

## **Acknowledgements**

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## **Contents**

Highlights1
Background1
Methods1
Findings2
Limitations
References
<b>Figure:</b> Rate of reported foodborne disease outbreaks per one million population and number of outbreaks, by state and confirmed and suspected etiology4
Table 1: Foodborne disease outbreaks, outbreak-associated illnesses, and hospitalizations,         by etiology
Table 2a: Foodborne disease outbreaks and outbreak-associated illnesses, by food category
<b>Table 2b:</b> Most common pathogen-food category pairs resulting in outbreaks,         outbreak-associated illnesses, hospitalizations, and deaths
Table 3a: Foodborne disease outbreaks and outbreak-associated illnesses, by location of food preparation       9
<b>Table 3b:</b> Foodborne disease outbreaks and outbreak-associated illnesses, by confirmed etiology and location of food preparation
<b>Table 3c:</b> Foodborne disease outbreaks and outbreak-associated illnesses, by suspected etiology and location of food preparation       11
Table 4: Multistate foodborne disease outbreaks
Appendix: Foodborne disease outbreaks by etiology and contributing factors

## **Highlights**

- In 2016, 839 foodborne disease outbreaks were reported, resulting in 14,259 illnesses, 875 hospitalizations, 17 deaths, and 18 food product recalls.
- Norovirus was the most common cause of confirmed, single-etiology outbreaks, accounting for 145 (36%) outbreaks and 3,794 (42%) illnesses. Salmonella was the next most common cause, accounting for 132 (33%) outbreaks and 3,047 (33%) illnesses, followed by Shiga toxin-producing Escherichia coli, which caused 25 (6%) confirmed, single-etiology outbreaks and 366 (4%) illnesses.
- Fish (26 outbreaks), mollusks (21), and dairy (19) were the most common single food categories implicated. The most outbreak-associated illnesses were from mollusks (529 illnesses), pork (438), and grains and beans (383).
- As reported in previous years, restaurants (459 outbreaks, 61% of outbreaks reporting a single location of preparation), specifically restaurants with sit-down dining (363, 48%), were the most commonly reported locations of food preparation associated with outbreaks.

## **Background**

Foodborne diseases due to known pathogens are estimated to cause 9.4 million illnesses each year in the United States.¹ Although relatively few of these illnesses occur in the setting of a recognized outbreak, data collected during outbreak investigations provide insight into the pathogens and foods that cause illness. Public health officials, regulatory agencies, and the food industry can use these data to inform efforts to prevent foodborne disease.

#### **Methods**

An outbreak of foodborne disease is defined as the occurrence of two or more cases of a similar illness resulting from ingestion of a common food. CDC conducts surveillance for foodborne disease outbreaks in the United States through the Foodborne Disease Outbreak Surveillance System. Public health agencies in all 50 states, Washington, D.C., and U.S. territories

submit reports of outbreaks investigated by their agencies using a web-based reporting platform, the National Outbreak Reporting System (NORS) (http:// www.cdc.gov/nors/). This annual summary includes foodborne disease outbreaks reported by February 7, 2018, in which the first illness onset occurred in 2016. Agencies use a standard form (https://www.cdc. gov/nors/downloads/form-52-13.pdf) to report foodborne disease outbreaks. Data requested for each outbreak include the reporting state; date of first illness onset; number of illnesses, hospitalizations, and deaths; etiology; implicated food(s) and ingredient(s); locations of food preparation; and factors contributing to food contamination (see appendix). The form also allows for reporting the reason(s) a particular food is suspected as the source; five choices are provided (http://www.cdc.gov/nors/downloads/ guidance.pdf). All foods implicated were included in analyses, regardless of the reasons suspected. Implicated foods were classified into 1 of 24 singlefood categories if a single contaminated ingredient was identified or if all ingredients belonged to that category.<sup>2,3</sup> Outbreaks attributed to foods that could not be assigned to one of these categories, or for which the report contained insufficient information for category assignment, were not attributed to a category. Reported etiologies were grouped as bacterial, chemical or toxin, parasitic, or viral. Etiologic agents were classified as confirmed if predefined criteria were met;<sup>4</sup> otherwise, they were labeled suspected. In some outbreaks, the etiologic agent was not identified. If more than one agent was reported in an outbreak, it was categorized as a confirmed or suspected multiple etiology outbreak. Multistate outbreaks are defined as outbreaks in which exposure to the implicated food occurred in more than one state or territory. Implicated foods in multistate outbreaks were classified as confirmed or suspected based on epidemiologic, traceback, and laboratory evidence. A food was considered the confirmed source of a multistate outbreak if two types of evidence were obtained, while a food was considered suspected if only one type of evidence was available.

Population-based outbreak reporting rates were calculated for each state using U.S. Census Bureau estimates of the 2016 state populations (<a href="http://www.census.gov/popest">http://www.census.gov/popest</a>). Multistate outbreaks were included in state population-based outbreak reporting rates by assigning one outbreak to each state that reported a case in the outbreak.

## **Findings**

During 2016, 839 foodborne disease outbreaks were reported (Table 1), resulting in 14,259 illnesses, 875 hospitalizations, and 17 deaths. Outbreaks were reported by public health officials from 50 states, Puerto Rico, and Washington, D.C., (Figure). The median reporting rate per million population was 3.6 outbreaks; rates ranged from 0.8 in Texas to 11.2 in Hawaii.

#### **Etiologic Agents**

A single etiologic agent was confirmed in 399 (48%) outbreaks (Table 1), which resulted in 9,123 (64%) illnesses. Bacteria caused the most outbreaks (219 outbreaks, 55%), followed by viruses (149, 37%), chemicals (26, 7%), and parasites (5, 1%). Norovirus was the most common cause of confirmed, singleetiology outbreaks, accounting for 145 (36%) outbreaks and 3,794 (42%) illnesses. Salmonella was the next most common cause, accounting for 132 (33%) outbreaks and 3,047 (33%) illnesses. Among the 125 confirmed Salmonella outbreaks with a serotype reported, Enteritidis was the most common (21 outbreaks, 17%), followed by I 4,[5],12:i:- (13, 10%), Newport (13, 10%), and Typhimurium (13, 10%). Shiga toxin-producing *Escherichia coli* (STEC) caused 25 confirmed, single-etiology outbreaks, of which 19 (76%) were caused by serogroup O157. Of the 9,123 outbreak-associated illnesses caused by a single confirmed etiologic agent, 812 (9%) resulted in hospitalization (Table 1). Among confirmed, single-etiology outbreaks, Salmonella caused the most outbreak-associated hospitalizations (456 hospitalizations, 56%), followed by hepatitis A virus (HAV) (141, 17%) and STEC (98, 12%). Outbreaks caused by Clostridium botulinum resulted in the highest proportion of ill persons hospitalized (100%), followed by Listeria monocytogenes (93%) and HAV (31%). Among the 17 deaths reported, 14 (82%) were attributed to bacterial etiologies (*Listeria monocytogenes* [3], *Salmonella* [3], STEC [3], *Clostridium botulinum* [2], *Clostridium perfringens* [1], *Staphylococcus aureus* [1], and *Vibrio cholera* [1]). Two deaths were attributed to HAV and one death had an unknown etiology.

#### **Food Categories Implicated**

A food source was reported for 323 (38%) outbreaks. In 180 (56%) of these outbreaks, the food could be classified into a single category (Table 2a). The categories most commonly implicated were fish (26 outbreaks, 14%), mollusks (21, 12%), dairy (19, 11%), and pork (18, 10%). All dairy outbreaks were due to unpasteurized products. The most outbreak-associated illnesses were from mollusks (529 illnesses), pork (438), grains and beans (383), and chicken (356).

## **Etiologic Agents and Food Category Pairs**

The pathogen-food category pairs responsible for most outbreaks were scombroid toxin (histamine) in fish (12 outbreaks), ciguatoxin in fish (11), and *Salmonella* in chicken (8) (Table 2b). The pathogen-food category pairs responsible for the most illnesses in outbreaks were *Bacillus cereus* in grains and beans (348 illnesses), *Salmonella* in chicken (307), and HAV in mollusks (281). The pathogen-food category pairs responsible for the most hospitalizations in outbreaks were HAV in mollusks (71 hospitalizations), HAV in fruits (57), and *Salmonella* in beef (44). Deaths were reported for the following pathogen-food category pairs: HAV in mollusks (2 deaths), *Listeria monocytogenes* in dairy (2), *Salmonella* in chicken (1), *Vibrio cholerae* in mollusks (1), and *Salmonella* in beef (1).

#### **Location of Food Preparation**

Among the 751 outbreaks and 12,622 illnesses with a reported single location where food was prepared, 459 outbreaks (61%) and 5,353 associated illnesses (42%) were attributed to foods prepared in a restaurant (Table 3a, Table 3b, and Table 3c). Among these outbreaks, sitdown dining restaurants were the type of facility most commonly reported (363 outbreaks, 48%).

#### **Recalls**

Eighteen outbreaks resulted in product recalls. The foods recalled following a single state outbreak were oysters (3 outbreaks), cheese, cilantro, frozen scallops, masa dough, raw milk, and seaweed and sea asparagus (1 each). Beef (2 outbreaks), alfalfa sprouts, cucumbers, frozen strawberries, hazelnuts, pistachios, raw milk, and shell eggs (1 each) were recalled in multistate outbreaks.

# Multistate Outbreaks with First Identified Illness Onset during 2016

Thirty-nine multistate outbreaks (5% of all outbreaks) were reported (Table 4), resulting in 1,055 illnesses (7% of illnesses), 283 hospitalizations (32% of hospitalizations), and eight deaths (47% of deaths). These outbreaks involved a median of six states (range: 2–22). Twenty-eight outbreaks were caused by Salmonella; the most frequent serotypes were Enteritidis (4 outbreaks), Newport (4), and Javiana (3). Seven multistate outbreaks were caused by STEC, six of which were due to serogroup O157. Two outbreaks were caused by *Listeria*, one was caused by HAV, and one was caused by norovirus. The food sources for multistate Salmonella outbreaks were cucumber (2 outbreaks), cantaloupe, chicken, eggs, ground beef, hazelnuts, nuts, peppers, pistachios, prepackaged leafy greens, and salad mix. In addition, alfalfa sprouts, avocado, bean sprouts, chicken, munq bean sprouts, onion, and pork were suspected sources. A food was not identified for nine outbreaks caused by Salmonella. The food sources for STEC outbreaks were beef (two outbreaks) and alfalfa sprouts; iceberg lettuce was suspected in one STEC outbreak, and three did not have a food identified. One of the Listeria outbreak investigations implicated unpasteurized soft cheese and the other did not identify a food. The food implicated in the HAV outbreak was strawberries and the norovirus outbreak had an unidentified food.

## Multistate Outbreaks Spanning Multiple Years

Nine multistate outbreaks investigated in 2016 were not included in the 2016 tally because the first outbreak-associated illness occurred before 2016. Four were caused by *Salmonella*; the implicated foods

were chia seed powder (first illness in 2014), alfalfa seeds and sprouts (first illness in 2015), cucumber (first illness in 2015), and moringa leaf powder (first illness in 2015). Three outbreaks were caused by *Listeria*. The implicated food in one outbreak was prepackaged lettuce. In addition, hummus (first illness is 2013) and smoked fish (first illness in 2014) were suspected sources in two *Listeria* outbreaks. STEC caused the remaining two outbreaks; the implicated food was flour (first illness in 2015) in one outbreak and pizza dough mix (first illness in 2015) was the suspected source in the other outbreak.

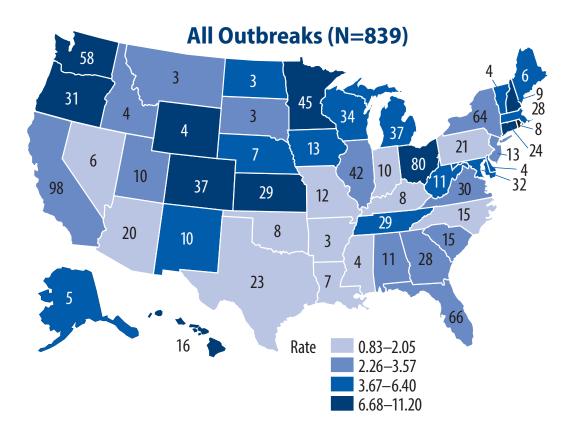
#### Limitations

The findings in this report have at least three limitations. First, only a small proportion of foodborne illnesses that occur each year are identified as being associated with outbreaks. The extent to which the distribution of food vehicles and locations of preparation implicated in outbreaks reflect the same vehicles and locations as sporadic foodborne illnesses is unknown. Second, many outbreaks had an unknown etiology, an unknown food vehicle, or both, and conclusions drawn from outbreaks with a confirmed etiology or food vehicle might not apply to other outbreaks. Finally, CDC's outbreak surveillance system is dynamic. Agencies can submit new reports and change or delete reports as information becomes available. Therefore, the results of this analysis might differ from those in other reports.

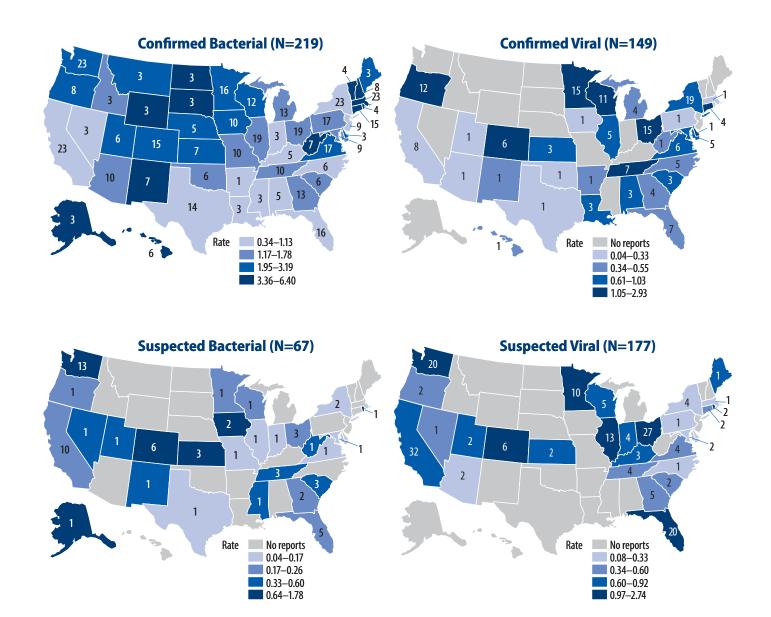
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**Figure:** Rate of reported foodborne disease outbreaks per one million population\* and number of outbreaks,<sup>†</sup> by state<sup>‡</sup> and confirmed and suspected etiology<sup>§</sup> — Foodborne Disease Outbreak Surveillance System, United States, 2016.



Note: Full data table for map at https://www.cdc.gov/fdoss/files/All-Outbreaks-2016.csv



Note: Data table for confirmed bacterial map at https://www.cdc.gov/fdoss/files/Confirmed-Bacterial-2016.csv

Data table for confirmed viral map at https://www.cdc.gov/fdoss/files/Confirmed-Viral-2016.csv

Data table for suspected bacterial map at https://www.cdc.gov/fdoss/files/Suspected-Bacterial-2016.csv

Data table for suspected viral map at https://www.cdc.gov/fdoss/files/Suspected-Viral-2016.csv

<sup>\*</sup>Cut points for outbreak rate categories determined using quartiles. Legend differs for each map. Overlap in quartile ranges are due to rounding.

<sup>†</sup>Reported outbreaks in each state. Puerto Rico reported seven outbreaks and Washington, D.C., reported one outbreak (not shown).

<sup>†</sup>Includes 39 multistate outbreaks (i.e., outbreaks in which exposure occurred in more than one state) assigned as an outbreak to each state involved. Multistate outbreaks involved a median of six states (range: 2–22).

<sup>&</sup>lt;sup>§</sup>Guidelines for reporting agencies are to consider an etiology confirmed if it meets confirmation criteria (<a href="https://www.cdc.gov/foodsafety/outbreaks/">https://www.cdc.gov/foodsafety/outbreaks/</a> investigating-outbreaks/confirming\_diagnosis.html); otherwise, it is considered suspected. Agents that are not listed in confirmation criteria or that are not known to cause illness are sometimes reported as confirmed or suspected etiologies.

**Table 1:** Foodborne disease outbreaks, outbreak-associated illnesses, and hospitalizations, by etiology (confirmed or suspected)\*—Foodborne Disease Outbreak Surveillance System, United States, 2016.

		No. Ou	tbreaks			No. III	nesses		No. Hospitalizations					
Etiology	CE	SE	Total	%	CE	SE	Total	%	CE	SE	Total	%		
Bacterial														
Salmonella <sup>†</sup>	132	3	135	21	3047	34	3081	25	456	0	456	54		
Clostridium perfringens	18	12	30	5	512	244	756	6	3	5	8	1		
Escherichia coli, Shiga toxin-producing (STEC)†	25	2	27	4	370	16	386	3	98	5	103	12		
Campylobacter <sup>§</sup>	18	7	25	4	152	35	187	2	17	1	18	2		
Bacillus cereus	6	13	19	3	340	301	641	5	0	0	0	0		
Staphylococcus aureus enterotoxin	4	10	14	2	173	64	237	2	17	4	21	2		
Vibrio parahaemolyticus	3	3	6	1	13	6	19	0	2	0	2	0		
Vibrio cholerae	4	1	5	1	8	6	14	0	4	0	4	0		
Shigella <sup>¶</sup>	2	1	3	0	21	3	24	0	2	0	2	0		
Clostridium botulinum	1	1	2	0	2	3	5	0	2	2	4	0		
Listeria monocytogenes	2	0	2	0	15	0	15	0	14	0	14	2		
Escherichia coli, Enteroinvasive	0	1	1	0	0	23	23	0	0	1	1	0		
Staphylococcus spp	1	0	1	0	16	0	16	0	5	0	5	1		
Escherichia coli, Enteroaggregative	1	0	1	0	10	0	10	0	0	0	0	0		
Brucella spp	1	0	1	0	3	0	3	0	3	0	3	0		
Vibrio other	1	0	1	0	2	0	2	0	0	0	0	0		
Other	0	13	13	2	0	62	62	1	0	0	0	0		
Subtotal	219	67	286	44	4684	797	5481	45	623	18	641	76		
hemical and toxin														
Scombroid toxin/Histamine	12	0	12	2	35	0	35	0	0	0	0	0		
Ciguatoxin	11	0	11	2	33	0	33	0	3	0	3	0		
Mycotoxins	1	0	1	0	7	0	7	0	0	0	0	0		
Other	2	1	3	0	66	9	75	1	0	0	0	0		
Subtotal	26	1	27	4	141	9	150	1	3	0	3	0		
arasitic														
Cryptosporidium	2	0	2	0	9	0	9	0	0	0	0	0		
Trichinella	2	0	2	0	8	0	8	0	1	0	1	0		
Giardia	0	1	1	0	0	25	25	0	0	0	0	0		
Cyclospora	1	0	1	0	15	0	15	0	0	0	0	0		
Subtotal	5	1	6	1	32	25	57	0	1	0	1	0		
'iral														
Norovirus	145	177	322	50	3794	2140	5934	49	44	17	61	7		
Hepatitis A virus	3	0	3	0	457	0	457	4	141	0	141	17		
Sapovirus	1	0	1	0	15	0	15	0	0	0	0	0		
Subtotal	149	177	326	51	4266	2140	6406	53	185	17	202	24		
ingle etiology**	399	246	645	77	9123	2971	12094	85	812	35	847	97		
Multiple etiologies confirmed or suspected <sup>††</sup>	4	13	17	2	87	377	464	3	2	2	4	0		
Jnknown etiology <sup>‡‡</sup>	0	177	177	21	0	1701	1701	12	0	24	24	3		
<b>Total</b>	403	436	839	100	9210	5049	14259	100	814	61	875	100		

**Abbreviations:** CE = confirmed etiology; SE = suspected etiology.

<sup>\*</sup> Guidelines for reporting agencies are to consider an etiology confirmed if it meets confirmation criteria (https://www.cdc.gov/foodsafety/outbreaks/investigating-outbreaks/confirming\_diagnosis.html); otherwise, it is considered suspected. Agents that are not listed in confirmation criteria or that are not known to cause illness are sometimes reported as confirmed or suspected etiologies.

<sup>†</sup> Salmonella serotypes causing more than five outbreaks were Enteritidis (21 outbreaks), I 4,[5],12:i:- (14), Typhimurium (13), Newport (13), Saintpaul (8), and Javiana (7).

<sup>\*</sup> STEC serogroups O157 (20 outbreaks), multiple serogroups (2), O5 (1), O121 (1), O111 (1), O26 (1), and unknown serogroup (1).

<sup>§</sup> Campylobacter jejuni (14 outbreaks), Campylobacter unknown species (9), Campylobacter coli (1), and Campylobacter multiple species (1).

<sup>1</sup> Shigella sonnei (3 outbreaks).

<sup>\*\*</sup> The denominator for the etiology percentages is the single etiology total. The denominator for the single etiology, multiple etiologies, and unknown etiology is the total.

Because of rounding, numbers might not add up to the single etiology total or the total.

<sup>††</sup> If at least two etiologies are confirmed in an outbreak, it is considered a confirmed multiple etiology outbreak; otherwise it is considered a suspected multiple etiology outbreak.

<sup>&</sup>lt;sup>#</sup> An etiologic agent was not confirmed or suspected based on clinical, laboratory, or epidemiologic information.

**Table 2a:** Foodborne disease outbreaks and outbreak-associated illnesses, by food category\*—Foodborne Disease Outbreak Surveillance System, United States, 2016.

	No. Ou	tbreaks	No. Illr	nesses
Food Category*	Total	%	Total	%
Aquatic animals				
Crustaceans	6	3	118	3
Mollusks <sup>†</sup>	21	12	529	14
Fish	26	14	82	2
Other aquatic animals	2	1	8	0
Subtotal	55	31	737	20
Land animals				
Dairy <sup>‡</sup>	19	11	252	7
Eggs	6	3	161	4
Beef	11	6	340	9
Pork	18	10	438	12
Other meat (sheep, goat, etc.)	1	1	50	1
Chicken	17	9	356	9
Turkey	3	2	62	2
Game	1	1	3	0
Subtotal	76	42	1662	44
Plants				
Oils and sugars	1	1	12	0
Fungi	1	1	7	0
Sprouts	5	3	104	3
Root and other underground vegetables§	3	2	118	3
Seeded vegetables <sup>1</sup>	6	3	106	3
Herbs	1	1	96	3
Vegetable row crops**	7	4	144	4
Fruits <sup>††</sup>	10	6	347	9
Grains and beans#	8	4	383	10
Nuts and seeds <sup>§§</sup>	3	2	22	1
Subtotal	45	25	1339	35
Other	11	6	247	6
Food reported, attributed to a single food category <sup>11</sup>	180	21	3776	26
Food reported, not attributed to a single food category	143	17	2939	21
No food reported	516	62	7544	53
Total <sup>99</sup>	839	100	14259	100

<sup>\*</sup> Interagency Food Safety Analytics Collaboration (IFSAC) food categorization scheme:  $\underline{\text{http://www.cdc.gov/foodsafety/ifsac/projects/completed.html}}.$ 

 $<sup>^{\</sup>scriptscriptstyle \dagger}$  Bivalve mollusks (20 outbreaks) and non-bivalve mollusks (1).

<sup>&</sup>lt;sup>‡</sup> Unpasteurized dairy products (19 outbreaks).

<sup>§</sup> Bulbs (1 outbreak), roots (1), and tubers (1).

<sup>1</sup> Vine-grown seeded vegetables (4 outbreaks), other seeded vegetables (1), and solanaceous seeded vegetables (1).

<sup>\*\*</sup> Leafy vegetables (6 outbreaks) and vegetable row crops not further classified (1).

<sup>&</sup>lt;sup>††</sup> Sub-tropical fruits (3 outbreaks), fruits not further classified (2), melons (2), small fruits (2), and pome fruits (1).

<sup>#</sup> Grains (5 outbreaks) and beans (3).

<sup>§§</sup> Nuts (3 outbreaks).

<sup>15</sup> The denominator for the food category percentages is the "food reported, attributed to a single food category" total. The denominator for the "food reported attributed to a single food category," "food reported, not attributed to a single food category," and "no food reported" is the total. Because of rounding, numbers might not add up to the "food reported, attributed to a single food category" total or the total.

**Table 2b:** Most common pathogen-food category pairs resulting in outbreaks, outbreak-associated illnesses, hospitalizations, and deaths—Foodborne Disease Outbreak Surveillance System, United States, 2016.

Top 5 pathogen-food category pai	rs resulting in outbreak	S			
Etiology	Food Category*	No. Outbreaks	No. Illnesses	No. Hospitalizations	No. Deaths
Scombroid toxin/histamine	Fish	12	35	0	0
Ciguatoxin	Fish	11	33	3	0
Salmonella	Chicken	8	307	42	1

	1 1311		55	3	· ·	
Salmonella	Chicken	8	307	42	1	
Campylobacter	Dairy	7	57	5	0	
Norovirus	Mollusks	6	209	3	0	
Salmonella	Pork	6	96	12	0	
Vibrio parahaemolyticus	Mollusks	6	19	2	0	

#### Top 5 pathogen-food category pairs resulting in outbreak-associated illnesses

Etiology	Food Category*	No. Outbreaks	No. Illnesses	No. Hospitalizations	No. Deaths
Bacillus cereus	Grains and beans	5	348	0	0
Salmonella	Chicken	8	307	42	1
Hepatitis A virus	Mollusks	1	281	71	2
Norovirus	Mollusks	6	209	3	0
Staphylococcus aureus enterotoxin	Pork	4	170	18	0

#### Top 5 pathogen-food category pairs resulting in outbreak-associated hospitalizations

Etiology	Food Category*	No. Outbreaks	No. Illnesses	No. Hospitalizations	No. Deaths
Hepatitis A virus	Mollusks	1	281	71	2
Hepatitis A virus	Fruits	1	137	57	0
Salmonella	Beef	2	110	44	1
Salmonella	Chicken	8	307	42	1
Salmonella	Dairy	4	138	23	0

#### Pathogen-food category pairs resulting in outbreak-associated deaths

Etiology	Food Category*	No. Outbreaks	No. Illnesses	No. Hospitalizations	No. Deaths
Hepatitis A virus	Mollusks	1	281	71	2
Listeria monocytogenes	Dairy	1	10	9	2
Salmonella	Chicken	8	307	42	1
Vibrio cholerae	Mollusks	3	6	3	1
Salmonella	Beef	2	110	44	1

Interagency Food Safety Analytics Collaboration (IFSAC) food categorization scheme: http://www.cdc.gov/foodsafety/ifsac/projects/completed.html.

**Table 3a:** Foodborne disease outbreaks and outbreak-associated illnesses, by location of food preparation—Foodborne Disease Outbreak Surveillance System, United States, 2016.

	No. Ou	tbreaks	No. Illi	nesses
Location	Total	%	Total	%
Restaurant	459	61	5353	42
Sit-down dining	363	48	4139	33
Fast-food	62	8	768	6
Buffet	16	2	240	2
Other or unknown type	24	3	374	3
Multiple types	10	1	72	1
Catering or banquet facility	102	14	3116	25
Private home	76	10	895	7
Institutional location	21	3	1377	11
School	8	1	785	6
Prison or jail	5	1	437	3
Camp	4	1	56	0
Day Care	2	0	46	0
Office or indoor workplace	2	0	53	0
Other location	7	1	113	1
Other commercial location	40	5	723	6
Grocery store	15	2	239	2
Fair, festival, or temporary mobile service	13	2	290	2
Farm or dairy	11	1	192	2
Other	1	0	2	0
Hospital or nursing home	16	2	412	3
Nursing home	16	2	412	3
Other private location	7	1	234	2
Place of worship	6	1	224	2
Other	1	0	10	0
Hotel or motel	7	1	159	1
Single location*	751	90	12622	89
Multiple locations	40	5	830	6
Unknown location	48	6	807	6
Total	839	100	14259	100

<sup>\*</sup> The denominator for the location percentages is the single location total. The denominator for the single location, multiple locations, and unknown location is the total. Because of rounding, numbers might not add up to the single location total or the total.

**Table 3b:** Foodborne disease outbreaks and outbreak-associated illnesses, by confirmed etiology\* and location of food preparation<sup>†</sup>—Foodborne Disease Outbreak Surveillance System, United State, 2016.

	ban	ing or quet ility	Resta	urant	comn	her nercial ition	Hospi nurs ho	sing		utional ition		vate me	Other private location		Other location	
Etiology	NO	NI	NO	NI	NO	NI	NO	NI	NO	NI	NO	NI	NO	NI	NO	NI
Bacterial																
Salmonella	6	255	53	794	11	363	3	11	10	507	17	310	2	51	1	2
Clostridium perfringens	3	106	9	255	_‡	-	-	-	_	-	3	72	1	35	-	-
Escherichia coli, Shiga toxin-producing	1	10	7	46	4	60	-	-	-	-	2	14	-	-	-	-
Campylobacter	2	20	8	85	4	37	-	_	_	-	3	7	-	_	-	_
Bacillus cereus	1	39	2	187	-	-	-	-	2	110	1	4	-	-	-	-
Staphylococcus aureus enterotoxin	2	157	1	4	-	-	-	-	_	-	1	12	-	-	-	-
Vibrio parahaemolyticus	-	-	-	-	1	2	-	-	_	-	-	-	-	-	-	-
Vibrio cholerae	-	-	2	4	1	2	_	-	_	-	_	-	-	-	_	-
Shigella	2	21	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Clostridium botulinum	_	-	-	-	_	-	-	-	-	-	1	2	-	-	_	_
Listeria monocytogenes	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Escherichia coli, Enteroinvasive	-	-	_	-	-	-	-	-	_	-	_	-	-	-	-	-
Staphylococcus spp	-	-	1	16	-	-	-	-	-	-	-	-	-	-	-	-
Escherichia coli, Enteroaggregative	-	-	_	-	1	10	_	-	_	-	_	-	-	-	_	-
Brucella spp	-	-	-	-	-	-	-	-	-	-	1	3	-	-	-	-
Vibrio other	-	-	1	2	-	-	-	-	_	-	-	-	-	_	_	-
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Subtotal	17	608	84	1393	22	474	3	11	12	617	29	424	3	86	1	2
Chemical and toxin																
Scombroid toxin/histamine	-	-	9	29	-	-	-	-	-	-	3	6	-	-	-	-
Ciguatoxin	-	-	_	-	1	2	-	-	_	-	9	25	-	-	-	-
Mycotoxins	-	-	_	-	-	-	-	-	-	-	1	7	-	-	-	-
Other	1	64	_	-	-	-	_	-	_	-	_	-	-	-	1	2
Subtotal	1	64	9	29	1	2	-	-	-	-	13	38	-	-	1	2
Parasitic																
Cryptosporidium	-	-	-	-	2	9	-	-	-	-	-	-	-	-	-	-
Trichinella	-	_	_	_	-	_	_	_	_	-	2	8	-	_	_	-
Giardia	-	-	-	-	-	-	-	_	_	-	-	-	-	_	_	-
Cyclospora	-	_	1	15	-	_	_	_	_	-	-	_	-	_	_	-
Subtotal	-	_	1	15	2	9	-	-	_	-	2	8	-	-	-	_
Viral																
Norovirus	28	1051	85	1426	2	93	5	187	2	529	5	60	2	100	3	112
Hepatitis A virus	-	-	2	418	-	-	-	-	-	-	1	39	-	-	-	-
Sapovirus	-	-	1	15	-	-	-	-	-	-	-	-	-	-	-	-
Subtotal	28	1051	88	1859	2	93	5	187	2	529	6	99	2	100	3	112
Single etiology	46	1723	182	3296	27	578	8	198	14	1146	51	581	5	186	6	128
Multiple etiologies confirmed <sup>§</sup>	-	-	2	22	-	-	-	-	-	-	2	65	-	-	_	-
Total	46	1723	184	3318	27	578	8	198	14	1146	53	646	5	186	6	128

**Abbreviations:** NO = number of outbreaks; NI = number of illnesses; CE = confirmed etiology, SE = suspected etiology.

<sup>\*</sup> Guidelines for reporting agencies are to consider an etiology confirmed if it meets confirmation criteria (<a href="https://www.cdc.gov/foodsafety/outbreaks/investigating-outbreaks/confirming\_diagnosis.html">https://www.cdc.gov/foodsafety/outbreaks/investigating-outbreaks/confirming\_diagnosis.html</a>); otherwise, it is considered suspected. Agents that are not listed in confirmation criteria or that are not known to cause illness are sometimes reported as confirmed or suspected etiologies.

<sup>†</sup> Reported locations were grouped as follows: catering or banquet facility, restaurant, other commorcial location, hospital or nursing home, other institutional location, private home, other private location, and other location (see Table 3a).

<sup>&</sup>lt;sup>‡</sup> No outbreaks in the data reported fall into this category.

f lf at least two etiologies are confirmed in an outbreak, it is considered a confirmed multiple etiology outbreak; otherwise it is considered a suspected multiple etiology outbreak.

**Table 3c:** Foodborne disease outbreaks and outbreak-associated illnesses, by suspected etiology\* and location of food preparation—Foodborne Disease Outbreak Surveillance System, United State, 2016.

	bar	ring or quet cility	Resta	urant	comm	her nercial tion	nur	ital or sing me		utional ition		rate me	priv	her vate ation		her ition
Etiology	NO	NI	NO	NI	NO	NI	NO	NI	NO	NI	NO	NI	NO	NI	NO	NI
Bacterial																
Salmonella	1	25	1	7	- <sup>‡</sup>	-	-	-	_	-	-	-	-	-	_	-
Clostridium perfringens	7	121	2	11	1	11	_	-	_	-	1	17	_	_	_	-
Escherichia coli, Shiga toxin-producing	_	_	_	-	_	_	_	-	_	_	2	16	_	-	_	-
Campylobacter			2	6	1	6	-	_	_	_	1	15	-	_	-	-
Bacillus cereus	3	260	9	38	-	-	_	-	_	-	-	-	-	-	_	-
Staphylococcus aureus enterotoxin	-	-	7	23	-	-	_	-	_	-	2	29	_	-	1	12
Vibrio parahaemolyticus	-	-	2	4	-	-	_	-	_	-	1	2	-	-	_	-
Vibrio cholerae	-	-	1	6	-	-	_	-	_	-	-	-	-	-	_	-
Shigella	-	-	-	-	-	-	_	-	1	3	-	-	-	-	_	-
Clostridium botulinum	-	-	-	-	-	-	_	-	_	-	1	3	_	-	_	-
Listeria monocytogenes	-	-	-	-	-	-	_	-	-	-	-	-	-	-	_	-
Escherichia coli, Enteroinvasive	1	23	_	-	-	-	_	-	_	-	-	-	-	-	_	-
Staphylococcus spp	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Escherichia coli, Enteroaggregative	-	-	-	_	-	-	_	-	_	-	_	-	_	_	_	-
Brucella spp	-	-	-	-	-	-	_	-	-	-	-	-	-	-	_	-
Vibrio other	-	-	_	-	_	-	_	-	_	-	_	-	_	-	_	_
Other	1	26	11	34	1	2	-	-	-	-	-	-	-	-	_	-
Subtotal	13	455	35	129	3	19	_	-	1	3	8	82	_	-	1	12
hemical and toxin																
Scombroid toxin/histamine	-	-	-	-	-	-	-	-	-	-	-	-	-	-	_	-
Ciguatoxin	-	-	-	-	_	-	_	-	_	-	_	-	_	-	_	-
Mycotoxins	-	-	-	-	-	-	-	-	-	-	-	-	-	-	_	-
Other	1	9	_	-	_	-	_	-	_	-	-	-	-	-	_	-
Subtotal	1	9	-	-	-	-	-	-	-	-	-	-	-	-	-	-
arasitic																
Cryptosporidium	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Trichinella	-	-	_	-	_	-	-	-	_	-	_	-	_	-	_	-
Giardia	-	-	-	-	-	-	_	-	_	-	-	-	_	-	_	-
Cyclospora	_	-	_	-	-	-	_	-	_	-	_	-	_	-	_	-
Subtotal	-	-	-	-	-	-	_	-	_	-	_	-	_	-	_	-
/iral																
Norovirus	14	459	127	1058	6	86	3	121	3	94	5	51	1	8	6	121
Hepatitis A virus	-	-	-	-	-	-	-	_	-	-	-	-	_	-	_	-
Sapovirus	-	-	-	-	-	-	-	_	-	-	_	-	-	-	-	-
Subtotal	14	459	127	1058	6	86	3	121	3	94	5	51	1	8	6	121
ingle etiology	28	923	163	1392	9	105	3	121	4	97	13	133	1	8	7	133
Aultiple etiologies suspected <sup>§</sup>	2	17	5	32	_	_	_	_	1	68	2	28	_	_	_	_
Jnknown etiology¹	26	453	107	611	4	40	5	93	2	66	8	88	1	40	1	11
Total	56	1393	275		13	145	8	214	7	231	23	249	2	48	8	144

 $\textbf{Abbreviations:} \ NO = \text{number of outbreaks;} \ NI = \text{number of illnesses;} \ CE = \text{confirmed etiology,} \ SE = \text{suspected etiology.}$ 

<sup>\*</sup>Guidelines for reporting agencies are to consider an etiology confirmed if it meets confirmation criteria (https://www.cdc.gov/foodsafety/outbreaks/investigating-outbreaks/confirming\_diagnosis.html); otherwise, it is considered suspected. Agents that are not listed in confirmation criteria or that are not known to cause illness are sometimes reported as confirmed or suspected etiologies.

<sup>†</sup> Reported locations were grouped as follows: catering or banquet facility, restaurant, other commorcial location, hospital or nursing home, other institutional location, private home, other private location, and other location (see Table 3a).

<sup>&</sup>lt;sup>‡</sup> No outbreaks in the data reported fall into this category.

<sup>&</sup>lt;sup>5</sup> If at least two etiologies are confirmed in an outbreak, it is considered a confirmed multiple etiology outbreak; otherwise it is considered a suspected multiple etiology outbreak.

An etiologic agent was not confirmed or suspected based on clinical, laboratory, or epidemiologic information.

**Table 4:** Multistate foodborne disease outbreaks—Foodborne Disease Outbreak Surveillance System, United States, 2016.

Implicated food\* Month of first No. No. No. No. states illness onset illnesses hospitalizations deaths Name Confirmed **Etiology** involved Recall 2 0 9 Pistachios **January** Salmonella Montevideo; Senftenberg 11 Yes Yes Shiga toxin-producing E. coli **January** 11 2 0 2 Alfalfa sprouts Yes Yes O157:NM (H-) 0 March Salmonella Sundsvall 6 No food reported No March Salmonella Oslo 14 3 0 8 Cucumbers Yes No Salmonella Goldcoast 12 0 5 Pork No April No 0 April Shiga toxin-producing E. coli O5 4 0 4 No food reported No 21 **April** Salmonella Bareilly 0 No food reported No 6 Salmonella Braenderup 32 0 14 Mung bean sprouts April No No April Salmonella Enteritidis 0 0 2 Prepackaged leafy greens Yes No April Salmonella Oranienburg 8 2 0 3 Shell Eggs Yes Yes Hepatitis A virus 137 57 9 May 0 Strawberries Yes Yes Salmonella Reading; Abony 7 0 9 Alfalfa sprouts May 36 Yes No May Salmonella Enteritidis 59 0 19 Avocado No No Salmonella Anatum 32 8 0 9 No May **Peppers** Yes Salmonella Javiana 29 6 0 8 May Onion No No Salmonella Enteritidis 28 7 0 6 Salad mix Yes No June June Salmonella Minnesota 10 0 8 Cantaloupe Yes No 3 Salmonella Javiana 17 0 6 No food reported No June June Shiga toxin-producing E. coli O157:H7 14 5 0 3 Beef Yes Yes June Salmonella Saintpaul 10 3 0 3 Cucumber Yes Yes 11 4 0 3 Iceberg lettuce June Shiga toxin-producing E. coli O157:H7 No No Salmonella | 4,[5],12:i:-5 2 0 2 June Nuts No No Shiga toxin-producing E. coli O157 11 0 Ground beef June Yes Yes July Salmonella | 4,[5],12:i:-64 16 0 13 Chicken Yes No July 45 9 19 No food reported Salmonella Javiana 0 No July Salmonella Saintpaul 70 12 0 11 Chicken No No **August** 12 4 0 5 Salmonella Newport No food reported No **August** Salmonella Newport 53 9 1 17 No food reported No 5 5 September Listeria monocytogenes 3 No food reported No Unpasteurized soft September 10 9 2 5 Yes Listeria monocytogenes Yes cheese 2 No September Shiga toxin-producing E. coli O157:H7 20 6 No food reported October Salmonella Enteritidis 20 3 0 7 Bean sprouts No No October 107 43 Salmonella Newport 22 Ground beef Yes No October Salmonella Typhimurium 6 0 2 Hazelnuts Yes Yes October Shiga toxin-producing E. coli O157 13 10 5 No food reported No November Salmonella Montevideo 19 2 0 6 No food reported No November Salmonella Newport 25 9 0 8 No food reported No 5 0 December Salmonella Goldcoast 16 14 No food reported No December Norovirus GII.4 untypeable 45 0 0 2 No No food reported

<sup>\*</sup> Implicated foods in multistate outbreaks are further classified as confirmed or suspected based on epidemiologic, traceback, and laboratory evidence. A food is considered the confirmed source if two types of evidence are obtained, while a food is considered suspected if only one type of evidence is available.

**Appendix Table 1:** Foodborne disease outbreaks by confirmed etiology\* and contributing factors†—Foodborne Disease Outbreak Surveillance System, United States, 2016.

	Contamination Factors															Outbreaks with reported	Total	
Etiology	<b>C</b> 1	C2	<b>C</b> 3	C4	C5	C6	С7	C8	C9	C10	C11	C12	C13	C14	C15	≥1 factor reported	contributing factors	outbreak
Bacterial																		
Salmonella	1	_§	-	-	-	12	11	3	21	3	3	2	3	4	4	41	48	132
Clostridium perfringens	1	_	-	_	-	2	-	-	1	-	-	_	-	3	2	9	15	18
Escherichia coli, Shiga toxin-producing	1	_	-	-	-	1	5	-	5	1	-	1	-	4	2	11	12	25
Campylobacter	-	-	-	-	-	2	7	1	2	1	1	1	-	-	1	10	10	18
Bacillus cereus	-	-	-	-	-	1	-	-	-	-	-	-	-	-	-	1	5	6
Staphylococcus aureus enterotoxin	-	-	-	_	-	1	-	-	2	-	-	-	-	-	1	3	4	4
Vibrio parahaemolyticus	-	_	-	-	-	-	1	1	-	-	-	-	-	-	-	1	2	3
Vibrio cholerae	_	-	-	-	-	-	3	-	-	-	-	-	-	-	-	3	3	4
Shigella	_	-	-	-	-	-	1	-	1	-	-	-	-	-	-	1	1	2
Clostridium botulinum	_	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	1
Listeria monocytogenes	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2
Escherichia coli, Enteroinvasive	-	_	-	_	-	_	_	-	-	_	-	_	-	-	_	-	_	-
Staphylococcus spp	-	-	_	_	-	_	-	-	-	1	-	-	-	-	-	1	1	1
Escherichia coli, Enteroaggregative	-	_	-	-	-	-	-	-	-	-	-	_	-	-	-	-	-	1
Brucella spp	1	_	_	_	_	-	-	_	_	-	_	_	_	_	-	1	1	1
Vibrio other	-	-	-	_	-	_	-	-	1	-	-	-	-	1	1	1	1	1
Other	_	_	_	_	_	_	_	_	_	_	_	_	_	-	_	-	-	-
Subtotal	4	_	_	_	_	19	28	5	33	6	4	4	3	12	11	83	104	219
Chemical and toxin																		
Scombroid toxin/histamine	9	_	_	_	-	_	2	-	1	_	-	_	_	_	_	10	10	12
Ciguatoxin	11	-	_	_	_	_	-	_	_	-	_	_	_	_	-	11	11	11
Mycotoxins	_	_	1	_	_	_	_	_	_	_	_	_	_	-	_	1	1	1
Other	-	_	_	1	_	_	_	_	_	_	_	_	_	_	1	1	1	2
Subtotal	20	_	1	1	_	_	2	_	1	_	_	_	_	_	1	23	23	26
Parasitic																		
Cryptosporidium	_	_	_	_	_	_	2	_	_	_	_	_	_	_	_	2	2	2
Trichinella	1	_	_	_	_	1	_	_	_	_	_	_	_	_	_	2	2	2
Giardia	_	_	_	_	_	_	_	_	_	_	_	_	_	_	_	-	_	-
Cyclospora	_	_	_	_	_	_	_	_	_	_	_	_	_	_	_	_	_	1
Subtotal	1	_	_	_	_	1	2	_	_	_	_	_	_	_	_	4	4	5
 Viral																		
Norovirus	1	-	-	-	-	1	6	2	2	32	24	38	10	1	3	83	84	145
Hepatitis A virus	_	_	_	_	_	_				_			1		_	3	3	3
Sapovirus	_	-	_	-	-	-	-	-	_	-	-	-	-	-	-	=	=	1
Subtotal	1	_		_		1	8	2	3	32	24	38	11	1	3	86	87	149
Single etiology	_	_	1	1	_							42				196	218	399
Multiple etiologies confirmed	_	_										1				2	2	4
Total												43				198	220	403

**Appendix Table 2:** Foodborne disease outbreaks by confirmed etiology\* and contributing factors†—Foodborne Disease Outbreak Surveillance System, United States, 2016.

		Proliferation/Amplification Factors													Total
tiology		P2	Р3	P4	P5	P6	<b>P7</b>	P8	Р9	P10	P11	P12	≥1 factor reported	with reported contributing factors	outbreaks
Bacterial															
Salmonella	21	10	3	5	6	_§	7	6	_	1	1	2	35	48	132
Clostridium perfringens	7	5	-	2	6	-	10	7	-	-	-	1	14	15	18
Escherichia coli, Shiga toxin-producing	4	1	1	1	3	1	1	2	-	-	-	-	5	12	25
Campylobacter	1	-	-	1	-	-	-	1	_	-	-	1	2	10	18
Bacillus cereus	2	-	-	-	1	-	-	-	_	-	-	-	2	5	6
Staphylococcus aureus enterotoxin	2	2	1	-	-	-	-	-	-	-	-	-	4	4	4
Vibrio parahaemolyticus	-	-	-	-	-	-	-	-	-	-	-	1	1	2	3
Vibrio cholerae	_	1	-	-	-	-	1	-	-	-	-	-	2	3	4
Shigella	-	1	-	-	-	-	-	-	-	-	-	-	1	1	2
Clostridium botulinum	-	-	-	-	-	-	-	-	-	1	1	-	1	1	1
Listeria monocytogenes	_	-	-	-	-	-	-	-	-	-	-	-	-	-	2
Escherichia coli, Enteroinvasive	_	-	-	-	-	-	-	-	-	-	-	-	-	-	_
Staphylococcus spp	-	-	-	-	-	1	-	1	-	-	-	-	1	1	1
Escherichia coli, Enteroaggregative	_	-	-	-	-	-	-	-	-	-	-	-	-	-	1
Brucella spp	1	-	-	-	-	-	-	-	-	-	-	-	1	1	1
Vibrio other	_	-	-	-	-	-	-	-	-	-	-	-	-	1	1
Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Subtotal	38	20	5	9	16	2	19	17	-	2	2	5	69	104	219
Chemical and toxin															
Scombroid toxin/histamine	_	-	-	2	2	-	-	-	-	-	-	-	4	10	12
Ciguatoxin	_	-	-	-	-	-	-	-	-	-	-	-	-	11	11
Mycotoxins	-	-	-	-	-	-	-	-	-	-	-	-	-	1	1
Other	_	-	-	-	-	-	-	-	-	-	-	-	-	1	2
Subtotal	-	-	-	2	2	-	-	-	-	-	-	-	4	23	26
Parasitic															
Cryptosporidium	-	-	-	-	-	-	-	-	-	-	-	-	-	2	2
Trichinella	-	-	-	-	-	-	-	-	-	-	-	-	-	2	2
Giardia	_	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Cyclospora	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1
Subtotal	-	-	-	-	-	-	-	-	-	-	-	-	-	4	5
Viral															
Norovirus	-	1	-	-	-	-	-	-	-	-	-	-	1	84	145
Hepatitis A virus	-	1	-	-	-	-	-	-	-	-	-	-	1	3	3
Sapovirus	-	-	-	-	-	-	-	-	-	-	-	-	-	=	1
Subtotal	-	2	_		_	_				_		_	2	87	149
Single etiology	38	22	5	11	18	2	19	17	_	2	2	5	75	218	399
Multiple etiologies confirmed	-	-	-	-	-	_	_	_	_	-	-	-	_	2	4
Total	38	22	5	11	18	2	19	17	_	2	2	5	75	220	403

**Appendix Table 3:** Foodborne disease outbreaks by confirmed etiology\* and contributing factors—Foodborne Disease Outbreak Surveillance System, United States, 2016.

			Survi	Outbreaks with reported				
Etiology	<b>S</b> 1	<b>S2</b>	<b>S</b> 3	<b>S4</b>	<b>S</b> 5	≥1 factor reported	contributing factors	Total outbreaks
Bacterial					,			
Salmonella	14	6	1	3	4	23	48	132
Clostridium perfringens	2	8	1	_§	2	10	15	18
Escherichia coli, Shiga toxin-producing	2	1	-	2	4	7	12	25
Campylobacter	4	-	-	-	-	4	10	18
Bacillus cereus	1	2	-	-	1	4	5	6
Staphylococcus aureus enterotoxin	1	-	-	-	1	2	4	4
Vibrio parahaemolyticus	1	-	-	-	-	1	2	3
Vibrio cholerae	1	_	-	_	1	2	3	4
Shigella	-	-	-	-	-	-	1	2
Clostridium botulinum	1	-	-	-	-	1	1	1
Listeria monocytogenes	-	-	-	-	-	-	-	2
Escherichia coli, Enteroinvasive	_	-	-	-	-	-	-	-
Staphylococcus spp	-	-	-	-	-	-	1	1
Escherichia coli, Enteroaggregative	-	-	-	-	-	-	_	1
Brucella spp	-	-	-	-	1	1	1	1
Vibrio other	_	-	-	-	-	-	1	1
Other	-	-	-	-	-	-	-	-
Subtotal	27	17	2	5	14	55	104	219
Chemical and toxin								
Scombroid toxin/histamine	1	-	-	-	-	1	10	12
Ciguatoxin	_	-	-	-	-	-	11	11
Mycotoxins	-	-	-	-	-	-	1	1
Other	_	-	_	-	-	-	1	2
Subtotal	1	-	-	-	-	1	23	26
Parasitic								
Cryptosporidium	-	-	-	-	-	-	2	2
Trichinella	2	-	-	-	-	2	2	2
Giardia	-	-	-	-	-	-	_	-
Cyclospora	-	-	-	-	-	-	_	1
Subtotal	2	-	-	-	-	2	4	5
Viral								
Norovirus	2	1	-	2	4	7	84	145
Hepatitis A virus	-	-	-	-	-	-	3	3
Sapovirus	-	-	-	-	-	=	-	1
Subtotal	2	1	-	2	4	7	87	149
Single etiology	32	18	2	7	18	65	218	399
Multiple etiologies confirmed	1	-	_	=	=	1	2	4
Total	33	18	2	7	18	66	220	403

**Appendix Table 4:** Foodborne disease outbreaks by suspected etiology\* and contributing factors†—Foodborne Disease Outbreak Surveillance System, United States, 2016.

		Contamination Factors													Outbreaks with reported	Total		
Etiology	<b>C</b> 1	C2	<b>C</b> 3	3 C4	C5	C6	<b>C7</b>	C8	C9	C10	C11	C12	C13	<b>C</b> 14	C15	≥1 factor reported	contributing factors	outbreak
Bacterial																		
Salmonella	_6	-	-	-	-	-	-	-	1	_	-	-	-	-	-	1	1	3
Clostridium perfringens	1	-	-	-	-	1	-	_	-	-	-	1	-	1	1	3	7	12
Escherichia coli, Shiga toxin-producing	-	-	-	-	_	_	1	_	-	_	-	_	-	-	_	1	1	2
Campylobacter	-	-	-	-	-	1	1	-	1	-	-	_	-	-	-	3	3	7
Bacillus cereus	1	-	-	-	-	1	-	_	2	-	-	_	-	1	1	6	9	13
Staphylococcus aureus enterotoxin	-	-	_	-	-	-	-	-	1	1	-	-	1	-	-	3	6	10
Vibrio parahaemolyticus	_	_	-	-	-	-	1	1	-	_	_	-	-	-	-	1	3	3
Vibrio cholerae	-	-	_	-	_	-	1	-	_	_	-	-	_	-	_	1	1	1
Shigella	-	-	-	-	-	_	-	_	-	_	-	_	-	1	_	1	1	1
Clostridium botulinum	-	-	-	-	-	-	-	-	-	-	-	-	-	-	_	_	1	1
Listeria monocytogenes	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	=	-
Escherichia coli, Enteroinvasive	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1
Staphylococcus spp	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Escherichia coli, Enteroaggregative	-	-	_	-	-	-	-	-	-	_	-	-	-	-	-	-	-	_
Brucella spp	-	-	-	-	-	-	-	-	-	-	-	-	-	-	_	-	-	-
Vibrio other	-	-	_	-	-	-	-	-	-	-	-	_	-	-	_	-	-	-
Other	_	_	-	-	-	_	-	_	2	-	_	_	-	-	2	3	7	13
Subtotal	2	-	_	-	-	3	4	1	7	1	-	1	1	3	4	23	40	67
hemical and toxin																		
Scombroid toxin/histamine	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Ciguatoxin	-	-	-	-	-	_	-	_	-	-	-	-	-	-	_	-	-	-
Mycotoxins	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other	-	-	-	-	-	_	-	_	-	-	-	-	-	-	-	-		1
Subtotal	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1
Parasitic																		
Cryptosporidium	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Trichinella	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Giardia	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1
Cyclospora	-	-	-	-	-	_	-	_	-	-	-	-	-	-	-	-	-	-
Subtotal	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1
/iral																		
Norovirus	-	-	-	-	-	-	-	-	8	35	30	18	7	8	20	71	72	177
Hepatitis A virus	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sapovirus	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Subtotal	-	-	-	-	-	-	-	-	8	35	30	18	7	8	20	71	72	177
ingle etiology	2	-	-	-	-	3	4	1	15	36	30	19	8	11	24	94	112	246
Multiple etiologies suspected	1	_	_	_	_	1	_	_	_	_	_	_	_	_	2	4	5	13
Unknown etiology <sup>¶</sup>	2	-	-	-	-	1	2	1	10	9	5	2	3	7	7	29	42	177
Total	5	_	_	_	_	5	6		25	45	25	21	11	10	22	127	159	436

**Appendix Table 5:** Foodborne disease outbreaks by suspected etiology\* and contributing factors†—Foodborne Disease Outbreak Surveillance System, United States, 2016.

		Proliferation/Amplification Factors													Total
tiology		P2	Р3	P4	P5	P6	<b>P7</b>	P8	Р9	P10	P11	P12	≥1 factor reported	with reported contributing factors	outbreak
Bacterial															
Salmonella	1	_§	-	-	-	-	-	-	-	-	-	-	1	1	3
Clostridium perfringens	3	4	1	1	2	1	3	5	-	-	-	-	7	7	12
Escherichia coli, Shiga toxin-producing	-	-	-	-	-	-	-	-	_	-	-	-	-	1	2
Campylobacter	1	-	-	-	1	-	1	-	-	-	-	-	2	3	7
Bacillus cereus	5	5	1	-	4	-	2	4	_	-	-	1	9	9	13
Staphylococcus aureus enterotoxin	2	5	-	1	3	-	3	1	-	1	-	1	6	6	10
Vibrio parahaemolyticus	-	-	-	-	-	-	-	-	-	-	-	2	2	3	3
Vibrio cholerae	_	-	-	-	-	-	-	-	-	-	1	-	1	1	1
Shigella	_	-	_	-	_	_	_	_	-	_	_	-	-	1	1
Clostridium botulinum	-	_	_	_	-	_	_	_	-	_	_	1	1	1	1
Listeria monocytogenes	_	-	_	-	-	-	-	-	_	-	-	-	-	-	-
Escherichia coli, Enteroinvasive	_	_	_	_	_	_	_	_	_	_	_	_	_	_	1
Staphylococcus spp	_	-	_	-	_	_	_	_	_	_	_	_	_	_	_
Escherichia coli, Enteroaggregative	_	_	_	_	_	_	_	_	_	_	_	_	_	_	_
Brucella spp	_	_	_	_	_	_	_	_	_	_	_	_	-	-	-
Vibrio other	_	_	_	_	_	_	_	_	_	_	_	_	_	_	_
Other	2	4	_	3	1	_	2	4	_	_	1	_	7	7	13
Subtotal	14	18	2	5	11	1	11	14	_	1	2	5	36	40	67
Chemical and toxin															
Scombroid toxin/histamine	_	_	_	_	_	_	_	_	_	_	_	_	-	-	-
Ciguatoxin	_	_	_	_	_	_	_	_	_	_	_	_	_	_	_
Mycotoxins	_	_	_	_	_	_	_	_	_	_	_	_	-	-	_
Other	_	_	_	_	_	_	_	_	_	_	_	_	_	_	1
Subtotal	_	_	_	_	_	_	_	_	_	_	_	_	_	-	1
Parasitic															
Cryptosporidium	_	_	_	_	_	_	_	_	_	_	_	_	-	_	-
Trichinella	_	_	_	_	_	_	_	_	_	_	_	_	_	_	_
Giardia	_	_	_	_	_	_	_	_	_	_	_	_	-	-	1
Cyclospora	_	_	_	_	_	_	_	_	_	_	_	_	_	_	_
Subtotal	_	_	_	_	_	_	_	_	_	_	_	_	_	_	1
Viral															· · · · · · · · · · · · · · · · · · ·
Norovirus	_	_	_	_	1	_	_	_	_	_	_	_	1	72	177
Hepatitis A virus	_	_	_	_	_	_	_	_	_	_	_	_	_	-	_
Sapovirus	_	_	_	_	_	_	_	_	_	_	_	_	-	_	-
Subtotal	_			_	1	_	_	_	_	_	_	_	1	72	177
Single etiology	14	18	2	5	12	1	11	14		1	2	5	37	112	246
Multiple etiologies suspected	3	1	_	_	-	_	2	-	_	_	_	_	4	5	13
Unknown etiology <sup>1</sup>	8	11	5	7	12	_	9	3	_	_	_	3	31	42	177
Total	25	30	<sup></sup> 7	12		1	22	17	_	1		 	<b>72</b>	159	436

**Appendix Table 6:** Foodborne disease outbreaks by suspected etiology\* and contributing factors†—Foodborne Disease Outbreak Surveillance System, United States, 2016.

			Survi	Outbreaks					
Etiology	<b>S1</b>	<b>S2</b>	<b>S</b> 3	<b>S</b> 4	<b>S</b> 5	≥1 factor reported	with reported contributing factors	Total outbreak	
acterial			1		1				
Salmonella	_9	-	-	-	1	1	1	3	
Clostridium perfringens	2	4	-	-	-	5	7	12	
Escherichia coli, Shiga toxin-producing	_	-	-	-	-	-	1	2	
Campylobacter	1	-	-	-	-	1	3	7	
Bacillus cereus	3	1	-	-	4	6	9	13	
Staphylococcus aureus enterotoxin	_	2	-	-	1	3	6	10	
Vibrio parahaemolyticus	-	-	-	-	-	=	3	3	
Vibrio cholerae	_	-	-	1	-	1	1	1	
Shigella	_	-	-	-	-	-	1	1	
Clostridium botulinum	_	-	-	-	1	1	1	1	
Listeria monocytogenes	_	-	-	-	-	-	_	-	
Escherichia coli, Enteroinvasive	-	-	-	-	_	-	-	1	
Staphylococcus spp	_	-	-	-	-	-	-	-	
Escherichia coli, Enteroaggregative	_	_	-	_	-	_	-	-	
Brucella spp	-	_	-	_	-	_	-	_	
Vibrio other	_	_	-	_	-	_	-	-	
Other	1	2	_	2	2	5	7	13	
Subtotal	7	9	-	3	9	23	40	67	
nemical and toxin		1	1		1				
Scombroid toxin/histamine	_	_	-	_	-	_	-	-	
Ciguatoxin	_	_	-	_	-	_	-	-	
Mycotoxins	_	_	-	_	-	_	-	_	
Other	_	_	_	_	_	_	-	1	
Subtotal	_	_	-	_	-	_	-	1	
arasitic		1							
Cryptosporidium	_	_	_	_	_	_	_	_	
Trichinella	_	_	_	_	_	_	_	_	
Giardia	_	_	_	_	_	_	_	1	
Cyclospora	_	_	_	_	_	_	_	_	
Subtotal	_	_	_	-	_	-	_	1	
iral									
Norovirus	1	_	_	2	2	5	72	177	
Hepatitis A virus	_	_	_	_	_	_	_	-	
Sapovirus	_	-	_	_	-	_	=	-	
Subtotal	1	_	_	2	2	5	72	177	
ingle etiology	8	9		5	11	28	112	246	
lultiple etiologies suspected	2	1	_	_	-	26	5	13	
	5								
Inknown etiology <sup>1</sup> otal	15	5 15		1 6	2 13	13 <b>43</b>	42 <b>159</b>	177 <b>436</b>	

## **Appendix:** Reported foodborne disease outbreaks, by confirmed and suspected etiology\* and contributing factors†—Foodborne Disease Outbreak Surveillance System, United States, 2016

- \* Guidelines for reporting agencies are to consider an etiology confirmed if it meets confirmation criteria (https://www.cdc.gov/foodsafety/outbreaks/investigating-outbreaks/confirming\_diagnosis.html); otherwise, it is considered suspected. Agents that are not listed in confirmation criteria or that are not known to cause illness are sometimes reported as confirmed or suspected etiologies. If at least two etiologies are confirmed in an outbreak, it is considered a confirmed multiple etiology outbreak; otherwise it is considered a suspected multiple etiology outbreak.
- <sup>†</sup> Contributing factors are defined as risk factors that either enable an outbreak to occur or amplify an outbreak caused by other means. Contributing factors are classified into three categories: contamination factors (factors that introduce or otherwise permit contamination), proliferation/amplification factors (factors that allow proliferation or growth of the etiologic agent), and survival factors (factors that allow survival or fail to inactivate a contaminant). More than one contributing factor might be reported per outbreak.
- <sup>‡</sup> Contributing factors:
- C1: toxic substance part of the tissue
- C2: poisonous substance intentionally/deliberately added
- C3: poisonous substance accidentally/inadvertently added
- C4: addition of excessive quantities of ingredients that are toxic in large amounts
- C5: toxic container
- C6: contaminated raw product—food that was intended to be consumed after a kill step
- C7: contaminated raw product—food was intended to be consumed raw or undercooked/underprocessed
- C8: foods originating from sources shown to be contaminated or polluted (such as a growing field or harvest area)
- C9: cross-contamination of ingredients (cross-contamination does not include ill food workers)
- C10: bare-handed contact by a food handler/worker/preparer who is suspected to be infectious
- C11: glove-handed contact by a food handler/worker/preparer who is suspected to be infectious
- C12: other mode of contamination (excluding cross-contamination) by a food handler/worker/preparer who is suspected to be infectious
- C13: foods contaminated by non-food handler/worker/preparer who is suspected to be infectious
- C14: storage in a contaminated environment
- C15: other source of contamination
- P1: food preparation practices that support proliferation of pathogens (during food preparation)
- P2: no attempt was made to control the temperature of implicated food or the length of time food was out of temperature control (during food service or display of food)
- P3: improper adherence of approved plan to use Time as a Public Health Control
- P4: improper cold holding due to malfunctioning refrigeration equipment
- P5: improper cold holding due to an improper procedure or protocol
- P6: improper hot holding due to malfunctioning equipment
- P7: improper hot holding due to improper procedure or protocol
- P8: improper/slow cooling
- P9: prolonged cold storage
- P10: inadequate modified atmospheric packaging
- P11: inadequate processing (acidification, water activity, fermentation)
- P12: other situations that promoted or allowed microbial growth or toxin production
- S1: insufficient time and/or temperature control during initial cooking/heat processing
- S2: insufficient time and/or temperature during reheating
- S3: insufficient time and/or temperature control during freezing
- S4: insufficient or improper use of chemical processes designed for pathogen destruction
- S5: other process failures that permit pathogen survival
- $^{\rm 6}$  No outbreaks in the data reported fall in this category.
- 1 An etiologic agent was not confirmed or suspected based on clinical, laboratory, or epidemiologic information.

