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# Communications

## On Reconciling Ground-Based With Spaceborne Normalized Radar Cross Section Measurements

François Baumgartner, Jens Munk, Kenneth C. Jezek, and Sivaprasad Gogineni

**Abstract**—This study examines differences in the normalized radar cross section, derived from ground-based versus spaceborne radar data. A simple homogeneous half-space model, indicates that agreement between the two improves as 1) the distance from the scatterer is increased; and/or 2) the extinction coefficient increases.

**Index Terms**—Ice, radar scattering, snow.

### I. INTRODUCTION

The normalized radar cross section ( $\sigma^0$ ) is used to effectively describe backscatter from snow, under the assumption that the range to the scattering particles is accurately known. For spaceborne radar, where the total range is large compared to the firm penetration, this assumption is valid. However, for short-range radar, firm penetration depths are typically on the order of the antenna range. As a result, the normalized radar cross section derived from ground-based data is a function of antenna range, as well as firm physical properties.

Previously, ground-based and spaceborne radar backscatter have been reconciled by defining an effective range  $R_{\text{eff}} \equiv R_s + \delta R$ , where  $R_s$  is the antenna range to the snow surface, as illustrated in Fig. 1 [1], [2]. The additional term  $\delta R$  is an added range accounting for radar penetration into the scattering medium, which can be significant. The relative size of  $\delta R$  is directly related to firm physical properties and is determined experimentally such that  $\sigma^0$  does not vary with antenna height. In this communication, we describe an analytic approach for reconciling spaceborne and ground-based data that can also be used to estimate the extinction coefficient when both types of data are available.

Assuming a homogeneous half-space, we derive an expression for  $\sigma^0$  as a function of antenna range and physical properties of the firm. Our relationship describes why differences in derived values for  $\sigma^0$  occur between spaceborne and ground-based radar. Two sites (GITS and NASA-U) on the Greenland Ice Sheet are used to illustrate the observed differences in  $\sigma^0$  for ground-based versus spaceborne radar.

### II. FORMULATION

The power return resulting from an incremental volume is given by

$$d^3 P_r = \frac{P_t \lambda^2}{(4\pi)^3} G_0^2 g^2(\theta, \phi) \sigma_v \frac{e^{-2\tau}}{R^4} dV \quad (1)$$

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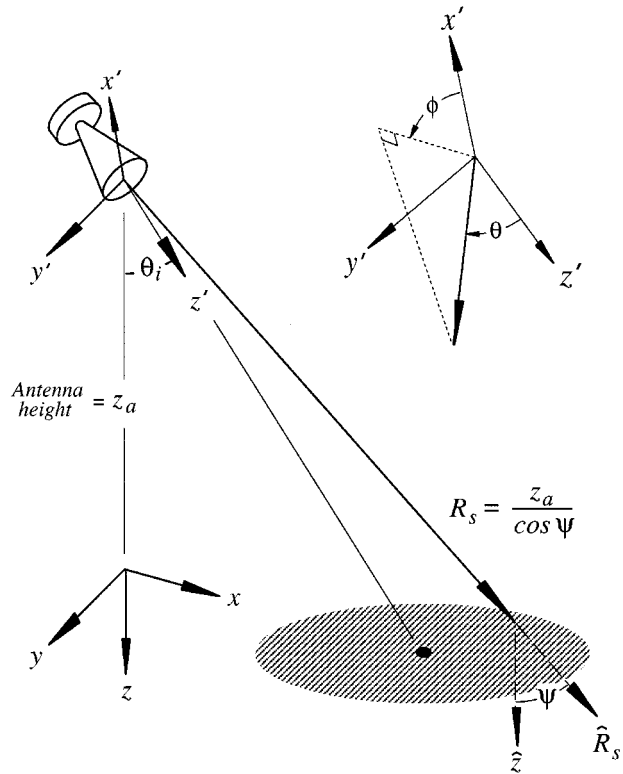


Fig. 1. Antenna at height  $z_a$  illuminating the surface  $z = 0$ , with the shaded region corresponding to the main antenna beam.

where

- $P_t$  transmitted power;
- $G_0$  maximum antenna gain;
- $g(\theta, \phi)$  normalized antenna gain function;
- $\lambda$  wavelength;
- $\sigma_v$  volumetric backscatter.

$R$  is the range from the antenna to the volume  $dV = R^2 dR d\Omega$ , where  $d\Omega \equiv \sin \theta d\theta d\phi$ , and  $\theta$  and  $\phi$  are with respect to the primed coordinates, as shown in Fig. 1. The term  $e^{-2\tau}$  accounts for two-way attenuation within the scatterer where

$$\tau \equiv \int_{R_s}^R \kappa_e dR \quad (2)$$

where  $\kappa_e$  is the extinction coefficient and  $R_s$  is as previously defined. Losses within the scatterer are described by the extinction coefficient

$$\kappa_e = \kappa_a + \kappa_s \quad (3)$$

where  $\kappa_a$  and  $\kappa_s$  are the absorption and scattering coefficient, respectively [3]. In terms of the solid angle  $d\Omega$ , (1) is expressed as

$$d^2 P_r = \frac{P_t \lambda^2}{(4\pi)^3} G_0^2 g^2(\theta, \phi) \gamma(R_s) d\Omega \quad (4)$$

where

$$\gamma(R_s) \equiv \int_{R_s}^{\infty} \sigma_v \frac{e^{-2\tau}}{R^2} dR. \quad (5)$$

For a homogeneous half-space,  $\sigma_v$  and  $\kappa_e$  are constant, and (5) can be written as

$$\gamma(R_s) = \sigma_v f(R_s) \quad (6)$$

where

$$f(R_s) \equiv \int_{R_s}^{\infty} \frac{e^{-2\kappa_e \Delta R}}{R^2} dR \quad (7)$$

and  $\Delta R \equiv R - R_s$ . Likewise, the power return can be expressed in terms of a projected surface  $dA_s$ , such that

$$d^2 P_r = \frac{P_t \lambda^2}{(4\pi)^3} \frac{G_0^2 g^2(\theta, \phi)}{R_s^4} \sigma^0 dA_s \quad (8)$$

where  $\sigma^0$  is the normalized radar cross section. The incremental surface

$$dA_s \equiv \frac{R_s^2}{\cos \psi} d\Omega \quad (9)$$

where  $\cos \psi = \hat{R}_s \cdot \hat{z}$  represents the localized incidence angle, with  $\hat{R}_s = \mathbf{R}_s / R_s$ , and  $\hat{z}$  normal to the snow surface, as shown in Fig. 1. Substituting (9) into (8) yields

$$d^2 P_r = \frac{P_t \lambda^2}{(4\pi)^3} \frac{G_0^2 g^2(\theta, \phi)}{R_s^2 \cos \psi} \sigma^0 d\Omega \quad (10)$$

which expresses  $\sigma^0$  in terms of the solid angle  $d\Omega$ . Equating like terms in (4) and (10) yields

$$\sigma^0 = \sigma_v f(R_s) R_s^2 \cos \psi \quad (11)$$

which relates the normalized radar cross section as defined using an equivalent surface and volume backscatter coefficient. For spaceborne radar,  $R_s \gg 1/\kappa_e$  and (7) can be approximated as

$$f(R_s) \approx \frac{1}{2\kappa_e} \frac{1}{R_s^2} \quad (12)$$

Then, assuming a pencil beam approximation [4]

$$\sigma_{\infty}^0 = \frac{\sigma_v}{2\kappa_e} \cos \theta_i \quad (13)$$

where  $\sigma_{\infty}^0$  is the normalized radar cross section when  $R_s \rightarrow \infty$ , and  $\theta_i$  is the antenna incidence angle, measured with respect to the  $z$ -axis (see Fig. 1).

As expressed in (11),  $\sigma^0$  is a function of both the extinction coefficient  $\kappa_e$ , the antenna range  $R_s$ , and the local incidence angle  $\psi$ .

Since the volume scattering coefficient  $\sigma_v$  is related only to the firm physical properties of the illuminated snow, (11) and (13) can be combined to yield

$$\sigma^0 = \left\{ 2\kappa_e f(R_s) R_s^2 \frac{\cos \psi}{\cos \theta_i} \right\} \sigma_{\infty}^0 \quad (14)$$

which relates the ground-based and spaceborne normalized radar cross section coefficients. For the same angle of incidence, and assuming a pencil beam approximation for the ground-based data, (14) yields

$$\frac{\sigma^0}{\sigma_{\infty}^0} = 2\kappa_e f(R_s) R_s^2 \quad (15)$$

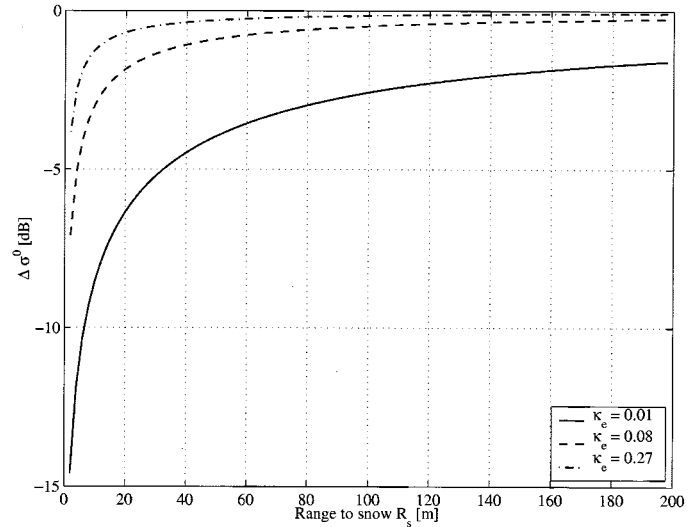


Fig. 2. Plot showing differences between ground-based and spaceborne derived normalized radar cross section  $\Delta\sigma^0$  versus the antenna range  $R_s$ .

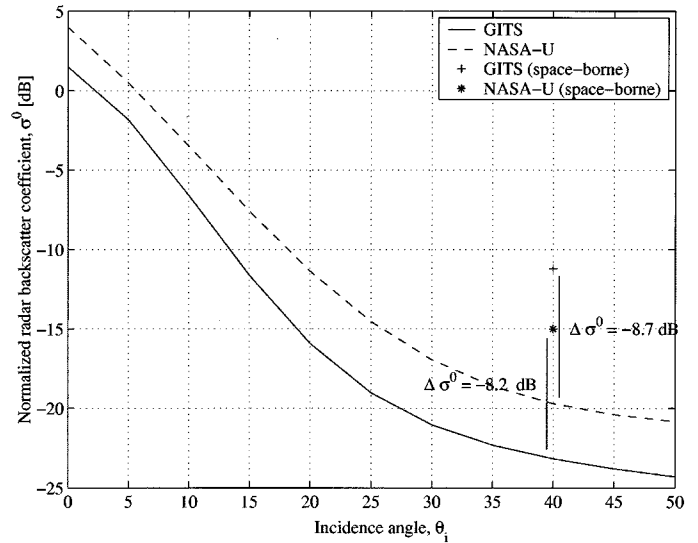


Fig. 3. Comparison of  $\sigma^0$  derived from ground-based versus spaceborne data at GITS and NASA-U.

By definition, this ratio is less than unity, and hence the ground-based normalized radar cross section is always less than its spaceborne counterpart. Finally, from (12) and (15)

$$\lim_{R_s \rightarrow \infty} \sigma^0 = \sigma_{\infty}^0 \quad (16)$$

as it must.

### III. RESULTS

Data from two sites on the Greenland Ice Sheet, GITS ( $77^{\circ}06'N$ ,  $61^{\circ}00'W$ ) and NASA-U ( $73^{\circ}50'N$ ,  $49^{\circ}30'W$ ) were collected during May 21–25, 1995 [5]. The GITS and NASA-U sites are within the dry-snow zone and a transition zone between the dry-snow and percolation zone, respectively. No melt features were observed within the upper 7–8 m of firn at the NASA-U site. For the ground-based data,  $\theta_i$  varied from  $0$  to  $50^{\circ}$ , in  $5^{\circ}$  increments. The antenna height  $z_a$ , specified by the distance from the antenna feed to the firn surface (see Fig. 1), was

TABLE I  
PARAMETERS USED IN COMPARISON BETWEEN GROUND-BASED VERSUS  
SPACEBORNE DERIVED NORMALIZED RADAR CROSS SECTION

	GITS	NASA-U
<i>Ground-based system</i>		
$z_a$	1.67 m	1.84 m
$\theta_i$	0–50°	0–50°
$\dagger R_s$	2.18 m	2.40 m
$\dagger \sigma^0$	–23.6 dB	–19.9 dB
$\dagger$ At $\theta_i = 40^\circ$		
<i>ERS-1 Scatterometer</i>		
$\theta_i$	40°	40°
$R_s$	~ 1000 km	~ 1000 km
$\sigma_\infty^0$	–15.4 dB	–11.2 dB
<i>Derived quantities</i>		
$\Delta\sigma^0$	–8.2 dB	–8.7 dB
$\kappa_e$	0.0505 m <sup>-1</sup>	0.0398 m <sup>-1</sup>
$d$	9.9 m	12.5 m

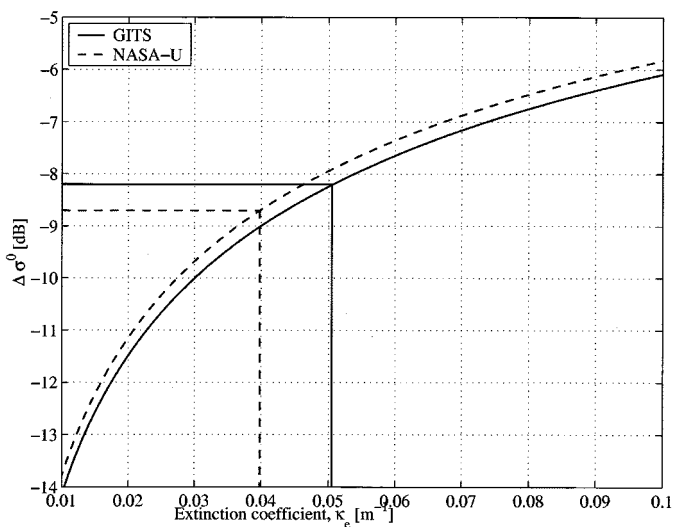


Fig. 4. Plot showing differences between ground-based and spaceborne derived normalized radar cross section  $\Delta\sigma^0$  versus  $\kappa_e$  for  $\theta_i = 40^\circ$ , with  $R_s$  corresponding to GITS and NASA-U.

1.67 and 1.84 m at GITS and NASA-U, respectively. Fig. 3 is a comparison between our *in-situ* data, adjusted to account for the antenna pattern, and ERS-1 scatterometer data, acquired during May 21–26, 1995 (JD 141–146), at C-band and for VV polarization. The scatterometer data were processed using a resolution enhancement technique [6], and have been “incidence angle-normalized” to  $40^\circ$ , from data covering a wide range of incidence angles. The normalized radar cross section ratio, given in (15), is plotted versus  $R_s$  in Fig. 2, for  $\kappa_e = 0.01, 0.08, \text{ and } 0.27 \text{ m}^{-1}$ , where, for notational convenience, we define  $\Delta\sigma^0 \equiv 10 \log_{10} (\sigma^0 / \sigma_\infty^0)$ . As shown in Fig. 2,  $\Delta\sigma^0$  increases as the antenna nears the illuminated surface, and as the extinction coefficient is decreased. Differences between the ground-based and spaceborne derived values of  $\sigma^0$  result from the radar penetration within the firn relative to the antenna-snow surface range, which is significant for ground-based radar. Although our analysis assumes a homogeneous half-space, we speculate that ground-based radar will be more sensitive to near surface properties, while a spaceborne radar will effectively include backscatter from a more extensive range into the firn.

Table I summarizes information relevant in comparing the ground-based and spaceborne radar at the two sites. Curves shown in Fig. 4 were generated from (15), over a range of extinction coefficients, and

with  $R_s$  as given in Table I. The extinction coefficient is estimated using Fig. 4 and  $\Delta\sigma^0$  (see Fig. 3). At GITS and NASA-U, we obtain  $\kappa_e = 0.0505$  and  $0.0398 \text{ m}^{-1}$ , respectively. In terms of the two-way penetration depth ( $d \equiv 1/2\kappa_e$ ), we obtain  $d = 9.9$  and  $12.5 \text{ m}$ . These penetration depths are within the range obtained in other studies within the Greenland dry-snow zone ( $d = 7.5\text{--}9 \text{ m}$ ) [7].

#### IV. CONCLUSIONS

We have provided a plausible explanation for the observed differences between normalized radar cross section derived from ground-based versus spaceborne measurements, based on a unique definition for the normalized radar cross section.

Based on (15), which assumes a homogeneous half-space model, differences between ground-based and spaceborne derived normalized radar cross section increase as: 1) the range for the ground-based radar decreases, and 2) the extinction coefficient, which effects the penetration depth, is decreased.

These results are confirmed by analyzing the differences between ground-based and spaceborne measurements of  $\sigma^0$  at GITS and NASA-U, in conjunction with (15).

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