

UNITED STATES SENATE
COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. **Name**: State full name (include any former names used).

Sunil Ramesh Harjani
Sunil R. Harjani

2. **Position**: State the position for which you have been nominated.

United States District Judge for the Northern District of Illinois

3. **Address**: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

United States District Court for the Northern District of Illinois
Everett McKinley Dirksen United States Courthouse
219 South Dearborn Street, Suite 1870
Chicago, Illinois 60604

4. **Birthplace**: State year and place of birth.

1974; Joliet, Illinois

5. **Education**: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

1997 – 2000, Northwestern University Pritzker School of Law; J.D. (*cum laude*), 2000

1993 – 1997, Northwestern University; B.A. (with honors), 1997

6. **Employment Record**: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name and address of the employer and job title or description.

2019 – present

United States District Court for the Northern District of Illinois
Everett McKinley Dirksen United States Courthouse

219 South Dearborn Street, Suite 1870
Chicago, Illinois 60604
United States Magistrate Judge

2008 – present
Northwestern University Pritzker School of Law
357 East Chicago Avenue
Chicago, Illinois 60611
Adjunct Professor

2008 – 2019
United States Attorney's Office for the Northern District of Illinois
219 South Dearborn Street, Suite 500
Chicago, Illinois 60604
Deputy Chief, Securities and Commodities Fraud Section (2018 – 2019)
Assistant United States Attorney, Securities and Commodities Fraud Section (2014 – 2018)
Assistant United States Attorney, Financial Fraud Section (2009 – 2014)
Assistant United States Attorney, General Crimes Section (2008 – 2009)

2007
University of Illinois Chicago Law School
300 South State Street
Chicago, Illinois 60604
Adjunct Professor

2004 – 2008
United States Securities and Exchange Commission
175 West Jackson Street, Suite 1450
Chicago, Illinois 60604
Senior Counsel, Enforcement Division (2005 – 2008)
Staff Attorney, Enforcement Division (2004 – 2005)

2002 – 2004; 2000 – 2001; Summer 1999
Jenner & Block, LLP
353 North Clark Street
Chicago, Illinois 60656
Associate (2002 – 2004; 2000 – 2001)
Summer Associate (Summer 1999)

2001 – 2002
United States District Court for the Northern District of Illinois
Everett McKinley Dirksen United States Courthouse
219 South Dearborn Street
Chicago, Illinois 60604
Law Clerk to the Honorable Suzanne B. Conlon

Summer 2000
United States District Court for the Northern District of Illinois
Everett McKinley Dirksen United States Courthouse
219 South Dearborn Street
Chicago, Illinois 60604
Judicial Extern to the Honorable Sidney I. Schenkier

Summer 1998
Northwestern University Pritzker School of Law
357 East Chicago Avenue
Chicago, Illinois 60611
Research Assistant to Anthony D'Amato, Professor of Law

Summer 1998
Law Firm of Raymond J. Smith (now dissolved)
Chicago, Illinois 60604
Summer Law Clerk

Summer 1997
The Princeton Review
Connaught Road W, 1-6
Yardley Commercial Building
Sheung Wan, Hong Kong
SAT Preparation Tutor

Other affiliations (uncompensated):

2023 – present
National Asian Pacific American Bar Association
1612 K Street, Northwest, Suite 300
Washington, DC 20006
Judicial Council Board of Directors

2022 – present
Northwestern University Pritzker School of Law
357 East Chicago Avenue
Chicago, Illinois 60611
Law Board Member (2022 – present)
Northwestern Council of Judges Member (2022 – present)

2020 – 2022
Asian American Judges Association of Illinois
211 South Clark Street, Suite 622
Chicago, Illinois 60690
Member, Board of Directors

2018 – present
Federal Bar Association (Chicago Chapter)
P.O. Box 1200
Chicago, Illinois 60690
Vice President (2023 – present)
Secretary (2022 – 2023)
Member, Board of Directors (2018 – present)

2015 – 2021
Leadership Greater Chicago, Fellows Association
205 West Wacker Drive, Suite 1400
Chicago, Illinois 60606
Vice President of the Board (2016 – 2018)
Member, Board of Directors (2015 – 2021)

2015 – 2020
Northwestern University Pritzker School of Law – Alumni Club of Chicago
357 East Chicago Avenue
Chicago, Illinois 60611
Board Co-Chair (2015 – 2018)
Member, Board of Directors (2015 – 2020)

2015 – 2019
Ravinia Festival
200 Ravinia Park Road
Highland Park, Illinois 60035
Member, Associate Board of Directors

7. **Military Service and Draft Status:** Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I did not serve in the military. I timely registered for the selective service.

8. **Honors and Awards:** List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

Northwestern University Pritzker School of Law Daniel B. Rodriguez Alumni Club
Excellence Award (2019)

Leadership Greater Chicago, Leadership Greater Chicago Signature Fellows Program
(2014 – 2015)

United States Postal Inspection Service, Commendation (2015)

Federal Bureau of Investigation, Commendations (2 in 2014)

Cook County Crime Stoppers, Excellence in Law Enforcement Award (2011; 2014)

United States Securities and Exchange Commission
Special Act Awards (2004 – 2008)
Director's Award (2007)

Northwestern University Pritzker School of Law
Cum laude (2000)
Dean's List (1998 – 2000)
Northwestern Journal of International Law and Business, Note & Comment
Editor (1999 – 2000)
Philip C. Jessup International Law Moot Court, Regional First Place Oralist and
First Place Brief Awards (1999)

Northwestern University
Graduated with Honors (1997)
Model United Nations Team, Best Delegate Awards from Harvard University
(1994; 1995)

9. **Bar Associations:** List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

American Bar Association (2000 – present)
Section of Litigation
Associate Editor, Litigation Journal (2019 – present)
Federal Litigation Task Force (2018 – 2019)
Co-Chair, Criminal Litigation Committee (2016 – 2018)
Subcommittee Chair, Criminal Litigation Committee (2015 – 2016)
Young Lawyers Division (2004 – 2008)
Vice-Chair, Business Law Section's Young Lawyers Forum (2007 – 2008)
Member, Leadership Advisory Board (2005 – 2007)
Vice-Director, Member Service Project (2006 – 2007)
Chair, Litigation Committee (2005 – 2006)
Vice-Chair, Litigation Committee (2004 – 2005)

Asian American Bar Association of Greater Chicago (2013 – present)

Asian American Judges Association of Illinois, Board Member (2020 – 2022)

Chicago Bar Association (2000 – 2004; 2015 – 2019)

Chicago Bar Foundation, Investment Committee Member (2011 – 2014)

Federal Bar Association (Chicago Chapter) (2014 – present)
Vice-President (2023 – present)
Secretary (2022 – 2023)
Board Member (2018 – present)

Federal Magistrate Judges Association (2019 – present)
Technology Committee, Chair (2023 – present)
Diversity Committee, Co-Chair (2022 – 2023)

Judicial Conference of the United States, Space and Facilities Committee (2023 – present)

Lawyers Club of Chicago (2004 – 2006; 2020 – present)

Magistrate Judge Advisory Group, Representative Seventh Circuit, appointed by the Director of the Administrative Office of the United States Courts (2022 – present)

National Asian Pacific American Bar Association, Judicial Council (2023 – present)

Seventh Circuit Judicial Conference, Magistrate Judge Representative (2020 – present)

South Asian Bar Association of Chicago, Advisory Council Member (2015 – present)

United States District Court for the Northern District of Illinois
Diversity Committee (2022 – present)
Magistrate Judge History Project Subcommittee (2022 – present)
Information Technology Committee (2021 – present)
Settlement Assistance Counsel Committee (2020 – present)
Ad hoc committee to revise electronic search protocols (2020 – 2021)

10. **Bar and Court Admission:**

- a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

District of Columbia, 2001
Illinois, 2000

Between September 30, 2023 and October 30, 2023, my membership in the District of Columbia bar lapsed for non-payment of the registration fee. Once I discovered the error, I paid the registration fee and was reinstated. There have been no other lapses in membership.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse

in membership. Give the same information for administrative bodies that require special admission to practice.

United States Court of Appeals for the Seventh Circuit, 2000
United States District Court for the Northern District of Illinois, 2000

There have been no lapses in membership.

11. **Memberships:**

- a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

American Youth Soccer Organization, Soccer Coach (2014 – 2015)

Attea Middle School, Volunteer Debate Coach (2020 – present)

Center for Conflict Resolution, Volunteer Mediator (2017 – 2019)

Glenview District 34 School Caucus (2012; 2014)

Japan Karate Association Chicago Karate Club (2004 – present)

Just The Beginning – A Pipeline Organization
Jumpstart Panels for High School Students (2022; 2023)
Summer Diverse Extern Project (2023)

Kohl's Children's Museum, Development Board Member (2015 – 2017)

Leadership Greater Chicago Fellows Association
Vice-President (2016 – 2018)
Board Member (2015 – 2021)

Northwestern University Pritzker School of Law – Alumni Club of Chicago
Board Co-Chair (2015 – 2018)
Member, Board of Directors (2018 – 2020)
Reunion Committee (2015, 2020)

Northwestern University Pritzker School of Law, Council of Judges Member
(2022 – present)

Northwestern University Pritzker School of Law, Law Board Member (2022 –

present)

Northwestern University Pritzker School of Law, Selection Committee for the Bartlit Trial Director Position (Spring 2022)

Ravinia Festival, Associate Board of Directors (2015 – 2019)

Traditional Karate Club of Wilmette, Volunteer Instructor (2010 – present)

- b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

To the best of my knowledge, none of the organizations listed above currently discriminates or formerly discriminated on the basis of race, sex, religion, or national origin, either through formal membership requirements or the practical implementation of membership policies.

12. Published Writings and Public Statements:

- a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

Timing is Everything: When to Ask for a Settlement Conference, American Bar Association, Litigation Journal, 49 No. 2 Litigation 51 (Winter 2023). Copy supplied.

The Five Don'ts of Settlement Conferences, American Bar Association, Litigation Journal, 48 No. 2 Litigation 6 (Winter 2022). Copy supplied.

Federal Magistrate Judges Attend the Just the Beginning Foundation Conference, FMJA Bulletin (December 2022). Copy supplied.

The Price of Admission, The Federal Lawyer, 69-FEB Fed. Law. 18 (Jan./Feb. 2022). Copy supplied.

Writing Effective Settlement Conference Letters, American Bar Association, Litigation Journal, 47 No. 3 Litigation 5 (Spring 2021). Copy supplied.

Reflections on 21 Years Behind the Bench, An Interview with Magistrate Judge Sidney I. Schenkier, American Bar Association, *Litigation Journal*, 46 No. 3 *Litigation* 5 (Spring 2020). Copy supplied.

Top Ten Mistakes in Internal Investigation Reports, American Bar Association, *Litigation Journal*, 36 No. 2 *GPSolo* 70 (Mar./Apr. 2019). Copy supplied.

Privilege and Interview Notes in Cross-Border Investigations, American Bar Association, *Litigation Journal*, 45 No. 1 *Litigation* 13 (Fall 2018). Copy supplied.

Top 10 Mistakes in Internal Investigation Reports, American Bar Association, *Litigation Journal*, 44 No. 3 *Litigation* 36 (Spring 2018). Copy supplied.

Litigation Committee Newsletter, American Bar Association, Young Lawyers Division (Winter 2006). Copy supplied.

With Alexander P. Ryan and Lindsay Hansen, *How to Transition Between Government and Private Practice*, American Bar Association, Young Lawyers Division Member Service Project 101 Practice Series (Sept. 2006). Copy supplied.

ABA/YLD Litigation Committee Newsletter, American Bar Association, Young Lawyers Division (Winter 2004). Copy supplied.

Dispositive or Non-Dispositive? The Applicable Standard of Review of a Magistrate Judge's Decision, Chicago Bar Association, *CBA Record*, 17-SEP *CBA Rec.* 40 (Sept. 2003). Copy supplied.

Local Rule 56.1: Common Pitfalls in Preparing a Summary Judgment Statement of Facts, Chicago Bar Association, *CBA Record*, 16-OCT *CBA Rec.* 42 (Oct. 2002). Copy supplied.

The Convention on Contracts for the International Sale of Goods in United States Courts, 23 *HOUS. J. INT'L L.* 49 (Fall 2000). Copy supplied.

Litigating Claims over Foreign Government-Owned Corporations Under the Commercial Activities Exception to the Foreign Sovereign Immunities Act, 20 *NW. J. INT'L L. & BUS.* 181 (Fall 1999). Copy supplied.

- b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

None.

- c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

None.

- d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

Based upon my recollection, a thorough review of my files, and searches of publicly available databases, I have identified the following responsive materials, but it is possible that there are a few that I have been unable to recall or identify.

January 2019 – present: Speaker, Naturalization Ceremonies, United States District Court for the Northern District of Illinois, Chicago, Illinois. Between one and three ceremonies each month. I administer the oath to new citizens and deliver remarks. Representative remarks and representative press coverage supplied.

November 30, 2023: Speaker, “History of the Seat for Judge Keri Holleb Hotaling,” Investiture of Magistrate Judge Holleb Hotaling, United States District Court for the Northern District of Illinois, Chicago, Illinois. Notes supplied.

August 3, 2023: Speaker, “Jumpstart Panel: Federal Judges Panel,” Illinois Supreme Court Commission on Professionalism and Just The Beginning – A Pipeline Organization, Virtual Event. I discussed with high school students my path to the bench, advice for students considering a career in law, and the benefits of serving as a judicial extern or law clerk. I have no notes, transcript, or recording. The address for the Illinois Supreme Court Commission on Professionalism is 180 North Stetson Avenue, Suite 1950, Chicago, Illinois 60601. The address for Just The Beginning – A Pipeline Organization is 70 West Madison Street, Suite 2900, Chicago, Illinois 60604.

May 3, 2023: Speaker, Panelist, and Reenactment Participant, “Asian American Heritage Month Celebration at the Northern District of Illinois: Ozawa and Thind

Reenactments,” United States District Court for the Northern District of Illinois’ Diversity, Equity, and Inclusion Committee, Federal Bar Association (Chicago Chapter), Asian American Bar Association of Chicago, Asian American Judges Association of Illinois, Chinese American Bar Association, Filipino American Lawyers Associations of Chicago, Japanese American Bar Association, Korean American Bar Association of Chicago, and the South Asian Bar Association of Chicago, Chicago, Illinois. Remarks supplied.

February 21, 2023: Speaker, “Effective Settlement Advocacy and the United States District Court for the Northern District of Illinois Pro Se Litigants,” United States District Court for the Northern District of Illinois, Chicago, Illinois. The presentation was on the settlement conference process in the Northern District of Illinois, Chicago, Illinois. I have no notes, transcript, or recordings. The address for the United States District Court for the Northern District of Illinois is 219 South Dearborn Street, Chicago, Illinois 60604.

November 8, 2022: Speaker, “Naturalization of Karen Villagomez, Former Law Clerk,” United States District Court for the Northern District of Illinois, Chicago, Illinois. Notes supplied.

October 20, 2022: Interviewee, “Robes in School,” Just The Beginning – A Pipeline Organization National Conference, Chicago, Illinois. I have no notes, transcript, or recording. I discussed with law students my path to the bench and my experiences in law school. The address for Just The Beginning – A Pipeline Organization is 70 West Madison Street, Suite 2900, Chicago, Illinois 60604.

August 2, 2022: Speaker, “Jumpstart Panel: Federal Judges Panel,” Illinois Supreme Court Commission on Professionalism and Just The Beginning – A Pipeline Organization, Virtual Event. I discussed with high school students my path to the bench, advice for students considering a career in law, and the benefits of serving as a judicial extern or law clerk. I have no notes, transcript, or recording. The address for the Illinois Supreme Court Commission on Professionalism is 180 North Stetson Avenue, Suite 1950, Chicago, Illinois 60601. The address for Just The Beginning – A Pipeline Organization is 70 West Madison Street, Suite 2900, Chicago, Illinois 60604.

July 20, 2022: Speaker, “Judges’ Panel: Northern District Practice for New Lawyers and Lawyers-To-Be,” Federal Bar Association (Chicago Chapter), Chicago, Illinois. I provided advice to young lawyers about how to be successful in litigating cases in the Northern District of Illinois. I have no notes, transcript, or recording. The address for the Federal Bar Association (Chicago Chapter) is P.O. Box 1200, Chicago, Illinois 60690.

July 16, 2022: Panelist, “May it Please the Court: Views from the Bench,” South Asian Bar Association of North America Conference, San Francisco, California. I discussed my path to the bench and my experiences as a magistrate judge. I have

no notes, transcript, or recording. The address for the South Asian Bar Association of North America is 4930 Balboa Avenue, No. 260468, Los Angeles, California 91426.

June 8, 2022: Speaker, Welcome Remarks, South Asian American Bar Association Judges' Reception, Chicago, Illinois. Notes supplied.

April 21, 2022: Panelist, "Effective Virtual Advocacy," Federal Bar Association (Chicago Chapter), Chicago, Illinois. Notes supplied.

September 10, 2021: Speaker, "Northwestern University Pritzker School of Law, Commencement Address for LLM Class of 2021," Chicago, Illinois. Notes supplied.

January 26, 2021: Speaker, "Effective Settlement Advocacy and the United States District Court for the Northern District Illinois Pro Se Litigants," United States District Court for the Northern District of Illinois, Seventh Circuit Bar Association, and Federal Bar Association (Chicago Chapter), Virtual Event. Video available at <https://www.youtube.com/watch?v=0oPOqdlKakY>.

February 25, 2020: Panelist, Panel for New Magistrate Judges, Federal Bar Association (Chicago Chapter), Chicago, Illinois. I discussed my background and experiences that led me to become a magistrate judge. I have no notes, transcript, or recording. The address for the Federal Bar Association is P.O. Box 1200, Chicago, Illinois 60690.

January 13, 2020: Speaker, Judges' Panel, Federal Bar Association (Chicago Chapter), Employment Law Seminar. I discussed best practices in discovery and settlement conferences in federal employment litigation in the Northern District of Illinois. I have no notes, transcript, or recording. The address for the Federal Bar Association is P.O. Box 1200, Chicago, Illinois 60690.

November 25, 2019: Guest Speaker, "Lunch with a Judge," Chicago Bar Association, Young Lawyers Section. I spoke with young attorneys regarding my position and career. I have no notes, transcript, or recording. The address for the Chicago Bar Association is 321 South Plymouth Court, Chicago, Illinois 60604.

October 29, 2019: Panelist, "Judicial Pathways to the Bench," South Asian Bar Association, Chicago, Illinois. Notes supplied.

May 2, 2019: Moderator, "You're Under Investigation: Expectations and Preparation Tips for a Government Investigation," American Bar Association Section of Litigation Annual Conference, Chicago, Illinois. Notes supplied.

April 12, 2019: Remarks, Reception for receiving the Daniel B. Rodriguez Alumni Club Excellent Award, Northwestern University Pritzker School of Law,

Chicago, Illinois. I talked about the events and programming over the last year offered by the Northwestern University Pritzker School of Law Chicago Alumni Club and thanked the law school for the award. I have no notes, transcript, or recording. The address for Northwestern University Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611.

April 11, 2019: Keynote Speaker, "Annual Judicial Reception," South Asian Bar Association, Chicago, Illinois. Notes supplied.

March 7, 2019: Remarks, Northwestern University Pritzker School of Law Reception for the appointment of me and then-Magistrate Judge Jeffrey Cummings to the Northern District of Illinois, Northwestern University Pritzker School of Law, Chicago, Illinois. I discussed the honor of being appointed a magistrate judge, and I thanked the judges of the Northern District of Illinois for appointing me to the position. I have no notes, transcript, or recording. The address for Northwestern University Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611.

February 28, 2019: Inductee, "Magistrate Judge Investiture Ceremony," United States District Court for the Northern District of Illinois, Chicago, Illinois. Notes and press coverage supplied.

February 20, 2019: Interviewer, Northwestern University Pritzker School of Law Chicago Alumni Club, Interview of Northwestern Law Dean Kimberly Yuracko, Northbrook, Illinois. I asked Dean Yuracko questions about the joys and challenges of becoming dean of a law school at this breakfast event. I have no notes, transcript, or recording. The address for Northwestern University Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60611.

April 27, 2016: Moderator with United States District Judges Gary Feinerman and Sharon Johnson, Federal Sentencing Panel, Leadership Greater Chicago, Chicago, Illinois. Notes supplied.

July 29, 2015: Graduation Class Representative Speaker, Leadership Greater Chicago, Chicago, Illinois. Notes supplied.

May 13, 2014: Speaker, "Emerging Trends in White Collar Enforcement," South Asian American Bar Association of North America, Annual Conference, Chicago, Illinois. The panel consisted of government and defense lawyers talking about different priorities in federal prosecutions. I have no notes, transcript, or recording. The address for the South Asian Bar Association of North America is 4930 Balboa Avenue, No. 260468, Los Angeles, California 91426.

May 18, 2006: Panelist, "Effective Internal Investigations: Satisfying the Government, Regulators and In-House Counsel," American Bar Association, Young Lawyers Division Spring Conference, Portland, Oregon. PowerPoint

supplied.

February 2006: Debater and assigned the pro position, "Debate Notes for the Pro Position," American Bar Association, Young Lawyers Division Conference, Chicago, Illinois. Notes supplied.

October 7, 2005: Introductory Remarks, "Appellate Advocacy from a Judge's Perspective," American Bar Association, Young Lawyers Division Conference, Louisville, Kentucky. Notes supplied.

February 10, 2005: Moderator, "Ten Things I Wish I Knew as a Young Litigator," American Bar Association, Young Lawyers Division Midyear Meeting. Notes supplied.

2003 (specific date unknown): Panelist, "Effective Presentation of Asylum Cases before the Immigration Court," Chicago Bar Association, Chicago, Illinois. This was a discussion about best practices in presenting asylum cases before the immigration court. I have no notes, transcript, or recording. The address for the Chicago Bar Association is 321 South Plymouth Court, Chicago, Illinois 60604.

2001 (specific date unknown): Panelist, Benefits of Judicial Clerkships, Northwestern University Pritzker Law School, Chicago, Illinois. This was a discussion for law students about the federal judicial clerkship experience. I have no notes, transcript, or recording. The address for Northwestern University Pritzker School of Law is 375 East Chicago Avenue, Chicago, Illinois 60604.

- e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

Felix Sarver, *Former Lincoln-Way Superintendent's Criminal Trial*, THE HERALD NEWS (Oct. 16, 2018). Copy supplied.

Patricia Manson, *Harjani, Cummings Set to Join U.S. Trial Bench*, CHI. DAILY L. BULL. (Oct. 2, 2018). Copy supplied.

Diana Novak Jones, *Ill. Prosecutor, Miner Barnhill Atty Chosen as Magistrates*, LAW 360 (Sept. 28, 2018). Copy supplied.

Chuck Goudie and Ross Weidner, *Feds: 26-Year-Old Con Man Showed Off Money, Lavish Lifestyle*, ABC-7 CHICAGO (WLS-TV television broadcast, Mar. 16, 2017). Copy supplied and video available at <https://abc7chicago.com/randall-rye-randy-theft-investor/3091781> (entitled "Millennial Con Man Lives Life Of Luxury with Investor Money").

Northwestern University Pritzker School of Law, #NLawProud Stories: Sunil and Priya Harjani, YOUTUBE (Oct. 11, 2016). Video available at <https://www.youtube.com/watch?v=UueL6EgVOs8>.

Former Lyons Cop Gets 5 Years for Extortion, CBS CHANNEL 2 NEWS CHICAGO (WBBM Newsradio, Aug. 5, 2014). Copy supplied and video available at <https://www.cbsnews.com/amp/chicago/news/former-lyons-cop-gets-5-years-for-extortion>.

Julie Fenton and Tamar Karsh-Fogel, *Hon. Sidney I. Schenkier United States Magistrate Judge, Northern District of Illinois*, THE FEDERAL LAWYER (May/June 2014). Copy supplied.

Megan Stride, *2nd Real Estate Exec Gets 7 1/2 Years For \$18M Fraud*, LAW360 (May 2, 2013). Copy supplied.

Lynette Kalsnes, *Alleged Ponzi Scheme Had Unusual Hook for Investor – Religion*, WBEZ CHICAGO (Nov. 17, 2010). Copy supplied and audio available at <https://www.wbez.org/stories/alleged-ponzi-scheme-had-unusual-hook-for-investors-religion/79db42a6-305d-4159-ad8c-3b31196c8266>.

Radio Interview for WBEZ Chicago regarding the Castaldi Ponzi Scheme, *United States v. Castaldi*, perpetrated on the Italian-American community in Chicago, which I prosecuted as an Assistant United States Attorney (2009). No recording or transcript available.

Andrea Castillo, *Law Teams Earn Regional, National Recognition*, THE DAILY NORTHWESTERN (Mar. 2, 2006). Copy supplied.

13. **Judicial Office:** State (chronologically) any judicial offices you have held, including positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

Since January 10, 2019, I have served as a United States Magistrate Judge for the Northern District of Illinois. I was appointed after being screened by a merit selection committee and selected by the Northern District of Illinois district judges. As a United States Magistrate Judge, I handle both federal civil and criminal cases. In civil cases, I preside over pretrial matters in cases referred to me by the district judge in both federal question and diversity matters. This includes rulings on discovery motions, active discovery management, and conducting settlement conferences. In consent cases, I preside over the case from start to finish, including ruling on dispositive motions, such as motions for summary judgment, and conducting bench and jury trials. The majority of federal civil cases on my docket are employment litigation (such as discrimination and retaliation claims), commercial litigation (such as breach of contract and patent infringement matters), class actions, civil rights cases (such as wrongful convictions and prisoner litigation claims), and social security disability appeals. In federal criminal

cases, I conduct initial appearances, detention hearings, preliminary (probable cause) hearings, and arraignments in felony matters. I have also presided over our district court's petty offense (federal ticket) docket for two years. When on criminal duty, I review applications for arrest and search warrants from the government and make findings of probable cause under the Fourth Amendment. Finally, in misdemeanor cases, I conduct all criminal proceedings, including change of plea hearings and sentencing. My docket consists of approximately 150 federal civil cases and 50 federal criminal cases annually.

- a. Approximately how many cases have you presided over that have gone to verdict or judgment?

I have presided over one civil jury trial and one civil bench trial.

- i. Of these cases, approximately what percent were:

jury trials:	50%
bench trials:	50%

- ii. Of these cases, approximately what percent were:

civil proceedings:	100%
criminal proceedings:	0%

- b. Provide citations for all opinions you have written, including concurrences and dissents.

See attached list of citations.

- c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature of the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (4) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).

1. *McKinney v. Chicago Transit Authority*, No. 20-cv-6093, 2023 WL 5153753 (N.D. Ill. Aug. 10, 2023), 2023 WL 5152667 (N.D. Ill. Aug. 10, 2023), 2023 WL 349639 (N.D. Ill. Jan. 20, 2023), 2022 WL 2257246 (N.D. Ill. June 23, 2022)

Plaintiff sued his employer, Chicago Transit Authority, for race discrimination and retaliation. He alleged that supervisors and co-workers created a hostile work environment because he was Black and had reported discrimination to his employer. The parties consented to my jurisdiction. During discovery, I issued multiple orders resolving discovery disputes. I considered and denied defendant's motion for summary judgment, issuing a written memorandum opinion and order.

The parties elected to proceed to a jury trial. Prior to trial, I ruled on more than 20 motions in limine. I presided over a one-week jury trial that resulted in a verdict for plaintiff on his retaliation claim for \$99,000 and a verdict for defendant on the race discrimination claim. I considered and denied defendant's motion to vacate the judgment and granted plaintiff's motion for attorneys' fees. The case ultimately settled for a confidential amount.

Counsel for plaintiff:

Paul Strauss
Law Office of Paul Strauss
5525 South Woodlawn Avenue
Chicago, IL 60637
(773) 551-5350

Counsel for defendant:

Peter McNamara
International Union of Operating Engineers Local 399
(formerly at the Chicago Transit Authority)
2260 South Grove Street
Chicago, IL 60616
(312) 372-9870

2. *Cabejsek v. Kalcikova*, No. 20-cv-4269 (N.D. Ill.)

This was an international custody dispute between parents over a minor child pursuant to the Hague Convention. I was referred this matter by the district judge for settlement discussions. I conducted multi-day settlement conferences with the attorneys and the parents over the terms of custody and visitation of the minor child. I also appointed settlement counsel for defendant (mother) when she could no longer afford an attorney. After many months of settlement discussions, the case settled with a detailed custody agreement of the minor child.

Counsel for plaintiff:

Robert K. Downs
Downs Law Office, P.C.
924 North Euclid Avenue
Oak Park, IL 60302
(312) 781-1963

Counsel for defendant:

Rachel Simon
Miller Shakman Levin & Feldman, LLP
30 West Monroe Street, Suite 1900
Chicago, IL 60603
(312) 759-7234

3. *Escareno v. Walmart, Inc.*, No. 19-cv-7438 (N.D. Ill.)

Plaintiff alleged a personal injury as a result of an accident at a Walmart store. The district judge referred this matter to me for discovery supervision and settlement discussions. I considered and ruled on discovery matters and then conducted a lengthy settlement conference with the parties. The case settled.

Counsel for plaintiff:

Federico Rodriguez
Rodriguez Legal Group, LLC
5814 West Cermak Road, Suite 3
Cicero, IL 60804
(312) 243-6200

Counsel for defendant:

Lucas Sun (formerly O'Hagan Meyer)
Walmart
702 Southwest 8th Street
Bentonville, AR 72716
(479) 273-4000

4. *Valenzuela v. O'Hare Towing Service*, No. 19-cv-6230 (N.D. Ill.)

Plaintiff, pro se, alleged that he was discriminated against at his place of employment because he was deaf. The district judge referred the case to me for discovery supervision and settlement discussions. After some discovery, I determined that plaintiff needed appointed counsel and recruited an attorney to represent plaintiff in settlement discussions. I conducted a multi-hour settlement conference with the assistance of numerous ASL interpreters, which resulted in a settlement.

Counsel for plaintiff:

S. Janaan Hashim
Amal Law Group, LLC
161 North Clark Street, Suite 1600
Chicago, IL 60601
(312) 882-4122

Counsel for defendant:

Rory K. McGinty
McGinty Law Offices, P.C.
5202 Washington Street, Suite 5
Downers Grove, IL 60515
(630) 743-9907

5. *Stovall v. Doe 1 et al.*, No. 19-cv-5196 (N.D. Ill.)

Plaintiff, as the administrator of the estate of her deceased son, sued Chicago Police Officers and the City of Chicago for failing to ensure plaintiff's safety during the Lollapalooza concert in Chicago, which resulted in his death. I determined that plaintiff needed assistance of counsel and appointed an attorney to represent her during settlement discussions. I held several preliminary settlement discussions with the parties in advance of the settlement conference and reviewed surveillance videos of the incident. I conducted a multi-hour settlement conference with the parties, which resulted in a settlement.

Counsel for plaintiff:

Sandeep Basran
Basran Law Office
2543 North Milwaukee Avenue, 2nd Floor
Chicago, IL 60647
(773) 661-6248

Counsel for defendants:

Bret Kabacinski
Clyde & Co.
30 South Wacker Drive, Suite 2600
Chicago, IL 60606
(312) 635-6941

Caroline Fronczak
Danielle Clayton
City of Chicago Department of Law
121 North LaSalle Street, Suite 600
Chicago, IL 60602
(312) 744-5126

6. *Vaughn v. Santana*, No. 18-cv-8136 (N.D. Ill.)

Plaintiff, an inmate, alleged that he suffered significant injuries at the hands of other inmates while incarcerated. Plaintiff sued his correctional institution and alleged that the institution failed to protect him. The district judge referred the matter to me to conduct a settlement conference. I conducted a multi-hour settlement conference with the parties, which resulted in a settlement.

Counsel for plaintiff:

Scott D. Stein
Sidley Austin LLP
One South Dearborn Street
Chicago, IL 60603
(312) 853-7520

Counsel for defendant:

Jonathan Wheeler
Cook County State's Attorney's Office
50 West Washington Street, Suite 500
Chicago, IL 60602
(312) 603-4389

7. *PNC Bank, Nat'l Ass'n v. Boytor et al.*, No. 18-cv-4167, 2023 WL 1344078 (N.D. Ill. Jan. 31, 2023), 2021 WL 4133540 (N.D. Ill. Sept. 10, 2021)

Plaintiff PNC Bank brought claims against defendants Boytors for default on various mortgage loans and sought judgment and foreclosure of property. The parties consented to my jurisdiction. I supervised discovery and issued a memorandum opinion denying plaintiff's motion for summary judgment. I presided over a two-day bench trial, issued findings of fact and conclusions of law, and entered judgment in favor of plaintiff. The case is currently on appeal.

Counsel for plaintiff:

Wendy Gattone
McFadden & Dillon
120 South LaSalle Street, Suite 1920
Chicago, IL 60603
(312) 201-8300

Counsel for defendants:

Joseph Gentleman
Gentleman Law
161 North Clark Street, Suite 1600
Chicago, IL 60601
(312) 741-1039

8. *Prince v. Kato et al.*, No. 18-cv-02952, 2022 WL 21306676 (N.D. Ill. Mar. 28, 2022), 2020 WL 7698373 (N.D. Ill. Dec. 28, 2020), 2020 WL 1874099 (N.D. Ill. Apr. 15, 2020), 2019 WL 10947351 (N.D. Ill. Sept. 19, 2019), 2019 WL 3554533 (N.D. Ill. July 30, 2019)

Plaintiff filed a wrongful conviction action against former Chicago police officers claiming coerced confessions, fabrication of evidence, and *Monell* claims against the City of Chicago. The district judge referred the case to me for discovery supervision and settlement discussions. I issued five memorandum opinions and numerous orders relating to discovery matters. I also conducted an all-day settlement conference and ultimately gave the parties my recommendation for settlement of the case. The parties accepted my mediator's recommendation and the case settled.

Counsel for plaintiff:

Jonathan I. Loevy
Anand Swaminathan
Loevy & Loevy
311 North Aberdeen Street, 3rd Floor
Chicago, IL 60607
(312) 243-5900

Counsel for defendants:

Steven B. Borkan
Misha Itchhaporia
Christiane E. Murray
Borkan & Scahill, Ltd.
20 South Clark Street, Suite 1700
Chicago, IL 60603
(312) 580-1030

Daniel M. Noland
Reiter Burns, LLP
311 South Wacker Drive, Suite 5200
Chicago, IL 60606
(312) 878-1291

9. *DeLeon-Reyes v. Guevara*, No. 18-cv-1028, 2023 WL 358834 (N.D. Ill. Jan. 23, 2023), 2021 WL 3418856 (N.D. Ill. Aug. 5, 2021), 2021 WL 3109662 (N.D. Ill. July 22, 2021), 2020 WL 7059444 (N.D. Ill. Dec. 2, 2020), 2020 WL 5800727 (N.D. Ill. Sept. 29, 2020), 2020 WL 3050230 (N.D. Ill. June 8, 2020), 2020 WL 1429521 (N.D. Ill. Mar. 18, 2020), 2019 WL 4278043 (N.D. Ill. Sept. 10, 2019); *Solache v. City of Chicago*, No. 18-cv-2312 (N.D. Ill.) (companion cases)

Plaintiffs spent over 20 years in prison for a double homicide but were released after the state court determined that they were subject to coerced confessions by defendant Officer Guevara. Plaintiffs alleged claims for wrongful conviction and imprisonment against police officers and prosecutors, Cook County, and the City of Chicago. The district judge referred the case to me for discovery supervision and settlement discussions. Over the course of fact discovery, I issued eight memorandum opinions and numerous orders on a wide array of complicated discovery matters, including discovery disputes relating to plaintiff's *Monell* claims against the municipalities. I completed my supervision of discovery, and the case is continuing before the district judge.

Counsel for plaintiffs:

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Steve Art
Loevy & Loevy
311 North Aberdeen Street, 3rd Floor

Chicago, IL 60607
(312) 243-5900

Janis Susler
People's Law Office
1180 North Milwaukee Avenue
Chicago, IL 606042
(773) 235-0070

Counsel for defendants:

Eileen Rosen
Rock Fusco & Connelly
333 West Wacker Drive, 19th Floor
Chicago, IL 60606
(312) 494-1000

Daniel M. Noland
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Thomas Leinenweber
Leinenweber Baroni & Daffada, LLC
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Civil Litigation Bureau
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Chicago, IL 60602
(312) 603-5971

Caroline Golden
Sotos Law Firm
141 West Jackson Boulevard, Suite 1240A
Chicago, IL 60604
(630) 735-3314

10. *Melongo v Podlasek*, No. 13-cv-4924 (N.D. Ill.)

Plaintiff brought claims over wrongful arrest, detention, and conviction against prosecutors and police officers. Plaintiff was charged in state court with computer tampering and eavesdropping and held in custody for 20 months. Those

charges were eventually dismissed by the state court judge. The district judge referred the case to me for discovery supervision and settlement discussions. I presided over the discovery process. I also conducted lengthy settlement negotiations at an all-day conference. The settlement conference was complicated given that there were multiple defendants in the case, and thus, required separate and numerous private discussions with the parties. The case settled at the end of the settlement conference.

Counsel for plaintiff:

Michael L. Shakman
Miller Shakman Levin & Feldman LLP
180 North LaSalle Street, Suite 3600
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(312) 759-7250

Julia K. Schwartz (formerly Miller Shakman Levin & Feldman LLP)
United States Attorney's Office
219 South Dearborn Street, Suite 500
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(312) 353-5326

Counsel for defendants:

Jessica Scheller
Cook County State's Attorney Office
500 West Washington Street, Suite 500
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(312) 603-6934

Donald Angelini
Angelini & Dileo, P.C.
155 North Michigan Avenue, Suite 400
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(312) 965-3310

Eric Kaplan
Christopher Wunder
Kaplan Papadakis & Gournis, P.C.
180 North LaSalle Street, Suite 2108
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(312) 726-0531

- d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.

1. *Roman v. City of Chi. et al.*, No. 20-cv-1717, 2023 WL 121765 (N.D. Ill. Jan. 6, 2023)

Counsel for plaintiff:

Andrew Stroth
Action Injury Law Group, LLC
191 North Wacker Drive, Suite 2300
Chicago, IL 60606
(312) 735-4045

Gregory Kulis
Gregory E. Kulis and Associates
134 North LaSalle Street, Suite 444
Chicago, IL 60602
(312) 580-1830

Counsel for defendants:

Whitney Hutchinson
Borkan & Scahill, Ltd.
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Chicago, IL 60603
(312) 580-1030

Helen O'Shaughnessy
Nathan & Kamionski LLP
33 West Monroe Street, Suite 1830
Chicago, IL 60603
(312) 619-1079

Megan Monaghan
Querrey & Harrow, Ltd.
120 North LaSalle Street, Suite 2600
Chicago, IL 60602
(312) 540-7664

2. *United States v. Russell*, No. 19-cr-641-3, 2021 WL 5447037 (N.D. Ill. Nov. 22, 2021)

Counsel for government:

John Mitchell (formerly of the United States Attorney's Office)
Wilkie Farr
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(312) 728-9138

Counsel for defendant:

Gary Adair
Gary W. Adair & Associates
900 West Jackson, Unit 5E
Chicago, IL 60607
(312) 738-1100

3. *PNC Bank, Nat'l Ass'n v. Boytor et al.*, No. 18-cv-4167, 2021 WL 4133540
(N.D. Ill. Sept. 10, 2021)

Counsel for plaintiff:

Wendy Gatone
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(312) 201-8300

Counsel for defendants:

Joseph Gentleman
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161 North Clark Street, Suite 1600
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(312) 741-1039

4. *Yates v. Checkers Drive-in Rests., Inc., et al.*, No. 17-cv-9219, 2020 WL
6447196 (N.D. Ill. Nov. 3, 2020)

Counsel for plaintiff:

Roberto Costales
William Henry Beaumont
Beaumont Costales, LLC
3151 West 26th Street, 2nd Floor
Chicago, IL 60623
(773) 831-8000

Counsel for defendants:

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Hunton Andrews Kurth, LLP
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Dallas, TX 75202
(214) 979-8219

Amy E. Richardson
Harris, Wiltshire & Grannis LLP
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Washington, DC 20036
(919) 504-9833

Matthew S. Dowling
Hawkins Parnell Thackston & Young LLP
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Chicago, IL 60601
(312) 667-8412

5. *Matter of the Search Warrant Application for Geofence Location Data Stored at Google Concerning an Arson Investigation*, 497 F. Supp. 3d 345 (N.D. Ill. Oct. 29, 2020)

Counsel for government:

Mary Katherine McClelland
United States Attorney's Office
219 South Dearborn Street, Suite 500
Chicago, IL 60604
(312) 353-5300

6. *Wacker Drive Exec. Suites, LLC v. Jones Lang LaSalle Americas (Illinois), LP*, 337 F.R.D. 149 (N.D. Ill. Sept. 23, 2020)

Counsel for plaintiff:

Howard Foster
Foster P.C.
150 North Wacker Drive, Suite 2150
Chicago, IL 60606
(312) 726-1600

Ryan Stephan
Stephan Zouras LLP
222 West Adams Street, Suite 2020
Chicago, IL 60606
(312) 233-1550

Counsel for defendant:

Scott Schutte
Morgan Lewis & Bockius LLP
110 North Wacker Drive, Suite 2800
Chicago, IL 60606
(312) 324-1773

7. *Alcorn v. City of Chi. et al.*, 336 F.R.D. 440 (N.D. Ill. Aug. 20, 2020)

Counsel for plaintiff:

Hon. Eileen O'Connor (formerly at O'Connor Law Group)
Circuit Judge, Daley Center

50 West Washington Street
Chicago, IL 60602
(312) 603-4872

Counsel for defendant:

Daniel Noland (for defendant City of Chicago)
Reiter Burns LLP
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Chicago, IL 60606
(312) 878-1291

8. *Matter of Search Warrant Application for the Cellular Tele. in United States v. Barrera*, 415 F. Supp. 3d 832 (N.D. Ill. Nov. 22, 2019)

Counsel for government:

Brian Kerwin
United States Attorney's Office
219 South Dearborn Street, Suite 500
Chicago, IL 60604
(312) 353-5300

9. *DeLeon-Reyes v. Guevara*, No. 18-cv-1028, 2019 WL 4278043 (N.D. Ill. Sept. 10, 2019)

Counsel for plaintiffs:

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Counsel for defendants:

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141 West Jackson Boulevard, Suite 1240A
Chicago, IL 60604
(630) 735-3314

10. *Does 1-5 v. City of Chi.*, No. 18-cv-3054, 2019 WL 2994532 (N.D. Ill. July 9, 2019)

Counsel for plaintiffs:

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Cogan & Power
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Chicago, IL 60601
(312) 477-2500

Amy Cramer
Cramer Law Office
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Chicago, IL 60601
(312) 924-0219

Hannah Garst
Law Office of Hannah Garst, P.C.
12 South Wilke Road, Suite 301
Arlington Heights, IL 60005
(773) 248-6504

Counsel for defendant:

Nadine C. Abrahams
Julia Argentieri
Jackson Lewis P.C.
150 North Michigan Avenue, Suite 2500
Chicago, IL 60601
(312) 787-4949

- e. Provide a list of all cases in which certiorari was requested or granted.

Based on a review of my records and legal databases, certiorari has not been requested or granted in any of my cases.

- f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.

USA v. Rush, No. 19-cr-175, Doc. 25 (N.D. Ill. Mar. 26, 2019). The government moved for detention pursuant to 18 U.S.C. § 3142 on the basis that the defendant was a risk of nonappearance and a danger to the community. The Pretrial Services Report had also recommended detention. After conducting a detention hearing, where I heard the arguments of the parties and considered the information in the Pretrial Services Report, I ordered the defendant detained. I found that defendant's prior, significant criminal history coupled with her documented inability to comply with prior court release conditions demonstrated a significant risk of nonappearance. Defendant sought review of my decision before the district judge. The district judge disagreed with my decision and ordered the defendant released on conditions. Orders supplied.

- g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.

Decisions that I have issued as a magistrate judge are available on Westlaw and Lexis and/or the Northern District of Illinois' public CM/ECF website. To date, I have issued 206 memorandum opinions. A small percent of my opinions have been reported in the Federal Supplement or in the Federal Rules Decisions series.

- h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the opinions listed were not officially reported, provide copies of the opinions.

Matter of Tower Dump Data for Sex Trafficking Investigation, No. 23 M 87, 2023 WL 1779775 (N.D. Ill. Feb. 6, 2023).

Matter of Use of a Cell-Site Simulator to Identify a Cellular Device in a Narcotics Trafficking Case, 623 F. Supp. 3d 888 (N.D. Ill. Aug. 24, 2022).

Matter of the Search Warrant Application for Geofence Location Data Stored at Google Concerning an Arson Investigation, 497 F. Supp. 3d 345 (N.D. Ill. Oct. 29, 2020).

Matter of Search Warrant Application for the Search of a Townhome Unit, No. 20 MC 106, 2020 WL 1914769 (N.D. Ill. Apr. 20, 2020).

Matter of Search Warrant Application for the Cellular Tel. in United States v. Barrera, 415 F. Supp. 3d 832 (N.D. Ill. Nov. 22, 2019).

- i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.

I have not sat by designation on any federal court of appeals.

14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:

- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself sua sponte;
- b. brief description of the asserted conflict of interest or other ground for recusal;
- c. the procedure you followed in determining whether or not to recuse yourself;
- d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

The Northern District of Illinois has adopted a Mandatory Screening Implementation Plan to help ensure that financial conflicts of interest do not occur. As part of this plan, I am required to review my financial holdings and those of my spouse and our minor children so that I can prepare a recusal list with all financial conflicts known to me. The Clerk of the Court uses this list to help ensure I do not have a financial conflict with any cases that are assigned to me. I am also required to implement appropriate procedures to

screen for all other types of conflicts. To this end, I have provided the Clerk's Office with a list of individuals and entities with which I would have a non-financial conflict. I am required to certify compliance with these requirements on an annual basis.

I was asked to recuse myself in one case:

Akpa v. Northwestern Mem. HealthCare et al., No. 18-cv-7512. The pro se plaintiff believed that my ruling on his motion to compel on a discovery matter demonstrated bias against him. He also claimed that my spouse's employment at Northwestern University posed a conflict of interest requiring recusal. I assessed whether 28 U.S.C. § 455(a) and (b) warranted recusal. First, I determined that my ruling on plaintiff's motion did not demonstrate bias because (1) I correctly applied the relevant Federal Rules of Civil Procedure in deciding the matter; and (2) adverse judicial rulings almost never constitute grounds for recusal. Second, I determined that my spouse's employment was not a valid basis for recusal because (1) my spouse was an attorney at Northwestern University, which was a separate and distinct corporate entity from defendant Northwestern Memorial Hospital, Northwestern University was not a defendant in the case, my spouse was not employed by and received no income from the hospital, and she had no knowledge or participation in the litigation; (2) I had no extrajudicial knowledge of the case as a result of my spouse's employment and defendant had not presented any facts demonstrating the contrary. However, I exercised my judicial discretion and granted plaintiff's motion for recusal because (1) plaintiff had become fixated on the issue and continuing to oversee discovery would likely result in further recusal motions and delay in the progress of the litigation; (2) recusal would allow plaintiff to focus on the merits on the case rather than me and help move the matter to a more efficient conclusion. I issued a written order on the matter, citing authority and explaining my rationale in detail. *See Akpa v. Northwestern Mem. HealthCare et al.*, No. 18-cv-7512, Doc. [206] (N.D. Ill. May 11, 2021).

I recused myself sua sponte for the reasons below in the following cases:

Brodsky v. FBI, No. 22-cv-6462 (N.D. Ill.). I recused myself sua sponte because I had personal knowledge of some of the underlying events.

United States v. Bright, No. 22-cr-651 (N.D. Ill.). I recused myself sua sponte because I had previously participated in the prosecution of this defendant for a prior crime.

Pierce v. Northwestern Memorial Healthcare et al., No. 23-cv-934 (N.D. Ill.). I recused myself sua sponte out of an abundance of caution because of my spouse's employment at Northwestern University.

Saud v. DePaul Univ. et al., No. 19-cv-3945 (N.D. Ill.). I recused myself sua sponte upon assignment because a witness in the case was a close personal associate of my spouse.

Kreith v. American Airlines, Inc., No. 20-cv-1593 (N.D. Ill.). I recused myself sua sponte

upon assignment because my spouse and I owned stock in American Airlines.

When I first assumed the position of magistrate judge, I recused myself from several criminal cases sua sponte because I had some involvement in the criminal investigation given my then-recent employment with the United States Attorney's Office. I do not have records of the specific cases.

15. Public Office, Political Activities and Affiliations:

- a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

None.

- b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

None.

16. Legal Career: Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:
- i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

I served as a judicial law clerk to the Honorable Suzanne B. Conlon, United States District Court for the Northern District of Illinois, from 2001 through 2002.

- ii. whether you practiced alone, and if so, the addresses and dates;

I have never practiced alone.

- iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each;

Summer 2000

United States District Court for the Northern District of Illinois
Everett McKinley Dirksen United States Courthouse
219 South Dearborn Street
Chicago, Illinois 60604
Judicial Extern to the Honorable Sidney I. Schenkier

2002 – 2004; 2000 – 2001
Jenner & Block, LLP
353 North Clark Street
Chicago, Illinois 60656
Associate (2002 – 2004; 2000 – 2001)

2004 – 2008
United States Securities and Exchange Commission
175 West Jackson Street, Suite 1450
Chicago, Illinois 60604
Senior Counsel, Enforcement Division (2005 – 2008)
Staff Attorney, Enforcement Division (2004 – 2005)

2008 – 2019
United States Attorney's Office for the Northern District of Illinois
219 South Dearborn Street, Suite 500
Chicago, Illinois 60604
Deputy Chief, Securities and Commodities Fraud Section (2018 – 2019)
Assistant United States Attorney (2008 – 2019)

2019 – present
United States District Court for the Northern District of Illinois
Everett McKinley Dirksen United States Courthouse
219 South Dearborn Street, Suite 1870
Chicago, Illinois 60604
United States Magistrate Judge

- iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I completed the Mediation Skills Training program at Northwestern University School of Professional Studies in 2016 and then joined the Mediation Skills Training program at the Center for Conflict Resolution. I completed the mediation certification program in December 2016. I then served as a volunteer, pro bono mediator for the Circuit Court of Cook County from January 2017 through December 2018, and in that time, I mediated and conducted settlement conferences in 31 small claims matters (under \$10,000). The cases were assigned to me by the presiding judge in small claims court, and each mediation took approximately one hour.

Once settled, I returned the file to the presiding judge and did not retain any copies. Thus, I do not have any details of these cases. The cases were small claims disputes such as landlord/tenant and consumer disputes.

b. Describe:

- i. the general character of your law practice and indicate by date when its character has changed over the years.

My law practice has been 100 percent litigation-based for the full 23 years of my legal practice. My legal experience has also been divided fairly evenly between federal civil and criminal litigation.

At the United States Attorney's Office, my practice involved the prosecution of white collar crime, including securities and commodities fraud, investment fraud, corporate misconduct, and other business-related crimes, such as insider trading, accounting fraud, and embezzlements. At different points in my career, my area of practice also included the prosecution of public corruption and violent crime in our city. As an AUSA, my day-to-day responsibilities involved managing and supervising investigations of federal agents, presenting evidence, including witnesses, to the grand jury, handling arrests and search warrants, making charging decisions, and litigating criminal cases in federal court, including motion practice, hearings, discovery matters, jury trials, sentencing hearings, and briefing and arguing appeals to the United States Court of Appeals for the Seventh Circuit. I tried 13 cases before the district court and argued 14 appeals before the Seventh Circuit Court of Appeals during my time at the United States Attorney's Office.

Prior to becoming an AUSA, I was a Senior Counsel at the United States Securities & Exchange Commission. I was responsible for the investigation and prosecution of federal civil securities law violations against public companies, investment advisors, broker-dealers, and other regulated entities. I also litigated civil cases in federal court, including briefing and arguing emergency injunction actions, conducting fact and expert discovery, briefing and arguing summary judgment proceedings, and conducting settlement negotiations with opposing counsel.

At Jenner & Block, I was engaged in a civil litigation practice in state and federal courts, including class actions, securities litigation, Securities & Exchange Commission enforcement defense, and breach of contract actions. My responsibilities included managing fact and expert discovery, legal research, court appearances, and preparing cases for settlement and trials. I also actively engaged in pro bono work.

- ii. your typical clients and the areas at each period of your legal career, if

any, in which you have specialized.

At the United States Attorney's Office, I represented the United States and the Department of Justice in criminal prosecutions in federal court. At the United States Securities & Exchange Commission, I represented the Commission in enforcing federal securities laws. At Jenner & Block, I represented large and small companies in commercial disputes such as breach of contract actions, class actions, securities matters, and patent infringement cases. I also represented pro bono individuals who could not afford counsel.

- c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

My practice was 100 percent litigation throughout my career. As an AUSA, I was in federal court nearly every day for initial appearances, arraignments, bond hearings, status hearings, motion practice, plea hearings, trials, sentencing hearings, and supervised release violations. As a Securities & Exchange Commission enforcement attorney, I appeared in federal court frequently for motion and status hearings and settlement conferences in federal civil securities enforcement actions initiated by the Commission. As an attorney at Jenner and Block, I appeared in federal and state court frequently for status and motions hearings in commercial litigation matters.

- i. Indicate the percentage of your practice in:

- | | |
|-----------------------------|-----|
| 1. federal courts: | 95% |
| 2. state courts of record: | 5% |
| 3. other courts: | 0% |
| 4. administrative agencies: | 0% |

- ii. Indicate the percentage of your practice in:

- | | |
|--------------------------|-----|
| 1. civil proceedings: | 50% |
| 2. criminal proceedings: | 50% |

- d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

As an attorney, I tried 11 criminal cases to verdict in federal court. Nine jury trials were concluded through a jury verdict and two cases were bench verdicts. Additionally, I was first chair in two jury trials which resulted in guilty pleas about halfway through the trial after opening statements and several days of witness testimony. In these 13 cases, I was a trial lawyer representing the government and served as either first chair or second chair with responsibility for

opening and/or closing arguments, direct examinations, and cross-examinations. Most of these trials lasted between one and three weeks.

I tried one additional bench trial before the Immigration Court on an asylum claim while I was at Jenner & Block. I was the sole trial counsel in this case.

- i. What percentage of these trials were:
 1. jury: 79%
 2. non-jury: 21%

- e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I did not practice before the Supreme Court of the United States.

17. **Litigation:** Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:

- a. the date of representation;
- b. the name of the court and the name of the judge or judges before whom the case was litigated; and
- c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

1. *United States v. Lindstrom*, No. 16-cr-631 (N.D. Ill.)

This case involved a futures trader, Mr. Lindstrom, who, through a complicated options and futures trading scheme, caused the collapse of a Chicago trading firm Rock Capital. Lindstrom caused losses of more than \$13 million, hid those losses from management, and gained more than \$280,000 in compensation during the scheme. Defendant pled guilty to one count of wire fraud, in violation of 18 U.S.C. § 1343, and was sentenced to five years in federal prison, followed by two years of supervised release. I was the lead counsel on the case with responsibility for the grand jury investigation, the presentation of evidence to the grand jury, plea negotiations, and the change of plea hearing.

Dates of representation: 2016 – 2019

Judge: U.S. District Judge Harry Leinenweber

Opposing counsel:

Jeffrey Steinbeck
Law Office of Jeffrey Steinbeck
8351 Snaresbrook Road
Roscoe, IL 61073
(847) 624-9600

2. *United States v. Rye*, No. 17-cr-79 (N.D. Ill.)

This case involved the 26-year-old investment manager Mr. Rye. Defendant Rye ran a purported investment company, but instead, over the course of a year-and-a-half, defrauded his clients into investing \$1.72 million with him, which he used for his personal expenditures. Defendant pled guilty to one count of wire fraud, under 18 U.S.C. § 1343, and was sentenced to 70 months imprisonment, three years of supervised release, and restitution payment of \$1,720,000. I was lead counsel with responsibility for the grand jury investigation, the plea negotiations, the change of plea hearing, and the sentencing hearing.

Dates of representation: 2017

Judge: U.S. District Judge Ronald A. Guzman

Opposing counsel:

Mary Judge
Federal Defender Program
55 East Monroe Street, Suite 2800
Chicago, IL 60603
(312) 621-8300

3. *United States v. Arora et al.*, No. 15-cr-486 (N.D. Ill.)

Defendant Arora was a partner at McKinsey consulting company. Through his position, he provided personal benefits to his co-defendant, Sorensen, in exchange for confidential State Farm information and also stole company funds for personal use. Arora pled guilty to one count of wire fraud under 18 U.S.C. § 1343, received two years imprisonment, one year of supervised release, and was ordered to pay \$586,862 in restitution, of which \$490,975 was owed joint and severally with defendant Sorensen. Sorensen pled guilty to one count of wire fraud under 18 U.S.C. § 1343, was sentenced to one year imprisonment, one year of supervised release, and restitution of \$490,975, joint and severally with Arora. I was lead counsel on this case, and handled the grand jury investigation and presentation of the evidence, the plea negotiations, and the sentencing proceedings.

Dates of representation: 2015 – 2018

Judge: U.S. District Judge Ronald Guzman

Opposing counsel:

Darryl Goldberg
Law Offices of Darryl Goldberg
33 North Dearborn Street, Suite 1830
Chicago, IL 60602
(773) 793-3196

4. *United States v. Thomas*, No. 14-cr-216 (N.D. Ill.), *rev'd*, 840 F.3d 920 (7th Cir. 2016)

Defendant Thomas, owner of the Riverdale marina, was charged with and pled guilty to defrauding the Village of Riverdale, in violation of 18 U.S.C. § 1343, by using taxpayer funds that were designated for the redevelopment of the marina for his own personal benefit. Thomas was convicted and sentenced to five years imprisonment, three years of supervised release, and restitution of \$374,182. I was lead counsel with responsibility for the grand jury investigation, the presentation of evidence to the grand jury, plea negotiations, the change of plea hearings, and the sentencing hearing. I also successfully obtained a revocation of defendant's bond conditions because he had made false statements to the district judge. Defendant appealed the case to the Seventh Circuit. On appeal, the government conceded that resentencing was necessary because the district judge had not explained his rationale for the terms of the supervised release imposed at sentencing. The Seventh Circuit reversed and ordered a full resentencing of the defendant. Upon resentencing, defendant was sentenced to 63 months imprisonment, supervised release for three years, and restitution of \$374,182.90 I did not represent the government on appeal; I did represent the government at the resentencing hearing.

Judge: U.S. District Judge James Zagel (deceased)

Dates of representation: 2014 – 2017

Opposing counsel:

Joseph Lopez
Lopez & Lopez, Ltd.
53 West Jackson Boulevard, Suite 1651
Chicago, IL
(312) 922-2001

Lawrence Beaumont
Law Office of Lawrence Beaumont
53 West Jackson Boulevard, Suite 626
Chicago, IL
(312) 765-6000

5. *United States v. Niew*, No. 13-cr-688 (N.D. Ill.)

Defendant Niew, an attorney, was charged for stealing more than \$2 million of client funds from her escrow account that were designated for real estate closings. Defendant entered a plea of guilty to all ten counts, in violation of 18 U.S.C. § 1343, and was sentenced to five years imprisonment, three years of supervised release, and restitution of \$2,340,000. I was lead counsel with responsibility for the grand jury investigation, the presentation of evidence to the grand jury, plea negotiations, the change of plea hearing, and the sentencing hearing.

Judge: U.S. District Judge Harry Leinenweber

Dates of representation: 2013 – 2017

Opposing counsel:

Thomas Breen
Breen & Pugh
53 West Jackson Boulevard, Suite 1550
Chicago, IL 60604
(312) 360-1001

6. *United States v. Coscia*, No. 14-cr-551 (N.D. Ill.), *aff'd*, 866 F.3d 782 (7th Cir. 2017), *cert. denied*, 138 S. Ct. 1989 (May 14, 2018), and post-conviction proceedings *aff'd*, 4 F.4th 454 (7th Cir. 2021), *cert. denied*, 142 S.Ct. 1127 (Feb. 22, 2022).

This case was the first case in the country to prosecute a trader, defendant Coscia, for a commodities spoofing/flash trading scheme and the first to test the limits of the Dodd-Frank provision prohibiting the conduct. The case involved the presentation of a highly complicated trading pattern run by a high-speed trading algorithm in order to manipulate prices in the market. I was one of the lead trial counsel in this case with responsibility for trial preparation, direct and cross-examinations, and closing arguments. The jury trial resulted in a conviction on all counts, under 18 U.S.C. § 1348 and 7 U.S.C. §§ 6c(a)(5)(c) and 13(a)(2). I also handled the post-conviction proceedings and the sentencing hearing in the district court, including a lengthy sentencing presentation. Defendant Coscia was sentenced to three-years imprisonment and two years of supervised release. The conviction was unanimously affirmed by the United States Court of Appeals for the Seventh Circuit. A writ of certiorari to the United States Supreme Court was denied. I did not represent the government in the appeals process.

Judge: U.S. District Judge Harry Leinenweber

Dates of representation: 2014 – 2016

Co-counsel:

Renato Mariotti (formerly at the United States Attorney's Office)
Bryan Cave Leighton Paisner LLP
161 North Clark Street, Suite 4300

Chicago, IL 60601
(312) 602-5037

Opposing counsel:

Karen Seymour
Sullivan & Cromwell
125 Broad Street
New York, NY 10004
(212) 558-4144

7. *United States v. Rodgers*, No. 13-cr-770 (N.D. Ill.) and *United States v. Vaughan*, No. 14-cr-639 (N.D. Ill.); *United States v. Vaughan*, No. 20-3305 (7th Cir.)

Defendants Rodgers (Lyons Police Department) and Vaughan (Cook County Sheriff's Office) were police officers who were charged with abusing their police powers by robbing drug dealers and other contraband traffickers for their own benefit while on duty. Over the course of three years, they engaged in approximately eight robberies of drugs and money benefiting themselves in excess of \$300,000. Rodgers pled guilty on one count of extortion, under 18 U.S.C. §1951, and was sentenced to five years imprisonment, two years supervised release, and a fine of \$48,980. This sentence was later amended to 30 months imprisonment as a result of Rodgers' cooperation with the government. Vaughan pled guilty to one count of attempted robbery, under 18 U.S.C. § 1951, and was sentenced to 90 months imprisonment and two years of supervised release. I was lead counsel on this case, which involved first developing evidence against Rodgers in a covert investigation, charging and convicting him, and then obtaining his cooperation to obtain evidence against Vaughan in another covert investigation. I handled the grand jury investigation, the presentation of the evidence to the grand jury, the change of plea proceedings, and the sentencing hearings. Defendant Vaughn appealed to the Seventh Circuit, which dismissed the appeal as untimely. I did not participate in the appeals process.

Judge: U.S. District Judges Thomas Durkin (*Rodgers*) and Samuel Der-Yeghiayan (retired) (*Vaughan*)

Dates of representation: 2012 – 2015

Opposing counsel:

Michael Gillispie (for defendant Rodgers)
Gillispie & Gillespie
1550 North Northwest Highway, Suite 203
Park Ridge, IL 60068
(312) 558-281

Alan Brunell (for defendant Vaughan)
Law Office of Alan Brunell
14135 108th Avenue

Orland Park, IL 60462
(312) 203-3303

8. *United States v. Faruki*, No. 13-cr-0117 (N.D. Ill.), *aff'd*, 803 F.3d 847 (7th Cir. 2015)

Defendant Faruki was charged with seven counts of wire fraud, in violation of 18 U.S.C. § 1343. The indictment alleged that between January 2010 and January 2011, Faruki operated a fraudulent investment scheme in which he defrauded an investor out of \$1 million and attempted to defraud another investor. He was alleged to have fraudulently concealed substantial losses in his hedge fund. I was lead trial counsel for this case and conducted direct and cross-examinations, as well as opening and rebuttal arguments. Defendant was convicted on all seven counts by the jury. I represented the government at the sentencing hearing and defendant was sentenced to 48 months in prison, one year supervised release, and restitution of \$260,000. The convictions were affirmed on appeal. I did not represent the government on appeal.

Judge: U.S. District Judge Rubén Castillo (retired)

Dates of representation: 2013 – 2014

Co-counsel:

Kathryn Malizia (formerly with the United States Attorney's Office)
GE Health Care
500 West Monroe Street, 16th Floor
Chicago, IL 60661
(404) 242-6056

Opposing counsel:

Steve Greenberg
Greenberg Trial Lawyers
53 West Jackson, Suite 1260
Chicago, IL 60604
(312) 879-9500

9. *United States v. Draus et al.*, No. 12-cr-167 (N.D. Ill.)

Defendant Draus was a Cook County Sheriff's Police Officer. Draus and his son were charged for engaging in a corruption scheme where they accepted bribes in exchange for providing protection services for an illegal operation. Draus pled guilty to conspiracy to commit extortion under 18 U.S.C. § 1951, and was sentenced to two-and-a-half years in prison and one year of supervised release. Draus' son pled guilty to two counts, under 18 U.S.C. §§ 1951 and 2342(a), and was sentenced to 16 months in prison, three years supervised release, and restitution of \$532,824. I was lead counsel with responsibility for the grand jury investigation and the covert operation, the presentation of evidence to the grand jury, plea negotiations, the change of plea hearing, and the sentencing hearing.

Judge: U.S. District Judge John Tharp

Dates of representation: 2012 – 2014

Opposing counsel:

Alan Brunell (for defendant L. Draus)
Law Office of Alan Brunell
14135 108th Avenue
Orland Park, IL 60462
(312) 203-3303

Ed Genson (deceased) (for defendant E. Draus)

10. *United States v. Morawski et al.*, No. 11-cr-342 (N.D. Ill.), *aff'd*, 754 F.3d 440 (7th Cir. 2014)

Defendants Morawski and Constant were charged for defrauding more than 260 investors out of more than \$21 million over three years through false statements about the investment of their funds in purported real estate projects in Illinois and beyond. The victims were young and old residents, and the money stolen was their savings and their retirement funds. Morawski pled guilty to two counts of mail fraud, under 18 U.S.C. § 1341, and sentenced to ten years imprisonment, two years of supervised release, and restitution of \$18,211,547. Constant pled guilty to one count of wire fraud, under 18 U.S.C. § 1343, and was sentenced to six-and-a-half years' imprisonment, two years of supervised release, and restitution of \$18,211,547. I was lead counsel on this case with responsibility for the grand jury investigation, the presentation of evidence to the grand jury, plea negotiations, the change of plea hearings, and the sentencing hearings. I also briefed and argued the case before the Seventh Circuit Court of Appeals in defendant Morawski's appeal, and the judgment was affirmed.

Judges: U.S. District Judge Gary Feinerman (retired); U.S. Seventh Circuit Court of Appeals Chief Judge Diane Wood, Circuit Judges Richard Posner and Joel Flaum

Dates of representation: 2010 – 2012

Opposing counsel:

Jacqueline Jacobson (for defendant Constant)
Monico & Spevack
53 West Jackson Boulevard, Suite 1315
Chicago, IL 60604
(312) 782-8500

Jeffrey Levine (for defendant Morawski)
Law Office of Jeffrey Levine
20 North Clark Street, Suite 800

Chicago, IL
(312) 372-4600

18. **Legal Activities:** Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

When I was Senior Counsel at the Securities & Exchange Commission and an Assistant United States Attorney at the United States Attorney's Office, my practice focused on prosecuting financial fraud schemes. As a result, I regularly worked with victims of financial fraud to ensure that their voices were heard and their interests were considered in federal prosecutions. This included regular communication with victims throughout the prosecution about the status of the case, facilitating their appearance and victim statements at sentencing hearings, and working with receivers to ensure that forfeited proceeds were returned to the victims of the fraud. In addition, as a Deputy Chief of the Securities and Commodities Fraud Section, I had supervisory responsibility for AUSAs in the section, which included reviewing their prosecution recommendations, search and arrest warrant submissions, grand jury proceedings, and their plea agreements or trial decisions. I was involved in screening fraud cases for intake to the section and allocating resources in line with the prosecution priorities of the United States Attorney. I also regularly provided training on investigating and prosecuting complex financial crimes to prosecutors nationwide at the Department of Justice National Advocacy Center in Columbia, South Carolina.

In my role as a United States magistrate judge, I am actively involved in judicial administration. I currently serve on the Magistrate Judges Advisory Group of the Administrative Office of the United States Courts and the Seventh Circuit Judicial Council, where I represent the interests of magistrate judges in the Seventh Circuit. I also serve on the Committee for Space and Facilities for the United States Judicial Conference where we consider and recommend infrastructure projects for courthouses nationwide to the Judicial Conference. I also serve on the Northern District of Illinois' information technology and diversity committees. Finally, I have presented on reviewing warrants for electronic surveillance in continuing education sessions for magistrate judges nationwide through the Federal Judicial Center.

I have been an Adjunct Professor at Northwestern University Pritzker School of Law for more than 15 years. I teach classes in White Collar Criminal Practice, Federal Civil Discovery, and Depositions. My classes instruct law students on the applicable legal principles in the areas of study, but also teach them how to practice law with real-world examples and practical advice.

Finally, I regularly speak to attorneys, law students, and the general public in educational

seminars sponsored by organizations, schools, bar associations, and our district court about topics involving my public service as a prosecutor and magistrate judge. I also focus part of my efforts towards engaging with the many Asian American bar associations in Chicago where I attend events, participate in panels, and interact with young lawyers to mentor and help them with their professional goals.

I have not participated in lobbying activities or registered as a lobbyist.

19. **Teaching:** What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

Depositions, Northwestern University Pritzker School of Law (Spring 2024): I lead this class as head instructor, and I am assisted by a group of adjunct professors. The focus of this class is the practice of taking and defending depositions in civil litigation. Syllabus supplied.

White Collar Criminal Practice, Northwestern University Pritzker School of Law (Fall 2017 – present): I teach a substantive class on federal white collar criminal practice that includes subjects such as mail and wire fraud, securities fraud, bribery, obstruction of justice, grand jury practice, and sentencing. I have taught this class both alone and also with a co-instructor. Syllabi for 2019-2021 and 2023 supplied.

Federal Civil Discovery, Northwestern University Pritzker School of Law (Spring 2008 – present): I lead this class as the head instructor, and I am assisted by a group of adjunct professors. The focus of this class is Federal Rules of Civil Procedure 26 through 37, which are the rules governing the discovery process. Syllabi for 2021-2023 supplied.

Introduction to Trial Advocacy, Northwestern University Pritzker School of Law (Fall 2010 – 2017): With a group of adjunct professors, I have taught breakout sessions involving impeachment, admitting documents into evidence, and cross-examination at trial. Representative syllabus supplied.

Corporations, John Marshall Law School (Summer 2007): I was the sole lecturer for this class on Corporations, which included topics such as corporate structure, duties of the board of directors, piercing the corporate veil, corporate disclosure requirements, and insider trading laws. Syllabus supplied.

Faculty Advisor to Philip C. Jessup International Law Moot Court, Northwestern University Pritzker School of Law (Fall 2004 – 2008): As the faculty advisor to the team, I discussed strategy with the students on their appellate moot court problem, reviewed their appellate briefs, provided feedback at their oral argument practice sessions, and traveled with the team to moot court tournaments. There was no syllabus for this course.

20. **Deferred Income/ Future Benefits:** List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

None.

21. **Outside Commitments During Court Service:** Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

I hope to continue my teaching as an Adjunct Professor at Northwestern University Pritzker School of Law if consistent with my judicial duties and time permits.

22. **Sources of Income:** List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

When my nomination is formally submitted to the Senate, I will file my Financial Disclosure Report and will supplement this Questionnaire with a copy of that Report.

23. **Statement of Net Worth:** Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Net Worth Statement.

24. **Potential Conflicts of Interest:**

- a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

Since becoming a United States magistrate judge in 2019, I have followed the federal recusal statutes (28 U.S.C. § 455 and 28 U.S.C. §144) and the Code of Conduct for United States Judges, and I have sought to minimize the potential for conflicts of interest by avoiding investments and other relationships likely to warrant recusal. I have and continue to recuse myself from all cases involving Northwestern University. If confirmed, I will continue to adhere the Code of Conduct for United States Judges, and all other applicable statutes and principles covering recusal.

- b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

If confirmed as a district judge, I would continue to resolve any potential conflict of interest by adhering to the Code of Conduct for United States Judges, 28 U.S.C. § 455, and all applicable policies and procedures of the United States Courts. I would seek advice and guidance from the designated ethics advisor of our district court and from the Judicial Conference's Committee on Codes of Conduct.

25. **Pro Bono Work:** An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

Prior to assuming the role of magistrate judge, I served as a volunteer mediator in the Circuit Court of Cook County at 31 mediation/settlement conferences. I generally mediated approximately twice a month for three hours each. I volunteered at the Daley Center, Skokie, Rolling Meadows, and Bridgeview courthouses in Cook County, and I generally assisted pro se litigants to better understand their case and helped them resolve it through settlement. Through this pro bono work, I met and helped people from all walks of life, but generally those who lacked significant means and were from a minority population in Chicago. These cases included claims of consumer dispute, landlord/tenant issues, and small business matters, and I settled approximately 80 percent of them through mediation.

When in private practice, I performed pro bono work at Jenner & Block. I represented a defendant charged with armed robbery through his pretrial proceedings, and plea and sentencing hearing. I worked on two appointed cases from the Seventh Circuit Court of Appeals, drafted the briefs, and presented the oral argument to the Court. One case involved an assault on a prisoner and the other involved a habeas corpus appeal from a state conviction. I also successfully obtained asylum, after a trial, for a client before the immigration court.

Finally, I currently volunteer as a debate coach for Attea Middle School, Glenview, Illinois, where I teach middle schoolers how to craft arguments and draft persuasive speeches, but also discuss the training that debate provides for a career in the law.

26. **Selection Process:**

- a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission

recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of Investigation personnel concerning your nomination.

On September 8, 2023, I submitted an application to the Judicial Screening Committee established by United States Senators Richard Durbin and Tammy Duckworth for a position on the United States District Court for the Northern District of Illinois. On October 8, 2023, I interviewed with the Northern District of Illinois Judicial Screening Committee. On November 8, 2023, I interviewed with Senator Duckworth. On November 10, 2023, I interviewed with Senator Durbin. On November 16, 2023, I was informed that my name would be on a list of candidates submitted to the White House for further consideration. On November 17, 2023, Senators Durbin and Duckworth wrote a letter to President Biden submitting my name for consideration. On November 20, 2023, I interviewed with attorneys from the White House Counsel's office. Since November 20, 2023, I have been in contact with officials from the Office of Legal Policy at the Department of Justice. On January 10, 2024, the President announced his intent to nominate me.

- b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.