# Product Structure Extension of the Alon–Seymour–Thomas Theorem

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#### Abstract

Alon, Seymour and Thomas [1990] proved that every *n*-vertex graph excluding  $K_t$  as a minor has treewidth less than  $t^{3/2}\sqrt{n}$ . Illingworth, Scott and Wood [2022] recently refined this result by showing that every such graph is a subgraph of some graph with treewidth t-2, where each vertex is blown up by a complete graph of order  $\mathcal{O}(\sqrt{tn})$ . Solving an open problem of Illingworth, Scott and Wood [2022], we prove that the treewidth bound can be reduced to 4 while keeping blowups of order  $\mathcal{O}_t(\sqrt{n})$ . As an extension of the Lipton–Tarjan theorem, in the case of planar graphs, we show that the treewidth can be further reduced to 2, which is best possible. We generalise this result for  $K_{3,t}$ -minor-free graphs, with blowups of order  $\mathcal{O}(t\sqrt{n})$ . This setting includes graphs embeddable on any fixed surface.

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# 1 Introduction

Treewidth is a measure of how similar a given graph is to a tree, and is of fundamental importance in structural and algorithmic graph theory; see [2, 15, 24] for surveys.

In one of the cornerstone results of Graph Minor Theory, Alon, Seymour, and Thomas [1] proved that every *n*-vertex  $K_t$ -minor-free graph G has treewidth  $\operatorname{tw}(G) < t^{3/2} n^{1/2}$ , which implies that G has a balanced separator of order at most  $t^{3/2} n^{1/2}$ . For fixed  $t \ge 5$ , this bound is asymptotically tight since the  $n^{1/2} \times n^{1/2}$  grid is  $K_5$ -minor-free and has treewidth  $n^{1/2}$ .

Our goal is to prove qualitative strengthenings of the Alon–Seymour–Thomas theorem through the lens of graph product structure theory, which describes graphs in complicated classes as subgraphs of products of simpler graphs. Here we consider products of bounded treewidth graphs and complete graphs. To be precise, for a graph H and  $m \in \mathbb{N}$ , let  $H \boxtimes K_m$  be the *strong product* of H and a complete graph  $K_m$ , which is the 'complete-blow-up' of H by  $K_m$ ; that is, the graph obtained by replacing each vertex of H by a copy of  $K_m$  and replacing each edge of H by the complete join between the corresponding copies of  $K_m$ . Say a graph G is *contained* in a graph X if G is isomorphic to a subgraph of X.

Illingworth, Scott, and Wood [18] showed that for any integer  $t \ge 4$ , every *n*-vertex  $K_t$ -minor-free graph G is contained in  $H \boxtimes K_m$ , for some graph H with treewidth at most t-1, where  $m < \sqrt{tn}$ . This result implies and strengthens the Alon–Seymour–Thomas theorem since

$$\operatorname{tw}(G) \leq \operatorname{tw}(H \boxtimes K_m) \leq (\operatorname{tw}(H) + 1)m - 1 < t\sqrt{tn}.$$

Importantly, they also showed a similar result with treewidth t-2 (and a slightly larger value of m): every *n*-vertex  $K_t$ -minor-free graph G is contained in  $H \boxtimes K_m$ , for some graph H with treewidth at most t-2, where  $m < 2\sqrt{tn}$ .

The following definition, implicitly introduced by Illingworth et al. [18], naturally arises. For a proper minor-closed graph class  $\mathcal{G}$ , let  $f(\mathcal{G})$  be the minimum integer such that for some c, every *n*-vertex graph  $G \in \mathcal{G}$  is contained in  $H \boxtimes K_m$ , for some graph H with treewidth at most  $f(\mathcal{G})$ , where  $m \leq c\sqrt{n}$ . The above result of Illingworth et al. [18] implies that  $f(\mathcal{G})$  is well-defined; in particular, if  $\mathcal{G}_t$  is the class of  $K_t$ -minor-free graphs, then  $f(\mathcal{G}_t) \leq t-2$ .

Illingworth et al. [18] asked whether  $f(\mathcal{G})$  is upper bounded by an absolute constant. This paper answers this question in the affirmative.

**Theorem 1.** Every n-vertex  $K_t$ -minor-free graph G is contained in  $H \boxtimes K_m$  for some graph H of treewidth at most 4, where  $m \in O_t(\sqrt{n})$ .

Theorem 1 implies that  $f(\mathcal{G}) \leq 4$  for every proper minor-closed class  $\mathcal{G}$ . The proof of Theorem 1 actually shows that  $\operatorname{tw}(H-v) \leq 3$  for some vertex  $v \in V(H)$ .

We also give improved bounds on  $f(\mathcal{G})$  for particular minor-closed classes  $\mathcal{G}$ . First consider the class  $\mathcal{L}$  of planar graphs. The Lipton–Tarjan separator theorem [21] is one of the most important structural results about planar graphs, with numerous algorithmic applications [22]. It is equivalent to saying that every *n*-vertex planar graph has treewidth  $\mathcal{O}(\sqrt{n})$  (see [12]). Since planar graphs are  $K_5$ -minor-free, the above result of Illingworth et al. [18] shows that  $f(\mathcal{L}) \leq 3$ . Our next contribution shows that  $f(\mathcal{L}) \leq 2$ , resolving an open problem of Illingworth et al. [18].

**Theorem 2.** Every *n*-vertex planar graph is contained in  $H \boxtimes K_m$ , where *H* is a graph with treewidth 2 and  $m \in \mathcal{O}(\sqrt{n})$ .

As an aside, since every graph with treewidth 2 is planar, the graph H in Theorem 2 is planar (although not necessarily a minor of the original planar graph).

We actually prove a more general result than Theorem 2 for graphs that exclude a  $K_{3,t}$  minor.

**Theorem 3.** Every  $K_{3,t}$ -minor-free n-vertex graph is contained in  $H \boxtimes K_m$ , where H is a graph with treewidth 2 and  $m \in \mathcal{O}(t\sqrt{n})$ .

Since  $K_{3,3}$  is not planar, Theorem 3 with t = 3 implies Theorem 2. More generally, Theorem 3 also implies results for graphs embeddable in any fixed surface. The *Euler* genus of a surface with h handles and c cross-caps is 2h + c. The *Euler genus* of a graph G is the minimum integer  $g \ge 0$  such that there is an embedding of G in a surface of Euler genus g; see [23] for more about graph embeddings in surfaces. It follows from Euler's formula that  $K_{3,2g+3}$  has Euler genus greater than g. Thus Theorem 3 implies:

**Corollary 4.** Every n-vertex graph with Euler genus g is contained in  $H \boxtimes K_m$ , where H is a graph with treewidth 2 and  $m \in \mathcal{O}((g+1)\sqrt{n})$ .

Note that Gilbert, Hutchinson, and Tarjan [14] and Djidjev [6] proved that *n*-vertex graphs with Euler genus g > 0 admit balanced separators of order  $\mathcal{O}(\sqrt{gn})$  and thus have treewidth  $\mathcal{O}(\sqrt{gn})$ . Corollary 4 is a qualitative strengthening of these results, with slightly worse dependence on g.

#### 1.1 Related Work

We first mention a connection to clustered colouring. A (vertex-) k-colouring of a graph has *clustering* c if every monochromatic component has at most c vertices. This is equivalent to saying that G is contained in  $H \boxtimes K_c$  for some graph H with  $\chi(H) \leq k$ .

Clustered colouring has been widely studied in recent years; see [28] for a survey. Linial, Matoušek, Sheffet, and Tardos [20] showed that *n*-vertex planar graphs, and more generally graphs excluding any fixed minor, are 3-colourable with clustering  $\mathcal{O}(\sqrt{n})$ . Since treewidth 2 graphs are 3-colourable, in the case of planar or  $K_{3,t}$ -minor-free graphs, Theorems 2 and 3 are a qualitative improvement over the result of Linial et al. [20].

Clustered colourings also provide lower bounds. Linial et al. [20] constructed a family of planar graphs  $\{G_k : k \ge 1\}$ , where  $G_k$  has  $2k^3 + 1$  vertices and every 2-colouring of  $G_k$  has a monochromatic component with at least  $k^2/2$  vertices. In particular, if  $G_k$ is contained in  $H \boxtimes K_m$  for some graph H with treewidth 1 (that is, H is a forest), then a proper 2-colouring of H determines a 2-colouring of  $G_k$  with clustering m, implying  $m \in \Omega(n^{2/3})$  where  $n := |V(G_k)|$ . Hence  $f(\mathcal{L}) > 1$ . Therefore the bounds on the treewidth of H in Theorems 2 and 3 and Corollary 4 are best possible. In particular,  $f(\mathcal{L}) = 2$ , and if  $\mathcal{G}_{3,t}$  is the class of  $K_{3,t}$ -minor-free graphs, then  $f(\mathcal{G}_{3,t}) = 2$  for  $t \ge 3$ . These lower bounds lead to the following characterisation of minor-closed classes  $\mathcal{G}$  with  $f(\mathcal{G}) \le 1$ .

**Proposition 1.** For a minor-closed class  $\mathcal{G}$ ,  $f(\mathcal{G}) \leq 1$  if and only if  $\mathcal{G}$  has bounded treewidth.

Proof. Dvořák and Wood [13, Theorem 8 with t = 1] proved that every *n*-vertex graph with treewidth k is contained in  $H \boxtimes K_m$  where H is a star and  $m \leq \sqrt{(k+1)n}$ . Since a star has treewidth 1, if  $\mathcal{G}$  has bounded treewidth, then  $f(\mathcal{G}) \leq 1$ . For the converse, if  $\mathcal{G}$  has unbounded treewidth, then by the Grid Minor Theorem [25], every planar graph is in  $\mathcal{G}$ , and thus  $f(\mathcal{G}) \geq f(\mathcal{L}) = 2$ , as desired.  $\Box$ 

We conclude by mentioning the following related definition and results. Campbell, Clinch, Distel, Gollin, Hendrey, Hickingbotham, Huynh, Illingworth, Tamitegama, Tan, and Wood [3] defined the *underlying treewidth* of a graph class  $\mathcal{G}$  to be the minimum integer k such that for some function g every graph  $G \in \mathcal{G}$  is contained in  $H \boxtimes K_m$  where  $\operatorname{tw}(H) \leq k$  and  $m \leq g(\operatorname{tw}(G))$ . Here m is required to depend only on  $\operatorname{tw}(G)$ , whereas the present paper allows  $m \in O(\sqrt{n})$ . Amongst other results, Campbell et al. [3] showed<sup>1</sup> that the underlying treewidth of  $\mathcal{G}_t$  equals t - 2. Thus, in the underlying treewidth setting, no absolute bound on  $\operatorname{tw}(H) \leq 4$ . There is a similar distinction for planar graphs. Campbell et al. [3] showed that the underlying treewidth of the class of planar graphs equals 3. So in Theorem 2 with  $\operatorname{tw}(H) \leq 2$ , the bound of  $m \in \mathcal{O}(\sqrt{n})$ cannot be improved to  $m \leq g(\operatorname{tw}(G))$  for any function g. See [8] for recent results on underlying treewidth.

<sup>&</sup>lt;sup>1</sup>In the result of Campbell et al. [3],  $g(w) \in O_t(w^2 \log w)$ , which was improved to  $O_t(w)$  by Illingworth et al. [18].

### 2 Background

For  $m, n \in \mathbb{Z}$  with  $m \leq n$ , let  $[m, n] := \{m, m+1, \dots, n\}$  and [n] := [1, n].

We consider simple, finite, undirected graphs G with vertex-set V(G) and edge-set E(G).

For a graph G and set  $S \subseteq V(G)$ , let  $N_G(S) := \{v \in V(G) \setminus S : \exists vw \in E(G), w \in S\}$ and let  $N_G[S] := N_G(S) \cup S$ . We drop the subscript G if the graph in question is clear.

A tree-decomposition of a graph G is a collection  $\mathcal{T} = (B_x : x \in V(T))$  of subsets of V(G) (called *bags*) indexed by the vertices of a tree T, such that (a) for every edge  $uv \in E(G)$ , some bag  $B_x$  contains both u and v, and (b) for every vertex  $v \in V(G)$ , the set  $\{x \in V(T) : v \in B_x\}$  induces a non-empty (connected) subtree of T. The *width* of  $\mathcal{T}$  is max $\{|B_x|: x \in V(T)\} - 1$ . The *treewidth* of a graph G, denoted by  $\mathsf{tw}(G)$ , is the minimum width of a tree-decomposition of G.

Consider a tree-decomposition  $\mathcal{T} = (B_x : x \in V(T))$  of a graph G. The *adhesion* of  $\mathcal{T}$  is  $\max\{|B_x \cap B_y|: xy \in E(T)\}$ . The *torso* of a bag  $B_x$  (with respect to  $\mathcal{T}$ ), denoted by  $G\langle B_x \rangle$ , is the graph obtained from the induced subgraph  $G[B_x]$  by adding edges so that  $B_x \cap B_y$  is a clique for each edge  $xy \in E(T)$ . We say  $\mathcal{T}$  is *rooted* if T is rooted. Then, for each  $x \in V(T)$ , a clique C in the torso  $G\langle B_x \rangle$  is a *child-adhesion clique* if there is a child y of x such that  $C \subseteq B_x \cap B_y$ .

A *path-decomposition* is a tree-decomposition in which the underlying tree is a path, simply denoted by the corresponding sequence of bags  $(B_1, \ldots, B_n)$ .

A graph H is a *minor* of a graph G if H is isomorphic to a graph that can be obtained from a subgraph of G by contracting edges. A graph G is H-minor-free if H is not a minor of G. A graph class  $\mathcal{G}$  is *minor-closed* if every minor of every graph in  $\mathcal{G}$  is in  $\mathcal{G}$ . A graph class is *proper* if it is not the class of all graphs. The graph minor structure theorem of Robertson and Seymour [26] shows that every  $K_t$ -minor-free graph has a tree-decomposition where each torso can be constructed using three ingredients: graphs on surfaces, vortices, and apex vertices. To describe this formally, we need the following definitions.

Let  $G_0$  be a graph embedded in a surface  $\Sigma$ . A closed disc D in  $\Sigma$  is  $G_0$ -clean if its only points of intersection with  $G_0$  are vertices of  $G_0$  that lie on the boundary of D. Let  $x_1, \ldots, x_b$  be the vertices of  $G_0$  on the boundary of D in the order around D. A *D*-vortex (with respect to  $G_0$ ) of a graph H is a path-decomposition  $(B_1, \ldots, B_b)$  of Hsuch that  $x_i \in B_i$  for each  $i \in [b]$ , and  $V(G_0 \cap H) = \{x_1, \ldots, x_b\}$ .

For integers  $g, p, a \ge 0$  and  $k \ge 1$ , a graph G is (g, p, k, a)-almost-embeddable if for some set  $A \subseteq V(G)$  with  $|A| \le a$ , there are graphs  $G_0, G_1, \ldots, G_p$  such that:

- $G A = G_0 \cup G_1 \cup \cdots \cup G_p$ ,
- $G_1, \ldots, G_p$  are pairwise vertex-disjoint,

- $G_0$  is embedded in a surface  $\Sigma$  of Euler genus at most g,
- there are p pairwise disjoint  $G_0$ -clean closed discs  $D_1, \ldots, D_p$  in  $\Sigma$ , and
- for  $i \in [p]$ , there is a  $D_i$ -vortex  $(B_1, \ldots, B_{b_i})$  of  $G_i$  of width at most k.

The vertices in A are called *apex* vertices—they can be adjacent to any vertex in G. A graph is  $\ell$ -almost-embeddable if it is (g, p, k, a)-almost-embeddable for some  $g, p, k, a \leq \ell$ .

We use the following version of the graph minor structure theorem, which is implied by a result of Diestel, Kawarabayashi, Müller, and Wollan [4, Theorem 4].

**Theorem 5** ([4]). For every integer  $t \ge 1$  there exists an integer  $k \ge 1$  such that every  $K_t$ -minor-free graph G has a rooted tree decomposition  $(B_x: x \in V(T))$  such that for every node  $x \in V(T)$ , the torso  $G\langle B_x \rangle$  is k-almost-embeddable and if  $A_x$  is the apex-set of  $G\langle B_x \rangle$ , then for every child-adhesion clique C of  $G\langle B_x \rangle$ , either  $C \setminus A_x$  is contained in a bag of a vortex of  $G\langle B_x \rangle$ , or  $|C \setminus A_x| \le 3$ .

The strong product of graphs A and B, denoted by  $A \boxtimes B$ , is the graph with vertexset  $V(A) \times V(B)$ , where distinct vertices  $(v, x), (w, y) \in V(A) \times V(B)$  are adjacent if v = w and  $xy \in E(B)$ , or x = y and  $vw \in E(A)$ , or  $vw \in E(A)$  and  $xy \in E(B)$ .

Let G be a graph. A partition of G is a collection  $\mathcal{P}$  of sets of vertices in G such that each vertex of G is in exactly one element of  $\mathcal{P}$ . Each element of  $\mathcal{P}$  is called a part. Empty parts are allowed. The width of  $\mathcal{P}$  is the maximum number of vertices in a part. The quotient of  $\mathcal{P}$  (with respect to G) is the graph, denoted by  $G/\mathcal{P}$ , whose vertices are the non-empty parts in  $\mathcal{P}$ , where distinct non-empty parts  $A, B \in \mathcal{P}$  are adjacent in  $G/\mathcal{P}$  if and only if some vertex in A is adjacent in G to some vertex in B. For a graph H, an *H*-partition of G is a partition  $\mathcal{P} = (\mathcal{P}_x \subseteq V(G) : x \in V(H))$  of G indexed by V(H), such that for each edge  $vw \in E(G)$ , if  $v \in \mathcal{P}_x$  and  $w \in \mathcal{P}_y$  then x = yor  $xy \in E(H)$ . That is,  $G/\mathcal{P}$  is contained in H. The following observation connects partitions and products.

**Observation 6** ([9]). For all graphs G and H and any integer  $p \ge 1$ , G is contained in  $H \boxtimes K_p$  if and only if G has an H-partition with width at most p.

A layering of a graph G is a partition  $\mathcal{P}$  of G, whose parts are ordered  $\mathcal{P} = (V_0, V_1, \ldots)$ such that for each edge  $vw \in E(G)$ , if  $v \in V_i$  and  $w \in V_j$  then  $|i-j| \leq 1$ . Equivalently, a layering is a P-partition for some path P. Consider a connected graph G. Let  $r \in V(G)$ and let  $V_i := \{v \in V(G) : \operatorname{dist}_G(v, r) = i\}$  for each  $i \geq 0$ . Then  $(V_0, V_1, \ldots)$  is a BFSlayering of G rooted at r. Let T be a spanning tree of G, where for each non-root vertex  $v \in V_i$  there is a unique edge vw in T for some  $w \in V_{i-1}$ . Then T is called a BFS-spanning tree of G. (These trees are a superset of the trees that can be generated by the breadth-first search algorithm.)

If T is a tree rooted at a vertex r, then a non-empty path P in T is *vertical* if the vertex of P closest to r in T is an end-vertex of P.

Many recent results show that certain graphs can be described as subgraphs of the strong product of a graph with bounded treewidth and a path [5, 7, 9, 11, 16, 17, 27]. For example, Distel et al. [5] proved the following result (building on the work of Dujmović et al. [9]).

**Lemma 7** ([5]). Every connected graph G of Euler genus at most g is contained in  $H \boxtimes P \boxtimes K_{\max\{2g,3\}}$  for some planar graph H with treewidth 3, and for some path P. In particular, for every rooted spanning tree T of G, there is a planar graph H with treewidth at most 3 and there is an H-partition  $\mathcal{P}$  of G such that each part of  $\mathcal{P}$  is a subset of the union of at most  $\max\{2g,3\}$  vertical paths in T.

# 3 Proof of Theorem 1

This section proves Theorem 1 for  $K_t$ -minor-free graphs, where the Graph Minor Structure Theorem is our main tool. We first prove an analogue of Theorem 1 for almostembeddable graphs with several additional properties that will be needed later.

**Lemma 8.** For integers  $g, p, a \ge 0$  and  $k, n \ge 1$  and  $d \ge 4$ , for every (g, p, k, a)almost embeddable n-vertex graph G with apex set A, there exists a set  $S \subseteq V(G)$  where  $|S| \le \frac{n}{d-3} + a$  such that G - S has an H-partition with width at most  $(2g + 4p + 3)(2\sqrt{(k+1)n} + d + 2k + 2)$ , where H is planar with treewidth at most 3. Moreover,  $A \subseteq S$  and any clique in a vortex of G is contained in at most two parts.

*Proof.* Let  $G_0, G_1, \ldots, G_p$  and  $D_1, \ldots, D_p$  be as in the definition of (g, p, k, a)-almost embeddable. Let  $G'_0$  be obtained from  $G_0$  as follows. Initialise  $G'_0 := G_0$  and add edges to  $G'_0$  so that it is connected and is still embedded in the same surface as  $G_0$ , and  $D_1, \ldots, D_p$  are  $G'_0$ -clean.

For each  $i \in [p]$ , modify  $G'_0$  as follows. Say the vertices around  $D_i$  are  $x_1, \ldots, x_b$ . In  $G'_0$ , add edges so that  $(x_1, \ldots, x_b)$  is a path, and add a vertex  $z_i$  into the disc  $D_i$  adjacent to  $x_1, \ldots, x_b$ . Note that since  $D_i$  was initially  $G'_0$ -clean for each  $i \in [p]$  and  $D_1, \ldots, D_p$ are pairwise disjoint, this can be done while maintaining an embedding of  $G'_0$  in the same surface as  $G_0$ .

Now apply the following operation for each  $i \in [p]$ . Let  $(B_1, \ldots, B_b)$  be a  $D_i$ -vortex of  $G_i$  with width at most k, where  $x_j \in B_j$  for each  $j \in [b]$ . Greedily find an increasing sequence of integers  $a_1, \ldots, a_{q+1}$  so that  $a_1 = 1$ ,  $a_{q+1} = b + 1$ , and for each  $j \in [q]$ , if  $Z_i := B_{a_1} \cup B_{a_2} \cup \cdots \cup B_{a_q}$  and  $Y_{i,j} := (B_{a_j+1} \cup B_{a_j+2} \cup \cdots \cup B_{a_{j+1}-1}) \setminus Z_i$ , then  $\left\lceil \sqrt{(k+1)n} \right\rceil \leqslant |Y_{i,j}| \leqslant \left\lceil \sqrt{(k+1)n} \right\rceil + k$  for each  $j \in [q-1]$  and  $|Y_{i,q}| \leqslant \left\lceil \sqrt{(k+1)n} \right\rceil + k$ . Note that  $n \geqslant (q-1)\sqrt{(k+1)n}$ , so  $|Z_i| \leqslant (k+1)q \leqslant (k+1)(n/\sqrt{(k+1)n}+1) = \sqrt{(k+1)n} + k + 1$ .

Every clique in  $G_i$  is contained in  $Y_{i,j} \cup Z_i$  for some  $j \in [q]$ . In  $G'_0$  contract the path  $(x_{a_j+1}, x_{a_j+2}, \ldots, x_{a_{j+1}-1})$  into a vertex  $y_{i,j}$ , for each  $j \in [q]$ . In  $G'_0$  contract the edge  $z_i x_{a_j}$  into  $z_i$  for each  $j \in [q]$ . Call the vertices  $y_{i,j}$  and  $z_i$  of  $G'_0$  special.

For each  $i \in [p]$ , let  $F'_i$  be some face of  $G'_0$  incident to  $z_i$ . If p = 0 then add a vertex r to  $G'_0$  adjacent to some vertex of  $G_0$ . If  $p \ge 1$  then for each  $i \in [p-1]$ , add a handle to the surface in which  $G'_0$  is embedded between  $F'_i$  and  $F'_{i+1}$ . The resulting embedding of  $G'_0$  has a single face F' incident to each of  $z_1, \ldots, z_p$ . Add a vertex r to  $G'_0$  adjacent to  $z_1, \ldots, z_p$ . Embed r and the edges incident to r in F'. Note that (for any value of p) the resulting surface has Euler genus at most  $g + 2 \max\{0, p-1\} \le g + 2p$ .

Let T be a BFS-spanning tree of  $G'_0$  rooted at r (which exists since  $G'_0$  is connected). Let  $(V_0, V_1, \ldots)$  be the corresponding BFS-layering of  $G'_0$ . So  $V_0 = \{r\}$ , and if  $p \ge 1$  then  $V_1 = \{z_1, \ldots, z_p\}$  and  $V_2$  contains all  $y_{i,j}$  vertices (possibly plus others). By Lemma 7 there is an H'-partition  $\mathcal{P}'$  of  $G'_0$  where H' is planar with treewidth at most 3 such that each part of  $\mathcal{P}'$  is a subset of the union of at most  $\max\{2g + 4p, 3\} \le 2g + 4p + 3$  vertical paths in T. Note that each vertical path in T has at most two special vertices (some  $z_i$  and some  $y_{i,j}$ ).

For each  $i \in [3, d-1]$ , let  $\hat{V}_i := V_i \cup V_{i+d} \cup V_{i+2d} \cup \cdots$ . Since  $|\hat{V}_3| + |\hat{V}_4| + \cdots + |\hat{V}_{d-1}| \leq n$ , there exists  $\ell \in [3, d-1]$  such that  $|\hat{V}_\ell| \leq n/(d-3)$ . Let  $S := \hat{V}_\ell \cup A$ . Then  $|S| \leq n/(d-3) + a$ .

Let  $V_i := \emptyset$  for i < 0, and for any integer  $j \ge 0$ , let  $\mathcal{P}'_j$  be the  $H'_j$ -partition of  $G'_0[V_{\ell+(j-1)d+1} \cup \cdots \cup V_{\ell+jd-1}]$  induced by  $\mathcal{P}'$ , where  $H'_j$  is a copy of H' (and  $H'_0, H'_1, \ldots$  are pairwise disjoint). Then  $\mathcal{P}'_j$  has width at most (2g + 4p + 3)d.

Let H be the disjoint union of  $H'_0, H'_1, \ldots$ . Then H is planar with treewidth at most 3. Now  $\mathcal{P}'_0 \cup \mathcal{P}'_1 \cup \ldots$  is an H-partition of  $G'_0 - S$  where each part is a subset of the union of at most (2g + 4p + 3) vertical paths of length at most d - 1 in T. Hence, the width of this partition is smaller than (2g + 4p + 3)d.

We now modify this partition of  $G'_0 - S$  into a partition of G - S. By construction (since  $\ell \ge 3$ ),  $\mathcal{P}'_0$  is a partition of  $G'_0[V_0 \cup V_1 \cup V_2 \cup \cdots \cup V_{\ell-1}]$ . In particular, each vertex  $y_{i,j}$  (which is in  $V_2$ ) is in some part X of  $\mathcal{P}'_0$ . Replace  $y_{i,j}$  in X by  $Y_{i,j}$ . Similarly, each vertex  $z_i$  (which is in  $V_1$ ) is in some part X of  $\mathcal{P}'_0$ . Replace  $z_i$  in X by  $Z_i$ . Remove rfrom the part of  $\mathcal{P}'_0$  that contains r. This defines an H-partition  $\mathcal{P}$  of G - S where every clique in a vortex of G is contained in at most two parts.

It remains to bound the width of  $\mathcal{P}$ . Let  $X \in \mathcal{P}$ . If X comes from  $\mathcal{P}'_j$  for some  $j \ge 1$ , then  $|X| \le (2g+4p+3)d$ . Now suppose X comes from  $\mathcal{P}'_0$ . Each vertical path in T has at most two special vertices (some  $z_i$  and some  $y_{i,j}$ ). The corresponding replacements contribute at most  $2\sqrt{(k+1)n} + 2k + 2$  vertices to X. Since X corresponds to the union of at most 2g + 4p + 3 vertical paths (before replacement) in T,

$$|X| \leq (2g+4p+3)d + (2g+4p+3)(2\sqrt{(k+1)n+2k+2})$$
  
=  $(2g+4p+3)(2\sqrt{(k+1)n}+d+2k+2).$ 

So  $\mathcal{P}$  has width at most  $(2g + 4p + 3)(2\sqrt{(k+1)n} + d + 2k + 2)$ , as required.

To handle tree-decompositions we need the following standard separator lemma. For a tree T rooted at  $r \in V(T)$ , the root of a subtree T' of T is the vertex in V(T')that is closest to r. A *weighted tree* is a tree T together with a weighting function  $\gamma: V(T) \to \mathbb{R}^+$ . The *weight* of a subtree T' of T is  $\sum_{v \in V(T')} \gamma(v)$ .

**Lemma 9.** For every integer  $q \ge 0$  and  $n \in \mathbb{R}^+$ , every weighted tree T with weight at most n has a set Z of at most q vertices such that each component of T - Z has weight at most  $\frac{n}{q+1}$ .

Proof. We proceed by induction on q. The q = 0 case holds trivially with  $Z = \emptyset$ . Now assume that  $q \ge 1$  and the result holds for q - 1. Root T at an arbitrary vertex r. For each vertex v, let  $T_v$  be the maximal subtree of T rooted at v. Let v be a vertex in Tfurthest from r such that  $T_v$  has weight greater than  $\frac{n}{q+1}$ . (If no such v exists, then Thas weight at most  $\frac{n}{q+1}$  and  $Z = \emptyset$  satisfies the claim). Let  $T' := T - V(T_v)$ . So T' has weight at most  $\frac{qn}{q+1}$ . By induction, T' has a set Z' of at most q - 1 vertices such that each component of T' - Z' has weight at most  $\frac{n}{q+1}$ . Let  $Z := Z' \cup \{v\}$ . By the choice of v, each component of  $T_v - v$  has weight at most  $\frac{n}{q+1}$ . Thus each component of T - Zhas weight at most  $\frac{n}{q+1}$ .

The next lemma handles tree-decompositions.

**Lemma 10.** Let  $a, b, k, n, w \ge 1$  be integers, and let G be an n-vertex graph that has a rooted tree-decomposition  $(B_x : x \in V(T))$  of adhesion at most k such that for each  $x \in V(T)$  there exists  $S_x \subseteq B_x$  such that:

- $|S_x| \leq |B_x|/\sqrt{n} + a$
- $G\langle B_x \rangle S_x$  has a  $J_x$ -partition  $\mathcal{P}_x$  of width at most b where  $\operatorname{tw}(J_x) \leqslant w$ ; and
- for every child-adhesion clique C of G⟨B<sub>x</sub>⟩, the set C \ S<sub>x</sub> is contained in at most w parts in P<sub>x</sub>.

Then G has an H-partition of width at most  $\max\{b, (a+2k+1)\lceil \sqrt{n}\rceil\}$  such that  $\operatorname{tw}(H) \leq w+1$ . Moreover, H contains a vertex  $\alpha$  such that  $\operatorname{tw}(H-\alpha) \leq w$ .

Proof. Let  $r \in V(T)$  be the root of T. For every node  $x \in V(T)$  with parent y, let  $X_x := B_x \cap B_y$  (where  $X_r = \emptyset$ ) and let  $B'_x := B_x - X_x$ . For each node  $x \in V(T)$ , let  $\gamma(x) = |B'_x|$ . Observe that  $(B'_x : x \in V(T))$  is a partition of V(G), so the total weight

equals n. By Lemma 9 with  $q := \lceil \sqrt{n} \rceil - 1$ , there is a set  $Z' \subseteq V(T)$  where  $|Z'| \leq q$  such that each component of T - Z' has total weight at most  $\frac{n}{q+1} \leq \sqrt{n}$ . Let  $Z := Z' \cup \{r\}$ . For each  $z \in Z$ , let  $T_z$  be the maximal subtree of T rooted at z such that  $T_z \cap Z = \{z\}$ . Let  $Q := \bigcup(X_z : z \in Z)$  and observe that  $|Q| \leq k(q+1) \leq k\sqrt{n}$ . For each  $z \in Z$ , let  $G_z := G[\bigcup(B'_x : x \in V(T_z))] - X_z$ . If there is an edge  $xy \in E(T)$ , where y is the parent of x, and  $x \in V(T_z)$  and  $y \in V(T_{z'})$  for some distinct  $z, z' \in Z$ , then x = z. Thus G - Qis the disjoint union of  $(G_z : z \in Z)$ .

**Claim.** For each  $z \in Z$ , there exists  $S_z \subseteq V(G_z)$  where  $|S_z| \leq |B_z|/\sqrt{n} + a$  such that  $G_z - S_z$  has an  $H_z$ -partition of width at most  $\max\{b, \sqrt{n}\}$  for some graph  $H_z$  with treewidth at most w.

Proof. Let  $T'_1, \ldots, T'_j$  be the components of  $T_z - z$ . For each  $j \in [f]$ , let  $C_j$  be the subgraph of  $G_z$  induced by  $(B'_x : x \in V(T'_j))$ . Note that  $V(G_z)$  is the disjoint union of  $B'_z, V(C_1), \ldots, V(C_f)$ . By Lemma 9,  $|V(C_j)| \leq |\bigcup(B'_x : x \in V(T'_j))| = \gamma(T'_j) \leq \sqrt{n}$ . By assumption, there is a set  $S_z \subseteq B_z$  where  $|S_z| \leq |B_z|/\sqrt{n} + a$  such that  $G\langle B_z \rangle - S_z$  has a  $J_z$ -partition  $\mathcal{P}'_z$  with width at most b where tw $(J_z) \leq w$ , and for every child-adhesion clique C in  $G\langle B_z \rangle, C \setminus S_z$  is contained in at most w parts in  $\mathcal{P}'_z$ . Let  $(W^{(z)}_x : x \in V(T^{(z)}))$  be a tree-decomposition of  $J_z$  with width at most w. Add  $V(C_1), \ldots, V(C_f)$  to the partition  $\mathcal{P}'_z$  to obtain a partition  $\mathcal{P}_z$  of  $G_z - S_z$  with quotient  $H_z$ . Then  $\mathcal{P}_z$  has width at most max $\{b, \sqrt{n}\}$ . For each  $j \in [f]$ , let  $\alpha_j \in V(H_z)$  be the vertex that indexes  $V(C_j)$  and let  $N_j$  be the neighbourhood of  $\alpha_j$ . Since the neighbourhood of  $C_j$  in  $G_z$  is a child-adhesion clique of  $G\langle B_z \rangle$ , it follows that  $N_j$  is a clique in  $J_z$  of size at most w. Thus there is a node  $x \in V(T^{(z)})$  such that  $N_j \subseteq W^{(z)}_x$ . Add a leaf node  $\ell$  adjacent to x and let  $W^{(z)}_\ell := N_j \cup \{\alpha_j\}$ . Repeat this procedure for all  $j \in [f]$  to obtain a tree-decomposition of  $H_z$  with width at most w.

Observe that

$$\sum_{z \in \mathbb{Z}} |B_z| \leq \sum_{z \in \mathbb{Z}} (|B'_z| + |X_z|) = \left(\sum_{z \in \mathbb{Z}} |B'_z|\right) + \left(\sum_{z \in \mathbb{Z}} |X_z|\right) \leq n + k|\mathbb{Z}|$$

Since  $|Q| \leq k|Z|$  and  $|Z| \leq q+1 = \lceil \sqrt{n} \rceil$ ,

$$|Q \cup (\bigcup S_z : z \in Z)| \leq |Q| + \sum_{z \in Z} \left( |B_z| / \sqrt{n} + a \right) \leq (k+a)|Z| + (n+k|Z|) / \sqrt{n}$$
$$< (2k+a+1) \lceil \sqrt{n} \rceil.$$

Let H be the graph obtained from the disjoint union of  $(H_z: z \in Z)$  by adding one dominant vertex  $\alpha$ . So tw $(H - \alpha) \leq w$  and tw $(H) \leq w + 1$ . By associating  $Q \cup (\bigcup S_z: z \in Z)$  with  $\alpha$ , we obtain an H-partition of G with width at most max $\{b, (a + 2k + 1) \lceil \sqrt{n} \rceil\}$ .

Proof of Theorem 1. Let G be an n-vertex  $K_t$ -minor-free graph. By Theorem 5, G has a rooted tree-decomposition  $(B_x : x \in V(T))$ , such that for each  $x \in V(T)$ , the torso  $G\langle B_x \rangle$  is k-almost-embeddable (for some k = k(t)), and if  $A_x$  is the apex-set of  $G\langle B_x \rangle$ , then for every child-adhesion clique C of  $G\langle B_x \rangle$ , either  $C \setminus A_x$  is contained in a vortex of  $G\langle B_x \rangle$ , or  $|C \setminus A_x| \leq 3$ . Dujmović, Morin, and Wood [10, Lemma 21] showed that every clique in a k-almost-embeddable graph has at most 9k vertices. So the adhesion of  $(B_x :$  $<math>x \in V(T)$ ) is at most 9k. We may assume that  $n \ge k$ . By Lemma 8 with  $d := \lceil \sqrt{n} \rceil + 3$ , for each torso  $G\langle B_x \rangle$  there exists a set  $S_x \subseteq B_x$  such that  $|S_x| \le \frac{|B_x|}{|\sqrt{n}|} + k \le \frac{|B_x|}{\sqrt{n}} + k$ and  $G\langle B_x \rangle - S_x$  has a  $J_x$ -partition  $\mathcal{P}_x$ , where tw $(J_x) \le 3$  and the width of  $\mathcal{P}_x$  is at most  $(2k + 4k + 3)(2\sqrt{(k+1)n} + \lceil \sqrt{n} \rceil + 3 + 2k + 2) \le (6k + 3) \cdot 9\sqrt{(k+1)n}$  (because  $n \ge k \ge 1$ ).

Moreover,  $A_x \subseteq S_x$  and any clique in a vortex of  $G\langle B_x \rangle$  is contained in at most two parts in  $\mathcal{P}_x$ . As such, for every child-adhesion clique C of  $G\langle B_x \rangle$ ,  $C \setminus S_x$  is contained in at most three parts of  $\mathcal{P}_x$ . By Lemma 10, G has an H-partition with width at most  $m := \max\{(6k+3) \cdot 9\sqrt{(k+1)n}, (k+18k+1)\lceil \sqrt{n}\rceil\} \leq (6k+3) \cdot 9\sqrt{(k+1)n}$ , where Hcontains a vertex  $\alpha$  such that tw $(H - \alpha) \leq 3$ . It therefore follows from Observation 6 that G is contained in  $H \boxtimes K_{|m|}$  where tw $(H) \leq 4$ .

# 4 Proof of Theorem 3

This section proves Theorem 3 for  $K_{3,t}$ -minor-free graphs, where we assume throughout that  $t \ge 1$ . We use the following extremal function for  $K_{3,t}^*$ -minor-free graphs by Kostochka and Prince [19]. Here  $K_{3,t}^*$  is the graph obtained from  $K_{3,t}$  by adding an edge between each pair of vertices in the side of the bipartition with three vertices.

**Lemma 11** ([19]). Every  $K_{3,t}^*$ -minor-free graph G satisfies  $|E(G)| \leq \alpha t |V(G)|$ , for some constant  $\alpha \geq 1$ .

The following notation will be useful in the proof of Theorem 3. For a graph G, an induced subgraph C of G, and sets  $X, Y \subseteq V(G)$  such that X, Y, V(C) are pairwise disjoint, let  $\kappa_G(X, C, Y)$  be the maximum number of vertex-disjoint paths in C, each with an endpoint in  $N_G(X) \cap C$  and an endpoint in  $N_G(Y) \cap C$ . By Menger's Theorem there is a set  $S \subseteq V(C)$  of size  $\kappa_G(X, C, Y)$  separating  $N_G(X) \cap C$  and  $N_G(Y) \cap C$  in C. If  $X = \{x\}$  then replace X by x in this notation, and similarly for Y.

The following lemma is the key to the proof of Theorem 3. Here  $\alpha$  is from Lemma 11.

**Lemma 12.** Let G be a  $K_{3,t}^*$ -minor-free graph on n vertices. Let X and Y be disjoint non-empty sets of vertices in G such that G[X], G[Y] and  $G[X \cup Y]$  are connected. Then there is a set  $S \subseteq V(G - X - Y)$  such that:

- $|N_G[S]| \leq t\sqrt{3\alpha n}$ ,
- $\kappa_G(X, C, Y) \leq t\sqrt{3\alpha n}$  for every component C of G X Y S, and
- $G[X \cup S]$  and  $G[Y \cup S]$  are connected.

Proof. Let  $Q_1, \ldots, Q_m$  be a maximum-size set of vertex-disjoint paths in G - X - Ybetween  $N_G(X) \setminus Y$  and  $N_G(Y) \setminus X$ . If m = 0, then the lemma holds trivially with  $S = \emptyset$ , thus we may assume  $m \ge 1$ . Define J to be the auxiliary graph with vertex set  $\{q_1, \ldots, q_m\}$  where  $q_i q_j \in E(J)$  whenever there is a path in G - X - Y joining  $Q_i$  and  $Q_j$ , and avoiding each  $Q_\ell$  with  $\ell \notin \{i, j\}$ .

Consider a component J' of J. Let  $(V_0, V_1, \ldots)$  be a BFS-layering of J'. So  $|V_0| = 1$ . We claim that  $|V_i| < t$  for each  $i \ge 1$ . Suppose for the sake of contradiction that  $|V_i| \ge t$  for some  $i \ge 1$ . Without loss of generality,  $q_1, \ldots, q_t \in V_i$ . Let A be the union of: (1) all paths  $Q_j$  corresponding to vertices in  $V_0 \cup \cdots \cup V_{i-1}$ , (2) all paths in G - X - Y corresponding to edges in  $J[V_0 \cup \cdots \cup V_{i-1}]$ , and (3) all paths in G - X - Y corresponding to edges in J between a vertex in  $V_{i-1}$  and  $q_1, \ldots, q_t$ , not including the vertex in  $Q_1 \cup \cdots \cup Q_t$ . By construction, A is a connected subgraph of G - X - Y disjoint from  $Q_1 \cup \cdots \cup Q_t$  and adjacent to each of  $Q_1, \ldots, Q_t$ . Each of  $A, Q_1, \ldots, Q_t$  intersect  $N_G(X)$  and  $N_G(Y)$ . Thus  $X, Y, A, Q_1, \ldots, Q_t$  form a  $K_{3,t}^*$ -model in G. This contradiction shows that  $|V_i| < t$  for each  $i \ge 0$ .

Concatenate the above-mentioned layerings of each component of J to obtain a layering  $(V_0, V_1, \ldots)$  of J with  $|V_i| < t$  for each i. Assign each vertex  $q_j$  in J a weight of  $|N_G[Q_j]|$ . The total weight is at most |V(G)| + 2|E(G)|, which by Lemma 11 is at most  $(2\alpha t + 1)n \leq 3\alpha tn$  since  $t \geq 1$ . Weight each set  $V_i$  by the total weight of the vertices in  $V_i$ . Let  $p := \lceil \sqrt{3\alpha n} \rceil$ . There exists  $i \in \{0, \ldots, p-1\}$  such that  $Z := \bigcup \{V_j : j \equiv i \pmod{p}\}$  has weight at most  $3\alpha tn/p \leq t\sqrt{3\alpha n}$ , and each component of J - Z has less than  $(p-1)t \leq t\sqrt{3\alpha n}$  vertices. Let  $S := \bigcup \{Q_i : q_i \in Z\}$ . By construction,  $|N_G[S]|$  is at most the weight of Z, which is at most  $t\sqrt{3\alpha n}$ . Moreover, since  $G[X \cup Q_i]$  and  $G[Y \cup Q_i]$  are connected for all  $i \in [m]$ , it follows that  $G[X \cup S]$  and  $G[Y \cup S]$  are connected.

Consider a component C of G - X - Y - S. Since each component of J - Z has at most  $t\sqrt{3\alpha n}$  vertices, the number of paths  $Q_i$  that pass through C is at most  $t\sqrt{3\alpha n}$ . By the choice of  $Q_1, \ldots, Q_m$ , we have  $\kappa_G(X, C, Y) \leq t\sqrt{3\alpha n}$ .

Theorem 3 follows from Observation 6 and the next lemma.

**Lemma 13.** Let G be a  $K_{3,t}^*$ -minor-free graph on n vertices. Let Q be a clique in G with  $|Q| \leq 2$  such that if  $Q = \{x, y\}$  with  $x \neq y$ , then  $\kappa_G(x, C, y) \leq 2t\sqrt{3\alpha n}$  for every component C of G - x - y, where  $\alpha$  is from Lemma 11. Then G has a partition  $\mathcal{P}$  with non-empty parts, with width at most  $\leq 4t\sqrt{3\alpha n}$ , with  $\operatorname{tw}(G/\mathcal{P}) \leq 2$ , and with  $\{v\} \in \mathcal{P}$ for each  $v \in Q$ . *Proof.* We proceed by induction on  $|V(G) \setminus Q|$ . The result is trivial if V(G) = Q. Now assume that  $V(G) \neq Q$ . If  $Q = \emptyset$  then the result follows by induction where  $Q := \{v\}$ and v is any vertex in G. Now assume that  $Q \neq \emptyset$ . If G is disconnected, then the result follows by applying induction in each component C of G with the clique  $Q \cap V(C)$ . Now assume that G is connected. First consider the case in which  $Q = \{x\}$ . Since Gis connected and  $V(G) \neq Q$ , there is a neighbour v of x. By Lemma 12 applied to  $(G, \{x\}, \{v\})$ , there is a set  $S \subseteq V(G - x - v)$  such that:

- $|N_G[S]| \leq t\sqrt{3\alpha n}$ ,
- $\kappa_G(x, C, v) \leq t\sqrt{3\alpha n}$  for each component C of G x v S, and
- $G[S \cup \{v\}]$  is connected.

Let G' be obtained from G by contracting  $S \cup \{v\}$  into a single vertex v'. So G'is  $K_{3,t}^*$ -minor-free and xv' is an edge of G'. For each component C' of G' - x - v', we have  $\kappa_{G'}(x, C', v') \leq \kappa_G(x, C', v) + |N_G[S]| \leq 2t\sqrt{3\alpha n}$ . Apply induction to G'and  $Q' := \{x, v'\}$  to obtain a partition  $\mathcal{P}'$  of G' of width at most  $4t\sqrt{3\alpha n}$  such that  $\operatorname{tw}(G'/\mathcal{P}') \leq 2$  and  $\{x\}, \{v'\} \in \mathcal{P}'$ . Let  $\mathcal{P}$  be the partition of G obtained from  $\mathcal{P}'$  by replacing  $\{v'\}$  by  $S \cup \{v\}$ . So  $\mathcal{P}$  has width at most  $\max\{4t\sqrt{3\alpha n}, |S|+1\} = 4t\sqrt{3\alpha n}$ and  $\{x\} \in \mathcal{P}$ . Since  $G/\mathcal{P} \cong G'/\mathcal{P}'$  we have  $\operatorname{tw}(G/\mathcal{P}) \leq 2$ .

Now consider the case in which |Q| = 2 and  $Q = \{x, y\}$ .

First, suppose that no component of G - x - y intersects  $N_G(x)$  and  $N_G(y)$ . Let  $G_x$  be the subgraph of G induced by  $\{x\}$  and the components of G - x - y that intersect  $N_G(x)$ . Let  $G_y$  be the subgraph of G induced by  $\{y\}$  and the components of G - x - y that intersect  $N_G(y)$ . By induction,  $G_x$  has a partition  $\mathcal{P}_x$  of width at most  $4t\sqrt{3\alpha n}$  such that tw $(G_x/\mathcal{P}_x) \leq 2$  and  $\{x\} \in \mathcal{P}_x$ . Similarly,  $G_y$  has a partition  $\mathcal{P}_y$  of width at most  $4t\sqrt{3\alpha n}$  such that tw $(G_y/\mathcal{P}_y) \leq 2$  and  $\{y\} \in \mathcal{P}_y$ . Let  $\mathcal{P} := \mathcal{P}_x \cup \mathcal{P}_y$ . So  $\mathcal{P}$  is a partition of G, and  $G/\mathcal{P}$  is obtained from the disjoint union of  $G_x/\mathcal{P}_x$  and  $G_y/\mathcal{P}_y$  by adding the edge  $\{x\}\{y\}$ . So tw $(G/\mathcal{P}) \leq 2$ .

Now assume that some component C of G - x - y intersects both  $N_G(x)$  and  $N_G(y)$ . By assumption,  $\kappa_G(x, C, y) \leq 2t\sqrt{3\alpha n}$ . By Menger's theorem, there exists  $S \subseteq V(C)$  such that  $|S| \leq 2t\sqrt{3\alpha n}$  and S separates  $N_G(x) \cap V(C)$  and  $N_G(y) \cap V(C)$  in C. Choose Sto be minimal. Observe that  $S \neq \emptyset$ . No component of C - S intersects both  $N_G(x)$ and  $N_G(y)$ . Let  $D_x$  be the union of the components of C - S that intersect  $N_G(x)$ . Let  $D_y$  be the union of the components of C - S that intersect  $N_G(y)$ . Let F be the union of the components of C - S that intersect  $N_G(y)$ . Let F be  $G_C := G[(V(C) \cup \{x, y\}) \setminus V(F)].$ 

Let  $Y := \{y\} \cup V(D_y) \cup S$ . By the minimality of S, G[Y] is connected, and  $G[\{x\} \cup Y]$  is connected since  $xy \in E(G)$ . By Lemma 12 applied to  $(G_C, \{x\}, Y)$ , there is a set  $S_x \subseteq V(G_C - x - Y) = V(D_x)$  such that:

- $|N_{G_C}[S_x]| \leq t\sqrt{3\alpha n},$
- $\kappa_{G_C}(x, C', Y) \leq t\sqrt{3\alpha n}$  for every component C' of  $D_x S_x$ , and
- $G_C[S_x \cup Y]$  is connected.

Let  $G_x$  be the graph obtained from  $G_C$  by contracting  $S_x \cup Y$  into a single vertex z. Thus  $G_x$  is  $K_{3,t}^*$ -minor-free and xz is an edge of  $G_x$ . Consider a component C' of  $G_x - x - z$ . Then C' is a component of  $D_x - S_x$ , and

$$\kappa_{G_x}(x,C',z) = \kappa_{G_C}(x,C',S_x \cup Y) \leqslant \kappa_{G_C}(x,C',Y) + |N_{G_C}[S_x]| \leqslant 2t\sqrt{3\alpha n}.$$

By induction,  $G_x$  has a partition  $\mathcal{P}_x$  of width at most  $4t\sqrt{3\alpha n}$  such that  $\operatorname{tw}(G_x/\mathcal{P}_x) \leq 2$ and  $\{x\}, \{z\} \in \mathcal{P}_x$ . (Note that  $|V(G_x)| < |V(G)|$  since  $S \neq \emptyset$ , so we may apply induction.)

Let  $X := \{x\} \cup V(D_x) \cup S$ . By a symmetric argument to the above, there is a set  $S_y \subseteq V(D_y)$  such that:

- $|N_{G_C}[S_y]| \leq t\sqrt{3\alpha n},$
- $\kappa_{G_C}(y, C', X) \leq t\sqrt{3\alpha n}$  for every component C' of  $D_y S_y$ , and
- $G_C[S_y \cup X]$  is connected.

Let  $G_y$  be the graph obtained from  $G_C$  by contracting  $S_y \cup X$  into a single vertex z. Thus  $G_y$  is  $K_{3,t}^*$ -minor-free and yz is an edge of  $G_y$ . By a symmetric argument,  $G_y$  has a partition  $\mathcal{P}_y$  of width at most  $4t\sqrt{3\alpha n}$  such that  $\operatorname{tw}(G_y/\mathcal{P}_y) \leq 2$  and  $\{y\}, \{z\} \in \mathcal{P}_y$ .

Note that  $G[X \cup Y]$  is connected. Let  $G_F$  be the graph obtained from  $G[\{x, y\} \cup V(C)]$ by contracting  $X \cup Y$  into a single vertex z. So  $V(G_F) = \{z\} \cup V(F)$ , and  $G_F$  is  $K_{3,t}^*$ -minor-free. By induction,  $G_F$  has a partition  $\mathcal{P}_F$  of width at most  $4t\sqrt{3\alpha n}$  such that  $\operatorname{tw}(G_F/\mathcal{P}_F) \leq 2$  and  $\{z\} \in \mathcal{P}_F$ .

Let G' := G - V(C). So G' is  $K^*_{3,t}$ -minor-free, and xy is an edge of G'. By induction, G' has a partition  $\mathcal{P}'$  of width at most  $4t\sqrt{3\alpha n}$  such that  $\operatorname{tw}(G'/\mathcal{P}') \leq 2$  and  $\{x\}, \{y\} \in \mathcal{P}'$ .

Let  $\mathcal{P}$  be the partition of G obtained from  $\mathcal{P}_x \cup \mathcal{P}_y \cup \mathcal{P}_F \cup \mathcal{P}'$  by replacing each of the three instances of  $\{z\}$  by  $S \cup S_x \cup S_y$ . The width of  $\mathcal{P}$  is at most  $4t\sqrt{3\alpha n}$ . Note that  $G/\mathcal{P}$  is obtained by pasting the four graphs  $G_x/\mathcal{P}_x$ ,  $G_y/\mathcal{P}_y$ ,  $G_F/\mathcal{P}_F$  and  $G'/\mathcal{P}'$  on the triangle  $\{x\}, \{y\}, S \cup S_x \cup S_y$ , where each of the four graphs contains vertices in two of  $\{x\}, \{y\}$  and  $S \cup S_x \cup S_y$ . Thus  $G/\mathcal{P}$  is obtained from graphs of treewidth at most 2 by pasting on edges. Hence tw $(G/\mathcal{P}) \leq 2$  and  $\{x\}, \{y\} \in \mathcal{P}$ .

# 5 Open Problems

It is an intriguing open problem to determine  $f(\mathcal{G})$  for a given proper minor-class  $\mathcal{G}$ . It is possible that  $f(\mathcal{G}) \leq 2$  for every minor-closed class  $\mathcal{G}$ . This is open even when  $\mathcal{G}$  is the class of  $K_5$ -minor-free graphs [18]. Let  $\mathcal{A}$  be the class of apex graphs<sup>2</sup>, which is minor-closed. It is open whether  $f(\mathcal{A}) \leq 2$ . This is equivalent to the following open problem (which would strengthen Theorem 2): for every *n*-vertex planar graph G, does there exist an apex-forest<sup>3</sup> H such that G is contained in  $H \boxtimes K_m$  where  $m \in \mathcal{O}(\sqrt{n})$ ?

It is also open whether treewidth can be replaced by pathwidth in Theorems 1 to 3. That is, for a proper minor-closed class  $\mathcal{G}$ , are there integers k, c such that every *n*-vertex graph in  $\mathcal{G}$  is contained in  $H \boxtimes K_m$ , for some graph H with pathwidth at most k, where  $m \leq c\sqrt{n}$ ? Two pieces of evidence suggest a positive answer. First, *n*-vertex graphs in a proper minor-closed class have pathwidth  $\mathcal{O}(\sqrt{n})$ ; see [2]. Second, if  $\mathcal{G}$  has bounded treewidth, then the answer is 'yes' with k = 1, since Dvořák and Wood [13] showed that *n*-vertex graphs in  $\mathcal{G}$  have *H*-partitions of width  $\mathcal{O}(\sqrt{n})$  where *H* is a star, which has pathwidth 1. This question is open for planar graphs.

Analogous questions are interesting and open for several non-minor-closed classes [13].

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<sup>&</sup>lt;sup>2</sup>A graph H is *apex* if H - v is planar for some vertex v of H.

<sup>&</sup>lt;sup>3</sup>A graph H is an *apex forest* if H - v is a forest for some vertex v of H.

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