the minimum model of  $P^N$ . Consider a rule  $R$  of the form  $C_r \leftarrow A_1, \dots, A_m$  in  $P^N$ . The rule  $R$  has been produced by a rule in  $P$  of the form:

$$C_1 \times \dots \times C_r \times \dots \times C_n \leftarrow A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k$$

such that  $\{C_1, \dots, C_{r-1}\} \cap N = \emptyset$  and  $C_r \in N$ .

If there exists  $A_i \notin N$  then also  $A_i \notin N'$  and the rule is trivially satisfied by  $N'$ . Assume, on the other hand, that  $\{A_1, \dots, A_m\} \subseteq N$ . It follows, by the definition of  $M$ , that  $M(A_1, \dots, A_m) = T$ ,  $M(C_i) = F^*$  for  $i < r$  and  $M(C_r) = T$ . Therefore, there exist a rule in  $P_{\times}^M$  of the form  $C_r \leftarrow A_1, \dots, A_m$ . If  $M'(A_1, \dots, A_m) = F$  or  $M'(A_1, \dots, A_m) = F^*$  then there exists  $A_i \notin N'$  and  $N'$  again satisfies the rule. If  $M'(A_1, \dots, A_m) = T$  then since  $M'$  is a model of  $P_{\times}^M$  it follows that  $M'(C_r) = T$ . Since  $N'$  is the collapse of  $M'$  it is  $\{A_1, \dots, A_m\} \subseteq N'$  and  $C_r \in N'$ . Therefore,  $N'$  satisfies the rule  $R$  in  $P^N$ .

The uniqueness of  $M$  follows directly from Proposition A.3.  $\square$

## B Proofs of Section 6

In order to establish Theorem 1, we show two lemmas (which essentially establish the left-to-right and the right-to-left directions of the theorem, respectively).

*Lemma B.1*

Let  $P$  be an LPOD program and let  $M$  be an answer set of  $P$ . Then,  $M$  is a  $\preceq$ -minimal model of  $P$  and  $M$  is solid.

*Proof*

Since  $M$  is an answer set of  $P$ , then, by Lemma 2,  $M$  is a model of  $P$ . Moreover,  $M$  is solid because our definition of answer sets does not involve the value  $T^*$ . It remains to show that it is minimal with respect to the  $\preceq$  ordering. Assume, for the sake of contradiction, that there exists a model  $N$  of  $P$  with  $N \prec M$ . By Lemma 4,  $M$  is (three-valued)  $\preceq$ -minimal. Therefore,  $N$  can not be solid. We first show that  $N$  can not be a model of the reduct  $P_{\times}^M$ . Assume for the sake of contradiction that  $N$  is a model of  $P_{\times}^M$ . We construct the following interpretation  $N'$ :

$$N'(A) = \begin{cases} F^*, & \text{if } N(A) = T^* \\ N(A), & \text{otherwise} \end{cases}$$

We claim that  $N'$  must also be a model of  $P_{\times}^M$ . Consider first a rule of the form  $C \leftarrow F^*, A_1, \dots, A_m$ . Since  $N$  is a model of  $P_{\times}^M$ , it is  $N(C) \geq F^*$ . By the definition of  $N'$ , it is  $N'(C) \geq F^*$  and therefore  $N'$  satisfies this rule. Consider now a rule of the form  $C \leftarrow A_1, \dots, A_m$  in  $P_{\times}^M$ . We show that  $N'$  also satisfies this rule. We perform a case analysis:

*Case 1:*  $N(A_1, \dots, A_m) = F$ . Then,  $N'(A_1, \dots, A_m) = F$  and  $N'$  trivially satisfies the rule.

*Case 2:*  $N(A_1, \dots, A_m) = F^*$ . Then,  $N'(A_1, \dots, A_m) = F^*$ . Moreover,  $N(C) \geq F^*$  because  $N$  is a model of  $P_{\times}^M$ . By the definition of  $N'$ , it is  $N'(C) \geq F^*$ , and therefore  $N'$  satisfies the rule.

*Case 3:*  $N(A_1, \dots, A_m) = T^*$ . Then,  $N'(A_1, \dots, A_m) = F^*$ . Moreover,  $N(C) \geq T^*$  because  $N$  is a model of  $P_{\times}^M$ . By the definition of  $N'$ , it is  $N'(C) \geq F^*$ , and therefore  $N'$  satisfies the rule.

*Case 4:*  $N(A_1, \dots, A_m) = T$ . Then,  $N'(A_1, \dots, A_m) = T$ . Moreover,  $N(C) = T$  because  $N$  is a model of  $P_{\times}^M$ . By the definition of  $N'$ , it is  $N'(C) = T$ , and therefore  $N'$  satisfies the rule.

Therefore,  $N'$  must also be a model of  $P_{\times}^M$ . Moreover, by definition,  $N'$  is solid and  $N' \prec M$ . This contradicts the fact that, by construction,  $M$  is the  $\preceq$ -least model of  $P_{\times}^M$ . In conclusion,  $N$  can not be a model of  $P_{\times}^M$ .

We now show that  $N$  can not be a model of  $P$ . As we showed above,  $N$  is not a model of  $P_{\times}^M$ , and consequently there exists a rule in  $P_{\times}^M$  that is not satisfied by  $N$ . Such a rule in  $P_{\times}^M$  must have resulted due to a rule  $R$  of the following form in  $P$ :

$$C_1 \times \dots \times C_n \leftarrow A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k$$

According to the definition of  $P_{\times}^M$ , for all  $i$ ,  $1 \leq i \leq k$ ,  $M(\text{not } B_i) = T$ , and since  $N \prec M$ , it is also  $N(\text{not } B_i) = T$ . Moreover, there exists some  $r \leq n$  such that  $M(C_1) = \dots = M(C_{r-1}) = F^*$  and either  $r = n$  or  $M(C_r) \neq F^*$ . Since  $N \prec M$ , it is  $N(C_i) \leq F^*$  for all  $i$ ,  $1 \leq i \leq r - 1$ . Consider now the rule that is not satisfied by  $N$  in  $P_{\times}^M$ . If it

is of the form  $C_i \leftarrow F^*, A_1, \dots, A_m, i, 1 \leq i \leq r-1$ , then  $N(A_1, \dots, A_m) > F$  and  $N(C_i) = F$ . This implies that  $N(C_1 \times \dots \times C_n) = F$  and therefore  $N$  does not satisfy the rule  $R$ . If the rule that is not satisfied by  $N$  in  $P_{\times}^M$  is of the form  $C_r \leftarrow A_1, \dots, A_m$ , then  $N(C_r) < N(A_1, \dots, A_m)$  and therefore, since  $N(C_i) \leq F^*$  for all  $i, 1 \leq i \leq r-1$ , it is:

$$N(C_1 \times \dots \times C_n) < N(A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k)$$

Thus,  $N$  is not a model of  $P$ .  $\square$

### Lemma B.2

Let  $P$  be an LPOD program and let  $M$  be a  $\preceq$ -minimal model of  $P$  and  $M$  is solid. Then,  $M$  is an answer set of  $P$ .

### Proof

First observe that, by Lemma 3,  $M$  is also a model of  $P_{\times}^M$ . We demonstrate that  $M$  is actually the  $\leq$ -least model of  $P_{\times}^M$ . Assume, for the sake of contradiction, that  $N$  is the  $\leq$ -least model of  $P_{\times}^M$ . Then,  $N$  will differ from  $M$  in some atoms  $A$  such that  $N(A) < M(A)$ . We distinguish two cases. In the first case all the atoms  $A$  such that  $N(A) < M(A)$  have  $M(A) \leq F^*$ . In the second case there exist at least one atom  $A$  such that  $M(A) > F^*$ .

In the first case it is easy to see that  $N \prec M$ . We demonstrate that  $N$  is also model of  $P$  leading to contradiction since  $M$  is  $\preceq$ -minimal. Assume that  $N$  is not a model of  $P$ . Then, there exists in  $P$  a rule  $R$  of the form:

$$C_1 \times \dots \times C_n \leftarrow A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k$$

such that  $N(C_1 \times \dots \times C_n) < N'(A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k)$ . Notice that this implies that  $N(\text{not } B_1, \dots, \text{not } B_k) = M(\text{not } B_1, \dots, \text{not } B_k) = T$ . Therefore,  $N(C_1 \times \dots \times C_n) < N(A_1, \dots, A_m)$ . We distinguish cases based on the value of  $N(A_1, \dots, A_m)$ :

*Case 1:*  $N(A_1, \dots, A_m) = F$ . This case leads immediately to contradiction because  $N$  trivially satisfies  $R$ .

*Case 2:*  $N(A_1, \dots, A_m) > F$ . Then,  $N(A_1, \dots, A_m) = M(A_1, \dots, A_m)$ . Since  $M$  is a model of  $P$ , it is  $M(C_1 \times \dots \times C_n) \geq M(A_1, \dots, A_m) > F$ . This implies that there exists some  $r, 1 \leq r \leq n$ , such that  $M(C_1) = \dots = M(C_{r-1}) = F^*$  and  $M(C_r) \geq F^*$ . By the definition of the reduct, the rule  $C_r \leftarrow A_1, \dots, A_m$  exists in  $P_{\times}^M$ . Since  $N$  is a model of  $P_{\times}^M$ , we get that  $N(C_r) > F$ . Moreover,  $N$  should also satisfy the rules  $C_i \leftarrow F^*, A_1, \dots, A_m$  for  $1 \leq i \leq r-1$ . Since  $N(C_i) \leq M(C_i)$  and  $N(C_r) = M(C_r)$  we get  $N(C_1) = \dots = N(C_{r-1}) = F^*$  and  $N(C_r) = M(C_r)$ . Therefore  $N(C_1 \times \dots \times C_n) = M(C_1 \times \dots \times C_n)$  and  $N(C_1 \times \dots \times C_n) \geq N(A_1, \dots, A_m)$  (contradiction).

In the second case we construct the following interpretation  $N'$ :

$$N'(A) = \begin{cases} T^*, & \text{if } M(A) = T \text{ and } N(A) \in \{F, F^*\} \\ F^*, & \text{if } M(A) = F^* \\ N(A), & \text{otherwise} \end{cases}$$

It is easy to see that  $N' \prec M$ . We demonstrate that  $N'$  is a model of  $P$ , which will lead to a contradiction (since we have assumed that  $M$  is  $\preceq$ -minimal).

Assume  $N'$  is not a model of  $P$ . Then, there exists in  $P$  a rule  $R$  of the form:

$$C_1 \times \dots \times C_n \leftarrow A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k$$

such that  $N'(C_1 \times \dots \times C_n) < N'(A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k)$ . Notice that this implies

that  $N'(not B_1, \dots, not B_k) = N(not B_1, \dots, not B_k) = M(not B_1, \dots, not B_k) = T$ . Therefore,  $N'(C_1 \times \dots \times C_n) < N'(A_1, \dots, A_m)$ . We distinguish cases based on the value of  $N'(A_1, \dots, A_m)$ : *Case 1:*  $N'(A_1, \dots, A_m) = F$ . This case leads immediately to contradiction because  $N'$  trivially satisfies  $R$ .

*Case 2:*  $N'(A_1, \dots, A_m) = F^*$ . Then, by the definition of  $N'$ ,  $M(A_1, \dots, A_m) = F^*$ . Since  $M$  is a model of  $P$ , it is  $M(C_1 \times \dots \times C_n) \geq F^*$ . This implies that either  $M(C_1) = \dots = M(C_n) = F^*$  or there exists  $r \leq n$  such that  $M(C_1) = \dots = M(C_{r-1}) = F^*$  and  $M(C_r) = T$ . By the definition of  $N'$ , we get in both cases  $N'(C_1 \times \dots \times C_n) \geq F^*$  (contradiction).

*Case 3:*  $N'(A_1, \dots, A_m) = T^*$ . Then, by the definition of  $N'$ ,  $M(A_1, \dots, A_m) = T$ . Since  $M$  is a model of  $P$ , it is  $M(C_1 \times \dots \times C_n) = T$ . This implies that there exists some  $r$ ,  $1 \leq r \leq n$ , such that  $M(C_1) = \dots = M(C_{r-1}) = F^*$  and  $M(C_r) = T$ . By the definition of  $N'$ , we get that  $N'(C_1 \times \dots \times C_n) \geq T^*$  (contradiction).

*Case 4:*  $N'(A_1, \dots, A_m) = T$ . Then, by the definition of  $N'$ ,  $N(A_1, \dots, A_m) = T$  and  $M(A_1, \dots, A_m) = T$ . Since  $M$  is a model of  $P$ , it is  $M(C_1 \times \dots \times C_n) = T$ . This implies that there exists some  $r$ ,  $1 \leq r \leq n$ , such that  $M(C_1) = \dots = M(C_{r-1}) = F^*$  and  $M(C_r) = T$ . By the definition of the reduct, the rule  $C_r \leftarrow A_1, \dots, A_m$  exists in  $P_{\times}^M$ . Since  $N$  is a model of  $P_{\times}^M$ , we get that  $N(C_r) = T$ . Thus,  $N'(C_1) = \dots = N'(C_{r-1}) = F^*$  and  $N'(C_r) = T$ , and therefore  $N'(C_1 \times \dots \times C_n) = T$  (contradiction).  $\square$

#### Theorem 1

Let  $P$  be an LPOD. Then,  $M$  is a three-valued answer set of  $P$  iff  $M$  is a consistent  $\preceq$ -minimal model of  $P$  and  $M$  is solid.

#### Proof

Immediate from Lemma B.1 and Lemma B.2.  $\square$

## C Proofs of Section 7

#### Lemma 7

Let  $P$  be a consistent disjunctive extended logic program. Then, the answer sets of  $P$  according to Definition 20, coincide with the standard answer sets of  $P$ .

#### Proof

By taking  $n = 1$  in Definition 19, we get the standard definition of reduct for consistent disjunctive extended logic programs.  $\square$

#### Lemma 8

Let  $P$  be a DLPOD program and let  $M$  be an answer set of  $P$ . Then,  $M$  is a model of  $P$ .

#### Proof

Consider any rule  $R$  in  $P$  of the form:

$$C_1 \times \dots \times C_n \leftarrow A_1, \dots, A_m, not B_1, \dots, not B_k$$

If  $R_{\times}^M = \emptyset$ , then  $M(B_i) = T$  for some  $i$ ,  $1 \leq i \leq k$ . But then, the body of the rule  $R$

evaluates to  $F$  under  $M$ , and therefore  $M$  satisfies  $R$ . Consider now the case where  $R_{\times}^M$  is nonempty and consists of the following rules:

$$\begin{array}{lcl} \mathcal{C}_1 & \leftarrow & F^*, A_1, \dots, A_m \\ & \dots & \\ \mathcal{C}_{r-1} & \leftarrow & F^*, A_1, \dots, A_m \\ \mathcal{C}_r & \leftarrow & A_1, \dots, A_m \end{array}$$

We distinguish cases based on the value of  $M(A_1, \dots, A_m)$ :

*Case 1:*  $M(A_1, \dots, A_m) = F$ . Then, for some  $i$ ,  $M(A_i) = F$ . Then, rule  $R$  is trivially satisfied by  $M$ .

*Case 2:*  $M(A_1, \dots, A_m) = F^*$ . This implies that  $M(\mathcal{C}_r) \geq F^*$ . We distinguish two subcases. If  $r = n$  then  $M(\mathcal{C}_1 \times \dots \times \mathcal{C}_n) = M(\mathcal{C}_1 \times \dots \times \mathcal{C}_r) \geq F^*$  because, by the definition of  $P_{\times}^M$  it is  $M(\mathcal{C}_1) = \dots = M(\mathcal{C}_{r-1}) = F^*$  and we also know that  $M(\mathcal{C}_r) \geq F^*$ . Thus, in this subcase  $M$  satisfies  $R$ . If  $r < n$ , then by the definition of  $P_{\times}^M$ ,  $M(\mathcal{C}_r) \neq F^*$ ; however, we know that  $M(\mathcal{C}_r) \geq F^*$ , and thus  $M(\mathcal{C}_r) = T$ . Thus, in this subcase  $M$  also satisfies  $R$ .

*Case 3:*  $M(A_1, \dots, A_m) = T$ . Then, for all  $i$ ,  $M(A_i) = T$ . Since  $M$  is a model of  $P_{\times}^M$ , we have  $M(\mathcal{C}_r) = T$ . Moreover, by the definition of  $P_{\times}^M$ ,  $M(\mathcal{C}_1) = \dots = M(\mathcal{C}_{r-1}) = F^*$ . This implies that  $M(\mathcal{C}_1 \times \dots \times \mathcal{C}_n) = T$ .  $\square$

#### Lemma 9

Let  $M$  be a model of a DLPOD  $P$ . Then,  $M$  is a model of  $P_{\times}^M$ .

#### Proof

Consider any rule  $R$  in  $P$  of the form:

$$\mathcal{C}_1 \times \dots \times \mathcal{C}_n \leftarrow A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k$$

and assume  $M$  satisfies  $R$ . If  $M(B_i) = T$  for some  $i$ ,  $1 \leq i \leq k$ , then no rule is created in  $P_{\times}^M$  for  $R$ . Assume therefore that  $M(\text{not } B_1, \dots, \text{not } B_k) = T$ . By the definition of  $P_{\times}^M$  the following rules have been added to  $P_{\times}^M$ :

$$\begin{array}{lcl} \mathcal{C}_1 & \leftarrow & F^*, A_1, \dots, A_m \\ & \dots & \\ \mathcal{C}_{r-1} & \leftarrow & F^*, A_1, \dots, A_m \\ \mathcal{C}_r & \leftarrow & A_1, \dots, A_m \end{array}$$

where  $r$  is the least index such that  $M(\mathcal{C}_1) = \dots = M(\mathcal{C}_{r-1}) = F^*$  and either  $r = n$  or  $M(\mathcal{C}_r) \neq F^*$ . Obviously, the first  $r - 1$  rules above are satisfied by  $M$ . For the rule  $\mathcal{C}_r \leftarrow A_1, \dots, A_m$  we distinguish two cases based on the value of  $M(A_1, \dots, A_m)$ . If  $M(A_1, \dots, A_m) = F$ , then, the rule is trivially satisfied. If  $M(A_1, \dots, A_m) > F$ , then, since rule  $R$  is satisfied by  $M$  and  $M(\mathcal{C}_r) \neq F^*$ , it has to be  $M(\mathcal{C}_r) = T$ . Therefore, the rule  $\mathcal{C}_r \leftarrow A_1, \dots, A_m$  is satisfied by  $M$ .  $\square$

#### Lemma 10

Every answer set  $M$  of a DLPOD  $P$ , is a  $\preceq$ -minimal model of  $P$ .

*Proof*

Assume there exists a model  $N$  of  $P$  with  $N \preceq M$ . We will show that  $N$  is also a model of  $P_{\times}^M$ . Since  $N \preceq M$ , we also have  $N \leq M$ . Since  $M$  is the  $\leq$ -least model of  $P_{\times}^M$ , we will conclude that  $N = M$ .

Consider any rule  $R$  in  $P$  of the form:

$$\mathcal{C}_1 \times \cdots \times \mathcal{C}_n \leftarrow A_1, \dots, A_m, \text{ not } B_1, \dots, \text{ not } B_k$$

Assume that  $R_{\times}^M$  is nonempty. This means that there exists some  $r$ ,  $1 \leq r \leq n$ , such that  $M(\mathcal{C}_1) = \cdots = M(\mathcal{C}_{r-1}) = F^*$  and either  $r = n$  or  $M(\mathcal{C}_r) \neq F^*$ . Then,  $R_{\times}^M$  consists of the following rules:

$$\begin{array}{lcl} \mathcal{C}_1 & \leftarrow & F^*, A_1, \dots, A_m \\ & \dots & \\ \mathcal{C}_{r-1} & \leftarrow & F^*, A_1, \dots, A_m \\ \mathcal{C}_r & \leftarrow & A_1, \dots, A_m \end{array}$$

We show that  $N$  satisfies the above rules. We distinguish cases based on the value of  $M(A_1, \dots, A_m)$ :

*Case 1:*  $M(A_1, \dots, A_m) = F$ . Then,  $N(A_1, \dots, A_m) = F$  and the above rules are trivially satisfied by  $N$ .

*Case 2:*  $M(A_1, \dots, A_m) = F^*$ . Then, since  $N \preceq M$ , it is  $N(A_1, \dots, A_m) \leq F^*$ . If  $N(A_1, \dots, A_m) = F$  then  $N$  trivially satisfies all the above rules. Assume therefore that  $N(A_1, \dots, A_m) = F^*$ . Recall now that  $M(\mathcal{C}_i) = F^*$  for all  $i$ ,  $1 \leq i \leq r-1$ . Moreover, it has to be  $M(\mathcal{C}_r) \geq F^*$ , because otherwise  $M$  would not satisfy the rule  $R$ . Since  $N \preceq M$ , it can only be  $N(\mathcal{C}_i) = F^*$  for all  $i$ ,  $1 \leq i \leq r-1$  and  $N(\mathcal{C}_r) \geq F^*$ , because otherwise  $N$  would not be a model of  $P$ . Therefore,  $N$  satisfies the given rules of  $P_{\times}^M$ .

*Case 3:*  $M(A_1, \dots, A_m) = T$ . Then, since  $N \preceq M$ , it is either  $N(A_1, \dots, A_m) = F$  or  $N(A_1, \dots, A_m) = T$ . If  $N(A_1, \dots, A_m) = F$  then  $N$  trivially satisfies all the above rules. Assume therefore that  $N(A_1, \dots, A_m) = T$ . Recall now that  $M(\mathcal{C}_i) = F^*$  for all  $i$ ,  $1 \leq i \leq r-1$ . Moreover, it has to be  $M(\mathcal{C}_r) = T$ , because otherwise  $M$  would not satisfy the rule  $R$ . Since  $N \preceq M$ , it can only be  $N(\mathcal{C}_i) = F^*$  for all  $i$ ,  $1 \leq i \leq r-1$  and  $N(\mathcal{C}_r) = T$ , because otherwise  $N$  would not be a model of  $P$ . Therefore,  $N$  satisfies the given rules of  $P_{\times}^M$ .  $\square$

*Theorem 2*

Let  $P$  be a DLPOD. Then,  $M$  is an answer set of  $P$  iff  $M$  is a consistent  $\preceq$ -minimal model of  $P$  and  $M$  is solid.

The proof of the above theorem follows directly by the following two lemmas.

*Lemma C.1*

Let  $P$  be an DLPOD and let  $M$  be an answer set of  $P$ . Then,  $M$  is a consistent  $\preceq$ -minimal model of  $P$  and  $M$  is solid.

*Proof*

Since  $M$  is an answer set of  $P$ , then, by Lemma 8,  $M$  is a model of  $P$ . Moreover,  $M$  is solid because our definition of answer sets does not involve the value  $T^*$ . It remains to show that it is minimal with respect to the  $\preceq$  ordering. Assume, for the sake of contradiction, that there exists a model  $N$  of  $P$  with  $N \prec M$ . By Lemma 10,  $M$  is (three-valued)  $\preceq$ -minimal. Therefore,  $N$  can not be solid. We first show that  $N$  can not be a model

of the reduct  $P_{\times}^M$ . Assume for the sake of contradiction that  $N$  is a model of  $P_{\times}^M$ . We construct the following interpretation  $N'$ :

$$N'(A) = \begin{cases} F^*, & \text{if } N(A) = T^* \\ N(A), & \text{otherwise} \end{cases}$$

We claim that  $N'$  must also be a model of  $P_{\times}^M$ . Consider first a rule of the form  $\mathcal{C} \leftarrow F^*, A_1, \dots, A_m$ . Since  $N$  is a model of  $P_{\times}^M$ , it is  $N(\mathcal{C}) \geq F^*$ . By the definition of  $N'$ , it is  $N(\mathcal{C}) \geq F^*$  and therefore  $N'$  satisfies this rule. Consider now a rule of the form  $\mathcal{C} \leftarrow A_1, \dots, A_m$  in  $P_{\times}^M$ . We show that  $N'$  also satisfies this rule. We perform a case analysis:

*Case 1:*  $N(A_1, \dots, A_m) = F$ . Then,  $N'(A_1, \dots, A_m) = F$  and  $N'$  trivially satisfies the rule.

*Case 2:*  $N(A_1, \dots, A_m) = F^*$ . Then,  $N'(A_1, \dots, A_m) = F^*$ . Moreover,  $N(\mathcal{C}) \geq F^*$  because  $N$  is a model of  $P_{\times}^M$ . By the definition of  $N'$ , it is  $N'(\mathcal{C}) \geq F^*$ , and therefore  $N'$  satisfies the rule.

*Case 3:*  $N(A_1, \dots, A_m) = T^*$ . Then,  $N'(A_1, \dots, A_m) = F^*$ . Moreover,  $N(\mathcal{C}) \geq T^*$  because  $N$  is a model of  $P_{\times}^M$ . By the definition of  $N'$ , it is  $N'(\mathcal{C}) \geq F^*$ , and therefore  $N'$  satisfies the rule.

*Case 4:*  $N(A_1, \dots, A_m) = T$ . Then,  $N'(A_1, \dots, A_m) = T$ . Moreover,  $N(\mathcal{C}) = T$  because  $N$  is a model of  $P_{\times}^M$ . By the definition of  $N'$ , it is  $N'(\mathcal{C}) = T$ , and therefore  $N'$  satisfies the rule.

Therefore,  $N'$  must also be a model of  $P_{\times}^M$ . Moreover, by definition,  $N'$  is solid and  $N' < M$ . This contradicts the fact that, by construction,  $M$  is the  $\leq$ -least model of  $P_{\times}^M$ . In conclusion,  $N$  can not be a model of  $P_{\times}^M$ .

We now show that  $N$  can not be a model of  $P$ . As we showed above,  $N$  is not a model of  $P_{\times}^M$ , and consequently there exists a rule in  $P_{\times}^M$  that is not satisfied by  $N$ . Such a rule in  $P_{\times}^M$  must have resulted due to a rule  $R$  of the following form in  $P$ :

$$\mathcal{C}_1 \times \dots \times \mathcal{C}_n \leftarrow A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k$$

According to the definition of  $P_{\times}^M$ , for all  $i$ ,  $1 \leq i \leq k$ ,  $M(\text{not } B_i) = T$ , and since  $N < M$ , it is also  $N(\text{not } B_i) = T$ . Moreover, there exists some  $r \leq n$  such that  $M(\mathcal{C}_1) = \dots = M(\mathcal{C}_{r-1}) = F^*$  and either  $r = n$  or  $M(\mathcal{C}_r) \neq F^*$ . Since  $N < M$ , it is  $N(\mathcal{C}_i) \leq F^*$  for all  $i$ ,  $1 \leq i \leq r-1$ . Consider now the rule that is not satisfied by  $N$  in  $P_{\times}^M$ . If it is of the form  $\mathcal{C}_i \leftarrow F^*, A_1, \dots, A_m$ ,  $i$ ,  $1 \leq i \leq r-1$ , then  $N(A_1, \dots, A_m) > F$  and  $N(\mathcal{C}_i) = F$ . This implies that  $N(\mathcal{C}_1 \times \dots \times \mathcal{C}_n) = F$  and therefore  $N$  does not satisfy the rule  $R$ . If the rule that is not satisfied by  $N$  in  $P_{\times}^M$  is of the form  $\mathcal{C}_r \leftarrow A_1, \dots, A_m$ , then  $N(\mathcal{C}_r) < N(A_1, \dots, A_m)$  and therefore, since  $N(\mathcal{C}_i) \leq F^*$  for all  $i$ ,  $1 \leq i \leq r-1$ , it is:

$$N(\mathcal{C}_1 \times \dots \times \mathcal{C}_n) < N(A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k)$$

Thus,  $N$  is not a model of  $P$ .  $\square$

### Lemma C.2

Let  $P$  be an DLPOD and let  $M$  be a consistent  $\leq$ -minimal model of  $P$  and  $M$  is solid. Then,  $M$  is an answer set of  $P$ .

*Proof*

First observe that, by Lemma 9,  $M$  is also a model of  $P_{\times}^M$ . We demonstrate that  $M$  is actually the  $\leq$ -least model of  $P_{\times}^M$ . Assume, for the sake of contradiction, that  $N$  is the  $\leq$ -least model of  $P_{\times}^M$ . Then,  $N$  will differ from  $M$  in some atoms  $A$  such that  $N(A) < M(A)$ . We distinguish two cases. In the first case all the atoms  $A$  such that  $N(A) < M(A)$  have  $M(A) \leq F^*$ . In the second case there exist at least one atom  $A$  such that  $M(A) > F^*$ .

In the first case it is easy to see that  $N \prec M$ . We demonstrate that  $N$  is also model of  $P$  leading to contradiction since  $M$  is  $\preceq$ -minimal. Assume that  $N$  is not a model of  $P$ . Then, there exists in  $P$  a rule  $R$  of the form:

$$\mathcal{C}_1 \times \cdots \times \mathcal{C}_n \leftarrow A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k$$

such that  $N(\mathcal{C}_1 \times \cdots \times \mathcal{C}_n) < N'(A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k)$ . Notice that this implies that  $N(\text{not } B_1, \dots, \text{not } B_k) = M(\text{not } B_1, \dots, \text{not } B_k) = T$ . Therefore,  $N(\mathcal{C}_1 \times \cdots \times \mathcal{C}_n) < N(A_1, \dots, A_m)$ . We distinguish cases based on the value of  $N(A_1, \dots, A_m)$ :

*Case 1:*  $N(A_1, \dots, A_m) = F$ . This case leads immediately to contradiction because  $N$  trivially satisfies  $R$ .

*Case 2:*  $N(A_1, \dots, A_m) > F$ . Then,  $N(A_1, \dots, A_m) = M(A_1, \dots, A_m)$ . Since  $M$  is a model of  $P$ , it is  $M(\mathcal{C}_1 \times \cdots \times \mathcal{C}_n) \geq M(A_1, \dots, A_m) > F$ . This implies that there exists some  $r$ ,  $1 \leq r \leq n$ , such that  $M(\mathcal{C}_1) = \cdots = M(\mathcal{C}_{r-1}) = F^*$  and  $M(\mathcal{C}_r) \geq F^*$ . By the definition of the reduct, the rule  $\mathcal{C}_r \leftarrow A_1, \dots, A_m$  exists in  $P_{\times}^M$ . Since  $N$  is a model of  $P_{\times}^M$ , we get that  $N(\mathcal{C}_r) > F$ . Moreover,  $N$  should also satisfy the rules  $\mathcal{C}_i \leftarrow F^*, A_1, \dots, A_m$  for  $1 \leq i \leq r-1$ . Since  $N(\mathcal{C}_i) \leq M(\mathcal{C}_i)$  and  $N(\mathcal{C}_r) = M(\mathcal{C}_r)$  we get  $N(\mathcal{C}_1) = \cdots = N(\mathcal{C}_{r-1}) = F^*$  and  $N(\mathcal{C}_r) = M(\mathcal{C}_r)$ . Therefore  $N(\mathcal{C}_1 \times \cdots \times \mathcal{C}_n) = M(\mathcal{C}_1 \times \cdots \times \mathcal{C}_n)$  and  $N(\mathcal{C}_1 \times \cdots \times \mathcal{C}_n) \geq N(A_1, \dots, A_m)$  (contradiction).

In the second case we construct the following interpretation  $N'$ :

$$N'(A) = \begin{cases} T^*, & \text{if } M(A) = T \text{ and } N(A) \in \{F, F^*\} \\ F^*, & \text{if } M(A) = F^* \\ N(A), & \text{otherwise} \end{cases}$$

It is easy to see that  $N' \prec M$ . We demonstrate that  $N'$  is a model of  $P$ , which will lead to a contradiction (since we have assumed that  $M$  is  $\preceq$ -minimal).

Assume  $N'$  is not a model of  $P$ . Then, there exists in  $P$  a rule  $R$  of the form:

$$\mathcal{C}_1 \times \cdots \times \mathcal{C}_n \leftarrow A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k$$

such that  $N'(\mathcal{C}_1 \times \cdots \times \mathcal{C}_n) < N'(A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_k)$ . Notice that this implies that  $N'(\text{not } B_1, \dots, \text{not } B_k) = N(\text{not } B_1, \dots, \text{not } B_k) = M(\text{not } B_1, \dots, \text{not } B_k) = T$ . Therefore,  $N'(\mathcal{C}_1 \times \cdots \times \mathcal{C}_n) < N'(A_1, \dots, A_m)$ . We distinguish cases based on the value of  $N'(A_1, \dots, A_m)$ :

*Case 1:*  $N'(A_1, \dots, A_m) = F$ . This case leads immediately to contradiction because  $N'$  trivially satisfies  $R$ .

*Case 2:*  $N'(A_1, \dots, A_m) = F^*$ . Then, by the definition of  $N'$ ,  $M(A_1, \dots, A_m) = F^*$ . Since  $M$  is a model of  $P$ , it is  $M(\mathcal{C}_1 \times \cdots \times \mathcal{C}_n) \geq F^*$ . This implies that either  $M(\mathcal{C}_1) = \cdots = M(\mathcal{C}_n) = F^*$  or there exists  $r \leq n$  such that  $M(\mathcal{C}_1) = \cdots = M(\mathcal{C}_{r-1}) = F^*$  and  $M(\mathcal{C}_r) = T$ . By the definition of  $N'$ , we get in both cases  $N'(\mathcal{C}_1 \times \cdots \times \mathcal{C}_n) \geq F^*$  (contradiction).

*Case 3:*  $N'(A_1, \dots, A_m) = T^*$ . Then, by the definition of  $N'$ ,  $M(A_1, \dots, A_m) = T$ . Since

$M$  is a model of  $P$ , it is  $M(\mathcal{C}_1 \times \dots \times \mathcal{C}_n) = T$ . This implies that there exists some  $r$ ,  $1 \leq r \leq n$ , such that  $M(\mathcal{C}_1) = \dots = M(\mathcal{C}_{r-1}) = F^*$  and  $M(\mathcal{C}_r) = T$ . By the definition of  $N'$ , we get that  $N'(\mathcal{C}_1 \times \dots \times \mathcal{C}_n) \geq T^*$  (contradiction).

*Case 4:*  $N'(A_1, \dots, A_m) = T$ . Then, by the definition of  $N'$ ,  $N(A_1, \dots, A_m) = T$  and  $M(A_1, \dots, A_m) = T$ . Since  $M$  is a model of  $P$ , it is  $M(\mathcal{C}_1 \times \dots \times \mathcal{C}_n) = T$ . This implies that there exists some  $r$ ,  $1 \leq r \leq n$ , such that  $M(\mathcal{C}_1) = \dots = M(\mathcal{C}_{r-1}) = F^*$  and  $M(\mathcal{C}_r) = T$ . By the definition of the reduct, the rule  $\mathcal{C}_r \leftarrow A_1, \dots, A_m$  exists in  $P_{\times}^M$ . Since  $N$  is a model of  $P_{\times}^M$ , we get that  $N(\mathcal{C}_r) = T$ . Thus,  $N'(\mathcal{C}_1) = \dots = N'(\mathcal{C}_{r-1}) = F^*$  and  $N'(\mathcal{C}_r) = T$ , and therefore  $N'(\mathcal{C}_1 \times \dots \times \mathcal{C}_n) = T$  (contradiction).  $\square$

### References

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