

SELECT COMMITTEE ON INTELLIGENCE

UNITED STATES SENATE



QUESTIONNAIRE FOR COMPLETION BY
PRESIDENTIAL NOMINEES

SENSITIVE BUT UNCLASSIFIED

**SELECT COMMITTEE ON INTELLIGENCE
UNITED STATES SENATE**

**QUESTIONNAIRE FOR COMPLETION BY
PRESIDENTIAL NOMINEES**

PART A - BIOGRAPHICAL INFORMATION

1. FULL NAME: Brett Michael Holmgren
OTHER NAMES USED: N/A
2. DATE AND PLACE OF BIRTH: June 30, 1981, in Minneapolis, Minnesota
CITIZENSHIP: United States
3. MARITAL STATUS: Married
4. SPOUSE'S NAME: Dana A. Remus
5. SPOUSE'S MAIDEN NAME IF APPLICABLE: N/A
6. NAMES AND AGES OF CHILDREN:

NAME

AGE

INFORMATION REDACTED

7. EDUCATION SINCE HIGH SCHOOL:

<u>INSTITUTION</u>	<u>DATES ATTENDED</u>	<u>DEGREE RECEIVED</u>	<u>DATE OF DEGREE</u>
St. John's University (Minnesota)	09/1999 to 12/2000	None - Transferred	
University of Wisconsin-Madison	01/2001 to 12/2003	BA in Journalism & Political Science	12/2003
John's Hopkins University	09/2009 to 08/2010	MA in Global Security Studies	08/2010

8. EMPLOYMENT RECORD (LIST ALL POSITIONS HELD SINCE COLLEGE, INCLUDING MILITARY SERVICE. INDICATE NAME OF EMPLOYER, POSITION, TITLE OR DESCRIPTION, LOCATION, AND DATES OF EMPLOYMENT).

<u>EMPLOYER</u>	<u>POSITION/TITLE</u>	<u>LOCATION</u>	<u>DATES</u>
PT Fund, Inc (Presidential Transition)	Deputy for Nominations	1401 Constitution Ave. Washington, DC	10/2020-Present

Capital One Financial Corporation	Vice President for Technology Risk Management	1680 Capital One Drive McLean, VA	02/2017-10/2020
Federal Government	Special Assistant to the President and Senior Director for Intelligence Programs	1600 Pennsylvania Ave. NW	07/2015-01/2017
Federal Government	Senior Policy Advisor to the Assistant to the President for Homeland Security and Counterterrorism	1600 Pennsylvania Ave. NW	06/2014-07/2015
Federal Government	Special Assistant to the Deputy Secretary of Defense	1010 Defense Pentagon Washington, DC 22202	05/2013-06/2014
Federal Government	NSC Director for Counterterrorism	1600 Pennsylvania Ave. NW	09/2011-05/2013
Federal Government	Political Analyst (CIA)	1000 Colonial Farm Road McLean, VA	01/2006-09/2011
Federal Government	Counterterrorism Analyst (DIA)	7400 Pentagon Washington, DC 20301	06/2003-01/2006

9. GOVERNMENT EXPERIENCE (INDICATE EXPERIENCE IN OR ASSOCIATION WITH FEDERAL, STATE, OR LOCAL GOVERNMENTS, INCLUDING ADVISORY, CONSULTATIVE, HONORARY, OR OTHER PART-TIME SERVICE OR POSITION. DO NOT REPEAT INFORMATION ALREADY PROVIDED IN QUESTION 8).

See response to question number 8.

10. INDICATE ANY SPECIALIZED INTELLIGENCE OR NATIONAL SECURITY EXPERTISE YOU HAVE ACQUIRED HAVING SERVED IN THE POSITIONS DESCRIBED IN QUESTIONS 8 AND/OR 9.

I have acquired deep expertise on the Intelligence Community (IC) and national security matters through my prior service as an analyst in the IC and as a policymaker and advisor at the White House and the Department of Defense. As an all-source intelligence analyst, first at the Defense Intelligence Agency (DIA) and then at the Central Intelligence Agency (CIA), I gained firsthand experience in all components of the intelligence cycle – from planning and collection to reporting and publishing products for policymakers. I have served in warzones and on other temporary assignments abroad, which gave me a unique appreciation of the risks and sacrifices that our warfighters, intelligence officers, and diplomats face on a daily basis. I have also authored multiple intelligence assessments, from longer strategic products to current analyses that have been included in the President’s Daily Brief. My experiences as an analyst and manager taught me the importance of analytic objectivity, the various consumers the IC supports, and the need for balance between strategic and tactical intelligence collection, reporting, and analysis.

As a policymaker, I have seen firsthand how intelligence supports the policy process, while also developing knowledge on the role of the National Security Council (NSC) and the interagency policy development and coordination process. While serving on the NSC staff, I was a consumer of the IC’s products and work, which gave me a richer understanding of the analysis, collection, operations, and activities across all IC agencies. Moreover, as the Special Assistant to the

President and Senior Director for Intelligence Programs on the NSC from 2015 to 2017, I provided executive branch oversight for covert action programs and sensitive military intelligence operations, and gained a deeper appreciation for the vital role played by policymakers, diplomats, and the legislative branch in ensuring that covert action and other intelligence activities and operations are effectively supporting US foreign policy objectives.

Since leaving government in January 2017, I gained experience as an executive in the private sector at Capital One Financial Corporation, overseeing risk management for cybersecurity and technology. Among other things, my experience in industry gave me a window into how the government and the IC can engage with the private sector and other non-governmental entities (e.g., think tanks, academia) on shared threats and challenges.

11. HONORS AND AWARDS (PROVIDE INFORMATION ON SCHOLARSHIPS, FELLOWSHIPS, HONORARY DEGREES, MILITARY DECORATIONS, CIVILIAN SERVICE CITATIONS, OR ANY OTHER SPECIAL RECOGNITION FOR OUTSTANDING PERFORMANCE OR ACHIEVEMENT).

- 2017 Director of National Intelligence Superior Service Award
- 2017 CIA Director's Award
- 2017 Office of the Secretary of Defense Exceptional Civilian Service Award
- 2014 Secretary of Defense Meritorious Civilian Service Award
- 2010 CIA Hostile Action Service Medal
- 2006-2011 CIA Exceptional Performance Awards (7)
- 2005 Department of Defense Joint Service Accommodation Medal
- 2005 Defense Intelligence Agency Civilian Expeditionary Award

12. ORGANIZATIONAL AFFILIATIONS (LIST MEMBERSHIPS IN AND OFFICES HELD WITHIN THE LAST TEN YEARS IN ANY PROFESSIONAL, CIVIC, FRATERNAL, BUSINESS, SCHOLARLY, CULTURAL, CHARITABLE, OR OTHER SIMILAR ORGANIZATIONS).

<u>ORGANIZATION</u>	<u>OFFICE HELD</u>	<u>DATES</u>
Medical Reserve Corps of Washington, DC	Volunteer	02/2021 - Present
One World Education	Board Member	09/2017 - Present
University of Wisconsin-Madison Political Science Department Board of Visitors	Member	10/2019 - Present
Truman National Security Project	National Security Fellow	06/2014 - Present
Intelligence and National Security Alliance	Member	03/2017 - 10/2020
The Risk Management Society	Member	01/2019 - 10/2020
Council on Foreign Relations	Term Member	06/2014 - 06/2019
Stimson Study Group on U.S. Drone Policy	Study Group Member	06/2017 - 07/2018

13. PUBLISHED WRITINGS AND SPEECHES (LIST THE TITLES, PUBLISHERS, BLOGS AND PUBLICATION DATES OF ANY BOOKS, ARTICLES, REPORTS, OR OTHER PUBLISHED MATERIALS YOU HAVE AUTHORED. ALSO LIST ANY PUBLIC SPEECHES OR REMARKS YOU HAVE MADE WITHIN THE LAST TEN YEARS FOR WHICH THERE IS A TEXT, TRANSCRIPT, OR VIDEO). IF ASKED, WILL YOU PROVIDE A COPY OF EACH REQUESTED PUBLICATION, TEXT, TRANSCRIPT, OR VIDEO?

See below for all writings and remarks that I can recall, to the best of my knowledge, that are responsive to the Committee's request. I will provide a copy of any requested publication, transcript, or video.

July 16, 2020: "Trump's New Director of National Intelligence Doesn't Understand His Job." *Foreign Policy*

March 5, 2020: "A Model for Countering Foreign Disinformation and Interference in Elections." *Just Security*.

January 8, 2020: "As Conflict with Iran Intensifies, the Intelligence Community is Still Leaderless." *Just Security*

November 12, 2019: "Leaving the DNI Leaderless is Reckless and Dangerous." *Just Security*

March 30, 2016: Remarks during panel discussion on "Executive Branch Supervision and Oversight of U.S. Intelligence" at Conference on Intelligence and National Security in American Society, hosted by the University of Texas at Austin Intelligence Studies Project

Spring 2003: "Saudi Arabia's Tacit Support for Terrorism." *The American Undergraduate Journal of Politics and Government*

PART B - QUALIFICATIONS

14. QUALIFICATIONS (DESCRIBE WHY YOU BELIEVE YOU ARE QUALIFIED TO SERVE AS THE ASSISTANT SECRETARY OF STATE FOR INTELLIGENCE AND RESEARCH).

During my career as an analyst, policymaker, and manager, I have developed deep expertise on the Intelligence Community (IC), the diverse roles and responsibilities of the agencies that constitute the IC, and the myriad ways in which impartial intelligence analysis informs policy decisions and supports US foreign policy objectives. I have developed, produced, and overseen the production of all-source analysis at the strategic and tactical level for policymakers, diplomats, and warfighters.

I have also served for more than five years in policy roles at the White House and the Department of Defense. My most recent position in government, from 2015 to 2017, where I served as Special Assistant to the President and Senior Director for Intelligence Programs on the National Security Council (NSC) staff, gave me a richer understanding of the diverse and important missions, roles, responsibilities, and contributions of the entire IC, including the

Department of State's Bureau of Intelligence and Research (INR). In my capacity as NSC Senior Director for Intelligence Programs, I also worked closely with INR on a range of issues and operations that involved diplomatic equities.

As an intelligence analyst, policy official, or executive in the private sector, I have overseen and managed budgets, have management experience, have worked closely with foreign partners on intelligence and diplomatic matters, and have a deep understanding of the capabilities and limitations of the IC.

PART C - POLITICAL AND FOREIGN AFFILIATIONS

15. POLITICAL ACTIVITIES (LIST ANY MEMBERSHIPS OR OFFICES HELD IN OR FINANCIAL CONTRIBUTIONS OR SERVICES RENDERED TO, ANY POLITICAL PARTY, ELECTION COMMITTEE, POLITICAL ACTION COMMITTEE, OR INDIVIDUAL CANDIDATE DURING THE LAST TEN YEARS).

Contributions

Friends of Dan Feehan	\$250.00 (08/13/2017)
Friends of Dan Feehan	\$500.00 (05/04/2018)
Elissa Slotkin for Congress	\$250.00 (09/06/2018)
Feehan Victory Fund	\$1000.00 (10/04/2018)
Minnesota Democratic-Farmer-Labor Party	\$1000.00 (10/04/2018)
Elissa Slotkin for Congress	\$250.00 (10/20/2018)
Elissa Slotkin for Congress	\$250.00 (03/27/2019)
Biden for President	\$2800.00 (04/25/2019)
Friends of Dan Feehan	\$500.00 (10/01/2019)
ACTBLUE/Joe Kennedy for Congress	\$100.00 (10/04/2019)
Friends of Dan Feehan	\$500.00 (12/29/2019)
ACTBLUE/Kennedy for Massachusetts	\$100.00 (02/09/2020)
Elissa Slotkin for Congress	\$250.00 (02/27/2020)
Biden for President	\$2800.00 (05/06/2020)
Biden for President	\$70.76 (05/07/2020)
Friends of Dan Feehan	\$2800.00 (06/08/2020)
ACTBLUE/Kennedy for Massachusetts	\$200.00 (08/27/2020)
Friends of Dan Feehan	\$1000.00 (10/27/2020)

In addition to the contributions noted above, on April 28, 2019, I purchased Biden for President campaign merchandise in the amount of \$37.10. This amount was later returned to me in full.

From 2019 to 2020, I served as a volunteer for the Biden for President campaign as Co-Chair of the Intelligence Policy Working Group.

16. CANDIDACY FOR PUBLIC OFFICE (FURNISH DETAILS OF ANY CANDIDACY FOR ELECTIVE PUBLIC OFFICE).

I have never run for public office.

17. FOREIGN AFFILIATIONS

(NOTE: QUESTIONS 17A AND B ARE NOT LIMITED TO RELATIONSHIPS REQUIRING REGISTRATION UNDER THE FOREIGN AGENTS REGISTRATION ACT. QUESTIONS 17A, B, AND C DO NOT CALL FOR A POSITIVE RESPONSE IF THE REPRESENTATION OR TRANSACTION WAS AUTHORIZED BY THE UNITED STATES GOVERNMENT IN CONNECTION WITH YOUR OR YOUR SPOUSE'S EMPLOYMENT IN GOVERNMENT SERVICE.)

A. HAVE YOU OR YOUR SPOUSE EVER REPRESENTED IN ANY CAPACITY (E.G. EMPLOYEE, ATTORNEY, OR POLITICAL/BUSINESS CONSULTANT), WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No.

B. HAVE ANY OF YOUR OR YOUR SPOUSE'S ASSOCIATES REPRESENTED, IN ANY CAPACITY, WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No.

C. DURING THE PAST TEN YEARS, HAVE YOU OR YOUR SPOUSE RECEIVED ANY COMPENSATION FROM, OR BEEN INVOLVED IN ANY FINANCIAL OR BUSINESS TRANSACTIONS WITH, A FOREIGN GOVERNMENT OR ANY ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

D. HAVE YOU OR YOUR SPOUSE EVER REGISTERED UNDER THE FOREIGN AGENTS REGISTRATION ACT? IF SO, PLEASE PROVIDE DETAILS.

No.

18. DESCRIBE ANY LOBBYING ACTIVITY DURING THE PAST TEN YEARS, OTHER THAN IN AN OFFICIAL U.S. GOVERNMENT CAPACITY, IN WHICH YOU OR YOUR SPOUSE HAVE ENGAGED FOR THE PURPOSE OF DIRECTLY OR INDIRECTLY INFLUENCING THE PASSAGE, DEFEAT, OR MODIFICATION OF FEDERAL LEGISLATION, OR FOR THE PURPOSE OF AFFECTING THE ADMINISTRATION AND EXECUTION OF FEDERAL LAW OR PUBLIC POLICY.

None.

PART D - FINANCIAL DISCLOSURE AND CONFLICT OF INTEREST

19. DESCRIBE ANY EMPLOYMENT, BUSINESS RELATIONSHIP, FINANCIAL TRANSACTION, INVESTMENT, ASSOCIATION, OR ACTIVITY (INCLUDING, BUT NOT LIMITED TO, DEALINGS WITH THE FEDERAL GOVERNMENT ON YOUR OWN BEHALF OR ON BEHALF OF A CLIENT), WHICH COULD CREATE, OR APPEAR TO CREATE, A CONFLICT OF INTEREST IN THE POSITION TO WHICH YOU HAVE BEEN NOMINATED.

None. My financial interests consist of diversified mutual funds, cash (or cash equivalents), and property that my wife and I own as our primary residence. I will follow the advice of government ethics officials to address any conflict of interest issues that may arise.

20. DO YOU INTEND TO SEVER ALL BUSINESS CONNECTIONS WITH YOUR PRESENT EMPLOYERS, FIRMS, BUSINESS ASSOCIATES AND/OR PARTNERSHIPS, OR OTHER ORGANIZATIONS IN THE EVENT THAT YOU ARE CONFIRMED BY THE SENATE? IF NOT, PLEASE EXPLAIN.

Yes.

21. DESCRIBE THE FINANCIAL ARRANGEMENTS YOU HAVE MADE OR PLAN TO MAKE, IF YOU ARE CONFIRMED, IN CONNECTION WITH SEVERANCE FROM YOUR CURRENT POSITION. PLEASE INCLUDE SEVERANCE PAY, PENSION RIGHTS, STOCK OPTIONS, DEFERRED INCOME ARRANGEMENTS, AND ANY AND ALL COMPENSATION THAT WILL OR MIGHT BE RECEIVED IN THE FUTURE AS A RESULT OF YOUR CURRENT BUSINESS OR PROFESSIONAL RELATIONSHIPS.

I intend to continue to participate in Capital One's 401(k) plan since Capital One ceased making contributions to this plan following my separation from the company in October 2020. I have no severance pay, pension rights, stock options or deferred income arrangements with any former employer.

22. DO YOU HAVE ANY PLANS, COMMITMENTS, OR AGREEMENTS TO PURSUE OUTSIDE EMPLOYMENT, WITH OR WITHOUT COMPENSATION, DURING YOUR SERVICE WITH THE GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

23. AS FAR AS CAN BE FORESEEN, STATE YOUR PLANS AFTER COMPLETING GOVERNMENT SERVICE. PLEASE SPECIFICALLY DESCRIBE ANY AGREEMENTS OR UNDERSTANDINGS, WRITTEN OR UNWRITTEN, CONCERNING EMPLOYMENT AFTER LEAVING GOVERNMENT SERVICE. IN PARTICULAR, DESCRIBE ANY AGREEMENTS, UNDERSTANDINGS, OR OPTIONS TO RETURN TO YOUR CURRENT POSITION.

None.

24. IF YOU ARE PRESENTLY IN GOVERNMENT SERVICE, DURING THE PAST FIVE YEARS OF SUCH SERVICE, HAVE YOU RECEIVED FROM A PERSON OUTSIDE OF GOVERNMENT AN OFFER OR EXPRESSION OF INTEREST TO EMPLOY YOUR SERVICES AFTER YOU LEAVE GOVERNMENT SERVICE? IF YES, PLEASE PROVIDE DETAILS.

Not applicable

25. IS YOUR SPOUSE EMPLOYED? IF YES AND THE NATURE OF THIS EMPLOYMENT IS RELATED IN ANY WAY TO THE POSITION FOR WHICH YOU ARE SEEKING CONFIRMATION, PLEASE INDICATE YOUR SPOUSE'S EMPLOYER, THE POSITION, AND THE LENGTH OF TIME THE POSITION HAS BEEN HELD. IF YOUR SPOUSE'S EMPLOYMENT IS NOT RELATED TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED, PLEASE SO STATE.

Since January 20, 2021, my wife has served as the Counsel to the President of the United States.

26. LIST BELOW ALL CORPORATIONS, PARTNERSHIPS, FOUNDATIONS, TRUSTS, OR OTHER ENTITIES TOWARD WHICH YOU OR YOUR SPOUSE HAVE FIDUCIARY OBLIGATIONS OR IN WHICH YOU OR YOUR SPOUSE HAVE HELD DIRECTORSHIPS OR OTHER POSITIONS OF TRUST DURING THE PAST FIVE YEARS.

Not applicable.

27. LIST ALL GIFTS EXCEEDING \$100 IN VALUE RECEIVED DURING THE PAST FIVE YEARS BY YOU, YOUR SPOUSE, OR YOUR DEPENDENTS. (NOTE: GIFTS RECEIVED FROM RELATIVES AND GIFTS GIVEN TO YOUR SPOUSE OR DEPENDENT NEED NOT BE INCLUDED UNLESS THE GIFT WAS GIVEN WITH YOUR KNOWLEDGE AND ACQUIESCENCE AND YOU HAD REASON TO BELIEVE THE GIFT WAS GIVEN BECAUSE OF YOUR OFFICIAL POSITION.)

Capital One Financial Corporation (2020): Farewell gift certificate for \$150.00 to a restaurant.

28. LIST ALL SECURITIES, REAL PROPERTY, PARTNERSHIP INTERESTS, OR OTHER INVESTMENTS OR RECEIVABLES WITH A CURRENT MARKET VALUE (OR, IF MARKET VALUE IS NOT ASCERTAINABLE, ESTIMATED CURRENT FAIR VALUE) IN EXCESS OF \$1,000. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE A OF THE DISCLOSURE FORMS OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CURRENT VALUATIONS ARE USED.)

DESCRIPTION OF PROPERTY

VALUE

METHOD OF VALUATION

Please refer to Schedule A of my OGE 278 form.

29. LIST ALL LOANS OR OTHER INDEBTEDNESS (INCLUDING ANY CONTINGENT LIABILITIES) IN EXCESS OF \$10,000. EXCLUDE A MORTGAGE ON YOUR PERSONAL RESIDENCE UNLESS IT IS RENTED OUT, AND LOANS SECURED BY AUTOMOBILES, HOUSEHOLD FURNITURE, OR APPLIANCES. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE C OF THE DISCLOSURE FORM OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CONTINGENT LIABILITIES ARE ALSO INCLUDED.)

NATURE OF OBLIGATION

NAME OF OBLIGEE

AMOUNT

None.

30. ARE YOU OR YOUR SPOUSE NOW IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION? HAVE YOU OR YOUR SPOUSE BEEN IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION IN THE PAST TEN YEARS? HAVE YOU OR YOUR SPOUSE EVER BEEN REFUSED CREDIT OR HAD A LOAN APPLICATION DENIED? IF THE ANSWER TO ANY OF THESE QUESTIONS IS YES, PLEASE PROVIDE DETAILS.

No.

31. LIST THE SPECIFIC SOURCES AND AMOUNTS OF ALL INCOME RECEIVED DURING THE LAST FIVE YEARS, INCLUDING ALL SALARIES, FEES, DIVIDENDS, INTEREST, GIFTS, RENTS, ROYALTIES, PATENTS, HONORARIA, AND OTHER ITEMS EXCEEDING \$200. (COPIES OF U.S. INCOME TAX RETURNS FOR THESE YEARS MAY BE SUBSTITUTED HERE, BUT THEIR SUBMISSION IS NOT REQUIRED.)

INFORMATION REDACTED

32. IF ASKED, WILL YOU PROVIDE THE COMMITTEE WITH COPIES OF YOUR AND YOUR SPOUSE'S FEDERAL INCOME TAX RETURNS FOR THE PAST THREE YEARS?

Yes.

33. LIST ALL JURISDICTIONS IN WHICH YOU AND YOUR SPOUSE FILE ANNUAL INCOME TAX RETURNS.

Washington D.C.

34. HAVE YOUR FEDERAL OR STATE TAX RETURNS BEEN THE SUBJECT OF AN AUDIT, INVESTIGATION, OR INQUIRY AT ANY TIME? IF SO, PLEASE PROVIDE DETAILS, INCLUDING THE RESULT OF ANY SUCH PROCEEDING.

Yes. In 2020, our tax returns for 2019 were audited by the IRS. The audit resulted in a refund to us of \$2,319.00 due to a tax overpayment for 2019.

35. IF YOU ARE AN ATTORNEY, ACCOUNTANT, OR OTHER PROFESSIONAL, PLEASE LIST ALL CLIENTS AND CUSTOMERS WHOM YOU BILLED MORE THAN \$200 WORTH OF SERVICES DURING THE PAST FIVE YEARS. ALSO, LIST ALL JURISDICTIONS IN WHICH YOU ARE LICENSED TO PRACTICE.

Not applicable.

36. DO YOU INTEND TO PLACE YOUR FINANCIAL HOLDINGS AND THOSE OF YOUR SPOUSE AND DEPENDENT MEMBERS OF YOUR IMMEDIATE HOUSEHOLD IN A BLIND TRUST? IF YES, PLEASE FURNISH DETAILS. IF NO, DESCRIBE OTHER ARRANGEMENTS FOR AVOIDING ANY POTENTIAL CONFLICTS OF INTEREST.

No. I will follow the advice of government ethics officials to address any conflict of interest issues identified.

37. IF APPLICABLE, LIST THE LAST THREE YEARS OF ANNUAL FINANCIAL DISCLOSURE REPORTS YOU HAVE BEEN REQUIRED TO FILE WITH YOUR AGENCY, DEPARTMENT, OR BRANCH OF GOVERNMENT. IF ASKED, WILL YOU PROVIDE A COPY OF THESE REPORTS?

Not applicable.

PART E - ETHICAL MATTERS

38. HAVE YOU EVER BEEN THE SUBJECT OF A DISCIPLINARY PROCEEDING OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT BY, OR BEEN THE SUBJECT OF A COMPLAINT TO, ANY COURT, ADMINISTRATIVE AGENCY, PROFESSIONAL ASSOCIATION, DISCIPLINARY COMMITTEE, OR OTHER PROFESSIONAL GROUP? IF SO, PLEASE PROVIDE DETAILS.

No.

39. HAVE YOU EVER BEEN INVESTIGATED, HELD, ARRESTED, OR CHARGED BY ANY FEDERAL, STATE, OR OTHER LAW ENFORCEMENT AUTHORITY FOR VIOLATION OF ANY FEDERAL, STATE, COUNTY, OR MUNICIPAL LAW, REGULATION, OR ORDINANCE, OTHER THAN A MINOR TRAFFIC OFFENSE, OR NAMED AS A DEFENDANT OR OTHERWISE IN ANY INDICTMENT OR INFORMATION RELATING TO SUCH VIOLATION? IF SO, PLEASE PROVIDE DETAILS.

No.

40. HAVE YOU EVER BEEN CONVICTED OF OR ENTERED A PLEA OF GUILTY OR NOLO CONTENDERE TO ANY CRIMINAL VIOLATION OTHER THAN A MINOR TRAFFIC OFFENSE? IF SO, PLEASE PROVIDE DETAILS.

No.

41. ARE YOU PRESENTLY OR HAVE YOU EVER BEEN A PARTY IN INTEREST IN ANY ADMINISTRATIVE AGENCY PROCEEDING OR CIVIL LITIGATION? IF SO, PLEASE PROVIDE DETAILS.

No.

42. HAVE YOU BEEN INTERVIEWED OR ASKED TO SUPPLY ANY INFORMATION AS A WITNESS OR OTHERWISE IN CONNECTION WITH ANY CONGRESSIONAL INVESTIGATION, FEDERAL, OR STATE AGENCY PROCEEDING, GRAND JURY INVESTIGATION, OR CRIMINAL OR CIVIL LITIGATION IN THE PAST TEN YEARS? IF SO, PLEASE PROVIDE DETAILS.

In 2017, I voluntarily participated in an interview with SSCI staff in a closed-door setting in connection with the Committee's inquiry into Russian interference in the 2016 presidential election.

In 2017, I was asked to provide information in connection with an investigation being led by the United States Attorney's Office for the District of Connecticut into unauthorized disclosures of information to reporters about a sensitive collection activity. I have no reason to believe I was a target or subject of the investigation and was not contacted again about the matter.

In 2012, I was interviewed in connection with an investigation conducted by the United States Attorney's Office for the District of Columbia into unauthorized disclosures of information provided to reporters about a foiled bomb plot in 2012 tied to al-Qa'ida in the Arabian Peninsula. A former FBI agent pled guilty in 2013 to charges of unlawfully disclosing national defense information related to this plot.

43. HAS ANY BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, DIRECTOR, OR PARTNER BEEN A PARTY TO ANY ADMINISTRATIVE AGENCY PROCEEDING OR CRIMINAL OR CIVIL LITIGATION RELEVANT TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED? IF SO, PLEASE PROVIDE DETAILS. (WITH RESPECT TO A BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, YOU NEED ONLY CONSIDER PROCEEDINGS AND LITIGATION THAT OCCURRED WHILE YOU WERE AN OFFICER OF THAT BUSINESS.)

No.

44. HAVE YOU EVER BEEN THE SUBJECT OF ANY INSPECTOR GENERAL INVESTIGATION? IF SO, PLEASE PROVIDE DETAILS.

No.

PART F - SECURITY INFORMATION

45. HAVE YOU EVER BEEN DENIED ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION FOR ANY REASON? IF YES, PLEASE EXPLAIN IN DETAIL.

No.

46. HAVE YOU BEEN REQUIRED TO TAKE A POLYGRAPH EXAMINATION FOR ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION? IF YES, PLEASE EXPLAIN.

Yes, I have taken polygraphs in connection with my employment with the Defense Intelligence Agency and the Central Intelligence Agency.

47. HAVE YOU EVER REFUSED TO SUBMIT TO A POLYGRAPH EXAMINATION? IF YES, PLEASE EXPLAIN.

No.

PART G - ADDITIONAL INFORMATION

48. DESCRIBE IN YOUR OWN WORDS THE CONCEPT OF CONGRESSIONAL OVERSIGHT OF U.S. INTELLIGENCE ACTIVITIES. IN PARTICULAR, CHARACTERIZE WHAT YOU BELIEVE TO BE THE OBLIGATIONS OF THE ASSISTANT SECRETARY OF STATE FOR INTELLIGENCE AND RESEARCH AND THE INTELLIGENCE COMMITTEES OF THE CONGRESS, RESPECTIVELY, IN THE OVERSIGHT PROCESS.

As elected representatives of the American people, Congressional oversight is a vital component of oversight conducted by all three branches of government to ensure that US intelligence activities are effective and conducted in accordance with US laws and the Constitution. Importantly, Congressional oversight of US intelligence activities is necessary to hold the Executive Branch accountable. The Assistant Secretary of State for Intelligence and Research is obliged to assist the intelligence committees in carrying out their oversight duties by, among other things, keeping the committees fully and currently informed of US intelligence activities.

49. EXPLAIN YOUR UNDERSTANDING OF THE RESPONSIBILITIES OF THE ASSISTANT SECRETARY OF STATE FOR INTELLIGENCE AND RESEARCH.

The Assistant Secretary of State for Intelligence and Research serves as the principal intelligence advisor to the Secretary of State; coordinates and supervises all intelligence-related activities in the Department; oversees and directs the Department's all-source and independent research and analysis activities; serves as the primary Department representative to the Director of National Intelligence and other elements of the Intelligence Community; and provides oversight to ensure that US intelligence activities support US foreign policy priorities and are consistent with U.S. Chief of Mission authority, laws, and executive orders.

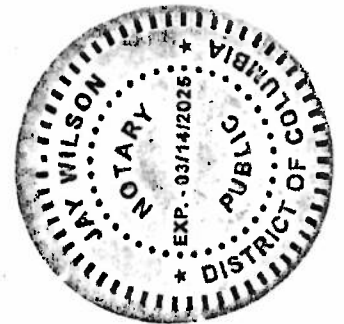
AFFIRMATION

I, **BRETT MICHAEL HOLMGREN**, DO SWEAR THAT THE ANSWERS I HAVE PROVIDED TO THIS QUESTIONNAIRE ARE ACCURATE AND COMPLETE.

April 20, 2021
(Date)

SIGNATURE OF BRETT MICHAEL HOLMGREN

SIGNATURE OF NOTARY



TO THE CHAIRMAN, SELECT COMMITTEE ON INTELLIGENCE:

In connection with my nomination to be the Assistant Secretary of State for Intelligence and Research, I hereby express my willingness to respond to requests to appear and testify before any duly constituted committee of the Senate.

SIGNATURE OF BRETT MICHAEL HOLMGREN

Date: April 20, 2021