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# An in situ exploration of how Fe/N/C oxygen reduction catalysts evolve during synthesis under pyrolytic conditions

Received: 11 December 2023

Accepted: 17 July 2024

Published online: 24 July 2024

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In pursuing cheap and effective oxygen reduction catalysts, the Fe/N/C system emerges as a promising candidate. Nevertheless, the structural transformations of starting materials into Fe- and N-doped carbon catalysts remains poorly characterized under pyrolytic conditions. Here, we explore the evolution of Fe species and track the formation of Fe–N<sub>4</sub> site development by employing diverse in-situ diagnostic techniques. In-situ heating microscopy reveals the initial formation of FeO<sub>x</sub> nanoparticles and subsequent internal migration within the carbon matrix, which stops once FeO<sub>x</sub> is fully reduced. The migration and decomposition of nanoparticles then leads to carbon layer reconstruction. Experimental and theoretical analysis reveals size-dependent behavior of FeO<sub>x</sub> where nanoparticles below 7 nm readily release Fe atoms to form Fe–N<sub>4</sub> while nanoparticles with sizes >10 nm tend to coalesce and impede Fe–N<sub>4</sub> site formation. The work visualizes the pyrolysis process of Fe/N/C materials, providing theoretical guidance for the rational design of catalysts.

The commercialization of fuel cell electric vehicle based on the proton exchange membrane fuel cells (PEMFCs) in Japan in 2014 was an important milestone. However, the extensive use of platinum in these vehicles is a major impediment to their widespread and sustainable adoption<sup>1-4</sup>. Platinum is primarily utilized to catalyze the oxygen reduction reaction (ORR) of cathode, and the research efforts of the global scientific community are concentrated on the development of oxygen reduction catalysts that do not contain Platinum group elements<sup>5</sup>. A single transition metal atom (M = Mn, Fe or Co) embedded in nitrogen doped carbon matrix (named as M/N/C) is the primary catalytic element of the platinum free group, used for the oxygen reduction reaction in PEMFCs<sup>6,7</sup>. We recently reported a Fe/N/C catalysts with a performance of 710 mW cm<sup>-2</sup> and 120.8 mA cm<sup>-2</sup> at 0.8 V in H<sub>2</sub>-air PEMFCs<sup>8</sup>, which is more than most of the reported M/N/C catalysts.

enhance performance, it is necessary to increase the turnover frequency (TOF) and site densities (SD) of active sites in M/N/C materials<sup>9</sup>. However, due to the lack of understanding of the formation of active sites during the synthesis of M/N/C catalysts, these two aspects are impeded. The production of M/N/C catalysts typically involves the amalgamation of M, N, and C sources into a unified compound or, alternatively, the utilization of a separate compound followed by pyrolysis within a temperature range of 900 to 1100 °C<sup>7,10-12</sup>. The enhancement of catalytic activity predominantly relies on empirical approaches, including the selection of appropriate precursors, adjustment of metal content, and optimization of pyrolysis conditions. The dynamics of competition between metal-based active sites and byproducts during pyrolysis remain elusive<sup>13</sup>. To effectively synthesize upgraded M/N/C catalysts, it is necessary to understand the "black box" synthesis process of the current input precursor and output product.

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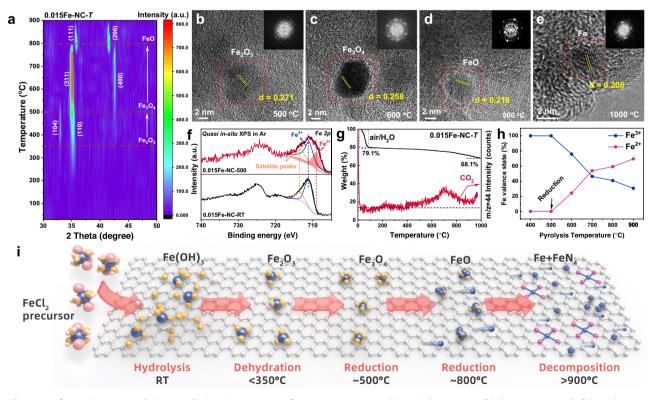
The complexity of the structure of M/N/C catalysts is largely due to the pyrolysis process, which was proved to be necessary for the production of highly active M/N/C catalysts for ORR in acidic media in the 1980s<sup>14</sup>. The products of high-temperature pyrolysis are usually conglomerates of various components, including N-doped carbon defects and edges<sup>15</sup>, MN<sub>x</sub> groups<sup>16</sup>, and inorganic particles such as metals, metal oxides, carbides, and nitrides<sup>17,18</sup>. According to the research in the past two decades, the Fe<sup>II</sup>-N<sub>4</sub> sites has a high TOF for ORR, which may be the main contributor to the ORR activity of the pyrolytic Fe/N/C catalyst<sup>19</sup>. It has been demonstrated that the effectiveness of Fe/N/C catalysts can be augmented through an increase in the concentration of  $Fe^{II}-N_4$  sites. In the realm of PEMFCs, the preponderance of Fe/N/C catalysts that deliver superior performance typically comprises less than 3 wt% Fe<sup>8,9,20-23</sup>. Notwithstanding reports of catalysts with Fe contents surpassing 3 wt%, these have yet to exhibit exceptional performance in PEMFCs applications<sup>24-26</sup>. What is the process for forming the Fe<sup>II</sup>-N<sub>4</sub> sites during pyrolysis when utilizing the trial-and-error synthesis of Fe/N/C catalysts? Wu et al.<sup>27</sup> recently identified a fascinating occurrence wherein ultra small FeOx particles undergo a transformation to atomic dispersed Fe-N<sub>4</sub> sites during pyrolysis, which was uncovered through ex situ aberration correction of HAADF-STEM. Jia et al.<sup>28</sup> revealed by variable temperature X-ray absorption spectroscopy (XAS) that the Fe-doped precursor was converted to Fe oxide below 300 °C, and then converted to tetrahedral Fe<sup>II</sup>-O<sub>4</sub> below 600 °C through a crystal-to-melt process. At temperatures above 600 °C, Fe<sup>II</sup>–O<sub>4</sub> releases a single Fe atom which diffuses into N doped carbon defects, thus forming Fe<sup>II</sup>-N<sub>4</sub>. These findings, however, cannot explain the origin of iron oxides, let alone directly observe the thermal activation process. They only indicate that Fe oxides can be transformed into Fe-N<sub>4</sub> sites at high temperatures. Recently, Wu et al. reported a successful preparation of Fe/N/C catalyst (Fe-AC) with  $E_{1/2}$  up to 0.915 V using commercial Fe<sub>2</sub>O<sub>3</sub> nanoparticles with a size of  $\sim 5 \text{ nm}^{23}$ . Jia et al.<sup>21</sup> and our previous work<sup>8</sup> have reported that a novel non-contact vapor deposition technique has been adopted to directly gasify Fe precursors and deposit them on carbon carriers, achieving ultra-high PEMFCs performance. What are the advantages of using 5 nm Fe<sub>2</sub>O<sub>3</sub> nanoparticles as the iron source, and why is the non-contact vapor deposition method capable of achieving high performance?

Here, we directly observed the dynamic evolution process from Fe salt to Fe– $N_4$  sites during pyrolysis through a variety of in situ characterizations, visualized the "black box" process of pyrolysis, and further clarified the structural transformation process of Fe/N/C materials during thermal activation, which successfully explained the reasons behind these excellent strategies.

#### Results

#### The dynamic evolution process of iron oxide nanoparticles

The phase transition of iron species was monitored during pyrolysis process of the precursor by in situ heating XRD and TEM experiments. FeCl<sub>2</sub>·4H<sub>2</sub>O (with a Fe loading of 1.5 wt%) and nitrogen-doped carbon substrate (NC) were pulverized and combined, forming a sample referred to as 0.015Fe-NC-T, which was then placed in a ceramic sample tank to detect the structural evolution during heating in real time. Figure 1a displays the contour line diagram of the XRD spectrum in the chosen range of angles (original data and the characteristic peak was identified in Supplementary Fig. 1). The data indicates that the precursor is amorphous in its initial state at room temperature, and it progresses through two phase transitions as it is heated. At temperatures of 350 °C and above, a hexagonal Fe<sub>2</sub>O<sub>3</sub> structure (R-3c) is activated. As the thermal activation temperature rises to 500 °C, the hexagonal Fe<sub>2</sub>O<sub>3</sub> structure begins to transform into a cubic Fe<sub>3</sub>O<sub>4</sub> structure (Fd-3m). This transformation is confirmed when the  $(104)_R$ peak of 33.1° in the hexagonal structure disappears at 550 °C and the  $(110)_{\rm R}$  peak of 35.6° shifts towards the  $(311)_{\rm R}$  peak of 35.4° in the low angle cubic structure. When the thermal activation temperature



**Fig. 1** | **Phase transformation process during pyrolysis. a** Contour maps of in situ heating XRD. **b**–**e** Series of HRTEM images acquired at different temperatures during in situ heating TEM. **f** Quasi in situ XPS Fe *2p* spectra before and after 500 °C

treatment. **g** TGA-MS thermogram; (**h**) the variation trend of Fe oxidation status as a function of the pyrolysis temperature. **i** Schematic diagram of phase transformation process during pyrolysis.

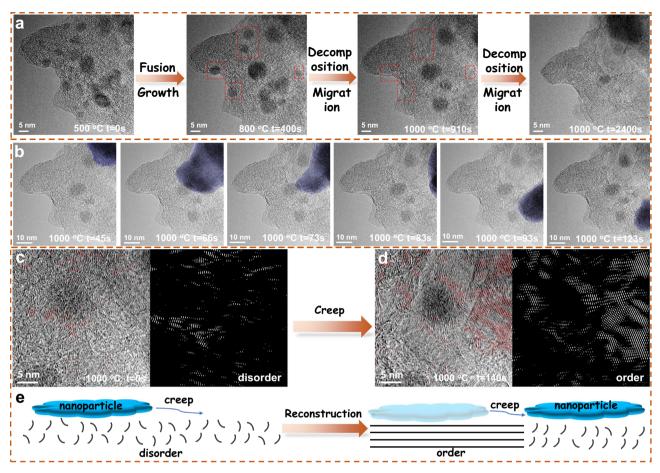


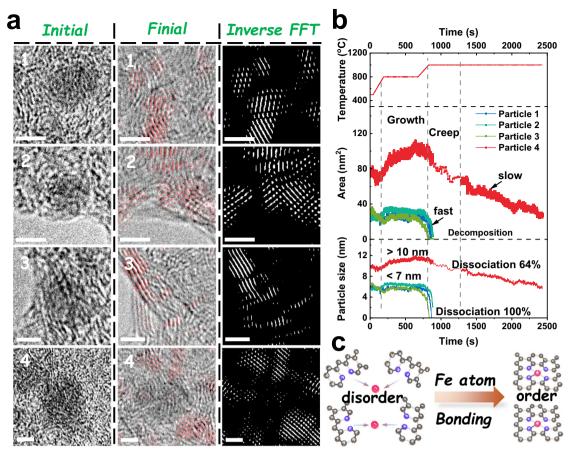
Fig. 2 | The dynamic process of nanoparticles. a Time-series TEM images during pyrolysis from Supplementary Movie 1. b Time-series TEM images of nanoparticle creeping process. HRTEM images of carbon structure and the corresponding

inverse FFT patterns in the same region before (**c**) and after (**d**) nanoparticles creep. **e** Schematic diagram of carbon layer reconstruction by nanoparticle creep.

increased to 800 °C, the cubic Fe<sub>3</sub>O<sub>4</sub> phase transformed into the cubic FeO phase (Fm-3m). At 850 °C, the (111)<sub>R</sub> peak at 35.8° and the (200)<sub>R</sub> peak at 41.6° moved towards a lower angle, suggesting a substantial lattice expansion. We monitored the progression from nanoparticles to single atoms in a vacuum atmosphere, within a temperature range of 500 °C to 1000 °C. Figure 1b-e demonstrates the iron oxide nanoparticles (FeO<sub>x</sub>) and their corresponding Fast Fourier Transform (FFT) pattern at varying temperatures (Supplementary Figs. 2-4), consistent with XRD results, and eventually restoring FeO to Fe (Supplementary Fig. 5). The formation of  $FeO_x$  is a result of the dehydration of  $Fe(OH)_3$ at 350 °C. It has been proved that Fe(OH)<sub>3</sub> is formed by the oxidative hydrolysis of FeCl<sub>2</sub>·4H<sub>2</sub>O at room temperature (Supplementary Figs. 6-11, details in Supplementary Note 1). Quasi in situ heating XPS was further employed to explore the reduction process of FeO<sub>x</sub> (Supplementary Figs. 12-13). After heat treatment at 500 °C for 10 min in Ar atmosphere, 33.73% Fe<sup>3+</sup> was reduced to Fe<sup>2+</sup> (Fig. 1f, Supplementary Table 1). This is due to the carbothermal reaction at 500 °C, which is evidenced by the appearance of  $CO_2$  (m/z = 44) as observed through online TGA-MS characterization (Fig. 1g). The carbothermic reaction causes the valence state of Fe to decline from +3 to +2, which is corroborated by the XPS Fe 2p fine spectrum results (Fig. 1h, Supplementary Fig. 14 and Table 2). The above experiments reveal that the 0.015Fe-NC-T precursor undergoes a carbothermic reaction during pyrolysis, resulting in a gradual reduction of  $FeO_x$  from  $Fe_2O_3$  to  $Fe_3O_4$ , then FeO, and finally to Fe (Fig. 1i).

The formation and decomposition processes of  $FeO_x$  were further analyzed. Figure 2a displays representative movie images at different pyrolysis temperatures. The Supplementary Movie 1 shows the creation of several nanoparticles at 500 °C. Fusion growth behavior was observed at 800 °C, where larger nanoparticles expanded and eventually formed low surface energy spheres resembling Ostwald ripening, while smaller particles were eliminated. At 1000 °C, the larger nanoparticles began to disintegrate and move, ultimately resulting in the production of only a small number of clusters or large nanoparticles, with the majority of iron atoms being dispersed evenly. Throughout the pyrolysis process, nanoparticles larger than 10 nm exhibited creep, migration, and the production of carbon layers (Fig. 2b). The migration of nanoparticles was primarily driven by the size-dependent reduction in their melting point<sup>29</sup> and the gas production from carbothermal reactions occurring on their surfaces<sup>30,31</sup>. These gases create a high local pressure on one side of the nanoparticle, which drives the nanoparticle to migrate. The inverse FFT frequencies of the HRTEM images were used to recover the spatial distribution of ordered carbon structure in the area before and after the creep of the nanoparticles (Figs. 2c, d, Supplementary Figs. 16-19). It is evident that the carbon layer disrupted by the nanoparticle creep was reconstructed, resulting in a significant increase in order. In essence, the migration of nanoparticles results in the rebuilding of disordered carbon layers, leading to the formation of an ordered carbon structure (Fig. 2e).

Similarly, a single Fe atom can also migrate on the carbon layer under thermal and gas driving. As a result, we followed the structural development of four different regions—including the surrounding carbon layers and nanoparticles—during pyrolysis (Fig. 3a, Supplementary Figs. 20–23). Significant improvements have been made to the ordered carbon structure following the breakdown of the



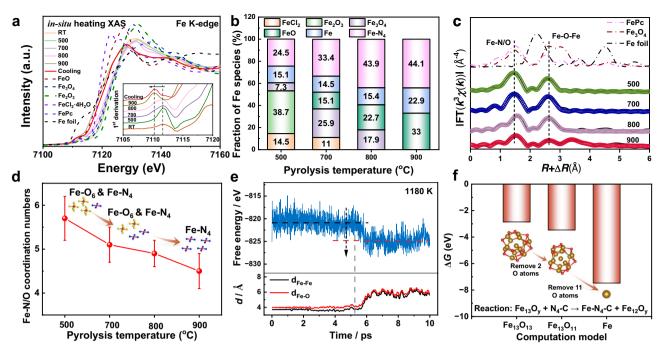
**Fig. 3** | **The evolution process of four typical nanoparticles. a** Adjacent carbon structure of four typical nanoparticles in the initial and final HRTEM images and the corresponding inverse FFT patterns during pyrolysis. The scale bar is 5 nm. **b** The

projection-area and particle size variation as a function of time of nanoparticle 1, 2, 3 and 4. c Schematic diagram of disordered carbon segments to ordered FeN<sub>4</sub> sites.

nanoparticles. Through extensive data analysis, we have gained a profound understanding of the projection area and size of nanoparticles during pyrolysis (Supplementary Movies 2, 3, and Note 2). The two distinct growth-decomposition paths depicted in Fig. 3b are as follows: smaller nanoparticles (Particles 1, 2, and 3; less than 7 nm) undergo slow growth followed by quick complete decomposition, whereas larger nanoparticles (Particle 4, more than 10 nm) undergo rapid growth followed by slow partial decomposition, maintaining 36% of the nanoparticles. The progressive disintegration of the nanoparticles, which resulted in their decomposition, was caused by the surface carbothermic reaction. The Fe atoms generated by the surface carbothermic reaction become more active and activated, which promote their migration through the carbon layer and significantly boost the production of Fe-N<sub>x</sub> sites. Meanwhile, the amalgamation of these Fe atoms with carbon atoms on the surface results in the formation of carbon-rich and carbon-poor areas, prompting carbon migration toward the surface and the generation of an ordered carbon layer (Supplementary Fig. 24)<sup>32,33</sup>. The development of Fe-N<sub>x</sub> sites and the rebuilding and bending of the carbon layer during pyrolysis may be connected to the movement of iron atoms on the carbon surface, even if the migration of individual Fe atoms was not directly detected (Fig. 3c). Furthermore, Fe single atoms embedded in the ordered carbon layer were visible in the aberration corrected high-angle annular dark field scanning transmission electron microscopy (AC-HAADF-STEM) image of 0.015Fe-NC-900, and electron energy-loss spectra (EELS) simultaneously detected Fe and N, suggesting the formation of Fe-N<sub>x</sub> sites (Supplementary Fig. 25). Nevertheless, converting nanoparticles larger than 10 nm completely into monodisperse Fe $N_x$  sites proves challenging as they retain the crystallinity of Fe derivatives even after ceasing their movement (Supplementary Fig. 26).

# The transformation mechanism from $\mbox{FeO}_x$ nanoparticles to $\mbox{Fe-N}_x$ sites

We performed in situ heating X-ray absorption spectra (XAS) experiments to further investigate the microstructural changes of Fe atoms during the precursor thermal activation. In comparison to the asreceived FeCl<sub>2</sub>·4H<sub>2</sub>O, the X-ray absorption near-edge structure (XANES) spectrum of 0.015Fe-NC-RT was more similar to FeOOH standard (Supplementary Figs. 27-29). Then following treatment at 500 °C, the Fe species was transformed into Fe<sub>3</sub>O<sub>4</sub> standard (Supplementary Fig. 30). The edge energy gradually shifted negatively as the thermal activation temperature gradually rose to 900 °C (Fig. 4a, Supplementary Fig. 31), supporting the XPS result that the oxidation state of Fe was decreased. The correlation between the composition of Fe species and pyrolysis temperature was established using linear combination fitting (LCF), as shown in Supplementary Fig. 32, Tables 4–5 (details were in Supplementary Note 3). As the pyrolysis temperature increased, the transformation of FeO<sub>x</sub> into Fe-N<sub>x</sub> sites was observed, with a maximum conversion rate of 44.1% (Fig. 4b). The coordination of Fe during the precursor's thermal activation was determined through EXAFS-fitting (Fig. 4c). Analysis revealed that as the thermal activation temperature increased, the coordination number of the Fe-N/O bond decreased from 5.7 to 4.5 (Fig. 4d, Supplementary Table 6). The decrease in the average coordination number was attributed to the increase in the proportion of Fe-N<sub>4</sub> sites, resulting in a lower overall coordination number. The conversion of



**Fig. 4** | **In situ heating XAS experiment of 0.015Fe-NC-***T* **samples. a** Fe K-edge XANES during pyrolysis. **b** Fraction of Fe species at different pyrolysis temperature. **c** Fe K-edge EXAFS fitting in *R* space. **d** The Fe-N/O coordination numbers as a

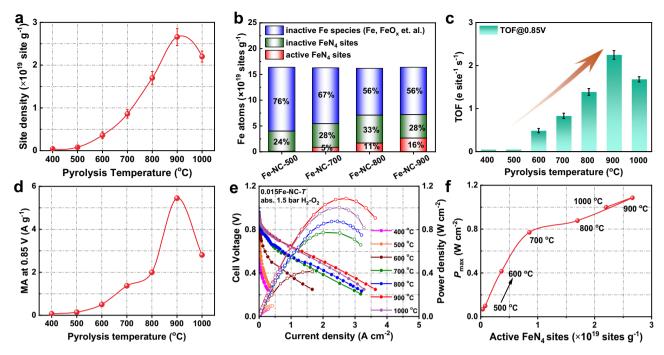
function of the pyrolysis temperature. **e** The system free energy and bond length variation as a function of time. **f** The  $\Delta G$  of different reactions. Error bars represent the standard EXAFS-fitting error.

FeO<sub>x</sub> to Fe–N<sub>4</sub> sites was further elucidated using Fe<sub>13</sub>O<sub>13</sub> as the calculation model. Fe<sub>13</sub>O<sub>13</sub> clusters began to release a Fe atom into the N<sub>4</sub>-C defect at 5.7 ps under 1180 K (Supplementary Fig. 33, Movie 4 and Data 1). This caused a decrease in system free energy and the elongation of Fe-Fe and Fe-O bonds (Fig. 4e). The carbothermal reaction facilitated the conversion process by removing oxygen atoms from Fe<sub>13</sub>O<sub>13</sub>, as evidenced by the increase in negative  $\Delta G$  with decreasing oxygen atoms. This revealed that at high temperatures,  $FeO_x$  may really convert a single Fe atom to a Fe-N<sub>4</sub> site, and the carbothermal reaction will help in this process. Once the nanoparticles come into close proximity, the sintering of iron species will occur, impeding the conversion of Fe atoms to Fe-N<sub>4</sub> sites<sup>34</sup>. The ORR performance was significantly enhanced when Fe<sub>2</sub>O<sub>3</sub> or Fe<sub>3</sub>O<sub>4</sub> and NC support were directly pyrolyzed, as demonstrated in Supplementary Figs. 34-35, indicating that FeO<sub>x</sub> can indeed be converted into highly active Fe-N<sub>4</sub> sites experimentally. Additionally, the pyrolysis temperature influences the reaction kinetic energy barrier and determines the conversion reaction rate, rather than the occurrence of the conversion reaction itself. The nanoparticle size affects the release difficulty of a single Fe atom, which is associated with whether the nanoparticles are transformed into Fe-N<sub>x</sub> sites or undergo further sintering (Supplementary Figs. 36-38).

#### Active site conversion rate and catalytic performance

The active Fe–N<sub>4</sub> site densities of materials subjected to varying thermal activation temperatures were quantitatively assessed via nitrite poisoning experiment<sup>35</sup>. Initially, the Fe<sub>2</sub>O<sub>3</sub>-NC material underwent the nitrite poisoning experiment to eliminate the interference from FeO<sub>x</sub> species (Supplementary Fig. 39). As depicted in Fig. 5a, an exponential relationship emerged between site density (SD) and pyrolysis temperature, indicating a strong correlation (Supplementary Figs. 40-41). Notably, active Fe–N<sub>4</sub> sites were scarce at temperatures below 500 °C. A marked increase in active Fe–N<sub>4</sub> site density was observed at 600 °C, suggesting the onset of active Fe–N<sub>4</sub> formation. The density of highly active Fe–N<sub>4</sub> sites continued to climb with increasing pyrolysis temperatures, reaching a peak of  $2.66 \times 10^{19}$ 

sites  $g^{-1}$  at 900 °C (Fig. 5a, Supplementary Table 7). At 1000 °C, the SD value decreased due to the loss of N. Combining the ICP-MS, LCF fitting and nitrite poisoning results, active Fe-N<sub>4</sub> sites, inactive Fe-N<sub>4</sub> sites and inactive Fe species are distinguished (Supplementary Tables 7-9, the detailed quantitative process is below Supplementary Table 9.). Remarkably, only 44% of the total Fe atoms were converted into Fe-N<sub>4</sub> sites (Fig. 5b), while the majority were converted into inactive Fe species (such as Fe, FeO<sub>x</sub>, Fe<sub>x</sub>C, etc.). More concerning was the finding that a mere 16% (obtained by nitrite poisoning) of Fe atoms constituted active Fe-N<sub>4</sub> sites involved in the ORR process. The ORR performance of the 0.015Fe-NC-T was evaluated through a rotating disk electrode (RDE) in an O<sub>2</sub>-saturated 0.1 M H<sub>2</sub>SO<sub>4</sub> electrolyte. Upon elevating the pyrolysis to 600 °C, a notable increase in ORR activity was observed (Supplementary Fig. 42). Meanwhile, the turnover frequency at 0.85 V (TOF@0.85V) for 0.015Fe-NC-600 exhibited a substantial rise, as demonstrated in Fig. 5c. It is evident that highly active Fe-N<sub>4</sub> sites commenced to form at 600 °C. The ORR activity and TOF@0.85 V continued to ascend with temperature increments, reaching a halfwave potential  $(E_{1/2})$  of 0.84 V (versus the reversible hydrogen electrode) at 900 °C (Supplementary Fig. 42). The mass activity (MA) at 0.85 V escalated in tandem with the proliferation of highly active Fe-N<sub>4</sub> sites (Fig. 5d). To ensure the veracity of these findings, real-world application tests were imperative. A pronounced surge in peak power density ( $P_{max}$ ) was observed at 600 °C-the onset temperature for active Fe-N<sub>4</sub> site formation-during a steady-state  $H_2$ -O<sub>2</sub> PEMFCs operation at 1.5 bar absolute pressure (Fig. 5e, f). This indicated a correlation between the quantity of highly active Fe-N<sub>4</sub> sites and PEMFC performance. The  $P_{\text{max}}$  rose to 1.09 W cm<sup>-2</sup> concomitant with the increase in highly active Fe-N<sub>4</sub> sites. Impressively, the fuel cell exhibited robust performance even though merely 16% of the Fe atoms constituted highly active Fe-N<sub>4</sub> sites, further underscoring the promise of Fe/N/C as an excellent platinum group metal-free (PGM-free) catalyst. The pyrolysis process managed to convert only 44% of the FeO<sub>x</sub> into Fe-N<sub>4</sub> sites, leaving the remainder as either FeO<sub>x</sub> or metallic Fe clusters. Using AC-HAADF-STEM, we identified a significant presence of Fe species clusters (Supplementary Fig. 43).



**Fig. 5** | **The correlation between structure and catalytic performance of 0.015Fe-NC-***T* **samples. a** The site density as a function of the pyrolysis temperature; (**b**) the Fe atoms number of different Fe species; (**c**) TOF@0.85 V as a function of the pyrolysis temperature; (**d**) the MA at 0.85 V as a function of the pyrolysis temperature; (**e**) H<sub>2</sub>-O<sub>2</sub> fuel cell *i*-*V* polarization (solid symbols and lines) and

powder density (hollow symbols and lines) plots record under absolute 1.5 bar of  $O_2$  pressure; (**f**) the relationship between  $P_{\text{max}}$  and site density. The cell voltage and power density are not iR corrected. Error bars represent the standard deviation for three separate measurements.

Consequently, a limited number of Fe–N<sub>4</sub> sites are actively utilized by the pyrolyzed Fe/N/C compound. There are two potential reasons: firstly, more than half of the Fe atoms fail to evolve into Fe–N<sub>4</sub> sites; secondly, those Fe active centers that do form may lose its ability to function because of a dense layer of carbon around it. Based on our experimental results, there are the following four suggestions for the rational design of Fe/N/C catalysts:

- 1. The  $\text{FeO}_x$  nanoparticle sizes must be reduced to below 7 nm during pyrolysis process. With this, more  $\text{Fe-N}_4$  sites can be created;
- Substances that decompose into acidic gases during the pyrolysis process, such as NH<sub>4</sub>Cl, NH<sub>4</sub>Br, and others, can be introduced. NH<sub>4</sub>Cl has been shown to remove nanoparticles at high temperatures, contributing to the formation of atomically dispersed Fe sites<sup>23,36</sup>. Besides, FeO<sub>x</sub> can be produced and their development inhibited or even stopped by reacting with acidic gasses;
- It is also possible to prevent FeO<sub>x</sub> formation at its source, such as non-contact vapor deposition strategy<sup>8,21</sup>;
- Investigating the efficient utilization of the synthesized Fe active centers is paramount; for instance, leveraging the porous structure of catalysts is a valuable approach to enhance site efficacy.

Overall, these strategies can be combined to improve the conversion and utilization of Fe active centers during pyrolysis process in high-performance Fe/N/C catalyst design.

#### Discussion

In summary, the complex structural evolution of Fe/N/C catalysts during thermal activation has been thoroughly analyzed by utilizing a series of in situ characterizations. Firstly, the complete transformation process of the FeO<sub>x</sub> nanoparticles, including expansion, decomposition, diffusion and migration, was directly observed by us. This observation demonstrated that the formation and phase transition of FeO<sub>x</sub> during thermal activation are caused by the oxidative hydrolysis

of precursors. Through extensive data analysis, we gained a profound understanding of the evolutionary behavior of nanoparticles during pyrolysis. Specifically, nanoparticles smaller than 7 nm exhibited gradual growth followed by rapid decomposition, whereas those larger than 10 nm demonstrated swift growth with a slower decomposition phase. Furthermore, DFT calculations and molecular dynamics simulations revealed that the Fe atom gradually detaches from the FeO<sub>x</sub> as a result of the carbothermic reaction, creating the Fe-N<sub>4</sub> site. Simultaneously, the diffusion of iron atoms can also lead to the reorganization of the carbon layer, forming an organized structure. Nonetheless, the transition of Fe-N<sub>4</sub> sites is influenced by the size of FeO<sub>x</sub> nanoparticles. Based on the findings of our investigation, it is recommended that either the creation of FeO<sub>x</sub> should be prevented or the nanoparticle size of FeO<sub>x</sub> should be lowered to less than 7 nm during the thermal activation process in order to produce more Fe-N<sub>4</sub> sites. This work reveals the formation process of active center in Fe/N/C catalysts from the source, and provides strong evidences for rational design of Fe/N/ C catalysts.

#### Methods

Synthesis of the Zn-based zeolitic imidazolate framework (ZIF8) In our previous work<sup>8</sup>, Zn(NO<sub>3</sub>)<sub>2</sub>·6H<sub>2</sub>O (10 mmol, 2.975 g) and 2-mlm (80 mmol, 6.568 g) were each dissolved in 100 mL of methanol using ultrasound for 5 min. The Zn(NO<sub>3</sub>)<sub>2</sub> solution was then quickly added to the 2-mlm solution. The mixture was vigorously stirred for 16 h at room temperature. The resulting white ZIF-8 precipitate was centrifuged, washed multiple times with methanol, and dried under vacuum at 60 °C overnight.

#### Synthesis of NC

1.0 g ZIF-8 and 0.3 g 1,10-phenanthroline were dispersed in a 2:1 ethanol and deionized water solution. The mixture was stirred magnetically for 12 h at room temperature, then evaporated in an 80  $^{\circ}$ C oil bath. The dried powders were ground thoroughly and pyrolyzed under Ar at

1000 °C (5 °C min<sup>-1</sup>) for 1 h, then cooled naturally to room temperature. The resulting black products were named NC.

#### Synthesis of xFe-NC-T

An appropriate amount of FeCl<sub>2</sub>·4H<sub>2</sub>O and 100 mg NC (with Fe feed ratio converted to *x*) were thoroughly ground in an agate mortar. The *x*Fe-NC-*T* was synthesized by heating the mixture in an Ar atmosphere at a specific temperature (*T*) for 1 h (5 °C min<sup>-1</sup>).

#### Synthesis of 0.015FeO<sub>x</sub>-NC-900

An appropriate amount of FeO<sub>x</sub> and 100 mg NC (with Fe feed ratio converted to 0.015) were thoroughly ground in an agate mortar. The 0.015FeO<sub>x</sub>-NC-900 was obtained by heating the mixture in an Ar atmosphere at 900 °C for 1 h (5 °C min<sup>-1</sup>).

#### In situ heating TEM

For in situ analysis, the as-prepared 0.015Fe-NC-*T* was firstly dispersed into deionized water. The suspension was sonicated for 20 min at room temperature, and then deposited directly onto a homemade heating chip purchased from CHIP-NOVA company. The in situ heating TEM experiments were performed on TECNAI F20 at a unit acceleration voltage of 200 kV in a vacuum atmosphere and a heating control system. The TEM specimen was heated from 20 to 1000 °C. To observe the temperature range of the variation of 0.015Fe-NC-*T*, the temperature of 500 °C, 800 °C and 1000 °C was maintained for 10 min–30 at each increment and the image was taken when the sample was stable.

#### In situ heating XRD

X-ray power diffraction (XRD) patterns were taken on a Rigaku Ultima IV diffractometer (Rigaku, Japan) with Cu K $\alpha$  X-ray source. The sample was fully ground and filled to a ceramic sample groove, and then transferred into the quasi in situ reaction cell for treatment under various conditions that were comparable with the real reaction conditions. The range of 30°–50° was the position of the main peak of Fe species, so it was selected to analyze the transformation process of Fe species during heat treatment. The scanning rate was 1° min<sup>-1</sup> and the step length is 0.02° min<sup>-1</sup>. During the test, Ar was continuously injected, the flow rate was 15 mL min<sup>-1</sup>, the heating rate was 10 °C min<sup>-1</sup>, and the constant temperature time of each temperature was 5 min.

#### Quasi in situ heating XPS

X-ray photoelectron spectroscopy (XPS) measurements were conducted on an Omicron Sphera II Hemispherical electron energy analyzer with monochromatic Al K $\alpha$  radiation (1486.6 eV) operated at 15 kV and 300 W. The base pressure of the systems was  $5.0 \times 10^{-9}$  mbar. The sample was placed into the sample tank, compressed, secured on the stainless steel sample frame, and then transferred to the quasi in situ reaction pool. It was heated to 500 °C at a rate of 10 °C min<sup>-1</sup> in an Ar atmosphere for 10 min. Subsequently, the product is to be transferred to the vacuum chamber for XPS test.

#### In situ heating XAS

The in situ heating XAS measurement was performed at beamline 1W1B of the Beijing Synchrotron Radiation Facility (BSRF). The power precursors were fitted into a ceramic groove custom-made with an 8 mm internal diameter and 1.5 mm depth. The Fe K-edge spectra were recorded in fluorescence mode and an iron foil spectrum was measured simultaneously with each sample spectrum for energy calibration. Transmission data was taken from 6950 eV to 7940 eV. Spectra were taken at room temperature, then the quartz tube was heated at a ramp rate of approximately 20 °C min<sup>-1</sup> under flowing nitrogen to approximately the following temperature set points during the increasing temperature portion of the temperature profile: room temperature, 500 °C, 700 °C, 800 °C and 900 °C. A XAS scans was collected at each temperature set point. The hold time at each temperature was approximately 10 min. The XAS data were processed and fitted using the Ifeffit–based Athena and Artemis programs. Scans were calibrated, aligned, and normalized with background removed using the IFEFFIT suite.

#### **Electrochemical measurements**

All electrochemical measurements were conducted using a CHI 760e electrochemical workstation with a three-electrode setup. The working electrode was the catalyst-modified glassy carbon electrode, with a graphite rod as the counter electrode and a saturated calomel electrode (SCE) as the reference. To prepare a uniform catalyst ink, 6 mg of catalyst was sonicated for 30 min in 1 mL of a mixture containing 600 µL isopropanol, 380 µL ultrapure water, and 20 µL of 5 wt% Nafion solution. For the commercial 20 wt% Pt/C sample, 1 mg of catalyst was dispersed in 1 mL of 0.05 wt% Nafion solution. A precise volume of the catalyst ink was then applied onto the polished glassy carbon rotating disk electrode (RDE, diameter 5 mm, area 0.196 cm<sup>2</sup>) or rotating ring-disk electrode (RRDE, diameter 5.61 mm, area 0.2475 cm<sup>2</sup>) to achieve the desired catalyst loading. The catalyst loading was 0.6 mg<sub>total</sub> cm<sup>-2</sup> for M-N-C and 0.012 mg<sub>Pt</sub> cm<sup>-2</sup> for Pt/C.

ORR polarization curves were performed at 30 °C in 0.1 M  $H_2SO_4$  solution. RRDE measurements utilized linear sweep voltammetry (LSV) in the range of 0.1 to 1.1 V (*vs.* RHE) at 900 rpm with a scan rate of 10 mV s<sup>-1</sup> with IR<sub>s</sub> compensations, while the ring electrode was held at 1.2 V (*vs.* RHE). The resistance is automatically compensated by 80 %, and the resistance in the impedance spectrum mode is measured by the pine system. The electrolyte was measured by pH instruments to ensure a constant test environment (pH = 0.7 for 0.1 M H<sub>2</sub>SO<sub>4</sub>). All potentials were converted to reversible hydrogen electrode (RHE) potentials using the equation:

$$E_{RHE} = E_{SCE} + 0.2415 + 0.059 \times pH - IR_s \tag{1}$$

The electron transfer number (n) and  $H_2O_2$  percentage were calculated using the following equations:

$$n = \frac{4 \times I_D}{I_D + I_R/N} \tag{2}$$

$$H_2O_2(\%) = \frac{200 \times I_R/N}{I_D + I_R/N}$$
(3)

where  $I_D$  is the disk current,  $I_R$  is the ring current, and N (0.37) is the current collection efficiency of the Pt ring.

The kinetic current densities  $(j_k)$  involved during the ORR process were determined by analyzing Koutecky-Levich (K-L) Eq. (4):

$$\frac{1}{j} = \frac{1}{j_k} + \frac{1}{j_L} \tag{4}$$

where *j* is the measured current density,  $j_L$  and  $j_k$  are the limiting and kinetic current densities.

#### Quantification of active Fe-N<sub>4</sub> sites

The SD was determined using the nitrite reduction method by Kucernak<sup>35</sup>. Briefly, nitrite forms stable poisoned adducts with Fe metal centers, which can be completely stripped between 0.35 to -0.35 V (*vs.* RHE). The excess coulometric charge ( $Q_{\text{strip}}$ ) from the stripping peak is proportional to SD:

$$SD(sites g^{-1}) = \frac{Q_{strip}(Cg^{-1}) \times N_A(sites mol^{-1})}{n_{strip}F(Cmol^{-1})}$$
(5)

$$TOF(s^{-1}) = \frac{n_{\text{strip}} \Delta j_k (\text{mA cm}^{-2})}{Q_{\text{strip}} (\text{Cg}^{-1}) L_{\text{C}} (\text{mg cm}^{-2})}$$
(6)

where  $n_{\text{strip}}$  (=5) is the number of electrons transferred per nitrite stripped.  $N_A$  is Avogadro constant (6.02 × 10<sup>23</sup> sites mol<sup>-1</sup>). F is Faraday's constant (96485 C mol<sup>-1</sup>).  $L_C$  was the catalyst loading (0.242 mg cm<sup>-2</sup>). The catalysts were tested without further treatment.

#### **PEMFC tests**

Membrane electrode assemblies (MEAs) were made using the hotpressing method. The cathode ink was prepared by ultrasonically mixing the required amounts of Fe-NC catalysts, deionized water (0.2 mL), isopropanol (0.8 mL), and a 5 wt% Nafion solution in an ice bath for 1 h. This ink was applied to a gas diffusion layer (GDL, PTFEpretreated Toray 060 carbon paper) with a loading of 3.5 mg cm<sup>-2</sup>. The Nafion content in the cathode layer was about 50 wt%. The anode catalyst was 40 wt.% Pt/C with a loading of 0.4 mg<sub>Pt</sub> cm<sup>-2</sup>. The MEA was assembled by hot-pressing the cathode, anode, Nafion membrane (NRE 211), and a gasket at 135 °C and 3 MPa for 2 min. The active area of the MEA was and  $2.1 \times 2.1$  cm<sup>2</sup>. Polarization curves were obtained at 80 °C using a Model 850e fuel cell test system (Scribner Associates, Inc.) in conjunction with an absolute pressure of 1.5 bar. The H<sub>2</sub> and O<sub>2</sub> (air) flow rates were 0.3 L min<sup>-1</sup> and 0.4 L min<sup>-1</sup> at 100% RH during measurements.

#### **Computational methods**

All spin-polarized DFT computations were carried out with the VASP<sup>37</sup>. The PBE functional was applied in combination with the Van der Waals interaction<sup>38,39</sup>. The kinetic cut-off energy was 400 eV. The Fe<sub>13</sub>O<sub>13</sub> cluster structure was firstly relaxed in the NVT ensemble at the temperature of 1170 K for 5 ps using the Nose-Hoover thermostat<sup>40,41</sup>. Accordingly, the structure of the  $Fe_{13}O_{13}$  cluster on the N<sub>4</sub>-C was constructed, and the vacuum region was 12 Å at least between the periodic images. The whole structure was optimized by using a  $2 \times 2 \times 1$ k-point mesh in the Monkhorst-Pack scheme. All atoms were allowed to relax during the geometry optimization. The energy convergence criterion was  $10^{-5}$  eV, and the final force was less than 0.01 eV Å<sup>-1</sup> at each atom. The nudged elastic band method was used to study the minimum energy path of the Fe atom transfer and the FeN4-C formation. The transition state was further searched by the dimer method<sup>42,43</sup>; the force convergence was 0.05 eV Å<sup>-1</sup>, and a single gamma point was applied. The molecular dynamics simulation was performed to study the reaction between the Fe13O13 cluster and the N4-C site leading to the FeN<sub>4</sub>-C formation at 1180 K. A time step of 0.5 fs was used, and the structure was preequilibrated for 0.5 ps before sampling. A single gamma point was applied in molecular dynamics simulations.

#### Data availability

The data that support the findings of this study are available within the article and its Supplementary Information files. All other relevant data supporting the findings of this study are available from the corresponding authors upon request. Source data are provided with this paper.

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# Acknowledgements

This work was financially supported by the National Natural Science Foundation of China (22288102), the National Key R&D Program of China (2023YFA1507202), the National Natural Science Foundation of China (U22A20396, 22279011, 22172134, U1932201, U2032202), the Science and Technology Planning Project of Fujian Province (2022H0002). This research was thankful to the Beijing Synchrotron Radiation Facility (1W1B, BSRF) for help with characterizations. S. Y. is thankful for the support from Xiamen University Nanqiang Cultivation Program for Outstanding Doctoral Students.

### **Author contributions**

The project was conceptualized by S.-H. Y., H.L., Y.J. and S.S. H.L., Y.J. and S.S. supervised this project. S.-H. Y. synthesized the catalysts, conducted the electrochemical tests, and the related data processing. H.Y. and M.L. performed the in situ TEM characterization under the supervision of H.L. H.H. performed the Fe K-edge XAS characterization. S.-L. Y. performed the in situ XPS characterization. Y.J. and S.-H. Y. performed the theoretical calculations and mechanistic analysis. B.Z. performed the EELS spectra. L.C., R.H. and X.C. provided suggestions on the work. S.-H. Y. wrote the manuscript; and H.L., Y.J. and S.S. helped with the revision of the manuscript. We appreciate the Beijing Synchrotron Radiation Facility (IW1B, BSRF) and the Shanghai Synchrotron Radiation Facility (SSRF) for help with XAS characterizations. All authors discussed the results.

# **Competing interests**

The authors declare no competing interests.

# **Additional information**

**Supplementary information** The online version contains supplementary material available at https://doi.org/10.1038/s41467-024-50629-x.

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**Peer review information** *Nature Communications* thanks Dohyung Kim, Gang Wu and the other, anonymous, reviewer(s) for their contribution to the peer review of this work. A peer review file is available.

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