### **Proxy Voting Report**

Jan 1, 2024 to Mar 31, 2024

Guinness Global Investors Voting Record - Q1 2024

For Accounts:

# **Advertising & Marketing Technology ETF**

Future Plc	Voted	<b>Ballot Status</b>	Confirmed	<b>Decision Status</b>	Approved
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Share Blocking No Country Of Trade GB

Annual M	leeting Agenda (02/07/2024)	Mgmt Rec	Vote Cast
1	Accounts and Reports	For	For
2	Final Dividend	For	For
3	Remuneration Report	For	For
4	Elect Richard N.L. Huntingford	For	For
5	Elect Jon Steinberg	For	For
6	Elect Meredith E. Amdur	For	For
7	Elect Mark Brooker	For	For
8	Elect Rob Hattrell	For	For
9	Elect Penny Ladkin-Brand	For	For
10	Elect Alan Newman	For	For
11	Elect Angela Seymour-Jackson	For	For
12	Elect Ivana Kirkbride	For	For
13	Appointment of Auditor	For	For
14	Authority to Set Auditor's Fees	For	For
15	Authority to Issue Shares w/ Preemptive Rights	For	For
16	Authorisation of Political Donations	For	For
17	Authority to Issue Shares w/o Preemptive Rights	For	For

	(Sp	ecified Capital Investme	ent)	1 01	1 01	
	19 Aut	hority to Repurchase Sh	nares	For	For	
	20 Aut Day	hority to Set General Me ys	eeting Notice Period at 14	For	For	
		,				
ValueCommerce Co.,Lt	d.	Voted	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No JP			
Annual Mo		ng Agenda (03/22/2024	1)	Mgmt Rec	Vote Cast	
	1 Nor	n-Voting Meeting Note				
	2 Ele	ct Jin Kagawa		For	Against	
	3 Ele	ct Masatomo Endo		For	For	
	4 Ele	ct Taku Hasegawa		For	For	
	5 Ele	ct Koichiro Tanabe		For	For	
	6 Ele	ct Yoshimasa Kasuya		For	For	
	7 Ele	ct Masahiro Awa		For	Against	
		ct Hajime Hatanaka		For	For	
		ct Toshio Takahashi		For	For	
		ct Makoto Suzuki		For	For	
		ct Aya Watanabe @ Aya		For	For	
		n-Audit Committee Direc		For	For	
	13 Aud	dit Committee Directors'	Fees	For	For	
Yandex NV		Voted	Ballot Status	Counted	Decision Status	Approved
		Share Blocking	No			
		Country Of Trade	US			
Spe	ecial Meeti	ing Agenda (03/07/2024	4)	Mgmt Rec	Vote Cast	
	1 Sal	e of Yandex Russia		For	For	
	2 Am Div	endment of Articles postestiture	t Russian Business	For	For	

For

18 Authority to Issue Shares w/o Preemptive Rights

Sale of Yandex Russia	For	For
Amendment of Articles post Russian Business Divestiture	For	For
Accounts and Reports	For	For
Accounts and Reports	For	For
Authority to Repurchase Shares	For	For
Cancellation of the Priority Share	For	For
	Amendment of Articles post Russian Business Divestiture Accounts and Reports Accounts and Reports Authority to Repurchase Shares	Amendment of Articles post Russian Business Divestiture Accounts and Reports For Accounts and Reports For Authority to Repurchase Shares For

#### **Asia Pacific Dividend Builder ETF**

<b>China Overseas Land</b>	&
Investment Ltd.	

Voted Ballot Status Confirmed Decision Status Approved

Share Blocking No Country Of Trade HK

Ordinary Meeting Agenda (01/31/2024) Mgmt Vote Rec Cast

- 1 Non-Voting Meeting Note
- 2 Non-Voting Meeting Note
- 3 Cooperation Agreement For

**DBS Group Holdings Ltd** 

 Voted
 Ballot Status
 Confirmed
 Decision Status
 Approved

For

Share Blocking No Country Of Trade SG

Annual N	leeting Agenda (03/28/2024)	Mgmt Rec	Vote Cast	
1	Accounts and Reports	For	For	
2	Allocation of Profits/Dividends	For	For	
3	Directors' Fees	For	For	
4	Appointment of Auditor and Authority to Set Fees	For	For	
5	Elect Piyush Gupta	For	For	
6	Elect CHNG Kai Fong	For	Against	
7	Elect Judy Lee	For	For	
8	Elect David Ho Hing-Yuen	For	For	
9	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For	
10	AUTHORITY TO ISSUE SHARES PURSUANT TO THE DBSH SCRIP DIVIDEND SCHEME	For	For	
11	Authority to Repurchase and Reissue Shares	For	For	
12	Non-Voting Meeting Note			

Hanon Systems		Voted	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No KR			
	Annual Mee	ting Agenda (03/28/2024	<b>1</b> )	Mgmt Rec	Vote Cast	
	Financial Statements and Allocation of Profits/Dividends			nds For	Abstain	
	2 El	ection of Directors (Slate)		For	Abstain	
	3 El	ection of Audit Committee	e Members (Slate)	For	Abstain	
	4 Di	rectors' Fees		For	For	
	5 No	on-Voting Meeting Note				
Industrial & Comme China Ltd.	ercial Bank	of <sub>Voted</sub>	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No HK			
	Special Mee	ting Agenda (02/29/2024	4)	Mgmt Rec	Vote Cast	
	1 No	on-Voting Meeting Note				
	2 El	ect ZHANG Wenwu		For	For	
	3 El	ect Murray Horn		For	For	
	4 Au	uthority to Issue Total Los	s-Absorbing Capacity Nor	n- For	For	
	5 20	apital Debt Instruments 124 Fixed Asset Investme	nt Budget	For	For	
Korean Reinsuranc	e	Voted	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No KR			
	Annual Meet	ting Agenda (03/29/2024	1)	Mgmt Rec	Vote Cast	
	1 Fii	nancial Statements and A	Allocation of Profits/Divider	nds For	For	

2	Elect WON Jong Ik	For	For
3	Elect WON Jong Gyu	For	For
4	Elect KIM So Hee	For	Against
5	Elect KOO Han Seo	For	For
6	Elect HWANG Sung Sik	For	For
7	Elect JUNG Ji Won	For	For
8	Directors' Fees	For	For

Suofeiya Home Collection Co Ltd Voted Ballot Status Confirmed Decision Status Approved

Share Blocking No Country Of Trade CN

Special I	Meeting Agenda (01/29/2024)	Mgmt Rec	Vote Cast	
1	Amendments to the Company's Articles of Association	For	For	
2	Amendments to the Company's Rules of Procedure Governing Shareholders General Meetings	For	For	
3	Amendments to the Company's Rules of Procedure Governing the Board Meetings	For	For	
4	AMENDMENTS TO THE SYSTEM FOR INDEPENDENT DIRECTORS	For	For	
5	Amendments to the Company's Rules of Procedure Governing the Supervisory Committee Meetings	For	For	

Suofeiya Home Collection Co Ltd Voted Ballot Status Confirmed Decision Status Approved

Share Blocking No Country Of Trade C

leeting Agenda (03/18/2024)	Mgmt Rec	Vote Cast
2024 EMPLOYEE STOCK OWNERSHIP PLAN	For	For
MANAGÉMENT MEASURES FOR THE 2024	For	For
Authorization to the Board to Handle Matters Regarding the 2024 Employee Stock Ownership Plan	For	For
	(DRAFT) AND ITS SUMMARY MANAGEMENT MEASURES FOR THE 2024 EMPLOYEE STOCK OWNERSHIP PLAN Authorization to the Board to Handle Matters Regarding	2024 EMPLOYEE STOCK OWNERSHIP PLAN (DRAFT) AND ITS SUMMARY MANAGEMENT MEASURES FOR THE 2024 EMPLOYEE STOCK OWNERSHIP PLAN Authorization to the Board to Handle Matters Regarding For

Zhejiang Supor C Ltd.	Cookware Co.	Voted Share Blocking Country Of Trade	Ballot Status No CN	Confirme	ed	Decision Status	Approved
	Special Mee	ting Agenda (01/10/2024)			Mgmt Rec	Vote Cast	
	1 Es	stimated Additional Continui	ing Connected Tran	saction	For	For	
Qualcomm, Inc.		Voted	Ballot Status	Counted		Decision Status	Approved
		Share Blocking Country Of Trade	No US				
	Annual Meet	ting Agenda (03/05/2024)			Mgmt Rec	Vote Cast	
	1 Ele	ect Sylvia Acevedo			For	For	
	2 El	ect Cristiano R. Amon			For	For	
	3 El	ect Mark Fields			For	For	
	4 Ele	ect Jeffrey W. Henderson			For	For	
	5 Ele	ect Gregory N. Johnson			For	For	
		ect Ann M. Livermore			For	For	
		ect Mark D. McLaughlin			For	For	
		ect Jamie S. Miller			For	For	
		ect Irene B. Rosenfeld			For	For	
		ect Kornelis Smit			For	For	
	11 El	ect Jean-Pascal Tricoire			For	For	

For

For

For

For

For

Against

For

For

Against

12 Elect Anthony J. Vinciquerra

14 Advisory Vote on Executive Compensation

15 Amendment to the 2023 Long-Term Incentive Plan

16 Amendment to Certificate of Incorporation Regarding Officer Exculpation

13 Ratification of Auditor

For

#### **Dividend Builder ETF**

ABB Ltd. Unvoted Ballot Status No Vote Cast Decision Status Take No Action

Share Blocking No Country Of Trade CH

Annual M	leeting Agenda (03/21/2024)	Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Accounts and Reports	For	
4	Compensation Report	For	
5	Report on Non-Financial Matters	For	
6	Ratification of Board and Management Acts	For	
7	Allocation of Dividends	For	
8	Board Compensation	For	
9	Executive Compensation (Total)	For	
10	Elect David E. Constable	For	
11	Elect Frederico Fleury Curado	For	
12	Elect Lars Förberg	For	
13	Elect Johan Forssell	For	
14	Elect Denise C. Johnson	For	
15	Elect Jennifer Xin-Zhe Li	For	
16	Elect Geraldine Matchett	For	
17	Elect David Meline	For	
18	Elect Mats Rahmström	For	
19	Elect Peter R. Voser as Board Chair	For	
20	Elect David E. Constable as Compensation Committee	For	
21	Member Elect Frederico Fleury Curado as Compensation Committee Member	For	
22	Elect Jennifer Xin-Zhe Li as Compensation Committee Member	For	
23		For	
24	Appointment of Auditor	For	
25	Transaction of Other Business		

Novo Nordisk Voted Ballot Status Confirmed Decision Status Approved

Share Blocking No Country Of Trade DK

Annual M	leeting Agenda (03/21/2024)	Mgmt Rec	Vote Cast	
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			
4	Non-Voting Meeting Note			
5	Non-Voting Agenda Item			
6	Accounts and Reports	For	For	
7	Allocation of Profits/Dividends	For	For	
8	Remuneration Report	For	Against	
9	Directors' Fees	For	For	
10	Indemnification of the Board of Directors	For	For	
11	Indemnification of the Executive Management	For	For	
12	Amendments to Articles	For	For	
13	Remuneration Policy	For	Against	
14	Election of Helge Lund as Chair	For	For	
15	Election of Henrik Poulsen as Vice Chair	For	For	
16	Elect Laurence Debroux	For	For	
17	Elect Andreas Fibig	For	For	
18	Elect Sylvie Grégoire	For	For	
19	Elect Kasim Kutay	For	For	
20	Elect Christina Choi Lai Law	For	For	
21	Elect Martin Mackay	For	Against	
22	Appointment of Auditor	For	Against	
23	Cancellation of Shares	For	For	
24	Authority to Repurchase Shares	For	For	
25	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For	

- 26 Non-Voting Agenda Item
- 27 Non-Voting Meeting Note
- 28 Non-Voting Meeting Note
- 29 Non-Voting Meeting Note
- 30 Non-Voting Meeting Note

Emerson Electric Co.	Voted	Ballot Status	Counted	Decision Status	Approved
	Share Blocking Country Of Trade	No US			
Annual	Meeting Agenda (02/06/2024)		Mgmt Rec	Vote Cast	
1	Elect Mark A. Blinn		For	For	
2	Elect Leticia Gonçalves Lou	renco	For	For	
3	Elect James M. McKelvey		For	For	
4	Elect James S. Turley		For	For	
5	Advisory Vote on Executive	Compensation	For	Against	
6	Repeal of Classified Board		For	For	
7	Approval of the 2024 Equity	Incentive Plan	For	For	
8	Ratification of Auditor		For	Against	
SHP 9	Shareholder Proposal Rega	rding Simple Majority Vote	Against	For	

# **DVAM Guinness Global Equity Income Fund**

ABB Ltd. Unvoted Ballot Status No Vote Cast Decision Status Take No Action

Share Blocking No Country Of Trade CH

Annual N	leeting Agenda (03/21/2024)	Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Accounts and Reports	For	
4	Compensation Report	For	
5	Report on Non-Financial Matters	For	
6	Ratification of Board and Management Acts	For	
7	Allocation of Dividends	For	
8	Board Compensation	For	
9	Executive Compensation (Total)	For	
10	Elect David E. Constable	For	
11	Elect Frederico Fleury Curado	For	
12	Elect Lars Förberg	For	
13	Elect Johan Forssell	For	
14	Elect Denise C. Johnson	For	
15	Elect Jennifer Xin-Zhe Li	For	
16	Elect Geraldine Matchett	For	
17	Elect David Meline	For	
18	Elect Mats Rahmström	For	
19	Elect Peter R. Voser as Board Chair	For	
20	Elect David E. Constable as Compensation Committee	For	
21	Member Elect Frederico Fleury Curado as Compensation Committee Member	For	
	Elect Jennifer Xin-Zhe Li as Compensation Committee Member	For	
	Appointment of Independent Proxy	For	
24	Appointment of Auditor	For	
25	Transaction of Other Business		

Novo Nordisk	Voted	Ballot Status	Confirmed	<b>Decision Status</b>	Approved
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**Share Blocking** No **Country Of Trade** DK

1 Non-Voting Meeting Note 2 Non-Voting Meeting Note 3 Non-Voting Meeting Note 4 Non-Voting Meeting Note 5 Non-Voting Agenda Item 6 Accounts and Reports For For 7 Allocation of Profits/Dividends For For Against 9 Directors' Fees For For 10 Indemnification of the Board of Directors For For 11 Indemnification of the Executive Management For For 12 Amendments to Articles For For 13 Remuneration Policy For Against 14 Election of Helge Lund as Chair For For 15 Election of Henrik Poulsen as Vice Chair For For 16 Elect Laurence Debroux For For 17 Elect Andreas Fibig For For 18 Elect Sylvie Grégoire For For 19 Elect Kasim Kutay For For 20 Elect Christina Choi Lai Law For For Against 21 Appointment of Auditor For Against 22 Appointment of Auditor For For 23 Cancellation of Shares For For For	Annual N	leeting Agenda (03/21/2024)	Mgmt Rec	Vote Cast	
3 Non-Voting Meeting Note 4 Non-Voting Meeting Note 5 Non-Voting Agenda Item 6 Accounts and Reports For For 7 Allocation of Profits/Dividends For For 8 Remuneration Report For Against 9 Directors' Fees For For 10 Indemnification of the Board of Directors For For 11 Indemnification of the Executive Management For For 12 Amendments to Articles For For 13 Remuneration Policy For Against 14 Election of Helge Lund as Chair For For 15 Election of Henrik Poulsen as Vice Chair For For 16 Elect Laurence Debroux For For 17 Elect Andreas Fibig For For 18 Elect Sylvie Grégoire For For 19 Elect Kasim Kutay For For 20 Elect Christina Choi Lai Law For For Against 21 Appointment of Auditor For Against 22 Appointment of Auditor For For 23 Cancellation of Shares For For For	1	Non-Voting Meeting Note			
4 Non-Voting Meeting Note 5 Non-Voting Agenda Item 6 Accounts and Reports For For 7 Allocation of Profits/Dividends For For 8 Remuneration Report For Against 9 Directors' Fees For For 10 Indemnification of the Board of Directors For For 11 Indemnification of the Executive Management For For 12 Amendments to Articles For For 13 Remuneration Policy For Against 14 Election of Helge Lund as Chair For For 15 Election of Henrik Poulsen as Vice Chair For For 16 Elect Laurence Debroux For For 17 Elect Andreas Fibig For For 18 Elect Sylvie Grégoire For For 19 Elect Kasim Kutay For For 20 Elect Christina Choi Lai Law For For 21 Elect Martin Mackay For Against 22 Appointment of Auditor For For 24 Authority to Repurchase Shares	2	Non-Voting Meeting Note			
5Non-Voting Agenda Item6Accounts and ReportsForFor7Allocation of Profits/DividendsForFor8Remuneration ReportForAgainst9Directors' FeesForFor10Indemnification of the Board of DirectorsForFor11Indemnification of the Executive ManagementForFor12Amendments to ArticlesForFor13Remuneration PolicyForAgainst14Election of Helge Lund as ChairForFor15Election of Henrik Poulsen as Vice ChairForFor16Elect Laurence DebrouxForFor17Elect Andreas FibigForFor18Elect Sylvie GrégoireForFor19Elect Kasim KutayForFor20Elect Christina Choi Lai LawForFor21Elect Martin MackayForAgainst22Appointment of AuditorForAgainst23Cancellation of SharesForFor24Authority to Repurchase SharesForFor	3	Non-Voting Meeting Note			
6 Accounts and Reports 7 Allocation of Profits/Dividends 8 Remuneration Report 9 Directors' Fees 9 For For 10 Indemnification of the Board of Directors 11 Indemnification of the Executive Management 12 Amendments to Articles 13 Remuneration Policy 14 Election of Helge Lund as Chair 15 Election of Henrik Poulsen as Vice Chair 16 Elect Laurence Debroux 17 Elect Andreas Fibig 18 Elect Sylvie Grégoire 19 Elect Kasim Kutay 10 Elect Christina Choi Lai Law 10 For Against 11 Elect Martin Mackay 10 For Against 12 Appointment of Auditor 12 For Against 13 For For 14 For For 15 Elect Martin Mackay 15 For For 16 Elect Martin Mackay 16 For For 17 Elect Martin Mackay 17 For For 18 Elect Martin Mackay 18 For For 19 Elect Martin Mackay 19 For For 20 Cancellation of Shares 21 Cancellation of Shares 22 For For 23 For For	4	Non-Voting Meeting Note			
7 Allocation of Profits/Dividends 8 Remuneration Report 9 Directors' Fees 10 Indemnification of the Board of Directors 11 Indemnification of the Executive Management 12 Amendments to Articles 13 Remuneration Policy 14 Election of Helge Lund as Chair 15 Election of Henrik Poulsen as Vice Chair 16 Elect Laurence Debroux 17 Elect Andreas Fibig 18 Elect Sylvie Grégoire 19 Elect Kasim Kutay 20 Elect Christina Choi Lai Law 21 Appointment of Auditor 22 Appointment of Auditor 23 Cancellation of Shares 3 For 4 For 5 For 5 For 6 For 7 For 7 For 7 For 8 For 8 For 9 Elect Martin Mackay 9 For 9 For 9 Against 9 Appointment of Shares 9 For 9 Against 9 For 9 Against 9 For	5	Non-Voting Agenda Item			
8 Remuneration Report For Against 9 Directors' Fees For For 10 Indemnification of the Board of Directors For For 11 Indemnification of the Executive Management For For 12 Amendments to Articles For For 13 Remuneration Policy For Against 14 Election of Helge Lund as Chair For For 15 Election of Henrik Poulsen as Vice Chair For For 16 Elect Laurence Debroux For For 17 Elect Andreas Fibig For For 18 Elect Sylvie Grégoire For For 19 Elect Kasim Kutay For For 20 Elect Christina Choi Lai Law For For 21 Elect Martin Mackay For Against 22 Appointment of Auditor For Against 23 Cancellation of Shares For For	6	Accounts and Reports	For	For	
9 Directors' Fees 10 Indemnification of the Board of Directors 11 Indemnification of the Executive Management 12 Amendments to Articles 13 Remuneration Policy 14 Election of Helge Lund as Chair 15 Election of Henrik Poulsen as Vice Chair 16 Elect Laurence Debroux 17 Elect Andreas Fibig 18 Elect Sylvie Grégoire 19 Elect Kasim Kutay 20 Elect Christina Choi Lai Law 21 Appointment of Auditor 22 Appointment of Shares 23 Cancellation of Shares 26 For 27 For 28 For 29 For 20 For 20 Elect Martin Mackay 21 Authority to Repurchase Shares 28 For 39 For 40 For	7	Allocation of Profits/Dividends	For	For	
10Indemnification of the Board of DirectorsForFor11Indemnification of the Executive ManagementForFor12Amendments to ArticlesForFor13Remuneration PolicyForAgainst14Election of Helge Lund as ChairForFor15Election of Henrik Poulsen as Vice ChairForFor16Elect Laurence DebrouxForFor17Elect Andreas FibigForFor18Elect Sylvie GrégoireForFor19Elect Kasim KutayForFor20Elect Christina Choi Lai LawForFor21Elect Martin MackayForAgainst22Appointment of AuditorForAgainst23Cancellation of SharesForFor24Authority to Repurchase SharesForFor	8	Remuneration Report	For	Against	
11Indemnification of the Executive ManagementForFor12Amendments to ArticlesForFor13Remuneration PolicyForAgainst14Election of Helge Lund as ChairForFor15Election of Henrik Poulsen as Vice ChairForFor16Elect Laurence DebrouxForFor17Elect Andreas FibigForFor18Elect Sylvie GrégoireForFor19Elect Kasim KutayForFor20Elect Christina Choi Lai LawForFor21Elect Martin MackayForAgainst22Appointment of AuditorForAgainst23Cancellation of SharesForFor24Authority to Repurchase SharesForFor	9	Directors' Fees	For	For	
Amendments to Articles  Remuneration Policy  For Against  Election of Helge Lund as Chair  Election of Henrik Poulsen as Vice Chair  Elect Laurence Debroux  For For  Elect Andreas Fibig  For For  Elect Sylvie Grégoire  For For  Elect Kasim Kutay  For For  Elect Christina Choi Lai Law  For For  Elect Martin Mackay  Appointment of Auditor  Cancellation of Shares  For For  For  For  For  For  For  For	10	Indemnification of the Board of Directors	For	For	
Remuneration Policy For Against Election of Helge Lund as Chair For For Election of Henrik Poulsen as Vice Chair For For Elect Laurence Debroux For For Elect Andreas Fibig For For Elect Sylvie Grégoire For For Elect Kasim Kutay For For Elect Christina Choi Lai Law For For Elect Martin Mackay For Against Appointment of Auditor Cancellation of Shares For For For Adainst For	11	Indemnification of the Executive Management	For	For	
Election of Helge Lund as Chair  For  For  For  For  For  For  For  F	12	Amendments to Articles	For	For	
Election of Henrik Poulsen as Vice Chair  For  For  For  For  For  For  For  F	13	Remuneration Policy	For	Against	
16Elect Laurence DebrouxForFor17Elect Andreas FibigForFor18Elect Sylvie GrégoireForFor19Elect Kasim KutayForFor20Elect Christina Choi Lai LawForFor21Elect Martin MackayForAgainst22Appointment of AuditorForAgainst23Cancellation of SharesForFor24Authority to Repurchase SharesForFor	14	Election of Helge Lund as Chair	For	For	
17 Elect Andreas Fibig For For 18 Elect Sylvie Grégoire For For 19 Elect Kasim Kutay For For 20 Elect Christina Choi Lai Law For For 21 Elect Martin Mackay For Against 22 Appointment of Auditor For Against 23 Cancellation of Shares For For 24 Authority to Repurchase Shares	15	Election of Henrik Poulsen as Vice Chair	For	For	
18 Elect Sylvie Grégoire For For 19 Elect Kasim Kutay For For 20 Elect Christina Choi Lai Law For For 21 Elect Martin Mackay For Against 22 Appointment of Auditor For Against 23 Cancellation of Shares For For 24 Authority to Repurchase Shares	16	Elect Laurence Debroux	For	For	
19 Elect Kasim Kutay For For 20 Elect Christina Choi Lai Law For For 21 Elect Martin Mackay For Against 22 Appointment of Auditor For Against 23 Cancellation of Shares For For 24 Authority to Repurchase Shares For For	17	Elect Andreas Fibig	For	For	
20 Elect Christina Choi Lai Law For For 21 Elect Martin Mackay For Against 22 Appointment of Auditor For Against 23 Cancellation of Shares For For 24 Authority to Repurchase Shares For For	18	Elect Sylvie Grégoire	For	For	
21Elect Martin MackayForAgainst22Appointment of AuditorForAgainst23Cancellation of SharesForFor24Authority to Repurchase SharesForFor	19	Elect Kasim Kutay	For	For	
22 Appointment of Auditor For Against 23 Cancellation of Shares For For 24 Authority to Repurchase Shares For For	20	Elect Christina Choi Lai Law	For	For	
23 Cancellation of Shares For For 24 Authority to Repurchase Shares For For	21	Elect Martin Mackay	For	Against	
24 Authority to Repurchase Shares For For	22	Appointment of Auditor	For	Against	
, ·	23	Cancellation of Shares	For	For	
	24	Authority to Repurchase Shares	For	For	
25 Authority to Issue Shares w/ or w/o Preemptive Rights For For	25	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For	

- 26 Non-Voting Agenda Item
- 27 Non-Voting Meeting Note
- 28 Non-Voting Meeting Note
- 29 Non-Voting Meeting Note
- 30 Non-Voting Meeting Note

Emerson Electric Co.	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No US			
Annu	ual Meeting Agenda (02/06/2024	<b>(</b> )	Mgmt Rec	Vote Cast	
	1 Elect Mark A. Blinn		For	For	
	2 Elect Leticia Gonçalves Lou	ırenco	For	For	
	3 Elect James M. McKelvey		For	For	
	4 Elect James S. Turley		For	For	
	5 Advisory Vote on Executive	Compensation	For	Against	
	6 Repeal of Classified Board		For	For	
	7 Approval of the 2024 Equity	Incentive Plan	For	For	
	8 Ratification of Auditor		For	Against	
SHP	9 Shareholder Proposal Rega	arding Simple Majority Vote	Against	For	

### **Guinness Asian Equity Income Fund**

<b>China Overseas Land</b>	&
Investment Ltd.	

VotedBallot StatusConfirmedDecision StatusApproved

Share Blocking No Country Of Trade HK

Ordinary Meeting Agenda (01/31/2024) Mgmt Vote Rec Cast

- 1 Non-Voting Meeting Note
- 2 Non-Voting Meeting Note
- 3 Cooperation Agreement For For

**DBS Group Holdings Ltd** 

 Voted
 Ballot Status
 Confirmed
 Decision Status
 Approved

Share Blocking No Country Of Trade SG

Annual N	leeting Agenda (03/28/2024)	Mgmt Rec	Vote Cast	
1	Accounts and Reports	For	For	
2	Allocation of Profits/Dividends	For	For	
3	Directors' Fees	For	For	
4	Appointment of Auditor and Authority to Set Fees	For	For	
5	Elect Piyush Gupta	For	For	
6	Elect CHNG Kai Fong	For	Against	
7	Elect Judy Lee	For	For	
8	Elect David Ho Hing-Yuen	For	For	
9	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For	
10	AUTHORITY TO ISSUE SHARES PURSUANT TO THE DBSH SCRIP DIVIDEND SCHEME	For	For	
11	Authority to Repurchase and Reissue Shares	For	For	
12	Non-Voting Meeting Note			

Hanon Systems		Voted	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No KR			
	Annual Mee	ting Agenda (03/28/2024	<b>1</b> )	Mgmt Rec	Vote Cast	
	1 Fii	nancial Statements and A	Allocation of Profits/Divider	nds For	Abstain	
	2 El	ection of Directors (Slate)		For	Abstain	
	3 El	ection of Audit Committee	e Members (Slate)	For	Abstain	
	4 Di	rectors' Fees		For	For	
	5 No	on-Voting Meeting Note				
Industrial & Comme China Ltd.	ercial Bank	of <sub>Voted</sub>	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No HK			
	Special Mee	ting Agenda (02/29/2024	4)	Mgmt Rec	Vote Cast	
	1 No	on-Voting Meeting Note				
	2 El	ect ZHANG Wenwu		For	For	
	3 El	ect Murray Horn		For	For	
	4 Au	uthority to Issue Total Los	s-Absorbing Capacity Nor	n- For	For	
	5 20	apital Debt Instruments 124 Fixed Asset Investme	nt Budget	For	For	
Korean Reinsuranc	e	Voted	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No KR			
	Annual Meet	ting Agenda (03/29/2024	1)	Mgmt Rec	Vote Cast	
	1 Fiı	nancial Statements and A	Allocation of Profits/Divider	nds For	For	

on (	Co Ltd Voted	Ballot Status	Confirmed	Decision Status	Approved
8	Directors' Fees		For	For	
7	Elect JUNG Ji Won		For	For	
6	Elect HWANG Sung Sik		For	For	
5	Elect KOO Han Seo		For	For	
4	Elect KIM So Hee		For	Against	
3	Elect WON Jong Gyu		For	For	
2	Elect WON Jong Ik		For	For	

Suofeiya Home Collection

Share Blocking Country Of Trade No CN

Special I	Meeting Agenda (01/29/2024)	Mgmt Rec	Vote Cast	
1	Amendments to the Company's Articles of Association	For	For	
2	Amendments to the Company's Rules of Procedure Governing Shareholders General Meetings	For	For	
3	Amendments to the Company's Rules of Procedure Governing the Board Meetings	For	For	
4	AMENDMENTS TO THE SYSTEM FOR INDEPENDENT DIRECTORS	For	For	
5	Amendments to the Company's Rules of Procedure Governing the Supervisory Committee Meetings	For	For	

Suofeiya Home Collection Co Ltd Voted **Ballot Status** Confirmed **Decision Status** Approved

> Share Blocking Country Of Trade No CN

Meeting Agenda (03/18/2024)	Mgmt Rec	Vote Cast
2024 EMPLOYEE STOCK OWNERSHIP PLAN	For	For
MANAGEMENT MEASURES FOR THE 2024	For	For
Authorization to the Board to Handle Matters Regarding the 2024 Employee Stock Ownership Plan	For	For
	2024 EMPLOYEE STOCK OWNERSHIP PLAN (DRAFT) AND ITS SUMMARY MANAGEMENT MEASURES FOR THE 2024 EMPLOYEE STOCK OWNERSHIP PLAN Authorization to the Board to Handle Matters Regarding	2024 EMPLOYEE STOCK OWNERSHIP PLAN (DRAFT) AND ITS SUMMARY MANAGEMENT MEASURES FOR THE 2024 EMPLOYEE STOCK OWNERSHIP PLAN Authorization to the Board to Handle Matters Regarding For

Zhejiang Supor C Ltd.	ookware Co.	Voted Share Blocking Country Of Trade	Ballot Status No CN	Confirme	ed	Decision Status	Approved
	Special Mee	eting Agenda (01/10/2024)			Mgmt Rec	Vote Cast	
	1 E:	stimated Additional Continui	ng Connected Tran	saction	For	For	
Qualcomm, Inc.		Voted	Ballot Status	Counted		Decision Status	Approved
		Share Blocking Country Of Trade	No US				
	Annual Mee	ting Agenda (03/05/2024)			Mgmt Rec	Vote Cast	
	1 EI	ect Sylvia Acevedo			For	For	
	2 EI	ect Cristiano R. Amon			For	For	
	3 EI	ect Mark Fields			For	For	
	4 EI	ect Jeffrey W. Henderson			For	For	
	5 EI	ect Gregory N. Johnson			For	For	
	6 El	ect Ann M. Livermore			For	For	
	7 EI	ect Mark D. McLaughlin			For	For	
	8 EI	ect Jamie S. Miller			For	For	
	9 EI	ect Irene B. Rosenfeld			For	For	
	10 El	ect Kornelis Smit			For	For	
	11 EI	ect Jean-Pascal Tricoire			For	For	

For

For

For

For

For

Against

For

For

Against

12 Elect Anthony J. Vinciquerra

14 Advisory Vote on Executive Compensation

15 Amendment to the 2023 Long-Term Incentive Plan

16 Amendment to Certificate of Incorporation Regarding Officer Exculpation

13 Ratification of Auditor

For

## **Guinness Atkinson Alternative Energy Fund**

China Suntien Green	Energy
Corporation Limited	

 Voted
 Ballot Status
 Confirmed
 Decision Status
 Approved

Share Blocking No Country Of Trade HK

Special N	leeting Agenda (02/28/2024)	Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note		
2	Approval of the 2023 Restricted A Share Incentive Scheme (Draft)	For	For
3	Administrative Measures for the 2023 Restricted A Share Incentive Scheme	For	For
4	Administrative Measures for Appraisal for Implementation of the 2023 Restricted A Share Incentive Scheme	For	For
5	Board Authorization	For	For
6	Elect TAN Jian Xin	For	For
7	Elect CHAN Yik Pun	For	For

### China Suntien Green Energy Corporation Limited

 Voted
 Ballot Status
 Confirmed
 Decision Status
 Approved

Share Blocking No Country Of Trade HK

Other Me	eting Agenda (02/28/2024)	Mgmt Rec	Vote Cast
1	Approval of the 2023 Restricted A Share Incentive Scheme	For	For
2	Administrative Measures for the 2023 Restricted A Share Incentive Scheme	For	For
3	Administrative Measures for Appraisal for Implementation of the 2023 Restricted A Share Incentive Scheme	For	For
4	Board Authorization	For	For
5	Non-Voting Meeting Note		

Infineon Technologies AG Voted Ballot Status Confirmed Decision Status Approved

**Share Blocking** No **Country Of Trade** DE

Annual Meeting Agenda (02/23/2024) Vote Mgmt Cast Rec 1 Non-Voting Meeting Note Non-Voting Agenda Item For Allocation of Dividends For Ratify Jochen Hanebeck For For Ratify Constanze Hufenbecher For For 11 Ratify Sven Schneider For For 12 Ratify Andreas Urschitz For For 13 Ratify Rutger Wijburg For For 14 Ratify Herbert Diess For Abstain 15 Ratify Xiaoqun Clever-Steg For For 16 Ratify Johann Dechant For For 17 Ratify Wolfgang Eder For For Ratify Friedrich Eichiner For For Ratify Annette Engelfried For For Ratify Peter Gruber For For Ratify Klaus Helmrich For For 22 Ratify Hans-Ulrich Holdenried For For Ratify Susanne Lachenmann For For 24 Ratify Géraldine Picaud For For 25 Ratify Manfred Puffer For For Ratify Melanie Riedl For For Ratify Jürgen Scholz For For Ratify Ulrich Spiesshofer For For 29 Ratify Margret Suckale For For

30	Ratify Mirco Synde	For	For
31	Ratify Diana Vitale	For	For
32	Ratify Ute Wolf	For	For
33	Appointment of Auditor	For	Against
34	Elect Ute Wolf	For	For
35	Elect Hermann Eul	For	For
36	Supervisory Board Remuneration Policy	For	For
37	Increase in Authorised Capital	For	For
38	Authority to Issue Convertible Debt Instruments; Increase in Conditional Capital	For	For
39	Remuneration Report	For	Against
40	Non-Voting Meeting Note		
41	Non-Voting Meeting Note		
42	Non-Voting Meeting Note		
43	Non-Voting Meeting Note		

L G Chemical	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No KR			
	Annual Meeting Agenda (03/25/2024)		Mgmt Rec	Vote Cast	
	1 Financial Statements and Allo	ocation of Profits/Dividends	s For	Abstain	
	2 Amendments to Articles		For	For	
	3 Elect CHA Dong Seok		For	Against	
	4 Election of Independent Direc		For	For	
	Audit Committee Member: LE 5 Directors' Fees	E Tourig Hail	For	For	

Orsted A/S Voted Ballot Status Confirmed Decision Status Approved

Share Blocking No Country Of Trade DK

Annual M	leeting Agenda (03/05/2024)	Mgmt Rec	Vote Cast	
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			
4	Non-Voting Meeting Note			
5	Non-Voting Agenda Item			
6	Accounts and Reports	For	For	
7	Remuneration Report	For	Against	
8	Ratification of Board and Management Acts	For	For	
9	Allocation of Profits/Dividends	For	For	
10	Board Size	For	For	
11	Elect Lene Skole-Sørensen as Chair	For	For	
12	Elect Andrew R. D. Brown as Vice Chair	For	For	
13	Elect Peter Korsholm	For	For	
14	Elect Dieter Wemmer	For	For	
15	Elect Julia King	For	For	
16	Elect Annica Bresky	For	For	
17	Directors' Fees	For	For	
18	Appointment of Auditor	For	For	
19	Appointment of Sustainability Auditor	For	For	
20	Non-Voting Agenda Item			
21	Non-Voting Meeting Note			
22	Non-Voting Meeting Note			

Samsung SDI Co. Ltd.	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No KR			

Annual N	Meeting Agenda (03/20/2024)	Mgmt Rec	Vote Cast	
1	Financial Statements and Allocation of Profits/Dividends	For	Abstain	
2	Elect KIM Jong Seong	For	Against	
3	Elect PARK Jin	For	For	
4	Directors' Fees	For	For	

### **Guinness Atkinson Asia Focus Fund**

DBS Group Holdings Ltd	Voted	Ballot Status	Confirmed	Decision Status	Approved
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Share Blocking No Country Of Trade SG

Annual M	leeting Agenda (03/28/2024)	Mgmt Rec	Vote Cast	
1	Accounts and Reports	For	For	
2	Allocation of Profits/Dividends	For	For	
3	Directors' Fees	For	For	
4	Appointment of Auditor and Authority to Set Fees	For	For	
5	Elect Piyush Gupta	For	For	
6	Elect CHNG Kai Fong	For	Against	
7	Elect Judy Lee	For	For	
8	Elect David Ho Hing-Yuen	For	For	
9	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For	
10	AUTHORITY TO ISSUE SHARES PURSUANT TO THE DBSH SCRIP DIVIDEND SCHEME	For	For	
11	Authority to Repurchase and Reissue Shares	For	For	
12	Non-Voting Meeting Note			

Hanon Systems	Voted	Ballot Status	Confirmed	<b>Decision Status</b>	Approved
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Share Blocking No Country Of Trade KR

Annual M	leeting Agenda (03/28/2024)	Mgmt Rec	Vote Cast	
1	Financial Statements and Allocation of Profits/Dividends	For	Abstain	
2	Election of Directors (Slate)	For	Abstain	
3	Election of Audit Committee Members (Slate)	For	Abstain	
4	Directors' Fees	For	For	
5	Non-Voting Meeting Note			

Samsung Electronics		Voted	Ballot Status	Confirmed		Decision Status	Approved
		Share Blocking Country Of Trade	No KR				
Annual I	Meetinç	g Agenda (03/20/2024)		Mg Re	jmt ec	Vote Cast	
1	Finan	cial Statements and Allo	ocation of Profits/Dividend	s Fo	or	For	
2	Elect	SHIN Je Yoon		F	or	Against	
3	Audit	on of Independent Direc Committee Member: Ch	IO Hye Kyung	F		For	
4		tors' Fees	Member: YOO Myung Hee	; F0	or	For For	
5		ndments to Articles		F		For	
	_						
Sany Heavy Industry Co		Voted	Ballot Status	Confirmed		Decision Status	Approved
		Share Blocking Country Of Trade	No CN				
Special I	Meetin	g Agenda (01/12/2024)		Mg Re		Vote Cast	
1	Amer	ndments to Work System	for Independent Director	s Fe	or	For	
2	Finan	Estimated Quota for Mo icial Leases		F		For	
3	Depo Busin	sits in, Loans from and V less in A Related Bank	Vealth Management	F	or	Against	
4	2023	ADDITIONAL CONTINU	JING CONNECTED	F	or	For	
5		VISION OF GUARANTE	E FOR SUBSIDIARIES	F	or	Against	
6		ESTIMATED EXTERNA WHOLLY-OWNED SUE	L GUARANTEE QUOTA SSIDIARY	F	or	Abstain	
Wuxi Lead Intelligent Equip	ment	Voted	Ballot Status	Confirmed		Decision Status	Approved
		Share Blocking Country Of Trade	No CN				
Special I	Meetin	g Agenda (02/19/2024)		Mg	ımt	Vote	

		Rec	Cast
1	2024 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	For	For
2	Application for Comprehensive Credit Line to Banks by the Company and Its Subsidiaries	For	For
3	Purchase of Wealth Management Products with Idle Proprietary Funds by the Company and Its Subsidiaries	For	For
4	Amendments to the Company's Articles of Association	For	For
5	AMENDMENTS TO THE WORK SYSTEM FOR INDEPENDENT DIRECTORS	For	For
6	GDR ISSUANCE AND LISTING ON THE SIX SWISS EXCHANGE	For	For
7	Stock Type and Par Value	For	For
8	Listing Place	For	For
9	Method and Date of Issuance	For	For
10	Issuing Scale of the Underlying A-Shares	For	For
11	GDR Scale During the Duration	For	For
12	Conversion Ratio of GDRs and Basic Securities of A-	For	For
13	Shares Pricing Method	For	For
14	Issuing Targets	For	For
15	Scale and Purpose of the Raised Funds	For	For
16	Conversion Limit Period of GDRs and Basic Securities of A-Shares	For	For
17	Arrangement for the Accumulated Retained Profits	For	For
18	Underwriting Method	For	For
19	Valid Period of the Resolution on the Issuance	For	For
20	Preplan for the Gdr Issuance and Corresponding Increase of Underlying A-Shares	For	For
21	Demonstration Analysis Report on the Plan for GDR Issuance and Corresponding Increase of Underlying A- Shares	For	For
22		For	For
23	DILUTED IMMEDIATE RETURN AFTER THE GDR ISSUANCE AND CORRESPONDING INCREASE OF UNDERLYING A-SHARES, FILLING MEASURES, AND	For	For
24	COMMITMENTS OF RELEVANT PARTIES FORMULATION OF THE SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2024 TO 2026	For	For
25	REPORT ON THE USE OF PREVIOUS RAISED FUNDS	For	For
26	Full Authorization to the Board and Its Authorized Persons to Handle Matters Regarding the Gdr Issuance and Listing on the Six Swiss Exchange	For	For
27	Amendments to the Company's Articles of Association (Draft) (Applicable After GDR Listing)	For	For

28	Amendments to the Company's Rules of Procedure Governing Shareholders General Meetings (Draft)	For	For
29	(Applicable After GDR Listing) Termination of the Company's Rules of Procedure Governing the Board Meetings (Draft) (Applicable After GDR Listing)	For	For
30	Termination of the Company's Rules of Procedure Governing the Supervisory Committee Meetings (Draft) (Applicable After GDR Listing)	For	For
31	Non-Voting Agenda Item		
32	Elect WANG Yanqing	For	Against
33	Elect WANG Jianxin	For	Against
34	Elect YOU Zhiliang	For	For
35	Elect WANG Lei	For	For
36	Non-Voting Agenda Item		
37	Elect ZHANG Mingyan	For	Against
38	Elect DAI Jianjun	For	For
39	Elect GUO Jianjun	For	For
40	Non-Voting Agenda Item		
41	Elect BIAN Fenxiang	For	Against
42	Elect WANG Qingyan	For	For

Applied Materials Inc. Voted Ballot Status Counted Decision Status Approved

Share Blocking No Country Of Trade US

Annual M	leeting Agenda (03/07/2024)	Mgmt Rec	Vote Cast
1	Elect Rani Borkar	For	For
2	Elect Judy Bruner	For	For
3	Elect Xun Chen	For	For
4	Elect Aart J. de Geus	For	For
5	Elect Gary E. Dickerson	For	For
6	Elect Thomas J. lannotti	For	Against
7	Elect Alexander A. Karsner	For	For
8	Elect Kevin P. March	For	For
9	Elect Yvonne McGill	For	For
10	Elect Scott A. McGregor	For	For

	11	Advisory Vote on Executive Compensation	For	Against
	12	Ratification of Auditor	For	Abstain
SHP	13	Shareholder Proposal Regarding Lobbying Report	Against	Against
SHP	14	Shareholder Proposal Regarding Median Gender and Racial Pay Equity Report	Against	Against

## **Guinness Atkinson China & Hong Kong Fund**

China Overseas Land	&	
Investment Ltd.		

Voted Ballot Status Confirmed Decision Status Approved

Share Blocking No Country Of Trade HK

Ordinary Meeting Agenda (01/31/2024)

Mgmt
Rec
Cast

- 1 Non-Voting Meeting Note
- 2 Non-Voting Meeting Note
- 3 Cooperation Agreement For For

### Hangzhou First Applied Material Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Share Blocking No Country Of Trade CN

Special I	Meeting Agenda (01/24/2024)	Mgmt Rec	Vote Cast	
1	Amendments to the Company's Articles of Association	For	For	
2	Amendments to the Company's Rules of Procedure Governing the Board Meetings	For	For	
3	AMENDMENTS TO THE WORK SYSTEM FOR INDEPENDENT DIRECTORS	For	For	
4	AMENDMENTS TO THE CONNECTED TRANSACTION MANAGEMENT SYSTEM	For	For	
5	AMENDMENTS TO THE RAISED FUNDS MANAGEMENT SYSTEM	For	For	
6	AMENDMENTS TO THE EXTERNAL GUARANTEE MANAGEMENT SYSTEM	For	For	

Sany Heavy Industry Co	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No CN			
Specia	ıl Meeting Agenda (01/12/2024)		Mgmt Rec	Vote Cast	
	1 Amendments to Work Syster	n for Independent Directors	s For	For	
:	2 2024 Estimated Quota for Mo Financial Leases	ortgage Loans and	For	For	
	3 Deposits in, Loans from and Business in A Related Bank	Wealth Management	For	Against	
	4 2023 ADDITIONAL CONTINI TRANSACTION QUOTA		For	For	
	5 PROVISION OF GUARANTE		For	Against	
(	6 2024 ESTIMATED EXTERNA OF A WHOLLY-OWNED SU		For	Abstain	
Shenzhen H&T Intelligent Control Voted Ballot Status		Confirmed	Decision Status	Approved	
	Share Blocking Country Of Trade	No CN			
Specia	ıl Meeting Agenda (01/08/2024)		Mgmt Rec	Vote Cast	
Repurchase and Cancellation of Restricted Stock Plan     and Capital Reduction				For	
	<ul> <li>Settlement of Some Projects         Funds and Permanently Sup         Capital with the Surplus Rais</li> </ul>	plementing the Working	For	For	
:	3 Amendments to Managemen	t System for Raised Funds	s For	For	
	4 Authority to Give Guarantees Affiliated Companies	for Subsidiaries and	For	For	
	5 Amendments to Articles		For	For	

Suofeiya Home Collection Co Ltd Voted		Ballot Status	Confirmed	<b>Decision Status</b>	Approved
	Share Blocking Country Of Trade	No CN			
Special Mee	ting Agenda (01/29/2024)		Mgmt Rec	Vote Cast	
1 Ar	mendments to the Company	's Articles of Association	For	For	
	mendments to the Company overning Shareholders Gen		For	For	
3 Ar	mendments to the Company overning the Board Meeting	's Rules of Procedure	For	For	
4 AN	MENDMENTS TO THE SYS DEPENDENT DIRECTORS	STEM FOR	For	For	
5 Ar	mendments to the Company overning the Supervisory Co	's Rules of Procedure	For	For	
Suofeiya Home Collection Co	Ltd Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No CN			
Special Meeting Agenda (03/18/2024)			Mgmt Rec	Vote Cast	
	024 EMPLOYEE STOCK OV PRAFT) AND ITS SUMMAR		For	For	
2 M	ANAGEMENT MEASURES	FOR THE 2024	For	For	
EMPLOYEE STOCK OWNERSHIP PLAN  3 Authorization to the Board to Handle Matters Regarding the 2024 Employee Stock Ownership Plan			g For	For	
Travelsky Technology	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No HK			
Special Meeting Agenda (01/25/2024)			Mgmt Rec	Vote Cast	
1 No	on-Voting Meeting Note				

2 Non-Voting Meeting Note

3	Approval of the Phase III H Share Appreciation Rights	For	For
4	Scheme Elect SUN Yuquan	For	Against
5	Elect QU Guangji	For	For

Wuxi Lead Intelligent Equipment  $$_{\rm Voted}$$  Co Ltd.

ted

**Ballot Status** 

Confirmed

**Decision Status** 

Approved

Share Blocking No Country Of Trade CN

Special N	leeting Agenda (02/19/2024)	Mgmt Rec	Vote Cast	
1	2024 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	For	For	
2	Application for Comprehensive Credit Line to Banks by the Company and Its Subsidiaries	For	For	
3	Purchase of Wealth Management Products with Idle Proprietary Funds by the Company and Its Subsidiaries	For	For	
4	Amendments to the Company's Articles of Association	For	For	
5	AMENDMENTS TO THE WORK SYSTEM FOR INDEPENDENT DIRECTORS	For	For	
6	GDR ISSUANCE AND LISTING ON THE SIX SWISS EXCHANGE	For	For	
7	Stock Type and Par Value	For	For	
8	Listing Place	For	For	
9	Method and Date of Issuance	For	For	
10	Issuing Scale of the Underlying A-Shares	For	For	
11	GDR Scale During the Duration	For	For	
12	Conversion Ratio of GDRs and Basic Securities of A-Shares	For	For	
13	Pricing Method	For	For	
14	Issuing Targets	For	For	
15	Scale and Purpose of the Raised Funds	For	For	
16	Conversion Limit Period of GDRs and Basic Securities of A-Shares	For	For	
17	Arrangement for the Accumulated Retained Profits	For	For	
18	Underwriting Method	For	For	
19	Valid Period of the Resolution on the Issuance	For	For	
20	Preplan for the Gdr Issuance and Corresponding Increase of Underlying A-Shares	For	For	
21	Demonstration Analysis Report on the Plan for GDR Issuance and Corresponding Increase of Underlying A-Shares	For	For	

22	Feasibility Analysis Report on the Use of Raised Funds from GDR Issuance and Corresponding Increase of Underlying A-Shares	For	For
23	DILUTED IMMEDIATE RETURN AFTER THE GDR ISSUANCE AND CORRESPONDING INCREASE OF UNDERLYING A-SHARES, FILLING MEASURES, AND COMMITMENTS OF RELEVANT PARTIES	For	For
24	FORMULATION OF THE SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2024 TO 2026	For	For
25	REPORT ON THE USE OF PREVIOUS RAISED FUNDS	For	For
26	Full Authorization to the Board and Its Authorized Persons to Handle Matters Regarding the Gdr Issuance and Listing on the Six Swiss Exchange	For	For
27	Amendments to the Company's Articles of Association (Draft) (Applicable After GDR Listing)	For	For
28	` , `	For	For
29	Termination of the Company's Rules of Procedure Governing the Board Meetings (Draft) (Applicable After GDR Listing)	For	For
30 31	Termination of the Company's Rules of Procedure Governing the Supervisory Committee Meetings (Draft) (Applicable After GDR Listing) Non-Voting Agenda Item	For	For
32	Elect WANG Yanqing	For	Against
33	Elect WANG Jianxin	For	Against
34	Elect YOU Zhiliang	For	For
35	Elect WANG Lei	For	For
36	Non-Voting Agenda Item		
37	Elect ZHANG Mingyan	For	Against
38	Elect DAI Jianjun	For	For
39	Elect GUO Jianjun	For	For
40	Non-Voting Agenda Item		
41	Elect BIAN Fenxiang	For	Against
42	Elect WANG Qingyan	For	For

Zhejiang Supor Cookware Co. Ltd.	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No CN			
Special Meeting Agenda (01/10/2024)			Mgmt Rec	Vote Cast	
1 Estir	mated Additional Continui	ng Connected Transaction	on For	For	

### **Guinness Atkinson Global Energy Fund**

Reabold Resources Pic Voted Ballot Status Confirmed Decision Status Approved

Share Blocking No Country Of Trade GB

Ordii	nary	Meeting Agenda (01/10/2024)	Mgmt Rec	Vote Cast
	1	Non-Voting Meeting Note		
SHP	2	Shareholder Proposal Regarding Election of Kamran Sattar	Against	Against
SHP	3	Shareholder Proposal Regarding Election of Andrea Cattaneo	Against	Against
SHP	4	Shareholder Proposal Regarding Election of Francesca Yardley	Against	Against
SHP	5	Shareholder Proposal Regarding Election of Jose Ramon Lopez Portillo Romano	Against	Against
SHP	6	Shareholder Proposal Regarding Removal of Sachin Sharad Oza	Against	Against
SHP	7	Shareholder Proposal Regarding Removal of Jeremy Samuel Edelman	Against	Against
SHP	8	Shareholder Proposal Regarding Removal of Michael Craig Felton	Against	Against
SHP	9	Shareholder Proposal Regarding Removal of Marcos Estanislao Mozetic	Against	Against
SHP	10	Shareholder Proposal Regarding Removal of Anthony John Samaha	Against	Against
SHP	11	Shareholder Proposal Regarding Removal of Stephen Anthony Williams	Against	Against
SHP	12		Against	Against
	13	Non-Voting Meeting Note		

Pioneer Natural Resources Co.	Voted	<b>Ballot Status</b>	Counted	<b>Decision Status</b>	Approved

Share Blocking No Country Of Trade US

Special Meeting Agenda (02/07/2024)

Mgmt
Rec
Cast

1 Merger (Acquisition by Exxon Mobil Corporation) For For

## **Guinness Atkinson Global Innovators Fund**

ABB Ltd. Unvoted Ballot Status No Vote Cast Decision Status Take No Action

Annual M	Annual Meeting Agenda (03/21/2024)		Vote Cast
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Accounts and Reports	For	
4	Compensation Report	For	
5	Report on Non-Financial Matters	For	
6	Ratification of Board and Management Acts	For	
7	Allocation of Dividends	For	
8	Board Compensation	For	
9	Executive Compensation (Total)	For	
10	Elect David E. Constable	For	
11	Elect Frederico Fleury Curado	For	
12	Elect Lars Förberg	For	
13	Elect Johan Forssell	For	
14	Elect Denise C. Johnson	For	
15	Elect Jennifer Xin-Zhe Li	For	
16	Elect Geraldine Matchett	For	
17	Elect David Meline	For	
18	Elect Mats Rahmström	For	
19	Elect Peter R. Voser as Board Chair	For	
20	Elect David E. Constable as Compensation Committee Member	For	
21	Elect Frederico Fleury Curado as Compensation Committee Member	For	
22	Elect Jennifer Xin-Zhe Li as Compensation Committee Member	For	
23	Appointment of Independent Proxy	For	
24	Appointment of Auditor	For	
25	Transaction of Other Business		

Infineon Technologies AG Voted Ballot Status Confirmed Decision Status Approved

Annual M	leeting Agenda (02/23/2024)	Mgmt Rec	Vote Cast	
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			
4	Non-Voting Meeting Note			
5	Non-Voting Meeting Note			
6	Non-Voting Meeting Note			
7	Non-Voting Agenda Item			
8	Allocation of Dividends	For	For	
9	Ratify Jochen Hanebeck	For	For	
10	Ratify Constanze Hufenbecher	For	For	
11	Ratify Sven Schneider	For	For	
12	Ratify Andreas Urschitz	For	For	
13	Ratify Rutger Wijburg	For	For	
14	Ratify Herbert Diess	For	For	
15	Ratify Xiaoqun Clever-Steg	For	For	
16	Ratify Johann Dechant	For	For	
17	Ratify Wolfgang Eder	For	For	
18	Ratify Friedrich Eichiner	For	For	
19	Ratify Annette Engelfried	For	For	
20	Ratify Peter Gruber	For	For	
21	Ratify Klaus Helmrich	For	For	
22	Ratify Hans-Ulrich Holdenried	For	For	
23	Ratify Susanne Lachenmann	For	For	
24	Ratify Géraldine Picaud	For	For	
25	Ratify Manfred Puffer	For	For	

26	Ratify Melanie Riedl	For	For
27	Ratify Jürgen Scholz	For	For
28	Ratify Ulrich Spiesshofer	For	For
29	Ratify Margret Suckale	For	For
30	Ratify Mirco Synde	For	For
31	Ratify Diana Vitale	For	For
32	Ratify Ute Wolf	For	For
33	Appointment of Auditor	For	Against
34	Elect Ute Wolf	For	For
35	Elect Hermann Eul	For	For
36	Supervisory Board Remuneration Policy	For	For
37	Increase in Authorised Capital	For	For
38	Authority to Issue Convertible Debt Instruments; Increase in Conditional Capital	For	For
39	Remuneration Report	For	Against
40	Non-Voting Meeting Note		
41	Non-Voting Meeting Note		
42	Non-Voting Meeting Note		
43	Non-Voting Meeting Note		

Confirmed

For

For

For

**Decision Status** 

For

Against

For

Approved

	Share Blocking Country Of Trade	No DK		
Annual I	Meeting Agenda (03/21/2024)		Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			
4	Non-Voting Meeting Note			
5	Non-Voting Agenda Item			
6	Accounts and Reports		For	For

**Ballot Status** 

Voted

7 Allocation of Profits/Dividends

Remuneration Report

9 Directors' Fees

**Novo Nordisk** 

Indemnification of the Board of Directors	For	For
Indemnification of the Executive Management	For	For
Amendments to Articles	For	For
Remuneration Policy	For	Against
Election of Helge Lund as Chair	For	For
Election of Henrik Poulsen as Vice Chair	For	For
Elect Laurence Debroux	For	For
Elect Andreas Fibig	For	For
Elect Sylvie Grégoire	For	For
Elect Kasim Kutay	For	For
Elect Christina Choi Lai Law	For	For
Elect Martin Mackay	For	Against
Appointment of Auditor	For	Against
Cancellation of Shares	For	For
Authority to Repurchase Shares	For	For
Authority to Issue Shares w/ or w/o Preemptive Rights	For	For
Non-Voting Agenda Item		
Non-Voting Meeting Note		
	Indemnification of the Executive Management Amendments to Articles Remuneration Policy Election of Helge Lund as Chair Election of Henrik Poulsen as Vice Chair Elect Laurence Debroux Elect Andreas Fibig Elect Sylvie Grégoire Elect Kasim Kutay Elect Christina Choi Lai Law Elect Martin Mackay Appointment of Auditor Cancellation of Shares Authority to Repurchase Shares Authority to Issue Shares w/ or w/o Preemptive Rights Non-Voting Agenda Item Non-Voting Meeting Note Non-Voting Meeting Note Non-Voting Meeting Note	Indemnification of the Executive Management  Amendments to Articles  For  Remuneration Policy  Election of Helge Lund as Chair  Election of Henrik Poulsen as Vice Chair  For  Elect Laurence Debroux  For  Elect Andreas Fibig  For  Elect Sylvie Grégoire  Elect Kasim Kutay  For  Elect Christina Choi Lai Law  For  Elect Martin Mackay  Appointment of Auditor  Cancellation of Shares  Authority to Repurchase Shares  Authority to Issue Shares w/ or w/o Preemptive Rights  Non-Voting Agenda Item  Non-Voting Meeting Note  Non-Voting Meeting Note  Non-Voting Meeting Note

Apple Inc	Voted	Ballot Status	Confirmed	Decision Status	Approved

Annual N	Meeting Agenda (02/28/2024)	Mgmt Rec	Vote Cast
1	Elect Wanda M. Austin	For	For
2	Elect Timothy D. Cook	For	For
3	Elect Alex Gorsky	For	For
4	Elect Andrea Jung	For	For
5	Elect Arthur D. Levinson	For	For
6	Elect Monica C. Lozano	For	For

	7	Elect Ronald D. Sugar	For	For
	8	Elect Susan L. Wagner	For	Against
	9	Ratification of Auditor	For	For
	10	Advisory Vote on Executive Compensation	For	Against
SHP	11	Shareholder Proposal Regarding EEO Policy Risk Report	Against	Against
SHP	12	Shareholder Proposal Regarding Curating and Managing Disputes Concerning App Content	Against	For
SHP	13	Shareholder Proposal Regarding Median Gender and Racial Pay Equity Report	Against	Against
SHP	14		Against	Against
SHP	15		Against	For

Applied Materials Inc. Voted Ballot Status Counted Decision Status Approved

Annual Meeting Agenda (03/07/2024) Mgmt Vote					
			Rec	Cast	
	1	Elect Rani Borkar	For	For	
	2	Elect Judy Bruner	For	For	
	3	Elect Xun Chen	For	For	
	4	Elect Aart J. de Geus	For	For	
	5	Elect Gary E. Dickerson	For	For	
	6	Elect Thomas J. lannotti	For	Against	
	7	Elect Alexander A. Karsner	For	For	
	8	Elect Kevin P. March	For	For	
	9	Elect Yvonne McGill	For	For	
	10	Elect Scott A. McGregor	For	For	
	11	Advisory Vote on Executive Compensation	For	Against	
	12	Ratification of Auditor	For	Abstain	
SHP	13	Shareholder Proposal Regarding Lobbying Report	Against	Against	
SHP	14	Shareholder Proposal Regarding Median Gender and Racial Pay Equity Report	Against	Against	

Intuit InC Voted Ballot Status Counted Decision Status Approve	Intuit Inc	Voted	Ballot Status	Counted	Decision Status	Approved
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Share Blocking No Country Of Trade US

Annu	Annual Meeting Agenda (01/18/2024)		Mgmt Rec	Vote Cast	
	1	Elect Eve Burton	For	For	
	2	Elect Scott D. Cook	For	For	
	3	Elect Richard L. Dalzell	For	For	
	4	Elect Sasan Goodarzi	For	For	
	5	Elect Deborah Liu	For	For	
	6	Elect Tekedra Mawakana	For	For	
	7	Elect Suzanne Nora Johnson	For	Against	
	8	Elect Ryan Roslansky	For	For	
	9	Elect Thomas J. Szkutak	For	For	
	10	Elect Raul Vazquez	For	For	
	11	Elect Eric Yuan	For	For	
	12	Advisory Vote on Executive Compensation	For	Against	
	13	Frequency of Advisory Vote on Executive	1 Year	1 Year	
	14	Compensation Ratification of Auditor	For	Against	
	15	Amendment to the 2005 Equity Incentive Plan	For	For	
SHP	16	Shareholder Proposal Regarding Report on Portfolio Risk in Employee Retirement Options	Against	Against	

 Visa Inc
 Voted
 Ballot Status
 Counted
 Decision Status
 Approved

Annual N	Meeting Agenda (01/23/2024)	Mgmt Rec	Vote Cast
1	Elect Lloyd A. Carney	For	For
2	Elect Kermit R. Crawford	For	For
3	Elect Francisco Javier Fernández Carbajal	For	For
4	Elect Ramon L. Laguarta	For	For

5	Elect Teri L. List	For	For
6	Elect John F. Lundgren	For	For
7	Elect Ryan McInerney	For	For
8	Elect Denise M. Morrison	For	For
9	Elect Pamela Murphy	For	For
10	Elect Linda J. Rendle	For	For
11	Elect Maynard G. Webb, Jr.	For	For
12	Advisory Vote on Executive Compensation	For	Against
13	Ratification of Auditor	For	For
14	Exchange Offer Amendments	For	For
15	Right to Adjourn Meeting	For	For
16	Shareholder Proposal Regarding Severance Approval Policy	Against	Against

SHP

# **Guinness Atkinson Smart Transportation ETF**

Hanon Systems	Voted	Ballot Status	Confirmed	Decision Status	Approved
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Share Blocking No Country Of Trade KR

Annual N	leeting Agenda (03/28/2024)	Mgmt Rec	Vote Cast	
1	Financial Statements and Allocation of Profits/Dividends	For	Abstain	
2	Election of Directors (Slate)	For	Abstain	
3	Election of Audit Committee Members (Slate)	For	Abstain	
4	Directors' Fees	For	For	
5	Non-Voting Meeting Note			

Infineon Technologies AG Voted Ballot Status Confirmed Decision Status Approved

Share Blocking N Country Of Trade D

13 Ratify Rutger Wijburg

Annual N	leeting Agenda (02/23/2024)	Mgmt Rec	Vote Cast	
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			
4	Non-Voting Meeting Note			
5	Non-Voting Meeting Note			
6	Non-Voting Meeting Note			
7	Non-Voting Agenda Item			
8	Allocation of Dividends	For	For	
9	Ratify Jochen Hanebeck	For	For	
10	Ratify Constanze Hufenbecher	For	For	
11	Ratify Sven Schneider	For	For	
12	Ratify Andreas Urschitz	For	For	

For

For

14	Ratify Herbert Diess	For	Abstain
15	Ratify Xiaoqun Clever-Steg	For	For
16	Ratify Johann Dechant	For	For
17	Ratify Wolfgang Eder	For	For
18	Ratify Friedrich Eichiner	For	For
19	Ratify Annette Engelfried	For	For
20	Ratify Peter Gruber	For	For
21	Ratify Klaus Helmrich	For	For
22	Ratify Hans-Ulrich Holdenried	For	For
23	Ratify Susanne Lachenmann	For	For
24	Ratify Géraldine Picaud	For	For
25	Ratify Manfred Puffer	For	For
26	Ratify Melanie Riedl	For	For
27	Ratify Jürgen Scholz	For	For
28	Ratify Ulrich Spiesshofer	For	For
29	Ratify Margret Suckale	For	For
30	Ratify Mirco Synde	For	For
31	Ratify Diana Vitale	For	For
32	Ratify Ute Wolf	For	For
33	Appointment of Auditor	For	Against
34	Elect Ute Wolf	For	For
35	Elect Hermann Eul	For	For
36	Supervisory Board Remuneration Policy	For	For
37	Increase in Authorised Capital	For	For
38	Authority to Issue Convertible Debt Instruments;	For	For
39	Increase in Conditional Capital Remuneration Report	For	Against
40	Non-Voting Meeting Note		
41	Non-Voting Meeting Note		
42	Non-Voting Meeting Note		
43	Non-Voting Meeting Note		

Kia Corporation	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No KR			
	Annual Meeting Agenda (03/15/2024)		Mgmt Rec	Vote Cast	
	1 Financial Statements and Al	location of Profits/Dividen	ds For	Abstain	
	2 Elect CHOI Jun Young		For	Against	
	3 Elect LEE In Kyung		For	For	
	4 Election of Audit Committee	Member: LEE In Kyung	For	For	
	5 Election of Independent Dire Audit Committee Member: J	ctor to Be Appointed as	For	For	
	6 Directors' Fees	no vyna Sun	For	For	
L G Chemical	Voted Share Blocking Country Of Trade	Ballot Status No KR	Confirmed	Decision Status	Approved
	Annual Meeting Agenda (03/25/2024)		Mgmt Rec	Vote Cast	
	Financial Statements and Al	location of Profits/Dividen	ds For	Abstain	
	2 Amendments to Articles		For	For	
	3 Elect CHA Dong Seok		For	Against	
	4 Election of Independent Dire Audit Committee Member: L	ctor to Be Appointed as	For	For	
	5 Directors' Fees	LE Toding Flair	For	For	

Renesas Electronics Corporation Voted	Ballot Status	Confirmed	Decision Status	Approved
Share Blocking Country Of Trade	No JP			
Annual Meeting Agenda (03/26/2024)		Mgmt Rec	Vote Cast	
1 Non-Voting Meeting Note				
2 Allocation of Profits/Dividend	S	For	For	
3 Amendments to Articles		For	For	
4 Amendments to Articles		For	For	
5 Elect Hidetoshi Shibata		For	Against	
6 Elect Jiro Iwasaki		For	For	
7 Elect Selena Loh Lacroix		For	For	
8 Elect Noboru Yamamoto		For	Abstain	
9 Elect Takuya Hirano		For	For	
10 Elect Tomoko Mizuno		For	For	
Samsung SDI Co. Ltd. Voted	Ballot Status	Confirmed	Decision Status	Approved
Share Blocking Country Of Trade	No KR			
Annual Meeting Agenda (03/20/2024)		Mgmt Rec	Vote Cast	
1 Financial Statements and Alle	ocation of Profits/Divid	ends For	Abstain	
2 Elect KIM Jong Seong		For	Against	
3 Elect PARK Jin		For	For	
4 Directors' Fees		For	For	
Volvo AB Voted	Ballot Status	Rejected	Decision Status	Approved
Share Blocking Country Of Trade	No SE			

		Rec	Cast
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Non-Voting Meeting Note		
4	Non-Voting Meeting Note		
5	Non-Voting Agenda Item		
6	Election of Presiding Chair	For	For
7	Non-Voting Agenda Item	1 01	1 01
8	Agenda	For	For
9	Non-Voting Agenda Item	1 01	1 01
10	Compliance with the Rules of Convocation	For	For
11	Non-Voting Agenda Item	. 0.	. 0.
12	Non-Voting Agenda Item		
13	Accounts and Reports	For	Against
14	Allocation of Profits/Dividends	For	For
15	Ratify Matti Alahuhta	For	Abstain
16	Ratify Bo Annvik	For	For
17	Ratify Jan Carlson	For	For
18	Ratify Eric Elzvik	For	For
19	Ratify Martha Finn Brooks	For	For
20	Ratify Kurt Jofs	For	For
21	Ratify Martin Lundstedt	For	For
22	Ratify Kathryn V. Marinello	For	For
23	Ratify Martina Merz	For	For
24	Ratify Hanne de Mora	For	For
25	Ratify Helena Stjernholm	For	For
26	Ratify Carl-Henric Svanberg	For	For
27	Ratify Lars Ask	For	For
28	Ratify Mats Henning	For	For
29	Ratify Mari Larsson	For	For
30	Ratify Urban Spännar	For	For
31	Ratify Danny Bilger	For	For
32	Ratify Camilla Johansson	For	For
33	Ratify Erik Svensson	For	For
34	Ratify Martin Lundstedt (President and CEO)	For	For

35	Board Size	For	For
36	Number of Deputy Board Members	For	For
37	Directors' Fees	For	For
38	Elect Matti Alahuhta	For	Abstain
39	Elect Bo Annvik	For	For
40	Elect Pär Boman	For	Against
41	Elect Jan Carlson	For	For
42	Elect Eric A. Elzvik	For	For
43	Elect Martha Finn Brooks	For	For
44	Elect Kurt Jofs	For	For
45	Elect Martin Lundstedt	For	For
46	Elect Kathryn V. Marinello	For	For
47	Elect Martina Merz	For	For
48	Elect Helena Stjernholm	For	Against
49	Elect Pär Boman as Chair	For	Against
50	Authority to Set Auditor's Fees	For	For
51	Appointment of Auditor	For	For
52	Election of Fredrik Persson	For	For
53	Election of Anders Oscarsson	For	For
54	Election of Carina Silberg	For	For
55	Election of Anders Algotsson	For	For
56	Election of the Chair of the Board as Nominating	For	For
57	Committee Member Remuneration Report	For	Abstain
58	Non-Voting Meeting Note		
59	Non-Voting Meeting Note		
60	Non-Voting Meeting Note		
61	Non-Voting Meeting Note		

Analog Devices Inc.	Voted	<b>Ballot Status</b>	Counted	<b>Decision Status</b>	Approved
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Share Blocking No Country Of Trade US

Annual Meeting Agenda (03/13/2024)			Mgmt Rec	Vote Cast	
	1	Elect Vincent Roche	For	For	
	2	Elect Stephen M. Jennings	For	For	
	3	Elect André Andonian	For	For	
	4	Elect James A. Champy	For	For	
	5	Elect Edward H. Frank	For	Against	
	6	Elect Laurie H. Glimcher	For	For	
	7	Elect Karen M. Golz	For	Against	
	8	Elect Peter B. Henry	For	For	
	9	Elect Mercedes Johnson	For	For	
	10	Elect Ray Stata	For	For	
	11	Elect Susie Wee	For	For	
	12	Advisory Vote on Executive Compensation	For	Against	
	13	Ratification of Auditor	For	Against	
SHP	14	Shareholder Proposal Regarding Simple Majority Vote	Against	For	

TE Connectivity Ltd Voted Ballot Status Confirmed Decision Status Approved

Annual Meeting Agenda (03/13/2024)		Mgmt Rec	Vote Cast
1	Elect Jean-Pierre Clamadieu	For	For
2	Elect Terrence R. Curtin	For	For
3	Elect Carol Anthony Davidson	For	For
4	Elect Lynn A. Dugle	For	For
5	Elect William A. Jeffrey	For	For
6	Elect Shirley LIN Syaru	For	For

7	Elect Heath A. Mitts	For	Against
8	Elect Abhijit Y. Talwalkar	For	Against
9	Elect Mark C. Trudeau	For	For
10	Elect Dawn C. Willoughby	For	For
11	Elect Laura H. Wright	For	For
12	Elect Carol Anthony Davidson as Board Chair	For	For
13	Elect Abhijit Y. Talwalkar as Management Development	For	Against
14	and Compensation Committee Member Elect Mark C. Trudeau as Management Development and Compensation Committee Member	For	For
15	Elect Dawn C. Willoughby as Management Development and Compensation Committee Member	For	For
16	Appointment of Independent Proxy	For	For
17	Approval of Annual Report	For	For
18	Approval of Statutory Financial Statements	For	For
19	Approval of Consolidated Financial Statements	For	For
20	Ratification of Board and Management Acts	For	For
21	Appointment of Auditor	For	For
22	Appointment of Swiss Registered Auditor	For	For
23	Appointment of Special Auditor	For	For
24	Advisory Vote on Executive Compensation	For	Against
25	Approval of Swiss Statutory Compensation Report	For	For
26	Executive Compensation (Total)	For	For
27	Board Compensation	For	For
28	Allocation of Profits	For	For
29	Dividend from Reserves	For	For
30	Approval of Capital Band	For	For
31	Cancellation of Shares and Reduction in Share Capital	For	For
32	Amendments to Articles Regarding Shareholder Rights	For	For
33	and General Meetings Amendments to Articles Regarding Virtual and Hybrid Meetings	For	For
34	Amendments to Articles Regarding Board and Compensation Matters	For	For
35	Authority to Repurchase Shares	For	For
36	Approval of the 2024 Stock and Incentive Plan	For	For

## **Guinness Best of Asia Fund**

Bajaj Auto Ltd		Voted	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No IN			
	Other Me	eting Agenda (02/13/2024)		Mgmt Rec	Vote Cast	
	1	Non-Voting Meeting Note				
	2	Authority to Repurchase Sh	ares	For	Against	
Bajaj Auto Ltd		Voted	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No IN			
	Other Me	eting Agenda (03/05/2024)		Mgmt Rec	Vote Cast	
	1	Non-Voting Meeting Note				
	2	Elect Pradip P. Shah		For	Abstain	
	3	Elect Vinita K. Bali		For	For	
	4	Holding of Office or Place o Bajaj (Division Manager (Pr	f Profit by Rishabnayan oduct Strategy - EV))	For	Abstain	
DBS Group Holdi	ngs Ltd	Voted	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No SG			
	Annual N	leeting Agenda (03/28/2024	<b>()</b>	Mgmt Rec	Vote Cast	
	1	Accounts and Reports		For	For	
	2	Allocation of Profits/Dividen	ds	For	For	
	3	Directors' Fees		For	For	
	4	Appointment of Auditor and	Authority to Set Fees	For	For	

5	Elect Piyush Gupta	For	For
6	Elect CHNG Kai Fong	For	Against
7	Elect Judy Lee	For	For
8	Elect David Ho Hing-Yuen	For	For
9	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For
10	AUTHORITY TO ISSUE SHARES PURSUANT TO THE DBSH SCRIP DIVIDEND SCHEME	For	For
11	Authority to Repurchase and Reissue Shares	For	For
12	Non-Voting Meeting Note		

Hanon Systems	Voted	<b>Ballot Status</b>	Confirmed	<b>Decision Status</b>	Approved
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Share Blocking No Country Of Trade KR

Annual N	Meeting Agenda (03/28/2024)	Mgmt Rec	Vote Cast	
1	Financial Statements and Allocation of Profits/Dividends	For	Abstain	
2	Election of Directors (Slate)	For	Abstain	
3	Election of Audit Committee Members (Slate)	For	Abstain	
4	Directors' Fees	For	For	
5	Non-Voting Meeting Note			

Sany Heavy Industry Co Voted Ballot Status Confirmed Decision Status Approved	y Heavy Industry Co	Voted	Ballot Status	Confirmed	Decision Status	Approved	
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Special I	Meeting Agenda (01/12/2024)	Mgmt Rec	Vote Cast
1	Amendments to Work System for Independent Directors	For	For
2	2024 Estimated Quota for Mortgage Loans and Financial Leases	For	For
3	Deposits in, Loans from and Wealth Management Business in A Related Bank	For	Against
4	2023 ADDITIONAL CONTINUING CONNECTED TRANSACTION QUOTA	For	For
5	PROVISION OF GUARANTEE FOR SUBSIDIARIES	For	Against

## 6 2024 ESTIMATED EXTERNAL GUARANTEE QUOTA OF A WHOLLY-OWNED SUBSIDIARY

For

Abstain

Wuxi Lead Intelligent Equipment Voted

Ballot Status

Confirmed

**Decision Status** Approved

Special M	leeting Agenda (02/19/2024)	Mgmt Rec	Vote Cast
1	2024 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	For	For
2	Application for Comprehensive Credit Line to Banks by the Company and Its Subsidiaries	For	For
3	Purchase of Wealth Management Products with Idle Proprietary Funds by the Company and Its Subsidiaries	For	For
4	Amendments to the Company's Articles of Association	For	For
5	AMENDMENTS TO THE WORK SYSTEM FOR INDEPENDENT DIRECTORS	For	For
6	GDR ISSUANCE AND LISTING ON THE SIX SWISS EXCHANGE	For	For
7	Stock Type and Par Value	For	For
8	Listing Place	For	For
9	Method and Date of Issuance	For	For
10	Issuing Scale of the Underlying A-Shares	For	For
11	GDR Scale During the Duration	For	For
12	Conversion Ratio of GDRs and Basic Securities of A-Shares	For	For
13	Pricing Method	For	For
14	Issuing Targets	For	For
15	Scale and Purpose of the Raised Funds	For	For
16	Conversion Limit Period of GDRs and Basic Securities of A-Shares	For	For
17	Arrangement for the Accumulated Retained Profits	For	For
18	Underwriting Method	For	For
19	Valid Period of the Resolution on the Issuance	For	For
20	Preplan for the Gdr Issuance and Corresponding Increase of Underlying A-Shares	For	For
21	Demonstration Analysis Report on the Plan for GDR Issuance and Corresponding Increase of Underlying A-Shares	For	For

22	Feasibility Analysis Report on the Use of Raised Funds from GDR Issuance and Corresponding Increase of	For	For
23	Underlying A-Shares DILUTED IMMEDIATE RETURN AFTER THE GDR ISSUANCE AND CORRESPONDING INCREASE OF UNDERLYING A-SHARES, FILLING MEASURES, AND	For	For
24	COMMITMENTS OF RELEVANT PARTIES FORMULATION OF THE SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2024	For	For
25	TO 2026 REPORT ON THE USE OF PREVIOUS RAISED FUNDS	For	For
26	Full Authorization to the Board and Its Authorized Persons to Handle Matters Regarding the Gdr Issuance and Listing on the Six Swiss Exchange	For	For
27	Amendments to the Company's Articles of Association (Draft) (Applicable After GDR Listing)	For	For
28	Amendments to the Company's Rules of Procedure Governing Shareholders General Meetings (Draft) (Applicable After GDR Listing)	For	For
29	Termination of the Company's Rules of Procedure Governing the Board Meetings (Draft) (Applicable After	For	For
30	GDR Listing) Termination of the Company's Rules of Procedure Governing the Supervisory Committee Meetings (Draft) (Applicable After GDR Listing)	For	For
31	Non-Voting Agenda Item	F	A : t
32	Elect WANG Yanqing	For -	Against
33	Elect WANG Jianxin	For	Against
34	Elect YOU Zhiliang	For	For
35	Elect WANG Lei	For	For
36	Non-Voting Agenda Item		
37	Elect ZHANG Mingyan	For	Against
38	Elect DAI Jianjun	For	For
39	Elect GUO Jianjun	For	For
40	Non-Voting Agenda Item		
41	Elect BIAN Fenxiang	For	Against
42	Elect WANG Qingyan	For	For

Applied Materials Inc.	Voted	Ballot Status	Counted	Decision Status	Approved
Applied Materials Inc.	Voted	Ballot Status	Counted	Decision Status	Approved

Annı	ual N	leeting Agenda (03/07/2024)	Mgmt Rec	Vote Cast	
	1	Elect Rani Borkar	For	For	
	2	Elect Judy Bruner	For	For	
	3	Elect Xun Chen	For	For	
	4	Elect Aart J. de Geus	For	For	
	5	Elect Gary E. Dickerson	For	For	
	6	Elect Thomas J. Iannotti	For	Against	
	7	Elect Alexander A. Karsner	For	For	
	8	Elect Kevin P. March	For	For	
	9	Elect Yvonne McGill	For	For	
	10	Elect Scott A. McGregor	For	For	
	11	Advisory Vote on Executive Compensation	For	Against	
	12	Ratification of Auditor	For	Abstain	
SHP	13	Shareholder Proposal Regarding Lobbying Report	Against	Against	
SHP	14	Shareholder Proposal Regarding Median Gender and Racial Pay Equity Report	Against	Against	

#### **Guinness Best of China Fund**

<b>China Overseas Land</b>	&
Investment Ltd.	

Voted Ballot Status Confirmed Decision Status Approved

Share Blocking No Country Of Trade HK

Ordinary Meeting Agenda (01/31/2024) Mgmt Vote Rec Cast

- 1 Non-Voting Meeting Note
- 2 Non-Voting Meeting Note
- 3 Cooperation Agreement For

Hangzhou First Applied Material Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

For

Special I	Meeting Agenda (01/24/2024)	Mgmt Rec	Vote Cast	
1	Amendments to the Company's Articles of Association	For	For	
2	Amendments to the Company's Rules of Procedure Governing the Board Meetings	For	For	
3	AMENDMENTS TO THE WORK SYSTEM FOR INDEPENDENT DIRECTORS	For	For	
4	AMENDMENTS TO THE CONNECTED TRANSACTION MANAGEMENT SYSTEM	For	For	
5	AMENDMENTS TO THE RAISED FUNDS MANAGEMENT SYSTEM	For	For	
6	AMENDMENTS TO THE EXTERNAL GUARANTEE MANAGEMENT SYSTEM	For	For	

Sany Heavy Industry Co	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No CN			
Specia	al Meeting Agenda (01/12/2024	)	Mgmt Rec	Vote Cast	
	1 Amendments to Work Syste	em for Independent Director	rs For	For	
;	2 2024 Estimated Quota for M Financial Leases	ortgage Loans and	For	For	
	3 Deposits in, Loans from and Business in A Related Bank		For	Against	
	4 2023 ADDITIONAL CONTIN TRANSACTION QUOTA		For	For	
	5 PROVISION OF GUARANT	EE FOR SUBSIDIARIES	For	Against	
•	6 2024 ESTIMATED EXTERN OF A WHOLLY-OWNED SU		For	Abstain	
Shenzhen H&T Intelligent Co. Ltd.	Control <sub>Voted</sub>	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No CN			
Specia	nl Meeting Agenda (01/08/2024	)	Mgmt Rec	Vote Cast	
	Repurchase and Cancellation     and Capital Reduction	on of Restricted Stock Plan	For	For	
	<ul> <li>Settlement of Some Projects</li> <li>Funds and Permanently Sup Capital with the Surplus Rai</li> </ul>	pplementing the Working	For	For	
	3 Amendments to Manageme	nt System for Raised Funds	s For	For	
	4 Authority to Give Guarantee Affiliated Companies	es for Subsidiaries and	For	For	
	, annatoa companico				

Suofeiya Home Collection	Co Ltd Voted	Ballot Status	Confirmed		<b>Decision Status</b>	Approved
	Share Blocking Country Of Trade	No CN				
Special	Meeting Agenda (01/29/2024)		Mg Re		Vote Cast	
1	Amendments to the Compan	y's Articles of Association	Fo	or	For	
2	Amendments to the Compan Governing Shareholders Ger		Fo	or	For	
3	Amendments to the Compan Governing the Board Meeting	y's Rules of Procedure	Fo	or	For	
4	AMENDMENTS TO THE SY INDEPENDENT DIRECTOR	STEM FOR	Fo	or	For	
5	Amendments to the Compan Governing the Supervisory C	y's Rules of Procedure	Fo	or	For	
Suofeiya Home Collection		Ballot Status	Confirmed		Decision Status	Approved
	Share Blocking Country Of Trade	No CN				
Special	Meeting Agenda (03/18/2024)		Mg R€		Vote Cast	
1	2024 EMPLOYEE STOCK O (DRAFT) AND ITS SUMMAR		Fo	or	For	
2	MANAGEMENT MEASURES EMPLOYEE STOCK OWNER	FOR THE 2024	Fo	or	For	
3	Authorization to the Board to the 2024 Employee Stock Ov	Handle Matters Regarding	g Fo	or	For	
Travelsky Technology	Voted	Ballot Status	Confirmed		Decision Status	Approved
	Share Blocking Country Of Trade	No HK				
Special	Meeting Agenda (01/25/2024)		Mg Re		Vote Cast	
1	Non-Voting Meeting Note					

2 Non-Voting Meeting Note

3	Approval of the Phase III H Share Appreciation Rights	For	For
4	Scheme Elect SUN Yuquan	For	Against
5	Elect QU Guangji	For	For

Wuxi Lead Intelligent Equipment  $$_{\rm Voted}$$  Co Ltd.

oted Ballot Status Confirmed Decision Status

Approved

Special M	leeting Agenda (02/19/2024)	Mgmt Rec	Vote Cast	
1	2024 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	For	For	
2	Application for Comprehensive Credit Line to Banks by the Company and Its Subsidiaries	For	For	
3	Purchase of Wealth Management Products with Idle Proprietary Funds by the Company and Its Subsidiaries	For	For	
4	Amendments to the Company's Articles of Association	For	For	
5	AMENDMENTS TO THE WORK SYSTEM FOR INDEPENDENT DIRECTORS	For	For	
6	GDR ISSUANCE AND LISTING ON THE SIX SWISS EXCHANGE	For	For	
7	Stock Type and Par Value	For	For	
8	Listing Place	For	For	
9	Method and Date of Issuance	For	For	
10	Issuing Scale of the Underlying A-Shares	For	For	
11	GDR Scale During the Duration	For	For	
12	Conversion Ratio of GDRs and Basic Securities of A-Shares	For	For	
13	Pricing Method	For	For	
14	Issuing Targets	For	For	
15	Scale and Purpose of the Raised Funds	For	For	
16	Conversion Limit Period of GDRs and Basic Securities of A-Shares	For	For	
17	Arrangement for the Accumulated Retained Profits	For	For	
18	Underwriting Method	For	For	
19	Valid Period of the Resolution on the Issuance	For	For	
20	Preplan for the Gdr Issuance and Corresponding Increase of Underlying A-Shares	For	For	
21	Demonstration Analysis Report on the Plan for GDR Issuance and Corresponding Increase of Underlying A-Shares	For	For	

2	22	Feasibility Analysis Report on the Use of Raised Funds from GDR Issuance and Corresponding Increase of	For	For
2	23	Underlying A-Shares DILUTED IMMEDIATE RETURN AFTER THE GDR ISSUANCE AND CORRESPONDING INCREASE OF UNDERLYING A-SHARES, FILLING MEASURES, AND COMMITMENTS OF RELEVANT PARTIES	For	For
2	24	FORMULATION OF THE SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2024 TO 2026	For	For
2	25	REPORT ON THE USE OF PREVIOUS RAISED FUNDS	For	For
2	26	Full Authorization to the Board and Its Authorized Persons to Handle Matters Regarding the Gdr Issuance and Listing on the Six Swiss Exchange	For	For
2	27	Amendments to the Company's Articles of Association (Draft) (Applicable After GDR Listing)	For	For
2	28	Amendments to the Company's Rules of Procedure Governing Shareholders General Meetings (Draft) (Applicable After GDR Listing)	For	For
2	29	Termination of the Company's Rules of Procedure Governing the Board Meetings (Draft) (Applicable After GDR Listing)	For	For
	30 31	Termination of the Company's Rules of Procedure Governing the Supervisory Committee Meetings (Draft) (Applicable After GDR Listing) Non-Voting Agenda Item	For	For
3	32	Elect WANG Yanqing	For	Against
3	33	Elect WANG Jianxin	For	Against
3	34	Elect YOU Zhiliang	For	For
3	35	Elect WANG Lei	For	For
3	36	Non-Voting Agenda Item		
3	37	Elect ZHANG Mingyan	For	Against
3	88	Elect DAI Jianjun	For	For
3	39	Elect GUO Jianjun	For	For
4	10	Non-Voting Agenda Item		
4	11	Elect BIAN Fenxiang	For	Against
4	12	Elect WANG Qingyan	For	For

Zhejiang Supor Cookware Co. Ltd.	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No CN			
Special Meetin	ng Agenda (01/10/2024)		Mgmt Rec	Vote Cast	
1 Estir	mated Additional Continui	ng Connected Transaction	on For	For	

## **Guinness China A Share Fund**

Amoy Diagnostics Co. Ltd.	Voted	Ballot Status	Confirmed	<b>Decision Status</b>	Approved

Share Blocking No Country Of Trade CN

Special N	leeting Agenda (02/26/2024)	Mgmt Rec	Vote Cast	
1	Purpose and Use of the Shares	For	For	
2	The Company's Eligibility for Share Repurchase	For	For	
3	Repurchase Method and Price Range	For	For	
4	Type, Amount, Proportion and Total Capital of Share Repurchase	For	For	
5	Source of Funds	For	For	
6	Implementation Period	For	For	
7	Board Authorization to Handle Matters Regarding the Share Repurchase	For	For	
8	Amendments to Articles	For	For	

Hangzhou First Applied Material Co. Ltd.

Ballot Status

Confirmed

Decision Status

Approved

Special I	Meeting Agenda (01/24/2024)	Mgmt Rec	Vote Cast	
1	Amendments to the Company's Articles of Association	For	For	
2	Amendments to the Company's Rules of Procedure Governing the Board Meetings	For	For	
3	AMENDMENTS TO THE WORK SYSTEM FOR INDEPENDENT DIRECTORS	For	For	
4	AMENDMENTS TO THE CONNECTED TRANSACTION MANAGEMENT SYSTEM	For	For	
5	AMENDMENTS TO THE RAISED FUNDS MANAGEMENT SYSTEM	For	For	
6	AMENDMENTS TO THE EXTERNAL GUARANTEE MANAGEMENT SYSTEM	For	For	

Juewei Food Co. Ltd	Voted	Ballot Status	Confirmed	Decision Status	Approved	
	Share Blocking Country Of Trade	No CN				
Special Me	eeting Agenda (02/21/2024	<b>(</b> )	Mgmt Rec	Vote Cast		
1 /	Authority to Repurchase Sh	ares	For	For		
2 /	Authorization to the Board F Repurchase	Regarding the Share	For	For		
3 [	Liability Insurance		For	Abstain		
Sany Heavy Industry Co	Voted	Ballot Status	Confirmed	Decision Status	Approved	
	Share Blocking Country Of Trade	No CN				
Special Me	eting Agenda (01/12/2024	<b>(</b> )	Mgmt Rec	Vote Cast		
1 /	Amendments to Work Syste	em for Independent Directo	rs For	For		
	2024 Estimated Quota for N Financial Leases	Nortgage Loans and	For	For		
3 [	Deposits in, Loans from and		For	Against		
4 2	Business in A Related Bank 2023 ADDITIONAL CONTIN		For	For		
	FRANSACTION QUOTA PROVISION OF GUARANT	EE FOR SUBSIDIARIES	For	Against		
	2024 ESTIMATED EXTERN OF A WHOLLY-OWNED SI		For	Abstain		
Shandong Sinocera Function Material Co. Ltd	al <sub>Voted</sub>	Ballot Status	Confirmed	Decision Status	Approved	
	Share Blocking Country Of Trade	No CN				
Special Me	eeting Agenda (02/23/2024	<b>(</b> )	Mgmt Rec	Vote Cast		
1 /	Adjustment to the 3rd Phase Ownership Plan	e Employee Stock	For	For		

2	Change of the Purpose of Repurchased Shares for
	Cancellation

For For

1				
ı	Ballot Status	Confirmed	Decision Status	Approved
	No CN			
08/2024)		Mgmt Rec	Vote Cast	
	Restricted Stock Plan	For	For	
Projects Finently Suppler	menting the Working	For	For	
		For	For	
	Subsidiaries and	For	For	
		For	For	
	Ballot Status	Confirmed	Decision Status	Approved
	No CN			
29/2024)		Mgmt Rec	Vote Cast	
Company's	Articles of Association	For	For	
Company's	Rules of Procedure	For For	For For	
Company's ders Genera Company's	Rules of Procedure			
Company's ders Genera	Rules of Procedure al Meetings Rules of Procedure	For	For	
	ncellation of on Projects Finently Suppler olus Raised nagement Sylarantees for scies	ncellation of Restricted Stock Plan on Projects Financed with Raised ently Supplementing the Working plus Raised Funds nagement System for Raised Funds parantees for Subsidiaries and scies  Ballot Status  No CN	Mgmt Rec  ncellation of Restricted Stock Plan on Projects Financed with Raised For ently Supplementing the Working plus Raised Funds nagement System for Raised Funds For earantees for Subsidiaries and For sciences For Subsidiaries and For Sciences For Months Raised Funds For Sciences For Market Months Raised Funds For Sciences For Months Raised Funds For Months Raised Funds For Months Raised Funds For Sciences For Months Raised Funds For Months For Months Raised Funds For Months For Mo	Mgmt Vote Rec Cast  Incellation of Restricted Stock Plan For For For Projects Financed with Raised For For ently Supplementing the Working Plans Projects For Raised Funds For For For International Projects For States For Subsidiaries and For For Sciences For Subsidiaries and For For For Sciences For Confirmed Decision Status  Ballot Status Confirmed Decision Status  Mgmt Vote

Suofeiya Home Collection Co Ltd Voted	Ballot Status	Confirmed	<b>Decision Status</b>	Approved

Share Blocking No Country Of Trade CN

Special I	Meeting Agenda (03/18/2024)	Mgmt Rec	Vote Cast	
1	2024 EMPLOYEE STOCK OWNERSHIP PLAN (DRAFT) AND ITS SUMMARY	For	For	
2	MANAGEMENT MEASURES FOR THE 2024 EMPLOYEE STOCK OWNERSHIP PLAN	For	For	
3	Authorization to the Board to Handle Matters Regarding the 2024 Employee Stock Ownership Plan	For	For	

Wuxi Lead Intelligent Equipment Co Ltd.

Ballot Status

Confirmed

Decision Status

Approved

Special N	Meeting Agenda (02/19/2024)	Mgmt Rec	Vote Cast	
1	2024 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	For	For	
2	Application for Comprehensive Credit Line to Banks by the Company and Its Subsidiaries	For	For	
3	Purchase of Wealth Management Products with Idle	For	For	
4	Proprietary Funds by the Company and Its Subsidiaries Amendments to the Company's Articles of Association	For	For	
5	AMENDMENTS TO THE WORK SYSTEM FOR INDEPENDENT DIRECTORS	For	For	
6	GDR ISSUANCE AND LISTING ON THE SIX SWISS EXCHANGE	For	For	
7	Stock Type and Par Value	For	For	
8	Listing Place	For	For	
9	Method and Date of Issuance	For	For	
10	Issuing Scale of the Underlying A-Shares	For	For	
11	GDR Scale During the Duration	For	For	
12	Conversion Ratio of GDRs and Basic Securities of A-Shares	For	For	
13	Pricing Method	For	For	
14	Issuing Targets	For	For	

15	Scale and Purpose of the Raised Funds	For	For
16	Conversion Limit Period of GDRs and Basic Securities of A-Shares	For	For
17	Arrangement for the Accumulated Retained Profits	For	For
18	Underwriting Method	For	For
19	Valid Period of the Resolution on the Issuance	For	For
20	Preplan for the Gdr Issuance and Corresponding Increase of Underlying A-Shares	For	For
21	Demonstration Analysis Report on the Plan for GDR Issuance and Corresponding Increase of Underlying A-Shares	For	For
22	Feasibility Analysis Report on the Use of Raised Funds from GDR Issuance and Corresponding Increase of Underlying A-Shares	For	For
23	DILUTED IMMEDIATE RETURN AFTER THE GDR ISSUANCE AND CORRESPONDING INCREASE OF UNDERLYING A-SHARES, FILLING MEASURES, AND COMMITMENTS OF RELEVANT PARTIES	For	For
24	FORMULATION OF THE SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2024 TO 2026	For	For
25	REPORT ON THE USE OF PREVIOUS RAISED FUNDS	For	For
26	Full Authorization to the Board and Its Authorized Persons to Handle Matters Regarding the Gdr Issuance and Listing on the Six Swiss Exchange	For	For
27	Amendments to the Company's Articles of Association (Draft) (Applicable After GDR Listing)	For	For
28	Amendments to the Company's Rules of Procedure Governing Shareholders General Meetings (Draft) (Applicable After GDR Listing)	For	For
29	Termination of the Company's Rules of Procedure Governing the Board Meetings (Draft) (Applicable After GDR Listing)	For	For
30	Termination of the Company's Rules of Procedure Governing the Supervisory Committee Meetings (Draft) (Applicable After GDR Listing)	For	For
31	Non-Voting Agenda Item		
32	Elect WANG Yanqing	For	Against
33	Elect WANG Jianxin	For	Against
34	Elect YOU Zhiliang	For	For
35	Elect WANG Lei	For	For
36	Non-Voting Agenda Item		
37	Elect ZHANG Mingyan	For	Against
38	Elect DAI Jianjun	For	For
39	Elect GUO Jianjun	For	For
40	Non-Voting Agenda Item		
41	Elect BIAN Fenxiang	For	Against
42	Elect WANG Qingyan	For	For

Zhejiang Supor Cookware Co. Ltd. Voted **Ballot Status** Confirmed **Decision Status** Approved Share Blocking Country Of Trade No CN Special Meeting Agenda (01/10/2024) Mgmt Vote Rec Cast 1 Estimated Additional Continuing Connected Transaction For For

# **Guinness Emerging Equity Income Fund**

Bajaj Auto Ltd		Voted	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No IN			
	Other Meeting	Agenda (02/13/2024)		Mgmt Rec	Vote Cast	
	1 Non-	Voting Meeting Note				
	2 Autho	ority to Repurchase Sh	nares	For	Against	
Bajaj Auto Ltd		Voted	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No IN			
	Other Meeting	Agenda (03/05/2024)		Mgmt Rec	Vote Cast	
	1 Non-	Voting Meeting Note				
	2 Elect	t Pradip P. Shah		For	Abstain	
	3 Elect	t Vinita K. Bali		For	For	
	4 Holdi Bajaj	ing of Office or Place o j (Division Manager (Pr	of Profit by Rishabnayan roduct Strategy - EV))	For	Abstain	
Coca-Cola Femsa	S.A.B. DE C.V.	Voted	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No MX			
	Annual Meeting	g Agenda (03/19/2024	1)	Mgmt Rec	Vote Cast	
	1 Non-	Voting Meeting Note				
	2 Acco	ounts and Reports		For	Abstain	
	3 Alloc	ation of Profits/Dividen	nds	For	Abstain	

4	Authority to Repurchase Shares	For	Abstain
5	Elect José Antonio Fernández Carbajal	For	For
6	Elect Javier Gerardo Astaburuaga Sanjines	For	For
7	Elect Federico Reyes García	For	For
8	Elect Ricardo Guajardo Touché	For	For
9	Elect Enrique Francisco Senior Hernández	For	For
10	Elect José Henrique Cutrale	For	For
11	Elect Luis Alfonso Nicolau Gutiérrez	For	For
12	Elect Francisco Zambrano Rodríguez	For	For
13	Elect Luis Rubio Freidberg	For	For
14	Elect John Murphy	For	For
15	Elect José Octavio Reyes Lagunes	For	For
16	Elect Nikos Koumettis	For	For
17	Elect Jennifer K. Mann	For	For
18	Elect Víctor Alberto Tiburcio Celorio	For	For
19	Elect Olga Gonzalez	For	For
20	Elect Amy Eschliman	For	For
21	Elect Board Chair and Secretary; Fees; Verification of Directors' Independence Status	For	For
22	Election of Board Committee Members; Fees	For	For
23	Election of Meeting Delegates	For	For
24	Minutes	For	For

 Hanon Systems
 Voted
 Ballot Status
 Confirmed
 Decision Status
 Approved

Annual N	leeting Agenda (03/28/2024)	Mgmt Rec	Vote Cast
1	Financial Statements and Allocation of Profits/Dividends	For	Abstain
2	Election of Directors (Slate)	For	Abstain
3	Election of Audit Committee Members (Slate)	For	Abstain
4	Directors' Fees	For	For
5	Non-Voting Meeting Note		

Hypera S.A.	Voted	Ballot Status	Rejected	Decision Status	Approved
	Share Blocking Country Of Trade	No BR			
	Special Meeting Agenda (02/21/2024	<b>(</b> )	Mgmt Rec	Vote Cast	
	1 Non-Voting Meeting Note				
	2 Non-Voting Meeting Note				
	3 Capitalization of Reserves v	v/o Share Issuance	For	For	
	4 Increase in Authorized Cap	ital	For	Against	
	5 Consolidation of Articles		For	For	
Industrial & Comme	ercial Bank of <sub>Voted</sub>	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No HK			
	Special Meeting Agenda (02/29/2024	<b>(</b> )	Mgmt Rec	Vote Cast	
	1 Non-Voting Meeting Note				
	2 Elect ZHANG Wenwu		For	For	
	3 Elect Murray Horn		For	For	
	4 Authority to Issue Total Los	s-Absorbing Capacity Non-	For	For	
	Capital Debt Instruments 5 2024 Fixed Asset Investments	nt Budget	For	For	
Jumbo S.A.	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No GR			
	Special Meeting Agenda (02/07/2024	<b>(</b> )	Mgmt Rec	Vote Cast	

2 Special Dividend For For

- 3 Non-Voting Meeting Note
- 4 Non-Voting Meeting Note
- 5 Non-Voting Meeting Note

Porto Seguro S.A	Voted	Ballot Status	Confirmed	Decision Status	Approved
r or to beguing S.A	Volcu	Danot Otatus	Committed	Decision otatus	Approved

Annual N	leeting Agenda (03/28/2024)	Mgmt Rec	Vote Cast	
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Accounts and Reports	For	For	
4	Allocation of Profits	For	For	
5	Ratification of Distribution of Interest on Capital	For	For	
6	Interest on Capital and Dividend Distribution Payment	For	For	
7	Date Board Size	For	For	
8	Request Cumulative Voting		Against	
9	Election of Directors	For	For	
10	Approve Recasting of Votes for Amended Slate		Against	
11	Non-Voting Agenda Item			
12	Proportional Allocation of Cumulative Votes		For	
13	Allocate Cumulative Votes to Bruno Campos Garfinkel		For	
14	Allocate Cumulative Votes to Marco Ambrogio Crespi Bonomi		For	
15	Allocate Cumulative Votes to Roberto de Souza Santos		For	
16	Allocate Cumulative Votes to André Luís Rodrigues Teixeira		For	
17	Allocate Cumulative Votes to Pedro Luiz Cerize		For	
18	Allocate Cumulative Votes to Patrícia M. Muratori Calfat		For	
19	Allocate Cumulative Votes to Lie Uema do Carmo		For	
20	Request Separate Election for Board Member/s		Abstain	
21	Remuneration Policy	For	Against	
22	Request Establishment of Supervisory Council		Abstain	

Share Blocking Country Of Trade  Special Meeting Agenda (03/28/2024)  Non-Voting Meeting Note Non-Voting Meeting Note Non-Voting Meeting Note Non-Voting Meeting Note Manchement to the Long-Term Incentive Plan Amendment to Articles 18 and 22 (Management) Amendments to Article 9 (Notice of Meetings) Amendments to Article 17 (Board of Directors Meetings)  Consolidation of Articles Non-Voting Meeting Note	
Special Meeting Agenda (03/28/2024)  Non-Voting Meeting Note Non-Voting Meeting Note Non-Voting Meeting Note Non-Voting Meeting Note Amendment to the Long-Term Incentive Plan Amendments to Articles 18 and 22 (Management) Amendments to Article 9 (Notice of Meetings) Amendments to Article 17 (Board of Directors Meetings) Amendments to Article 17 (Board of Directors Meetings) Amendments of Articles Amendments Amen	S.A Voted Ballot Status Confirmed Decision Status App
Special Meeting Agenda (03/28/2024)  1 Non-Voting Meeting Note 2 Non-Voting Meeting Note 3 Non-Voting Meeting Note 4 Amendment to the Long-Term Incentive Plan For For 5 Amendments to Articles 18 and 22 (Management) For For 6 Amendments to Article 9 (Notice of Meetings) For For 7 Amendments to Article 17 (Board of Directors Meetings) For For 8 Consolidation of Articles 9 Non-Voting Meeting Note  Suofeiya Home Collection Co Ltd Voted Ballot Status Confirmed Decision Status Assarce Blocking Country Of Trade CN  Special Meeting Agenda (01/29/2024)  Mgmt Rec Cast	Share Blocking No
1 Non-Voting Meeting Note 2 Non-Voting Meeting Note 3 Non-Voting Meeting Note 4 Amendment to the Long-Term Incentive Plan For For 5 Amendments to Articles 18 and 22 (Management) For For 6 Amendments to Article 9 (Notice of Meetings) For For 7 Amendments to Article 17 (Board of Directors Meetings) For For 8 Consolidation of Articles 9 Non-Voting Meeting Note  Suofeiya Home Collection Co Ltd Voted Ballot Status Confirmed Decision Status American Share Blocking No Country Of Trade CN  Special Meeting Agenda (01/29/2024) Mgmt Rec Cast	Country Of Trade BR
1 Non-Voting Meeting Note 2 Non-Voting Meeting Note 3 Non-Voting Meeting Note 4 Amendment to the Long-Term Incentive Plan For For 5 Amendments to Articles 18 and 22 (Management) For For 6 Amendments to Article 9 (Notice of Meetings) For For 7 Amendments to Article 17 (Board of Directors Meetings) For For 8 Consolidation of Articles For For 9 Non-Voting Meeting Note  Share Blocking No Country Of Trade No Special Meeting Agenda (01/29/2024) Mgmt Rec Cast	Special Meeting Agenda (03/28/2024)  Mgmt  Vote
2 Non-Voting Meeting Note 3 Non-Voting Meeting Note 4 Amendment to the Long-Term Incentive Plan For For 5 Amendments to Articles 18 and 22 (Management) For For 6 Amendments to Article 9 (Notice of Meetings) For For 7 Amendments to Article 17 (Board of Directors Meetings) For For 8 Consolidation of Articles For For 9 Non-Voting Meeting Note  Share Blocking No Country Of Trade CN  Special Meeting Agenda (01/29/2024) Mgmt Vote Rec Cast	
2 Non-Voting Meeting Note 3 Non-Voting Meeting Note 4 Amendment to the Long-Term Incentive Plan For For 5 Amendments to Articles 18 and 22 (Management) For For 6 Amendments to Article 9 (Notice of Meetings) For For 7 Amendments to Article 17 (Board of Directors Meetings) For For 8 Consolidation of Articles For For 9 Non-Voting Meeting Note  Share Blocking No Country Of Trade CN  Special Meeting Agenda (01/29/2024) Mgmt Vote Rec Cast	A. Nice Weller Manufact Nice
3 Non-Voting Meeting Note  4 Amendment to the Long-Term Incentive Plan For For  5 Amendments to Articles 18 and 22 (Management) For For  6 Amendments to Article 9 (Notice of Meetings) For For  7 Amendments to Article 17 (Board of Directors Meetings) For For  8 Consolidation of Articles For For  9 Non-Voting Meeting Note  Share Blocking No Country Of Trade CN  Special Meeting Agenda (01/29/2024) Mgmt Rec Cast	
4 Amendment to the Long-Term Incentive Plan For For 5 Amendments to Articles 18 and 22 (Management) For For 6 Amendments to Article 9 (Notice of Meetings) For For 7 Amendments to Article 17 (Board of Directors Meetings) For For 8 Consolidation of Articles For For 9 Non-Voting Meeting Note  Suofeiya Home Collection Co Ltd Voted Ballot Status Confirmed Decision Status American Share Blocking Country Of Trade CN  Special Meeting Agenda (01/29/2024) Mgmt Rec Cast	
5 Amendments to Articles 18 and 22 (Management) For For 6 Amendments to Article 9 (Notice of Meetings) For For 7 Amendments to Article 17 (Board of Directors Meetings) For For 8 Consolidation of Articles For For 9 Non-Voting Meeting Note  Suofeiya Home Collection Co Ltd Voted Ballot Status Confirmed Decision Status A  Share Blocking Country Of Trade CN  Special Meeting Agenda (01/29/2024) Mgmt Rec Cast	
6 Amendments to Article 9 (Notice of Meetings) For For 7 Amendments to Article 17 (Board of Directors Meetings) For For 8 Consolidation of Articles For For 9 Non-Voting Meeting Note  Share Blocking Country Of Trade Confirmed  Special Meeting Agenda (01/29/2024)  Mgmt Rec Cast	
7 Amendments to Article 17 (Board of Directors Meetings) For For 8 Consolidation of Articles For For 9 Non-Voting Meeting Note  Suofeiya Home Collection Co Ltd Voted Ballot Status Confirmed Decision Status Share Blocking Country Of Trade CN  Special Meeting Agenda (01/29/2024) Mgmt Rec Cast	
8 Consolidation of Articles 9 Non-Voting Meeting Note  Suofeiya Home Collection Co Ltd Voted Share Blocking Country Of Trade  Special Meeting Agenda (01/29/2024)	6 Amendments to Article 9 (Notice of Meetings) For For
Suofeiya Home Collection Co Ltd Voted  Share Blocking Country Of Trade  Special Meeting Agenda (01/29/2024)  Special Meeting Agenda (01/29/2024)  Special Meeting Agenda (01/29/2024)  Special Meeting Agenda (01/29/2024)	7 Amendments to Article 17 (Board of Directors Meetings) For For
Suofeiya Home Collection Co Ltd Voted  Share Blocking Country Of Trade  Special Meeting Agenda (01/29/2024)  Special Meeting Agenda (01/29/2024)  Ballot Status Confirmed Confirmed Confirmed Decision Status A  Mgmt Rec Cast	8 Consolidation of Articles For For
Share Blocking No Country Of Trade CN  Special Meeting Agenda (01/29/2024)  Mgmt Vote Rec Cast	9 Non-Voting Meeting Note
Share Blocking No Country Of Trade CN  Special Meeting Agenda (01/29/2024)  Mgmt Vote Rec Cast	
Share Blocking No Country Of Trade CN  Special Meeting Agenda (01/29/2024)  Mgmt Vote Rec Cast	
Country Of Trade CN  Special Meeting Agenda (01/29/2024)  Mgmt Vote Rec Cast	e Collection Co Ltd Voted Ballot Status Confirmed Decision Status App
Rec Cast	Share Blocking No Country Of Trade CN
Rec Cast	Chasial Masting Aganda (04/20/2024)
1 Amendments to the Company's Articles of Association For For	
1 Amendments to the Company's Articles of Association For For	
	1 Amendments to the Company's Articles of Association For For
2 Amendments to the Company's Rules of Procedure For For	
Governing the Board Meetings	Governing Shareholders General Meetings
INDEPENDENT DIRECTORS	Governing Shareholders General Meetings  3 Amendments to the Company's Rules of Procedure For For Governing the Board Meetings
5 Amendments to the Company's Rules of Procedure For For Governing the Supervisory Committee Meetings	Governing Shareholders General Meetings  3 Amendments to the Company's Rules of Procedure For For Governing the Board Meetings  4 AMENDMENTS TO THE SYSTEM FOR For For INDEPENDENT DIRECTORS
Governing the Supervisory Committee Meetings	Governing Shareholders General Meetings  3 Amendments to the Company's Rules of Procedure For Governing the Board Meetings  4 AMENDMENTS TO THE SYSTEM FOR For INDEPENDENT DIRECTORS  5 Amendments to the Company's Rules of Procedure For For

Suofeiya Home Co	ollection Co Lt	<b>d</b> Voted	Ballot Status	Confirme	ed	Decision Status	Approved
		Share Blocking Country Of Trade	No CN				
	Special Meetin	ng Agenda (03/18/2024	1)		Mgmt Rec	Vote Cast	
	(DRA 2 MAN EMF	4 EMPLOYEE STOCK AFT) AND ITS SUMMA JAGEMENT MEASURE PLOYEE STOCK OWN	RY ES FOR THE 2024 ERSHIP PLAN		For For	For For	
		orization to the Board t 2024 Employee Stock C		garding	For	For	
Zhejiang Supor C	ookware Co.	Voted	Ballot Status	Confirme	ed	Decision Status	Approved
		Share Blocking Country Of Trade	No CN				
	Special Meetin	ng Agenda (01/10/2024	1)		Mgmt Rec	Vote Cast	
	1 Estir	nated Additional Contir	nuing Connected Tran	saction	For	For	
Credicorp Ltd		Voted	Ballot Status	Counted		Decision Status	Approved
		Share Blocking Country Of Trade	No US				
	Annual Meetin	ng Agenda (03/27/2024	<b>(</b> )		Mgmt Rec	Vote Cast	
	1 Арро	ointment of Auditor and	Authority to Set Fees	8	For	For	

# **Guinness European Equity Income Fund**

ABB Ltd. Take No Action Ballot Status Confirmed Decision Status Take No Action Sent

Annual M	leeting Agenda (03/21/2024)	Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Accounts and Reports	For	TNA
4	Compensation Report	For	TNA
5	Report on Non-Financial Matters	For	TNA
6	Ratification of Board and Management Acts	For	TNA
7	Allocation of Dividends	For	TNA
8	Board Compensation	For	TNA
9	Executive Compensation (Total)	For	TNA
10	Elect David E. Constable	For	TNA
11	Elect Frederico Fleury Curado	For	TNA
12	Elect Lars Förberg	For	TNA
13	Elect Johan Forssell	For	TNA
14	Elect Denise C. Johnson	For	TNA
15	Elect Jennifer Xin-Zhe Li	For	TNA
16	Elect Geraldine Matchett	For	TNA
17	Elect David Meline	For	TNA
18	Elect Mats Rahmström	For	TNA
19	Elect Peter R. Voser as Board Chair	For	TNA
20	Elect David E. Constable as Compensation Committee Member	For	TNA
21	Elect Frederico Fleury Curado as Compensation Committee Member	For	TNA
22	Elect Jennifer Xin-Zhe Li as Compensation Committee Member	For	TNA
23	Appointment of Independent Proxy	For	TNA
24	Appointment of Auditor	For	TNA
25	Transaction of Other Business		TNA

Konecranes Plc Voted Ballot Status Confirmed Decision Status Approved

Annual M	leeting Agenda (03/27/2024)	Mgmt Rec	Vote Cast	
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			
4	Non-Voting Agenda Item			
5	Non-Voting Agenda Item			
6	Non-Voting Agenda Item			
7	Non-Voting Agenda Item			
8	Non-Voting Agenda Item			
9	Non-Voting Agenda Item			
10	Accounts and Reports	For	For	
11	Allocation of Profits/Dividends	For	For	
12	Ratification of Board and CEO Acts	For	For	
13	Remuneration Report	For	Abstain	
14	Remuneration Policy	For	Abstain	
15	Directors' Fees	For	For	
16	Board Size	For	For	
17	Election of Directors	For	For	
18	Authority to Set Auditor's Fees	For	For	
19	Appointment of Auditor; Appointment of Auditor for	For	For	
20	Sustainability Reporting Amendments to Articles (Company Name)	For	For	
21	Authority to Repurchase Shares	For	For	
22	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For	
23	Issuance of Treasury Shares	For	For	
24	Authority to Issue Shares Pursuant to Employee Share Savings Plan	For	For	

25	Charitable Donations	For	For
26	Non-Voting Agenda Item		
27	Non-Voting Meeting Note		
28	Non-Voting Meeting Note		
29	Non-Voting Meeting Note		

Mapfre Sociedad Anonima	Voted	Ballot Status	Confirmed	<b>Decision Status</b>	Approved
	Share Blocking Country Of Trade	No ES			

Annual M	leeting Agenda (03/15/2024)	Mgmt Rec	Vote Cast	
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			
4	Accounts and Reports	For	For	
5	Integrated Reports	For	For	
6	Report on Non-Financial Information	For	For	
7	Allocation of Dividends	For	For	
8	Ratification of Board Acts	For	For	
9	Elect Maria Letícia de Freitas Costa	For	For	
10	Elect Rosa María García García	For	Against	
11	Ratify Co-Option and Elect Eduardo Pérez de Lema Holweg	For	For	
12	Appointment of Auditor (2024)	For	For	
13	Appointment of Auditor (2025-2027)	For	For	
14	Amendment to the Long-Term Incentive Plan	For	For	
15	Remuneration Report	For	Against	
16	Authorisation of Legal Formalities	For	For	
17	Minutes	For	For	
18	Non-Voting Meeting Note			

Novo N	lordisk	Voted	Ballot Status	Confirmed	Decision Status	Approved
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Annual M	leeting Agenda (03/21/2024)	Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Non-Voting Meeting Note		
4	Non-Voting Meeting Note		
5	Non-Voting Agenda Item		
6	Accounts and Reports	For	For
7	Allocation of Profits/Dividends	For	For
8	Remuneration Report	For	For
9	Directors' Fees	For	For
10	Indemnification of the Board of Directors	For	For
11	Indemnification of the Executive Management	For	For
12	Amendments to Articles	For	For
13	Remuneration Policy	For	For
14	Election of Helge Lund as Chair	For	For
15	Election of Henrik Poulsen as Vice Chair	For	For
16	Elect Laurence Debroux	For	For
17	Elect Andreas Fibig	For	For
18	Elect Sylvie Grégoire	For	For
19	Elect Kasim Kutay	For	For
20	Elect Christina Choi Lai Law	For	For
21	Elect Martin Mackay	For	For
22	Appointment of Auditor	For	For
23	Cancellation of Shares	For	For
24	Authority to Repurchase Shares	For	For
25	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For
26	Non-Voting Agenda Item		
27	Non-Voting Meeting Note		
28	Non-Voting Meeting Note		
29	Non-Voting Meeting Note		

TietoEVRY Corporation Voted Ballot Status Confirmed Decision Status Approved

Annual M	leeting Agenda (03/13/2024)	Mgmt Rec	Vote Cast	
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			
4	Non-Voting Meeting Note			
5	Non-Voting Agenda Item			
6	Non-Voting Agenda Item			
7	Non-Voting Agenda Item			
8	Non-Voting Agenda Item			
9	Non-Voting Agenda Item			
10	Non-Voting Agenda Item			
11	Accounts and Reports	For	For	
12	Allocation of Profits/Dividends	For	For	
13	Ratification of Board and CEO Acts	For	For	
14	Remuneration Report	For	Against	
15	Remuneration Policy	For	For	
16	Directors' Fees	For	For	
17	Board Size	For	For	
18	Election of Directors	For	For	
19	Authority to Set Auditor's Fees	For	For	
20	Appointment of Auditor	For	For	
21	Authority to Set Sustainability Reporting Auditor's Fees	For	For	
22	Appointment of Auditor for Sustainability Reporting	For	For	
23	Authority to Repurchase Shares	For	For	
24	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For	
25	Forfeiture of Unregistered Shares	For	For	

- 26 Non-Voting Agenda Item
- 27 Non-Voting Meeting Note
- 28 Non-Voting Meeting Note

# **Guinness Global Energy Fund**

Reabold Resources Pic Voted Ballot Status Confirmed Decision Status Approved

Share Blocking No Country Of Trade GB

Ordin	nary	Meeting Agenda (01/10/2024)	Mgmt Rec	Vote Cast
	1	Non-Voting Meeting Note		
SHP	2	Shareholder Proposal Regarding Election of Kamran Sattar	Against	Against
SHP	3	Shareholder Proposal Regarding Election of Andrea Cattaneo	Against	Against
SHP	4	Shareholder Proposal Regarding Election of Francesca Yardley	Against	Against
SHP	5	Shareholder Proposal Regarding Election of Jose Ramon Lopez Portillo Romano	Against	Against
SHP	6	Shareholder Proposal Regarding Removal of Sachin Sharad Oza	Against	Against
SHP	7	Shareholder Proposal Regarding Removal of Jeremy Samuel Edelman	Against	Against
SHP	8	Shareholder Proposal Regarding Removal of Michael Craig Felton	Against	Against
SHP	9	Shareholder Proposal Regarding Removal of Marcos Estanislao Mozetic	Against	Against
SHP	10	Shareholder Proposal Regarding Removal of Anthony John Samaha	Against	Against
SHP	11	Shareholder Proposal Regarding Removal of Stephen Anthony Williams	Against	Against
SHP	12		Against	Against
	13	Non-Voting Meeting Note		

Pioneer Natural Resources Co. Voted	Ballot Status	Counted	<b>Decision Status</b>	Approved
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Share Blocking No Country Of Trade US

Special Meeting Agenda (02/07/2024)

Mgmt Rec Cast

1 Merger (Acquisition by Exxon Mobil Corporation)

For For

# **Guinness Global Equity Income Fund**

ABB Ltd. Unvoted Ballot Status No Vote Cast Decision Status Take No Action

Annual N	leeting Agenda (03/21/2024)	Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Accounts and Reports	For	
4	Compensation Report	For	
5	Report on Non-Financial Matters	For	
6	Ratification of Board and Management Acts	For	
7	Allocation of Dividends	For	
8	Board Compensation	For	
9	Executive Compensation (Total)	For	
10	Elect David E. Constable	For	
11	Elect Frederico Fleury Curado	For	
12	Elect Lars Förberg	For	
13	Elect Johan Forssell	For	
14	Elect Denise C. Johnson	For	
15	Elect Jennifer Xin-Zhe Li	For	
16	Elect Geraldine Matchett	For	
17	Elect David Meline	For	
18	Elect Mats Rahmström	For	
19	Elect Peter R. Voser as Board Chair	For	
20	Elect David E. Constable as Compensation Committee Member	For	
21	Elect Frederico Fleury Curado as Compensation Committee Member	For	
22	Elect Jennifer Xin-Zhe Li as Compensation Committee Member	For	
23	Appointment of Independent Proxy	For	
24	Appointment of Auditor	For	
25	Transaction of Other Business		

Novo Nordisk Voted	Ballot Status Confirmed	<b>Decision Status</b> Approved
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Annual M	leeting Agenda (03/21/2024)	Mgmt Rec	Vote Cast	
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			
4	Non-Voting Meeting Note			
5	Non-Voting Agenda Item			
6	Accounts and Reports	For	For	
7	Allocation of Profits/Dividends	For	For	
8	Remuneration Report	For	Against	
9	Directors' Fees	For	For	
10	Indemnification of the Board of Directors	For	For	
11	Indemnification of the Executive Management	For	For	
12	Amendments to Articles	For	For	
13	Remuneration Policy	For	Against	
14	Election of Helge Lund as Chair	For	For	
15	Election of Henrik Poulsen as Vice Chair	For	For	
16	Elect Laurence Debroux	For	For	
17	Elect Andreas Fibig	For	For	
18	Elect Sylvie Grégoire	For	For	
19	Elect Kasim Kutay	For	For	
20	Elect Christina Choi Lai Law	For	For	
21	Elect Martin Mackay	For	Against	
22	Appointment of Auditor	For	Against	
23	Cancellation of Shares	For	For	
24	Authority to Repurchase Shares	For	For	
25	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For	

- 26 Non-Voting Agenda Item
- 27 Non-Voting Meeting Note
- 28 Non-Voting Meeting Note
- 29 Non-Voting Meeting Note
- 30 Non-Voting Meeting Note

Emerson Electric Co.	Voted		Ballot Status	Counted		Decision Status	Approved
	Share Count	Blocking ry Of Trade	No US				
Ann	nual Meeting Ager	nda (02/06/2024)			Mgmt Rec	Vote Cast	
	1 Elect Mark	A. Blinn			For	For	
	2 Elect Leticia	ı Gonçalves Loure	nco		For	For	
	3 Elect James	M. McKelvey			For	For	
	4 Elect James	s S. Turley			For	For	
	5 Advisory Vo	te on Executive C	ompensation		For	Against	
	6 Repeal of C	lassified Board			For	For	
	7 Approval of	the 2024 Equity Ir	centive Plan		For	For	
	8 Ratification	of Auditor			For	Against	
SHP	9 Shareholde	r Proposal Regard	ing Simple Majority Vote	. Α	Against	For	

# **Guinness Global Innovators Fund**

ABB Ltd. Unvoted Ballot Status No Vote Cast Decision Status Take No Action

Annual M	leeting Agenda (03/21/2024)	Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Accounts and Reports	For	
4	Compensation Report	For	
5	Report on Non-Financial Matters	For	
6	Ratification of Board and Management Acts	For	
7	Allocation of Dividends	For	
8	Board Compensation	For	
9	Executive Compensation (Total)	For	
10	Elect David E. Constable	For	
11	Elect Frederico Fleury Curado	For	
12	Elect Lars Förberg	For	
13	Elect Johan Forssell	For	
14	Elect Denise C. Johnson	For	
15	Elect Jennifer Xin-Zhe Li	For	
16	Elect Geraldine Matchett	For	
17	Elect David Meline	For	
18	Elect Mats Rahmström	For	
19	Elect Peter R. Voser as Board Chair	For	
20	Elect David E. Constable as Compensation Committee	For	
21	Member Elect Frederico Fleury Curado as Compensation Committee Member	For	
22	Elect Jennifer Xin-Zhe Li as Compensation Committee Member	For	
23	Appointment of Independent Proxy	For	
24	Appointment of Auditor	For	
25	Transaction of Other Business		

Infineon Technologies AG Voted Ballot Status Confirmed Decision Status Approved

Annual M	leeting Agenda (02/23/2024)	Mgmt Rec	Vote Cast	
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			
4	Non-Voting Meeting Note			
5	Non-Voting Meeting Note			
6	Non-Voting Meeting Note			
7	Non-Voting Agenda Item			
8	Allocation of Dividends	For	For	
9	Ratify Jochen Hanebeck	For	For	
10	Ratify Constanze Hufenbecher	For	For	
11	Ratify Sven Schneider	For	For	
12	Ratify Andreas Urschitz	For	For	
13	Ratify Rutger Wijburg	For	For	
14	Ratify Herbert Diess	For	For	
15	Ratify Xiaoqun Clever-Steg	For	For	
16	Ratify Johann Dechant	For	For	
17	Ratify Wolfgang Eder	For	For	
18	Ratify Friedrich Eichiner	For	For	
19	Ratify Annette Engelfried	For	For	
20	Ratify Peter Gruber	For	For	
21	Ratify Klaus Helmrich	For	For	
22	Ratify Hans-Ulrich Holdenried	For	For	
23	Ratify Susanne Lachenmann	For	For	
24	Ratify Géraldine Picaud	For	For	
25	Ratify Manfred Puffer	For	For	

26	Ratify Melanie Riedl	For	For
27	Ratify Jürgen Scholz	For	For
28	Ratify Ulrich Spiesshofer	For	For
29	Ratify Margret Suckale	For	For
30	Ratify Mirco Synde	For	For
31	Ratify Diana Vitale	For	For
32	Ratify Ute Wolf	For	For
33	Appointment of Auditor	For	Against
34	Elect Ute Wolf	For	For
35	Elect Hermann Eul	For	For
36	Supervisory Board Remuneration Policy	For	For
37	Increase in Authorised Capital	For	For
38	Authority to Issue Convertible Debt Instruments; Increase in Conditional Capital	For	For
39	Remuneration Report	For	Against
40	Non-Voting Meeting Note		
41	Non-Voting Meeting Note		
42	Non-Voting Meeting Note		
43	Non-Voting Meeting Note		

	Country Of Trade	DK		
Annual M	Meeting Agenda (03/21/2024)		Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			
4	Non-Voting Meeting Note			
5	Non-Voting Agenda Item			
6	Accounts and Reports		For	For

**Ballot Status** 

No

Confirmed

For

For

For

**Decision Status** 

For

Against

For

Approved

Voted

Share Blocking

7 Allocation of Profits/Dividends

Remuneration Report

9 Directors' Fees

Novo Nordisk

10	Indemnification of the Board of Directors	For	For
11	Indemnification of the Executive Management	For	For
12	Amendments to Articles	For	For
13	Remuneration Policy	For	Against
14	Election of Helge Lund as Chair	For	For
15	Election of Henrik Poulsen as Vice Chair	For	For
16	Elect Laurence Debroux	For	For
17	Elect Andreas Fibig	For	For
18	Elect Sylvie Grégoire	For	For
19	Elect Kasim Kutay	For	For
20	Elect Christina Choi Lai Law	For	For
21	Elect Martin Mackay	For	Against
22	Appointment of Auditor	For	Against
23	Cancellation of Shares	For	For
24	Authority to Repurchase Shares	For	For
25	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For
26	Non-Voting Agenda Item		
27	Non-Voting Meeting Note		
28	Non-Voting Meeting Note		
29	Non-Voting Meeting Note		
30	Non-Voting Meeting Note		

Apple Inc	Voted	Ballot Status	Confirmed	Decision Status	Approved

Annual N	Meeting Agenda (02/28/2024)	Mgmt Rec	Vote Cast
1	Elect Wanda M. Austin	For	For
2	Elect Timothy D. Cook	For	For
3	Elect Alex Gorsky	For	For
4	Elect Andrea Jung	For	For
5	Elect Arthur D. Levinson	For	For
6	Elect Monica C. Lozano	For	For

	7	Elect Ronald D. Sugar	For	For
	8	Elect Susan L. Wagner	For	Against
	9	Ratification of Auditor	For	For
	10	Advisory Vote on Executive Compensation	For	Against
SHP	11	Shareholder Proposal Regarding EEO Policy Risk Report	Against	Against
SHP	12	Shareholder Proposal Regarding Curating and Managing Disputes Concerning App Content	Against	For
SHP	13	Shareholder Proposal Regarding Median Gender and Racial Pay Equity Report	Against	Against
SHP	14	Shareholder Proposal Regarding Report on Use of Artificial Intelligence	Against	Against
SHP	15	Shareholder Proposal Regarding Congruency Report on Privacy and Human Rights Policies	Against	For

Applied Materials Inc. Voted Ballot Status Counted Decision Status Approved

Annual Meeting Agenda (03/07/2024)			Mgmt	Vote		
			Rec	Cast		
	1	Elect Rani Borkar	For	For		
	2	Elect Judy Bruner	For	For		
	3	Elect Xun Chen	For	For		
	4	Elect Aart J. de Geus	For	For		
	5	Elect Gary E. Dickerson	For	For		
	6	Elect Thomas J. lannotti	For	Against		
	7	Elect Alexander A. Karsner	For	For		
	8	Elect Kevin P. March	For	For		
	9	Elect Yvonne McGill	For	For		
	10	Elect Scott A. McGregor	For	For		
	11	Advisory Vote on Executive Compensation	For	Against		
	12	Ratification of Auditor	For	Abstain		
(SHP)	13	Shareholder Proposal Regarding Lobbying Report	Against	Against		
SHP	14	Shareholder Proposal Regarding Median Gender and Racial Pay Equity Report	Against	Against		

III III III Voted Bailot status Counted Becision status Approved	Intuit Inc	Voted	Ballot Status	Counted	Decision Status	Approved
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Share Blocking No Country Of Trade US

Annı	ıal N	leeting Agenda (01/18/2024)	Mgmt Rec	Vote Cast
	1	Elect Eve Burton	For	For
	2	Elect Scott D. Cook	For	For
	3	Elect Richard L. Dalzell	For	For
	4	Elect Sasan Goodarzi	For	For
	5	Elect Deborah Liu	For	For
	6	Elect Tekedra Mawakana	For	For
	7	Elect Suzanne Nora Johnson	For	Against
	8	Elect Ryan Roslansky	For	For
	9	Elect Thomas J. Szkutak	For	For
	10	Elect Raul Vazquez	For	For
	11	Elect Eric Yuan	For	For
	12	Advisory Vote on Executive Compensation	For	Against
	13	Frequency of Advisory Vote on Executive Compensation	1 Year	1 Year
	14		For	Against
	15	Amendment to the 2005 Equity Incentive Plan	For	For
SHP	16	Shareholder Proposal Regarding Report on Portfolio Risk in Employee Retirement Options	Against	Against

 Visa Inc
 Voted
 Ballot Status
 Counted
 Decision Status
 Approved

Annual N	Meeting Agenda (01/23/2024)	Mgmt Rec	Vote Cast
1	Elect Lloyd A. Carney	For	For
2	Elect Kermit R. Crawford	For	For
3	Elect Francisco Javier Fernández Carbajal	For	For
4	Elect Ramon L. Laguarta	For	For

5	Elect Teri L. List	For	For
6	Elect John F. Lundgren	For	For
7	Elect Ryan McInerney	For	For
8	Elect Denise M. Morrison	For	For
9	Elect Pamela Murphy	For	For
10	Elect Linda J. Rendle	For	For
11	Elect Maynard G. Webb, Jr.	For	For
12	Advisory Vote on Executive Compensation	For	Against
13	Ratification of Auditor	For	For
14	Exchange Offer Amendments	For	For
15	Right to Adjourn Meeting	For	For
16	Shareholder Proposal Regarding Severance Approval Policy	Against	Against

SHP

#### **Guinness Global Money Managers Fund**

Coronation Fund Managers Ltd. Voted **Ballot Status** Confirmed **Decision Status** Approved

> Share Blocking Country Of Trade No ZA

Annual M	eeting Agenda (02/20/2024)	Mgmt Rec	Vote Cast	
1	Re-elect Hugo A. Nelson	For	Against	
2	Re-elect Alexandra Watson	For	For	
3	Elect Alethea (Lea) B.A. Conrad	For	For	
4	Appointment of Auditor	For	For	
5	Elect Audit Committee Member (Lulama Boyce)	For	For	
6	Elect Audit Committee Member (Hugo Anton Nelson)	For	Against	
7	Elect Audit Committee Member (Madichaba Nhlumayo)	For	For	
8	Elect Audit Committee Member (Saks Ntombela)	For	For	
9	APPROVE REMUNERATION POLICY	For	Against	
10	Approve Remuneration Implementation Report	For	Against	
11	Approve Financial Assistance (Section 45)	For	For	
12	Approve Financial Assistance (Section 44)	For	For	
13	Approve NEDs' Fees	For	For	
14	Authority to Repurchase Shares	For	For	
15	Amendments to Memorandum (Odd-Lot Offer)	For	For	
16	Non-Voting Meeting Note			

Coronation Fund Managers Ltd.	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No ZA			
Ordinary Meet	Mgmt Rec	Vote Cast			
1 Spec Offer	cific Authority to Repurchar)	ase Shares (Odd-Lot	For	For	

	2 Spe	ecific Authority to Repurcha	ase Shares (Odd-Lot Offe	er	For	For		
	- S <sub>l</sub> 3 Aut	pecific Holders) hority to Implement Odd-Lo	ot Offer		For	For		
Franklin Resources, Inc.	-	Voted	Ballot Status	Counted		Decision Status	Approved	
		Share Blocking Country Of Trade	No US					
Annı	ual Meeti	ng Agenda (02/06/2024)			Mgmt Rec	Vote Cast		
	1 Ele	ct Mariann Byerwalter			For	For		
	2 Ele	ct Alexander S. Friedman			For	For		
	3 Ele	ct Gregory E. Johnson			For	Against		
	4 Ele	ct Jennifer M. Johnson			For	For		
	5 Ele	ct Rupert H. Johnson, Jr.			For	For		
	6 Ele	ct John Y. Kim			For	For		
	7 Ele	ct Karen M. King			For	For		
	8 Ele	ct Anthony J. Noto			For	For		
	9 Ele	ct John W. Thiel			For	For		
	10 Ele	ct Seth H. Waugh			For	For		
	11 Ele	ct Geoffrey Y. Yang			For	Against		
	12 Rat	tification of Auditor			For	Against		
	13 Am	endment to the 2002 Unive	ersal Stock Incentive Plar	ı	For	Abstain		
Raymond James Financ	ial. Inc.	Voted	Ballot Status	Counted		Decision Status	Approved	
,	, <b></b>	Share Blocking	No US					
		Country Of Trade	US					
Annı	ual Meeti	ng Agenda (02/22/2024)			Mgmt Rec	Vote Cast		
	1 Ele	ct Marlene Debel			For	For		
					For	Abstain		
	2 Ele	ct Robert M. Dutkowsky			1 01	7 10014111		
		ct Robert M. Dutkowsky ct Jeffrey N. Edwards			For	For		
	3 Ele							

5	Elect Art A. Garcia	For	For
6	Elect Anne Gates	For	Against
7	Elect Gordon L. Johnson	For	For
8	Elect Raymond W. McDaniel, Jr.	For	For
9	Elect Roderick C. McGeary	For	For
10	Elect Paul C. Reilly	For	For
11	Elect Raj Seshadri	For	For
12	Advisory Vote on Executive Compensation	For	Against
13	Ratification of Auditor	For	Against

### **Guinness Multi-Asset Balanced Fund**

Nasdaq Financial Product Services Ltd

 Voted
 Ballot Status
 Confirmed
 Decision Status
 Approved

Share Blocking Yes Country Of Trade GB

Annual N	leeting Agenda (03/14/2024)	Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Accounts and Reports	For	For
4	Appointment of Auditor	For	For
5	Authority to Set Auditor's Fees	For	For
6	Non-Voting Meeting Note		
7	Non-Voting Meeting Note		
8	Non-Voting Meeting Note		
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Accounts and Reports	For	For
4	Appointment of Auditor	For	For
5	Authority to Set Auditor's Fees	For	For
6	Non-Voting Meeting Note		
7	Non-Voting Meeting Note		
8	Non-Voting Meeting Note		

#### **Guinness Multi-Asset Growth Fund**

Nasdaq Financial Product Services Ltd

 Voted
 Ballot Status
 Confirmed
 Decision Status
 Approved

Share Blocking Yes Country Of Trade GB

Annual Meeting Agenda (03/14/2024) Vote Mgmt Rec Cast 1 Non-Voting Meeting Note Non-Voting Meeting Note Accounts and Reports For For Appointment of Auditor For For Authority to Set Auditor's Fees For For Non-Voting Meeting Note 7 Non-Voting Meeting Note 8 Non-Voting Meeting Note 1 Non-Voting Meeting Note Non-Voting Meeting Note Accounts and Reports For For Appointment of Auditor For For Authority to Set Auditor's Fees For For Non-Voting Meeting Note 7 Non-Voting Meeting Note 8 Non-Voting Meeting Note

# **Guinness Sustainable Energy Fund**

<b>China Suntien Green Energy</b>	y
Corporation Limited	

 Voted
 Ballot Status
 Confirmed
 Decision Status
 Approved

Share Blocking No Country Of Trade HK

Special I	Meeting Agenda (02/28/2024)	Mgmt Rec	Vote Cast	
1	Non-Voting Meeting Note			
2	Approval of the 2023 Restricted A Share Incentive Scheme (Draft)	For	For	
3		For	For	
4	Administrative Measures for Appraisal for Implementation of the 2023 Restricted A Share Incentive Scheme	For	For	
5	Board Authorization	For	For	
6	Elect TAN Jian Xin	For	For	
7	Elect CHAN Yik Pun	For	For	

# **China Suntien Green Energy Corporation Limited**

VotedBallot StatusConfirmedDecision StatusApproved

Share Blocking No Country Of Trade HK

Other Me	eting Agenda (02/28/2024)	Mgmt Rec	Vote Cast	
1	Approval of the 2023 Restricted A Share Incentive Scheme	For	For	
2	Administrative Measures for the 2023 Restricted A Share Incentive Scheme	For	For	
3	Administrative Measures for Appraisal for Implementation of the 2023 Restricted A Share Incentive Scheme	For	For	
4	Board Authorization	For	For	
5	Non-Voting Meeting Note			

**Infineon Technologies AG** 

Voted

**Ballot Status** 

Confirmed

**Decision Status** 

Approved

Annual M	leeting Agenda (02/23/2024)	Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Non-Voting Meeting Note		
4	Non-Voting Meeting Note		
5	Non-Voting Meeting Note		
6	Non-Voting Meeting Note		
7	Non-Voting Agenda Item		
8	Allocation of Dividends	For	For
9	Ratify Jochen Hanebeck	For	For
10	Ratify Constanze Hufenbecher	For	For
11	Ratify Sven Schneider	For	For
12	Ratify Andreas Urschitz	For	For
13	Ratify Rutger Wijburg	For	For
14	Ratify Herbert Diess	For	Abstain
15	Ratify Xiaoqun Clever-Steg	For	For
16	Ratify Johann Dechant	For	For
17	Ratify Wolfgang Eder	For	For
18	Ratify Friedrich Eichiner	For	For
19	Ratify Annette Engelfried	For	For
20	Ratify Peter Gruber	For	For
21	Ratify Klaus Helmrich	For	For
22	Ratify Hans-Ulrich Holdenried	For	For
23	Ratify Susanne Lachenmann	For	For
24	Ratify Géraldine Picaud	For	For
25	Ratify Manfred Puffer	For	For
26	Ratify Melanie Riedl	For	For
27	Ratify Jürgen Scholz	For	For
28	Ratify Ulrich Spiesshofer	For	For
29	Ratify Margret Suckale	For	For
30	Ratify Mirco Synde	For	For

31	Ratify Diana Vitale	For	For
32	Ratify Ute Wolf	For	For
33	Appointment of Auditor	For	Against
34	Elect Ute Wolf	For	For
35	Elect Hermann Eul	For	For
36	Supervisory Board Remuneration Policy	For	For
37	Increase in Authorised Capital	For	For
38	Authority to Issue Convertible Debt Instruments;	For	For
39	Increase in Conditional Capital Remuneration Report	For	Against
40	Non-Voting Meeting Note		
41	Non-Voting Meeting Note		
42	Non-Voting Meeting Note		
43	Non-Voting Meeting Note		

L G Chemical	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No KR			
	Annual Meeting Agenda (03/25/20	024)	Mgmt Rec	Vote Cast	
	1 Financial Statements and	d Allocation of Profits/Dividends	s For	Abstain	
2 Amendments to Articles 3 Elect CHA Dong Seok		For	For		
		For	Against		
	4 Election of Independent Audit Committee Member	Director to Be Appointed as	For	For	
	5 Directors' Fees	i. LEE Toding Flair	For	For	

Orsted A/S Voted Ballot Status Confirmed Decision Status Approved

Annual M	leeting Agenda (03/05/2024)	Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Non-Voting Meeting Note		
4	Non-Voting Meeting Note		
5	Non-Voting Agenda Item		
6	Accounts and Reports	For	For
7	Remuneration Report	For	Against
8	Ratification of Board and Management Acts	For	For
9	Allocation of Profits/Dividends	For	For
10	Board Size	For	For
11	Elect Lene Skole-Sørensen as Chair	For	For
12	Elect Andrew R. D. Brown as Vice Chair	For	For
13	Elect Peter Korsholm	For	For
14	Elect Dieter Wemmer	For	For
15	Elect Julia King	For	For
16	Elect Annica Bresky	For	For
17	Directors' Fees	For	For
18	Appointment of Auditor	For	For
19	Appointment of Sustainability Auditor	For	For
20	Non-Voting Agenda Item		
21	Non-Voting Meeting Note		
22	Non-Voting Meeting Note		

Samsung SDI Co. Ltd.	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No KR			

Annual N	leeting Agenda (03/20/2024)	Mgmt Rec	Vote Cast	
1	Financial Statements and Allocation of Profits/Dividends	For	Abstain	
2	Elect KIM Jong Seong	For	Against	
3	Elect PARK Jin	For	For	
4	Directors' Fees	For	For	

# **Guinness Sustainable Global Equity**

**Agilent Technologies Inc.** Voted **Ballot Status** Counted **Decision Status** Approved

> Share Blocking Country Of Trade No US

Annual Meeting Agenda (03/14/2024)		Mgmt Rec	Vote Cast		
	1	Elect Mala Anand	For	For	
	2	Elect KOH Boon Hwee	For	Against	
	3	Elect Michael R. McMullen	For	For	
	4	Elect Daniel K. Podolsky	For	For	
	5	Advisory Vote on Executive Compensation	For	Against	
	6	Ratification of Auditor	For	Against	
SHP	7	Shareholder Proposal Regarding Simple Majority Vote		For	

**Keysight Technologies Inc** Voted **Ballot Status** Counted **Decision Status** Approved

Annu	Annual Meeting Agenda (03/21/2024)		Mgmt Rec	Vote Cast	
	1	Elect Charles J. Dockendorff	For	Against	
	2	Elect Ronald S. Nersesian	For	For	
	3	Elect Robert A. Rango	For	For	
	4	Ratification of Auditor	For	For	
	5	Advisory Vote on Executive Compensation	For	Against	
	6	Amendment to the 2014 Equity and Incentive Compensation Plan	For	For	
	7	Amendment to the Employee Stock Purchase Plan	For	For	
	8	Elimination of Supermajority Requirement	For	For	
SHP	9	Shareholder Proposal Regarding Simple Majority Vote	Against	Against	

Tetra Tech, Inc.	Voted	Ballot Status	Counted	<b>Decision Status</b>	Approved

Annual N	leeting Agenda (02/29/2024)	Mgmt Rec	Vote Cast	
1	Elect Dan L. Batrack	For	For	
2	Elect Gary R. Birkenbeuel	For	For	
3	Elect Prashant Gandhi	For	For	
4	Elect Joanne M. Maguire	For	For	
5	Elect Christiana Obiaya	For	Against	
6	Elect Kimberly E. Ritrievi	For	For	
7	Elect Kirsten M. Volpi	For	For	
8	Advisory Vote on Executive Compensation	For	Against	
9	Ratification of Auditor	For	Abstain	

# **Sustainable Energy II ETF**

China	<b>Suntien</b>	Green	<b>Energy</b>
Corno	ration Li	imited	

 Voted
 Ballot Status
 Confirmed
 Decision Status
 Approved

Share Blocking No Country Of Trade HK

Special N	leeting Agenda (02/28/2024)	Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note		
2	Approval of the 2023 Restricted A Share Incentive Scheme (Draft)	For	For
3	Administrative Measures for the 2023 Restricted A Share Incentive Scheme	For	For
4	Administrative Measures for Appraisal for Implementation of the 2023 Restricted A Share Incentive Scheme	For	For
5	Board Authorization	For	For
6	Elect TAN Jian Xin	For	For
7	Elect CHAN Yik Pun	For	For

# **China Suntien Green Energy Corporation Limited**

VotedBallot StatusConfirmedDecision StatusApproved

Share Blocking No Country Of Trade HK

Other Me	eeting Agenda (02/28/2024)	Mgmt Rec	Vote Cast	
1	Approval of the 2023 Restricted A Share Incentive Scheme	For	For	
2	Administrative Measures for the 2023 Restricted A Share Incentive Scheme	For	For	
3	Administrative Measures for Appraisal for Implementation of the 2023 Restricted A Share Incentive Scheme	For	For	
4	Board Authorization	For	For	
5	Non-Voting Meeting Note			

**Infineon Technologies AG** 

Voted

**Decision Status** 

Annual M	leeting Agenda (02/23/2024)	Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Non-Voting Meeting Note		
4	Non-Voting Meeting Note		
5	Non-Voting Meeting Note		
6	Non-Voting Meeting Note		
7	Non-Voting Agenda Item		
8	Allocation of Dividends	For	For
9	Ratify Jochen Hanebeck	For	For
10	Ratify Constanze Hufenbecher	For	For
11	Ratify Sven Schneider	For	For
12	Ratify Andreas Urschitz	For	For
13	Ratify Rutger Wijburg	For	For
14	Ratify Herbert Diess	For	Abstain
15	Ratify Xiaoqun Clever-Steg	For	For
16	Ratify Johann Dechant	For	For
17	Ratify Wolfgang Eder	For	For
18	Ratify Friedrich Eichiner	For	For
19	Ratify Annette Engelfried	For	For
20	Ratify Peter Gruber	For	For
21	Ratify Klaus Helmrich	For	For
22	Ratify Hans-Ulrich Holdenried	For	For
23	Ratify Susanne Lachenmann	For	For
24	Ratify Géraldine Picaud	For	For
25	Ratify Manfred Puffer	For	For
26	Ratify Melanie Riedl	For	For
27	Ratify Jürgen Scholz	For	For
28	Ratify Ulrich Spiesshofer	For	For
29	Ratify Margret Suckale	For	For
30	Ratify Mirco Synde	For	For

31	Ratify Diana Vitale	For	For
32	Ratify Ute Wolf	For	For
33	Appointment of Auditor	For	Against
34	Elect Ute Wolf	For	For
35	Elect Hermann Eul	For	For
36	Supervisory Board Remuneration Policy	For	For
37	Increase in Authorised Capital	For	For
38	Authority to Issue Convertible Debt Instruments;	For	For
39	Increase in Conditional Capital Remuneration Report	For	Against
40	Non-Voting Meeting Note		
41	Non-Voting Meeting Note		
42	Non-Voting Meeting Note		
43	Non-Voting Meeting Note		

L G Chemical	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No KR			
Annual Me	eeting Agenda (03/25/2024)		Mgmt Rec	Vote Cast	
1	Financial Statements and All	ocation of Profits/Dividends	s For	Abstain	
2	Amendments to Articles		For	For	
3	Elect CHA Dong Seok		For	Against	
	Election of Independent Dire Audit Committee Member: L		For	For	
5	Directors' Fees	Ü	For	For	

Orsted A/S Voted Ballot Status Confirmed Decision Status Approved

Annual M	leeting Agenda (03/05/2024)	Mgmt Rec	Vote Cast	
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			
4	Non-Voting Meeting Note			
5	Non-Voting Agenda Item			
6	Accounts and Reports	For	For	
7	Remuneration Report	For	Against	
8	Ratification of Board and Management Acts	For	For	
9	Allocation of Profits/Dividends	For	For	
10	Board Size	For	For	
11	Elect Lene Skole-Sørensen as Chair	For	For	
12	Elect Andrew R. D. Brown as Vice Chair	For	For	
13	Elect Peter Korsholm	For	For	
14	Elect Dieter Wemmer	For	For	
15	Elect Julia King	For	For	
16	Elect Annica Bresky	For	For	
17	Directors' Fees	For	For	
18	Appointment of Auditor	For	For	
19	Appointment of Sustainability Auditor	For	For	
20	Non-Voting Agenda Item			
21	Non-Voting Meeting Note			
22	Non-Voting Meeting Note			

Samsung SDI Co. Ltd.	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No KR			

Annual N	Meeting Agenda (03/20/2024)	Mgmt Rec	Vote Cast	
1	Financial Statements and Allocation of Profits/Dividends	For	Abstain	
2	Elect KIM Jong Seong	For	Against	
3	Elect PARK Jin	For	For	
4	Directors' Fees	For	For	

#### WS GUINNESS ASIAN EQUITY INCOME

WS GUINNES						
China Overseas La Investment Ltd.	and &	Voted	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No HK			
	Ordinary Mee	eting Agenda (01/31/20	024)	Mgmt Rec	Vote Cast	
	1 No	n-Voting Meeting Note				
	2 No	n-Voting Meeting Note				
	3 Co	operation Agreement		For	For	
Hanon Systems		Voted	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No KR			
	Annual Meeti	ng Agenda (03/28/202	4)	Mgmt Rec	Vote Cast	
	1 Fin	ancial Statements and A	Allocation of Profits/Dividence	ds For	Abstain	
	2 Ele	ction of Directors (Slate	e)	For	Abstain	
	3 Ele	ction of Audit Committe	e Members (Slate)	For	Abstain	
	4 Dir	ectors' Fees		For	For	
	5 No	n-Voting Meeting Note				
Industrial & Comm	nercial Bank (	of <sub>Voted</sub>	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No HK			

Vote

Cast

Mgmt Rec

Special Meeting Agenda (02/29/2024)

<sup>1</sup> Non-Voting Meeting Note

2	Elect ZHANG Wenwu	For	For
3	Elect Murray Horn	For	For
4	Authority to Issue Total Loss-Absorbing Capacity Non- Capital Debt Instruments	For	For
5	2024 Fixed Asset Investment Budget	For	For

Korean Reinsurance Voted Ballot Status Confirmed Decision Status Approved

Share Blocking No Country Of Trade KR

Annual N	Meeting Agenda (03/29/2024)	Mgmt Rec	Vote Cast	
1	Financial Statements and Allocation of Profits/Dividends	For	For	
2	Elect WON Jong Ik	For	For	
3	Elect WON Jong Gyu	For	For	
4	Elect KIM So Hee	For	Against	
5	Elect KOO Han Seo	For	For	
6	Elect HWANG Sung Sik	For	For	
7	Elect JUNG Ji Won	For	For	
8	Directors' Fees	For	For	

Suofeiya Home Collection Co Ltd Voted Ballot Status Confirmed Decision Status Approved

Special I	Meeting Agenda (01/29/2024)	Mgmt Rec	Vote Cast
1	Amendments to the Company's Articles of Association	For	For
2	Amendments to the Company's Rules of Procedure Governing Shareholders General Meetings	For	For
3		For	For
4	AMENDMENTS TO THE SYSTEM FOR INDEPENDENT DIRECTORS	For	For

		3				
Zhejiang Supor Co Ltd.	ookware Co.	Voted	Ballot Status	Confirmed	Decision Status	Approved
		Share Blocking Country Of Trade	No CN			
	Special Meetir	ng Agenda (01/10/2024)		Mgm Rec	t Vote Cast	
	1 Estir	mated Additional Continu	ing Connected Trar	nsaction For	For	
Qualcomm, Inc.		Voted	Ballot Status	Counted	Decision Status	Approved
		Share Blocking Country Of Trade	No US			
	Annual Meetin	g Agenda (03/05/2024)		Mgm Rec	t Vote Cast	
	1 Elec	t Sylvia Acevedo		For	For	
	2 Elec	t Cristiano R. Amon		For	For	
	3 Elec	t Mark Fields		For	For	
	4 Elec	t Jeffrey W. Henderson		For	For	
	5 Elec	t Gregory N. Johnson		For	For	
	6 Elec	t Ann M. Livermore		For	For	
	7 Elec	t Mark D. McLaughlin		For	For	
	8 Elec	t Jamie S. Miller		For	For	
	9 Elec	t Irene B. Rosenfeld		For	For	
	10 Elec	t Kornelis Smit		For	For	
	11 Elec	t Jean-Pascal Tricoire		For	For	
	12 Elec	t Anthony J. Vinciquerra		For	For	
	13 Ratif	ication of Auditor		For	Against	

For

For

For

For

5 Amendments to the Company's Rules of Procedure Governing the Supervisory Committee Meetings

14 Advisory Vote on Executive Compensation

15	Amendment to the 2023 Long-Term Incentive Plan	For	For
16	Amendment to Certificate of Incorporation Regarding Officer Exculpation	For	Against
17	Amendment to Bylaws to Add Federal Forum Selection Provision	For	For

DBS Group Holdings Ltd	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No SG			

Annual N	leeting Agenda (03/28/2024)	Mgmt Rec	Vote Cast	
1	Accounts and Reports	For	For	
2	Allocation of Profits/Dividends	For	For	
3	Directors' Fees	For	For	
4	Appointment of Auditor and Authority to Set Fees	For	For	
5	Elect Piyush Gupta	For	For	
6	Elect CHNG Kai Fong	For	Against	
7	Elect Judy Lee	For	For	
8	Elect David Ho Hing-Yuen	For	For	
9	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For	
10	AUTHORITY TO ISSUE SHARES PURSUANT TO THE DBSH SCRIP DIVIDEND SCHEME	For	For	
11	Authority to Repurchase and Reissue Shares	For	For	
12	Non-Voting Meeting Note			

# WS GUINNESS EUROPEAN EQUITY INCOME

Mapfre Sociedad AnonimaVotedBallot StatusConfirmedDecision StatusApproved

Annual Meeting Agenda (03/15/2024)		Mgmt Rec	Vote Cast	
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			
4	Accounts and Reports	For	For	
5	Integrated Reports	For	For	
6	Report on Non-Financial Information	For	For	
7	Allocation of Dividends	For	For	
8	Ratification of Board Acts	For	For	
9	Elect Maria Letícia de Freitas Costa	For	For	
10	Elect Rosa María García García	For	Against	
11	Ratify Co-Option and Elect Eduardo Pérez de Lema Holweg	For	For	
12	Appointment of Auditor (2024)	For	For	
13	Appointment of Auditor (2025-2027)	For	For	
14	Amendment to the Long-Term Incentive Plan	For	For	
15	Remuneration Report	For	Against	
16	Authorisation of Legal Formalities	For	For	
17	Minutes	For	For	
18	Non-Voting Meeting Note			

Voted

**Ballot Status** 

Confirmed

**Decision Status** 

Approved

Annual N	Annual Meeting Agenda (03/13/2024)		Vote Cast
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Non-Voting Meeting Note		
4	Non-Voting Meeting Note		
5	Non-Voting Agenda Item		
6	Non-Voting Agenda Item		
7	Non-Voting Agenda Item		
8	Non-Voting Agenda Item		
9	Non-Voting Agenda Item		
10	Non-Voting Agenda Item		
11	Accounts and Reports	For	For
12	Allocation of Profits/Dividends	For	For
13	Ratification of Board and CEO Acts	For	For
14	Remuneration Report	For	Against
15	Remuneration Policy	For	For
16	Directors' Fees	For	For
17	Board Size	For	For
18	Election of Directors	For	For
19	Authority to Set Auditor's Fees	For	For
20	Appointment of Auditor	For	For
21	Authority to Set Sustainability Reporting Auditor's Fees	For	For
22	Appointment of Auditor for Sustainability Reporting	For	For
23	Authority to Repurchase Shares	For	For
24	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For
25	Forfeiture of Unregistered Shares	For	For
26	Non-Voting Agenda Item		
27	Non-Voting Meeting Note		

Konecranes Plc Voted Ballot Status Confirmed Decision Status Approved

Annual M	Annual Meeting Agenda (03/27/2024)		Vote Cast	
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			
4	Non-Voting Agenda Item			
5	Non-Voting Agenda Item			
6	Non-Voting Agenda Item			
7	Non-Voting Agenda Item			
8	Non-Voting Agenda Item			
9	Non-Voting Agenda Item			
10	Accounts and Reports	For	For	
11	Allocation of Profits/Dividends	For	For	
12	Ratification of Board and CEO Acts	For	For	
13	Remuneration Report	For	Abstain	
14	Remuneration Policy	For	Abstain	
15	Directors' Fees	For	For	
16	Board Size	For	For	
17	Election of Directors	For	For	
18	Authority to Set Auditor's Fees	For	For	
19	Appointment of Auditor; Appointment of Auditor for	For	For	
20	Sustainability Reporting Amendments to Articles (Company Name)	For	For	
21	Authority to Repurchase Shares	For	For	
22	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For	
23	Issuance of Treasury Shares	For	For	
24	Authority to Issue Shares Pursuant to Employee Share Savings Plan	For	For	

25	Charitable Donations	For	For
26	Non-Voting Agenda Item		
27	Non-Voting Meeting Note		
28	Non-Voting Meeting Note		

Novo Nordisk Voted Ballot Status Confirmed Decision Status Approved

Share Blocking No Country Of Trade DK

29 Non-Voting Meeting Note

Annual M	Annual Meeting Agenda (03/21/2024)		Vote Cast	
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			
4	Non-Voting Meeting Note			
5	Non-Voting Agenda Item			
6	Accounts and Reports	For	For	
7	Allocation of Profits/Dividends	For	For	
8	Remuneration Report	For	For	
9	Directors' Fees	For	For	
10	Indemnification of the Board of Directors	For	For	
11	Indemnification of the Executive Management	For	For	
12	Amendments to Articles	For	For	
13	Remuneration Policy	For	For	
14	Election of Helge Lund as Chair	For	For	
15	Election of Henrik Poulsen as Vice Chair	For	For	
16	Elect Laurence Debroux	For	For	
17	Elect Andreas Fibig	For	For	
18	Elect Sylvie Grégoire	For	For	
19	Elect Kasim Kutay	For	For	
20	Elect Christina Choi Lai Law	For	For	
21	Elect Martin Mackay	For	For	
22	Appointment of Auditor	For	For	

23	Cancellation of Shares	For	For
24	Authority to Repurchase Shares	For	For
25	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For
26	Non-Voting Agenda Item		
27	Non-Voting Meeting Note		
28	Non-Voting Meeting Note		
29	Non-Voting Meeting Note		
30	Non-Voting Meeting Note		

### WS GUINNESS GLOBAL ENERGY FUND

Reabold Resources Pic Voted Ballot Status Confirmed Decision Status Approved

Share Blocking No Country Of Trade GB

Ordi	Ordinary Meeting Agenda (01/10/2024)		Mgmt Rec	Vote Cast	
	1	Non-Voting Meeting Note			
SHP	2	Shareholder Proposal Regarding Election of Kamran Sattar	Against	Against	
SHP	3	Shareholder Proposal Regarding Election of Andrea Cattaneo	Against	Against	
SHP	4	Shareholder Proposal Regarding Election of Francesca Yardley	Against	Against	
SHP	5	Shareholder Proposal Regarding Election of Jose Ramon Lopez Portillo Romano	Against	Against	
SHP	6	Shareholder Proposal Regarding Removal of Sachin Sharad Oza	Against	Against	
SHP	7	Shareholder Proposal Regarding Removal of Jeremy Samuel Edelman	Against	Against	
SHP	8	Shareholder Proposal Regarding Removal of Michael Craig Felton	Against	Against	
SHP	9	Shareholder Proposal Regarding Removal of Marcos Estanislao Mozetic	Against	Against	
SHP	10	Shareholder Proposal Regarding Removal of Anthony John Samaha	Against	Against	
SHP	11	Shareholder Proposal Regarding Removal of Stephen Anthony Williams	Against	Against	
SHP	12	Shareholder Proposal Regarding Removal of Interim Appointees	Against	Against	
	13	Non-Voting Meeting Note			

Pioneer Natural Resources Co.	Voted	Ballot Status	Counted	Decision Status	Approved
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Share Blocking No Country Of Trade US

Special Meeting Agenda (02/07/2024)

Mgmt
Rec
Cast

1 Merger (Acquisition by Exxon Mobil Corporation) For For

# WS GUINNESS GLOBAL EQUITY INCOME

Emerson Electric Co. Voted Ballot Status Counted Decision Status Approved

Share Blocking No Country Of Trade US

Annu	Annual Meeting Agenda (02/06/2024)		Mgmt Rec	Vote Cast	
	1	Elect Mark A. Blinn	For	For	
	2	Elect Leticia Gonçalves Lourenco	For	For	
	3	Elect James M. McKelvey	For	For	
	4	Elect James S. Turley	For	For	
	5	Advisory Vote on Executive Compensation	For	Against	
	6	Repeal of Classified Board	For	For	
	7	Approval of the 2024 Equity Incentive Plan	For	For	
	8	Ratification of Auditor	For	Against	
SHP	9	Shareholder Proposal Regarding Simple Majority Vote	Against	For	

Novo Nordisk	Voted	Ballot Status	Confirmed	<b>Decision Status</b>	Approved
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Share Blocking No Country Of Trade DK

9 Directors' Fees

Annual N	leeting Agenda (03/21/2024)	Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Non-Voting Meeting Note		
4	Non-Voting Meeting Note		
5	Non-Voting Agenda Item		
6	Accounts and Reports	For	For
7	Allocation of Profits/Dividends	For	For
8	Remuneration Report	For	For

For

For

10	Indemnification of the Board of Directors	For	For
11	Indemnification of the Executive Management	For	For
12	Amendments to Articles	For	For
13	Remuneration Policy	For	For
14	Election of Helge Lund as Chair	For	For
15	Election of Henrik Poulsen as Vice Chair	For	For
16	Elect Laurence Debroux	For	For
17	Elect Andreas Fibig	For	For
18	Elect Sylvie Grégoire	For	For
19	Elect Kasim Kutay	For	For
20	Elect Christina Choi Lai Law	For	For
21	Elect Martin Mackay	For	For
22	Appointment of Auditor	For	For
23	Cancellation of Shares	For	For
24	Authority to Repurchase Shares	For	For
25	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For
26	Non-Voting Agenda Item		
27	Non-Voting Meeting Note		
28	Non-Voting Meeting Note		
29	Non-Voting Meeting Note		
30	Non-Voting Meeting Note		

# WS GUINNESS GLOBAL INNOVATORS FUND

Infineon Technologies AG Voted Ballot Status Confirmed Decision Status Approved

Annual M	leeting Agenda (02/23/2024)	Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Non-Voting Meeting Note		
4	Non-Voting Meeting Note		
5	Non-Voting Meeting Note		
6	Non-Voting Meeting Note		
7	Non-Voting Agenda Item		
8	Allocation of Dividends	For	For
9	Ratify Jochen Hanebeck	For	For
10	Ratify Constanze Hufenbecher	For	For
11	Ratify Sven Schneider	For	For
12	Ratify Andreas Urschitz	For	For
13	Ratify Rutger Wijburg	For	For
14	Ratify Herbert Diess	For	For
15	Ratify Xiaoqun Clever-Steg	For	For
16	Ratify Johann Dechant	For	For
17	Ratify Wolfgang Eder	For	For
18	Ratify Friedrich Eichiner	For	For
19	Ratify Annette Engelfried	For	For
20	Ratify Peter Gruber	For	For
21	Ratify Klaus Helmrich	For	For
22	Ratify Hans-Ulrich Holdenried	For	For
23	Ratify Susanne Lachenmann	For	For
24	Ratify Géraldine Picaud	For	For
25	Ratify Manfred Puffer	For	For
26	Ratify Melanie Riedl	For	For

27	Ratify Jürgen Scholz	For	For
28	Ratify Ulrich Spiesshofer	For	For
29	Ratify Margret Suckale	For	For
30	Ratify Mirco Synde	For	For
31	Ratify Diana Vitale	For	For
32	Ratify Ute Wolf	For	For
33	Appointment of Auditor	For	Against
34	Elect Ute Wolf	For	For
35	Elect Hermann Eul	For	For
36	Supervisory Board Remuneration Policy	For	For
37	Increase in Authorised Capital	For	For
38	Authority to Issue Convertible Debt Instruments;	For	For
39	Increase in Conditional Capital Remuneration Report	For	Against
40	Non-Voting Meeting Note		
41	Non-Voting Meeting Note		
42	Non-Voting Meeting Note		
43	Non-Voting Meeting Note		

Apple Inc    Voted    Ballot Status    Confirmed    Decision Status	Approved
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Annual N	Annual Meeting Agenda (02/28/2024)		Vote Cast
1	Elect Wanda M. Austin	For	For
2	Elect Timothy D. Cook	For	For
3	Elect Alex Gorsky	For	For
4	Elect Andrea Jung	For	For
5	Elect Arthur D. Levinson	For	For
6	Elect Monica C. Lozano	For	For
7	Elect Ronald D. Sugar	For	For
8	Elect Susan L. Wagner	For	Against
9	Ratification of Auditor	For	For
10	Advisory Vote on Executive Compensation	For	Against

SHP	11	Shareholder Proposal Regarding EEO Policy Risk	Against	Against
SHP	12		Against	For
SHP	13	Managing Disputes Concerning App Content Shareholder Proposal Regarding Median Gender and	Against	Against
(SHP)	14	Racial Pay Equity Report Shareholder Proposal Regarding Report on Use of	Against	Against
SHP	15	Artificial Intelligence Shareholder Proposal Regarding Congruency Report on Privacy and Human Rights Policies	Against	For

Applied Materials Inc.	Voted	Ballot Status	Counted	<b>Decision Status</b>	Approved
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Annı	Annual Meeting Agenda (03/07/2024)		Mgmt Rec	Vote Cast	
				- Cuo.	
	1	Elect Rani Borkar	For	For	
	2	Elect Judy Bruner	For	For	
	3	Elect Xun Chen	For	For	
	4	Elect Aart J. de Geus	For	For	
	5	Elect Gary E. Dickerson	For	For	
	6	Elect Thomas J. Iannotti	For	Against	
	7	Elect Alexander A. Karsner	For	For	
	8	Elect Kevin P. March	For	For	
	9	Elect Yvonne McGill	For	For	
	10	Elect Scott A. McGregor	For	For	
	11	Advisory Vote on Executive Compensation	For	Against	
	12	Ratification of Auditor	For	Abstain	
SHP	13	Shareholder Proposal Regarding Lobbying Report	Against	Against	
SHP	14	Shareholder Proposal Regarding Median Gender and Racial Pay Equity Report	Against	Against	

III III III Voted Bailot status Counted Becision status Approved	Intuit Inc	Voted	Ballot Status	Counted	Decision Status	Approved
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Annu	Annual Meeting Agenda (01/18/2024)		Mgmt Rec	Vote Cast
	1	Elect Eve Burton	For	For
	2	Elect Scott D. Cook	For	For
	3	Elect Richard L. Dalzell	For	For
	4	Elect Sasan Goodarzi	For	For
	5	Elect Deborah Liu	For	For
	6	Elect Tekedra Mawakana	For	For
	7	Elect Suzanne Nora Johnson	For	Against
	8	Elect Ryan Roslansky	For	For
	9	Elect Thomas J. Szkutak	For	For
	10	Elect Raul Vazquez	For	For
	11	Elect Eric Yuan	For	For
	12	Advisory Vote on Executive Compensation	For	Against
	13	Frequency of Advisory Vote on Executive Compensation	1 Year	1 Year
	14	Ratification of Auditor	For	Against
	15	Amendment to the 2005 Equity Incentive Plan	For	For
SHP	16	Shareholder Proposal Regarding Report on Portfolio Risk in Employee Retirement Options	Against	Against

VISA IIIC Voted Ballot Status Counted Decision Status Approved	Visa Inc	Voted	Ballot Status	Counted	<b>Decision Status</b>	Approved
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Annual N	Meeting Agenda (01/23/2024)	Mgmt Rec	Vote Cast
1	Elect Lloyd A. Carney	For	For
2	Elect Kermit R. Crawford	For	For
3	Elect Francisco Javier Fernández Carbajal	For	For
4	Elect Ramon L. Laguarta	For	For

5	Elect Teri L. List	For	For
6	Elect John F. Lundgren	For	For
7	Elect Ryan McInerney	For	For
8	Elect Denise M. Morrison	For	For
9	Elect Pamela Murphy	For	For
10	Elect Linda J. Rendle	For	For
11	Elect Maynard G. Webb, Jr.	For	For
12	Advisory Vote on Executive Compensation	For	Against
13	Ratification of Auditor	For	For
14	Exchange Offer Amendments	For	For
15	Right to Adjourn Meeting	For	For
16	Shareholder Proposal Regarding Severance Approval Policy	Against	Against

Novo Nordisk Voted Ballot Status Confirmed Decision Status Approved

Annual Meeting Agenda (03/21/2024)		Mgmt Rec	Vote Cast
1	Non-Voting Meeting Note		
2	Non-Voting Meeting Note		
3	Non-Voting Meeting Note		
4	Non-Voting Meeting Note		
5	Non-Voting Agenda Item		
6	Accounts and Reports	For	For
7	Allocation of Profits/Dividends	For	For
8	Remuneration Report	For	For
9	Directors' Fees	For	For
10	Indemnification of the Board of Directors	For	For
11	Indemnification of the Executive Management	For	For
12	Amendments to Articles	For	For
13	Remuneration Policy	For	For
14	Election of Helge Lund as Chair	For	For
15	Election of Henrik Poulsen as Vice Chair	For	For

16	Elect Laurence Debroux	For	For
17	Elect Andreas Fibig	For	For
18	Elect Sylvie Grégoire	For	For
19	Elect Kasim Kutay	For	For
20	Elect Christina Choi Lai Law	For	For
21	Elect Martin Mackay	For	For
22	Appointment of Auditor	For	For
23	Cancellation of Shares	For	For
24	Authority to Repurchase Shares	For	For
25	Authority to Issue Shares w/ or w/o Preemptive Rights	For	For
26	Non-Voting Agenda Item		
27	Non-Voting Meeting Note		
28	Non-Voting Meeting Note		
29	Non-Voting Meeting Note		
30	Non-Voting Meeting Note		

### WS GUINNESS SUSTAINABLE ENERGYFUND

Chir	าล Suntie	en Green	Energy
Cor	poration	Limited	

 Voted
 Ballot Status
 Confirmed
 Decision Status
 Approved

Share Blocking No Country Of Trade HK

Special N	Meeting Agenda (02/28/2024)	Mgmt Rec	Vote Cast	
1	Non-Voting Meeting Note			
2	Approval of the 2023 Restricted A Share Incentive Scheme (Draft)	For	For	
3	Administrative Measures for the 2023 Restricted A Share Incentive Scheme	For	For	
4	Administrative Measures for Appraisal for Implementation of the 2023 Restricted A Share Incentive Scheme	For	For	
5	Board Authorization	For	For	
6	Elect TAN Jian Xin	For	For	
7	Elect CHAN Yik Pun	For	For	

#### China Suntien Green Energy Corporation Limited

 Voted
 Ballot Status
 Confirmed
 Decision Status
 Approved

Other Me	eeting Agenda (02/28/2024)	Mgmt Rec	Vote Cast	
1	Approval of the 2023 Restricted A Share Incentive Scheme	For	For	
2	Administrative Measures for the 2023 Restricted A Share Incentive Scheme	For	For	
3	Administrative Measures for Appraisal for Implementation of the 2023 Restricted A Share Incentive Scheme	For	For	
4	Board Authorization	For	For	
5	Non-Voting Meeting Note			

Infineon Technologies AG Voted Ballot Status Confirmed Decision Status Approved

**Share Blocking** No **Country Of Trade** DE

Annual Meeting Agenda (02/23/2024) Vote Mgmt Cast Rec 1 Non-Voting Meeting Note Non-Voting Agenda Item For Allocation of Dividends For Ratify Jochen Hanebeck For For Ratify Constanze Hufenbecher For For 11 Ratify Sven Schneider For For 12 Ratify Andreas Urschitz For For 13 Ratify Rutger Wijburg For For 14 Ratify Herbert Diess For Abstain 15 Ratify Xiaoqun Clever-Steg For For 16 Ratify Johann Dechant For For 17 Ratify Wolfgang Eder For For Ratify Friedrich Eichiner For For Ratify Annette Engelfried For For Ratify Peter Gruber For For Ratify Klaus Helmrich For For 22 Ratify Hans-Ulrich Holdenried For For Ratify Susanne Lachenmann For For 24 Ratify Géraldine Picaud For For 25 Ratify Manfred Puffer For For Ratify Melanie Riedl For For Ratify Jürgen Scholz For For Ratify Ulrich Spiesshofer For For 29 Ratify Margret Suckale For For

30	Ratify Mirco Synde	For	For
31	Ratify Diana Vitale	For	For
32	Ratify Ute Wolf	For	For
33	Appointment of Auditor	For	Against
34	Elect Ute Wolf	For	For
35	Elect Hermann Eul	For	For
36	Supervisory Board Remuneration Policy	For	For
37	Increase in Authorised Capital	For	For
38	Authority to Issue Convertible Debt Instruments; Increase in Conditional Capital	For	For
39	Remuneration Report	For	Against
40	Non-Voting Meeting Note		
41	Non-Voting Meeting Note		
42	Non-Voting Meeting Note		
43	Non-Voting Meeting Note		

L G Chemical		Voted	Ballot Status	Confirmed	<b>Decision Status</b>	Approved
		Share Blocking Country Of Trade	No KR			
	Annual Mee	eting Agenda (03/25/202	4)	Mgmt Rec	Vote Cast	
	1 Fi	inancial Statements and	Allocation of Profits/Dividends	s For	Abstain	
	2 A	mendments to Articles		For	For	
	3 E	lect CHA Dong Seok		For	Against	
			irector to Be Appointed as	For	For	
		udit Committee Member: irectors' Fees	LEE Young man	For	For	

Orsted A/S Voted Ballot Status Confirmed Decision Status Approved

Annual M	leeting Agenda (03/05/2024)	Mgmt Rec	Vote Cast	
1	Non-Voting Meeting Note			
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			
4	Non-Voting Meeting Note			
5	Non-Voting Agenda Item			
6	Accounts and Reports	For	For	
7	Remuneration Report	For	Against	
8	Ratification of Board and Management Acts	For	For	
9	Allocation of Profits/Dividends	For	For	
10	Board Size	For	For	
11	Elect Lene Skole-Sørensen as Chair	For	For	
12	Elect Andrew R. D. Brown as Vice Chair	For	For	
13	Elect Peter Korsholm	For	For	
14	Elect Dieter Wemmer	For	For	
15	Elect Julia King	For	For	
16	Elect Annica Bresky	For	For	
17	Directors' Fees	For	For	
18	Appointment of Auditor	For	For	
19	Appointment of Sustainability Auditor	For	For	
20	Non-Voting Agenda Item			
21	Non-Voting Meeting Note			
22	Non-Voting Meeting Note			

Samsung SDI Co. Ltd.	Voted	Ballot Status	Confirmed	Decision Status	Approved
	Share Blocking Country Of Trade	No KR			

Annual N	lleeting Agenda (03/20/2024)	Mgmt Rec	Vote Cast	
1	Financial Statements and Allocation of Profits/Dividends	For	Abstain	
2	Elect KIM Jong Seong	For	Against	
3	Elect PARK Jin	For	For	
4	Directors' Fees	For	For	

#### WS GUINNESS SUSTAINGLOBALEQUITYFUND

Agilent Technologies Inc.	Voted	Ballot Status	Counted	Decision Status	Approved
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Share Blocking No Country Of Trade US

Annual Meeting Agenda (03/14/2024)			Mgmt Rec	Vote Cast	
	1	Elect Mala Anand	For	For	
	2	Elect KOH Boon Hwee	For	Against	
	3	Elect Michael R. McMullen	For	For	
	4	Elect Daniel K. Podolsky	For	For	
	5	Advisory Vote on Executive Compensation	For	Against	
	6	Ratification of Auditor	For	Against	
SHP	7	Shareholder Proposal Regarding Simple Majority Vote		For	

VEASIALIT LECTIONALES LITE Aprile parior status confiden pecision status Abbior	Keysight Technologies Inc	Voted	Ballot Status	Counted	Decision Status	Approved
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Annual Meeting Agenda (03/21/2024)			Mgmt Rec	Vote Cast	
	1	Elect Charles J. Dockendorff	For	Against	
	2	Elect Ronald S. Nersesian	For	For	
	3	Elect Robert A. Rango	For	For	
	4	Ratification of Auditor	For	For	
	5	Advisory Vote on Executive Compensation	For	Against	
	6	Amendment to the 2014 Equity and Incentive Compensation Plan	For	For	
	7	Amendment to the Employee Stock Purchase Plan	For	For	
	8	Elimination of Supermajority Requirement	For	For	
SHP	9	Shareholder Proposal Regarding Simple Majority Vote	Against	Against	

Tetra Tech, Inc.	Voted	Ballot Status	Counted	Decision Status	Approved

Annual M	leeting Agenda (02/29/2024)	Mgmt Rec	Vote Cast
1	Elect Dan L. Batrack	For	For
2	Elect Gary R. Birkenbeuel	For	For
3	Elect Prashant Gandhi	For	For
4	Elect Joanne M. Maguire	For	For
5	Elect Christiana Obiaya	For	Against
6	Elect Kimberly E. Ritrievi	For	For
7	Elect Kirsten M. Volpi	For	For
8	Advisory Vote on Executive Compensation	For	Against
9	Ratification of Auditor	For	Abstain

#### WS GUINNESS UK EQUITY INCOME

Qinetiq Group Voted Ballot Status Confirmed Decision Status Approved

Share Blocking No Country Of Trade GB

Special Meeting Agenda (02/06/2024)

Mgmt
Rec
Cast

1 Authority to Repurchase Shares For For