



# 1 Estimation of effective calibration sample size using visible near 2 infrared spectroscopy: deep learning vs machine learning

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## 8 Abstract

9 The number of samples used in the calibration dataset affects the quality of the generated predictive models using visible, near  
10 and shortwave infrared (VIS-NIR-SWIR) spectroscopy for soil attributes. Recently, convolutional neural network (CNN) is  
11 regarded as a highly accurate model for predicting soil properties on a large database, however it has not been ascertained yet  
12 how large the sample size should be for CNN model to be effective. This paper aims at providing an estimate of how much  
13 calibration samples are needed to improve the model performance of soil properties predictions with CNN. It is hypothesized  
14 that the larger the amount of data, the more accurate is the CNN model. The performances of two commonly used machine  
15 learning models (Partial least squares regression (PLSR) and Cubist) are compared against the CNN model. A VIS-NIR-SWIR  
16 spectral library from Brazil containing 4251 unique sites, with averages of 2-3 samples per depth (a total of 12,044 samples),  
17 was divided into calibration (3188 sites) and validation (1063 sites) sets. A subset of the calibration dataset was then created  
18 to represent smaller calibration dataset ranging from 125, 300, 500, 1000, 1500, 2000, 2500 and 2700 unique sites, or  
19 equivalent to sample size approximately 350, 840, 1400, 2800, 4200, 5600, 7000, and 7650. All three models (PLSR, Cubist,  
20 and CNN models) were generated for each sample size of the unique sites for the prediction of five different soil properties,  
21 i.e. cation exchange capacity, organic matter, sand, silt and clay content. These calibration subset sampling processes and  
22 modelling were repeated ten times to provide a better representation of the model performances. Similar results were observed  
23 when the performances of both PLSR and Cubist model were compared to the CNN model where the performance of CNN  
24 outweighed the PLSR and Cubist model at sample size of 1500 and 1800 respectively. It can be recommended that deep  
25 learning is most efficient for spectral modelling for sample size above 2000. The accuracy of the PLSR and Cubist model  
26 seemed to reach a plateau above sample size of 4200 and 5000 respectively. A sensitivity analysis was performed on the CNN  
27 model to determine important wavelengths region that affected the predictions of various soil attributes.

28 **Keywords:** convolutional neural network, deep learning, machine learning, infrared spectroscopy, soil properties, soil analysis

29



## 30 1. Introduction

31 There has been an increasing demand for a rapid and cost-effective method as an alternative for conventional laboratory soil  
32 analysis. Visible, near and shortwave infrared (VIS-NIR-SWIR) spectroscopy has been proposed to be used as an alternative  
33 tool for soil analysis for the last few decades (Bendor and Banin, 1995;Shepherd and Walsh, 2002;Stenberg et al., 2010). This  
34 method enables simultaneous prediction of various properties and has non-destructive characteristics.

35 Various machine learning models, such as Partial Least Squares Regression (PLSR), Cubist, random forest and support vector  
36 machines had been utilized to model spectroscopy data. However, the performances of these regression models are dependent  
37 on the pre-processing methods (Rinnan et al., 2009), as well as the size of calibration dataset and its representativeness (Kuang  
38 and Mouazen, 2012;Ng et al., 2018). Different orders and combinations of the pre-processing methods, which are developed  
39 to remove artefact in the spectral signal, will result in different model performances. Furthermore, the pre-processing  
40 techniques developed for a particular dataset might not work for different dataset. Better generalization can be made by training  
41 the model in a larger dataset. However, reduced or plateau performance on the machine learning model was found as the  
42 sample size increased to several thousands (Ng et al., 2018).

43 Advances in the artificial intelligence, such as deep learning enable the possibility of extracting features from data without  
44 hand-engineered features (LeCun et al., 2015), such as pre-processing. Various deep CNN model (AlexNet, VGGnet,  
45 GoogLeNet, ResNet) had been developed and trained on large volumes of data, which included over 10 million image data  
46 (Krizhevsky et al., 2012;Simonyan and Zisserman, 2014;Szegedy et al., 2015;He et al., 2016).

47 Although CNN often deals with images as input data, it has recently been successfully applied to vibrational spectroscopy.  
48 Acquarelli et al. (2017) found that the CNN based model outperformed other models (Partial Least Square – Least Discriminant  
49 Analysis, logistic regression and k-nearest neighbour) for the classification of various vibrational spectroscopy data. CNN also  
50 has recently been successfully utilized for regression modelling using spectroscopy data (Cui and Fearn, 2018;Liu et al.,  
51 2018;Ng et al., 2019;Padarian et al., 2019a, b). In particular, recent studies (Ng et al., 2019;Padarian et al., 2019a) had shown  
52 that CNN model had the capability to outperform PLSR and Cubist model. However, the CNN model usually requires a large  
53 number of calibration samples.

54 The question of how much samples are needed for the CNN model to perform better than the machine learning model using  
55 the spectroscopy data has yet to be determined. It is commonly depicted and hypothesized that as more data are available,  
56 CNN will perform better compared to traditional machine learning models which will reach a plateau with an increasing  
57 amount of data (Mahapatra, 2018) (see Figure 1).

58 Thus, the purpose of this study is to assess the amount of calibration data needed for the CNN model to perform better than  
59 machine learning models. PLSR and Cubist are chosen as the representatives of the regression and machine learning models  
60 which has been commonly used to develop predictive models based on soil spectra data. In addition, to be able to predict soil  
61 properties accurately, we need to understand and interpret how a CNN model can predict soil properties from spectra. The  
62 sensitivity analysis of the VIS-NIR-SWIR region used in the CNN model is performed to uncover the CNN black box.



## 63 2. Materials and Methods

### 64 2.1. Dataset and chemical analysis

65 This dataset comprises of 12,044 soil samples from 4,251 unique sites. The soil samples, collected from several regions of  
66 Brazil, i.e., states of Sao Paulo, Minas Gerais, Goias, and Mato Grosso do Sul. This dataset is part of The Brazilian Soil Spectral  
67 Library and extracted from Terra et al. (2018) and Bellinaso et al. (2010). The soils were derived mostly from basalt (volcanic  
68 rock) and sedimentary ones (sandstone). Each site has up to seven samples measurements from the surface up to 1 m depth.  
69 The measured properties include soil texture (sand, silt, and clay), organic matter (OM) content and cation exchange capacity  
70 (CEC). The soil particle size was quantified by the pipette method as described in Donagema et al. (2011). The method consists  
71 on using a 0.1 M NaOH solution as dispersing agent under high-speed mechanical stirring during 10 min. Then, the sand  
72 fraction was separated by sieving and the clay portion by sedimentation. The silt was quantified based on pre- and post-  
73 difference. Organic carbon (OC) was determined by the Walkley and Black method (Walkley and Black, 1934), in which OC  
74 was oxidised using  $K_2Cr_2O_7$  in a wet environment and then measured by titration with 0.1 M ammonium iron sulphate. After  
75 that, the organic matter (OM) was calculated by multiplying the OC quantified per the Van Bemmelen factor of 1.724. As  
76 described in Donagemma et al. (2011), a 1 M KCl solution was used to extracted aluminium, exchangeable calcium and  
77 magnesium. The atomic absorption spectrophotometry was used to quantify Ca and Mg concentrations. Aluminium  
78 concentration was determined by titrating with 0.025 M NaOH. Potassium and phosphorus contents were extracted using  
79 Mehlich-1 (0.05 M HCl with 0.0125 M  $H_2SO_4$ ) solution. The concentration of P was quantified by colorimetry and the K  
80 concentration by flame photometry. Afterwards, CEC was determined as the sum of exchangeable cations. The descriptive  
81 statistics of the soil properties measured are included in Table 1.

### 82 2.2. Spectral measurements

83 The VIS-NIR-SWIR spectra of the soil samples were obtained with FieldSpec3 spectroradiometer (Analytical Spectral  
84 Devices, Boulder, Colorado) with a spectral range of visible to shortwave infrared (350 – 2500 nm) and spectral resolution of  
85 1 nm from 350 to 700 nm, 3 nm from 700 to 1400 nm, and 10 nm from 1400 to 2500 nm. The sensor scanned an area of  
86 approximately 2 cm<sup>2</sup>, and a light source was provided by two external 50-W halogen lamps. These lamps were positioned at a  
87 distance of 35 cm from the sample (non-collimated rays and a zenithal angle of 30°) with an angle of 90° between them. A  
88 Spectralon (Labsphere Inc., North Sutton, NH) standard white plate was scanned every 20 min during calibration. The samples  
89 were oven dried at 45°C for 48 hours before being ground and sieved  $\leq 2$  mm. The sample was distributed homogeneously in  
90 petri dishes for spectra measurement. Three replicates (involving a 180° turn of the Petri dish) were obtained for each sample.  
91 Each spectrum was averaged from 100 readings over 10 s.

### 92 2.3. Training and validation



93 To better represent the soil distribution, we split and subset the data based on sites. The dataset is first randomly split into 75%  
94 calibration (3188 sites) and 25% validation (1063 sites) based on the unique sites.

95 From the calibration dataset, we created smaller sample sizes ranging from 125, 300, 500, 1000, 1500, 2000, 2500 and 2700  
96 unique sites, which is equivalent to sample size of approximately 350, 840, 1400, 2800, 4200, 5600, 7000, and 7650. Better  
97 representations of model performances were provided by ten replicates of these sizes. Each sampling for the same number of  
98 sites could generate a slightly different number of samples since the number of measurements varied from one site to another.  
99 However, the model performance was evaluated on the common validation dataset using a total of 1063 sites (sample size N  
100 = 3017).

### 101 3. Chemometrics model

102 Prior to the development of machine learning models (PLSR and Cubist), the spectra data were subjected to some pre-  
103 processing methods: (i) conversion to absorbance followed by (ii) Savitzky - Golay smoothing filter with window size of 11  
104 and second order polynomial (Savitzky and Golay, 1964), (iii) spectral trimming to discard region that has low signal to noise  
105 ratio (<500 nm and between 2450 – 2500 nm) and (iv) standard-normal-variate (SNV) transformation (Barnes et al., 1989).  
106 For the deep learning model, the spectra were only normalized with SNV prior to being fed into the model.

#### 107 3.1. PLSR model

108 PLSR is one of the most commonly used models with the spectroscopy data. It is a linear chemometric regression model that  
109 projects spectra data into latent variables that explain the variances within the spectra data and the response variables (Wold et  
110 al., 1983). The optimal number of latent variables used in the PLSR regression that resulted in the smallest root mean square  
111 error (RMSE) using the cross-validation approach was used to create the models.

#### 112 3.2. Cubist model

113 Cubist is a rule-based data mining model, which is an extension of the M5 model tree by Quinlan (1993). The model creates  
114 one or more rules, in which if the rules are met, a certain linear model can be utilized to predict the target task.  
115 These machine learning models were implemented in the R statistical software (R Core Team, 2019) using the “pls” package  
116 (Mevik et al., 2018) and "Cubist" package (Kuhn and Quinlan, 2018) for PLSR and Cubist modelling respectively.

#### 117 3.3. CNN model

118 The CNN model is composed of three types of layers: convolutional, pooling and fully-connected layer. The convolutional  
119 layer extracts feature from the inputs, the pooling layer reduces the dimensionality of the input feature, and the fully connected  
120 layer connects the outputs from previous layers to the desired target outputs.



121 The CNN model utilized in this study is derived from our previous study (Ng et al., 2019), where the spectra data were fed  
122 into the model as a one-dimensional data. The architecture of the CNN model is included in Table 2 and Figure 2 . Some of  
123 the layers within the network are shared to enable simultaneous output predictions. The CNN model was trained with an initial  
124 learning rate of 0.001 and Adam optimizer. The network was trained a batch size of 50, and a maximum epoch of 200. For  
125 model optimization purposes, the calibration data is further divided into 75% train and 25% test set. Dropout, early stopping  
126 and reduced learning rates are used as a regularization technique to prevent network overfitting. Details of the CNN model is  
127 given in Ng et al. (2019) and will not be repeated here.

128

129 The CNN was implemented in Python (v3.5.1; Python Software Foundation, 2017) using Keras library (v2.1.2; Chollet, 2015)  
130 and Tensorflow (v1.4.1; Abadi et al., 2015) backend.

131 All the model performances are compared in terms of coefficient of determination ( $R^2$ ), and the root mean square error (RMSE)  
132 values based on the validation dataset.

## 133 4. Results

### 134 4.1. Visualization of the CNN

135 An attempt to take a look at what the CNN model actually learns is conducted. The reflectance spectrum data was fed into the  
136 first convolutional layer. The filter in the first layer encodes various pre-processing of the input spectra data. Some of the  
137 filters shown in the first convolution layer looks like the input spectra pattern (filter #3, 4 and 10), and some of them looks like  
138 transformation pattern: absorbance (filter #1, 5, 6, 7, 9, 13 and 16) and derivatives (filter # 2, 8, 11, 12, 14 and 15). The  
139 spectrum becomes smoother when they passed through the second convolutional layer, where some filters only accentuate  
140 certain peaks (Figure 3). Thus, the ability of the convolutional layers to represent various transformation of the spectra make  
141 CNN a robust model that does not require any spectra pre-processing.

### 142 4.2. Model performance comparison

143 The model performances for the validation dataset using the full calibration data ( $n_{\text{site}}=3188$ ,  $N=9027$ ) with all the models are  
144 first presented in Table 3. Among all the properties predicted, the sand and clay content showed the best performance with  $R^2$   
145 values greater than 0.75 regardless of the types of model used. This finding is in agreement with the ones from Demattê et al.  
146 (2016), who observed good predictions for sand and clay content.

147

148 Demattê et al. (2016) reported  $R^2$  values ranging from 0.51 and 0.86 for sand (0.86), silt (0.51), clay (0.85), organic matter  
149 (0.63) and CEC (0.66) using PLSR model with 4790 out of 7185 samples as calibration samples. The performances of our  
150 PLSR and Cubist model are lower than those reported by Demattê et al. (2016) could probably due to the larger variation of



151 the dataset used here. Furthermore, representative sampling using conditioned Latin hypercube sampling was used in selecting  
152 the calibration samples prior to the model development. Nonetheless, the overall CNN model used here still performs better.

#### 153 4.3. Effect of sample training size: sub-setting the calibration data

154 A total of eight subset models based on the unique sample sizes were generated. The performance comparison of CNN and  
155 Cubist model based on average  $R^2$  values is illustrated in Figure 4. The reported  $R^2$  values are the average performance  
156 prediction for all five properties of all ten replicates. The value for sample size 9027 is from a single data random split for  
157 validation of the data.

158 In general, the PLSR and Cubist model tend to perform better when the sample size is relatively small (<2000). When the  
159 sample size is approximately 1800, there is not much difference in the performances for all models. However, when the sample  
160 size is further increased (>2000), the CNN model starts to show better performance in comparison to both PLSR and Cubist  
161 model. The performance of PLSR and Cubist model reaches plateau at approximately 4000 and 5500 samples respectively,  
162 while the performance of CNN is still increasing, as depicted in the theoretical curve (Figure 1). The slight drop in Cubist's  
163 performance for sample size 9027 is because there is only one realization of data split (75% of the data).

164 We further compared the average model performance based on the RMSE ratios of machine learning models against the CNN  
165 model (Figure 5). This comparison was developed using the model performance for each unique property, and the variances  
166 presented was based on ten simulations. If the machine learning model performs better than the CNN model, the RMSE ratios  
167 of a particular machine learning model to CNN model should be less than one.

168 Based on the RMSE ratios of PLSR against the CNN model, we can observe that PLSR perform better than CNN when the  
169 sample is less than 1400 (Figure 5). Similar performance is achieved when the sample size is approximately 1415. In terms of  
170 RMSE ratios, overall CNN model seems to perform better in comparison to the Cubist model regardless of sample size.  
171 Nonetheless, the model performance for a smaller sample size seems to vary a lot (longer whisker). When the sample size is  
172 approximately 850, both models seem to perform similarly. A portion of the model performs better, while the remaining  
173 perform worse. As the calibration sample size increases, the CNN model performs better in comparison to the Cubist model.  
174 Thus, It can be recommended that deep learning is most efficient for spectral modelling for sample size above 2000.

#### 175 4.4. Sensitivity analysis: evaluating important wavelengths

176 To uncover how CNN predicts different soil properties, a sensitivity analysis was conducted to assess the importance of each  
177 wavelength in contributing to predictions. Evaluating the sensitivity of the model can be done in several ways, for example,  
178 Cui and Fearn (2018) calculated the sensitivity of a CNN model for NIR by taking a numerical partial derivative of the output  
179 with respect to each wavelength. For wavelength  $i$ , the sensitivity  $S$  was calculated as:

$$S_i = \frac{f(\mathbf{X}_1, \dots, \mathbf{X}_i + \varepsilon, \dots, \mathbf{X}_n) - f(\mathbf{X})}{\varepsilon} \quad (\text{Eq. 1})$$



180 where  $\mathbf{X}$  is the reflectance spectra, and  $f(\mathbf{X})$  is the CNN prediction using the spectra,  $\varepsilon$  is a small number. The idea is that if  
181 wavelength  $i$  has an important contribution to the prediction, a small perturbation to the reflectance value will create a large  
182 change in the prediction.

183 In previous study (Ng et al., 2019), we calculated the sensitivity as a function of the variance of the model for each window of  
184 spectra. Here we calculate the sensitivity based on the variance principle as an alternative approach:

$$S_i = \frac{Var(f(\mathbf{X}_1, \dots, \mathbf{X}_i, \dots, \mathbf{X}_n) - f(\bar{\mathbf{X}}))}{Var(\mathbf{Y})} \quad (\text{Eq. 2})$$

185 Where  $Var$  is the variation calculation,  $f(\mathbf{X}_1, \dots, \mathbf{X}_i, \dots, \mathbf{X}_n)$  is the prediction of spectra due to variation in wavelength  $i$  with  
186 other wavelengths held constant at their mean values, and  $f(\bar{\mathbf{X}})$  is the prediction value using the mean values of the spectra  
187 and  $\mathbf{Y}$  is the observed values of the target variable. In essence, we calculated how the model varied in comparison to the  
188 observations as a function of wavelength.

189 The current sensitivity analysis (Eq. 2) considers the actual variance of the data for a better approximation of wavelengths  
190 sensitivity. To calculate the variance sensitivity, two new data frames were created. The first data frame contains data which  
191 is the average of all the validation spectra data ( $\bar{\mathbf{X}}$ ) and the second contains modified average spectra data ( $\bar{\mathbf{X}}_i$ ), in which some  
192 of the average measurements were replaced with the actual spectral reflectance at a wavelength width of 5 nm.

193 The illustrations of the process of deriving new data frames are included in Figure 6. Both data frames were then fed into the  
194 pre-trained CNN model ( $f()$ ). The variance between the average and modified average spectra were then compared to the  
195 actual variance of the target properties as a measure of the model sensitivity (Eq. 2).

196 The sensitivity analysis of the CNN model in predicting each property is illustrated in Figure 7. Only certain parts of the spectra  
197 are used by the CNN model for prediction, which corresponds to the soil properties and composition. The important  
198 wavelengths for the prediction of CEC are between the regions of 1600 – 2000 nm. This result is similar to the observations  
199 made by Lee et al. (2009) on the surface horizon dataset where 1772 and 1805 nm are important in predicting the CEC. The  
200 presence of high CEC is often linked to the presence of organic matter (OM) and clay content. It is interesting that the same  
201 region is important in predicting organic matter but not clay content. Aside from the same region used by CEC, wavelengths'  
202 region between 1100 – 1200 nm are also deemed important by the CNN model for the prediction of OM content. This finding  
203 is slightly different to those reported by Lee et al. (2009) in which the important wavelengths reported are at 1772, 1871, 2069,  
204 2246, 2351 and 2483 nm for the profile dataset and 1871, 2072 and 2177 nm for the surface horizon dataset. It is also worth  
205 noting that the model does not use the visible part of the spectra for prediction. In comparison to the sensitivity of MIR spectra  
206 data on previous study (Ng et al., 2019), the NIR model's sensitivity index is much broader, which reflects NIR's characteristic  
207 broad peak.

208 Similar wavelength regions are deemed to be important in predicting the soil texture although the importance slightly varied  
209 among the type of texture of interest (sand, silt and clay) at wavelengths between 500 and 1800 nm. The important wavelengths  
210 for the prediction of sand and clay content share a higher similarity in comparison to that of silt content prediction. The most  
211 important wavelength identified is around 850 nm for the prediction of sand and clay content, and around 1100 nm for the



212 prediction of silt content. These observations are also different from those reported by Demattê (2002) and Lee et al. (2009)  
213 where the important wavelengths for the prediction of soil texture are at 1800 – 2400 nm. In particular, the soil texture  
214 prediction found in the CNN model is strongly related to hematite and/or goethite, -OH and Al-OH groups from kaolinite  
215 (Viscarra Rossel and Behrens, 2010;Pinheiro et al., 2017;Fang et al., 2018).

216

217 We also compare important wavelengths from the machine learning models against the one from the deep learning model for  
218 the prediction of OM as an example. Common wavelengths found to be related to the organic matter predictions are 1100,  
219 1600, 1700 -1800, 2000, 2200 – 2400 nm (Dalal and Henry, 1986;Stenberg et al., 2010).

220 The important wavelengths utilized in the PLSR model was derived based on the absolute value of the regression coefficients.  
221 The height of the line indicates the importance of a particular wavelength for determination of organic matter content in the  
222 soil. Important wavelengths identified for the prediction of organic matter were 500 – 700, 1400 and 1715 nm.

223 The wavelengths used in the Cubist were derived based on model usage (Figure 8). The blue and pink lines represent which  
224 wavelengths are used as predictors and conditions within the Cubist model, respectively. Some of the wavelengths used in the  
225 Cubist model are similar to those observed in the PLSR model, in particular the visible (500 – 700 nm), and shortwave infrared  
226 regions (1400 and 1900 nm).

227 Important wavelengths derived from the sensitivity analysis based on the CNN model look slightly different to those of PLSR  
228 and Cubist models. Wavelengths around the 1700 nm region is deemed to be the most important, followed by those between  
229 the 1150 nm region. Nonetheless, some of the important regions overlapped.

230 Although all three methods used different ways to derive important wavelengths, PLSR model tends to use most parts of the  
231 spectra. When irrelevant wavelengths are included in model development, it may reduce the model performance. The Cubist  
232 model seems more selective in terms of wavelengths used, however this example showed that it also used most parts of the  
233 VIS-NIR-SWIR spectra. CNN model used wavelengths between 800-2000 nm, with particular emphasize around 1100 and  
234 1700 nm.

## 235 5. Conclusion

236 In this paper, we assess the effective sample size and identify important wavelengths in predicting various soil properties using  
237 Cubist and CNN model. In general, the CNN method can perform better than the Cubist when the sample size is relatively  
238 large. The number of calibration samples is also affected by the structure of the CNN model. The number of samples reported  
239 in this study might not apply to other CNN models but can serve as a guide on the number of samples needed to create a better  
240 deep learning model. Here, we found that CNN is more accurate than a machine learning model when the number of samples  
241 is above 2000. The more complex and deeper network of a deep learning model, the most likely it will need a larger number  
242 of samples for training. PLSR and Cubist models performed less accurate than the CNN model as sample size increases, and  
243 it seems like they reached a plateau after a sample size of 4000-5000. Meanwhile, the performance of CNN still increases until





244 the maximum number of data used in this study (N = 9000). Future studies should explore larger dataset to see the  
245 generalization of the accuracy vs sample size and to explore if the deep learning model ever reached a plateau in accuracy.

#### 246 **Author contributions**

247 Wartini Ng was responsible for the data analysis, and prepared the manuscript; Budiman Minasny contributed in data analysis  
248 and editing the manuscript; Wanderson de Sousa Mendes and José A.M.Demattê provided the data and contributed in editing  
249 manuscript.

#### 250 **Competing interests**

251 The authors declare that they have no conflict of interest.

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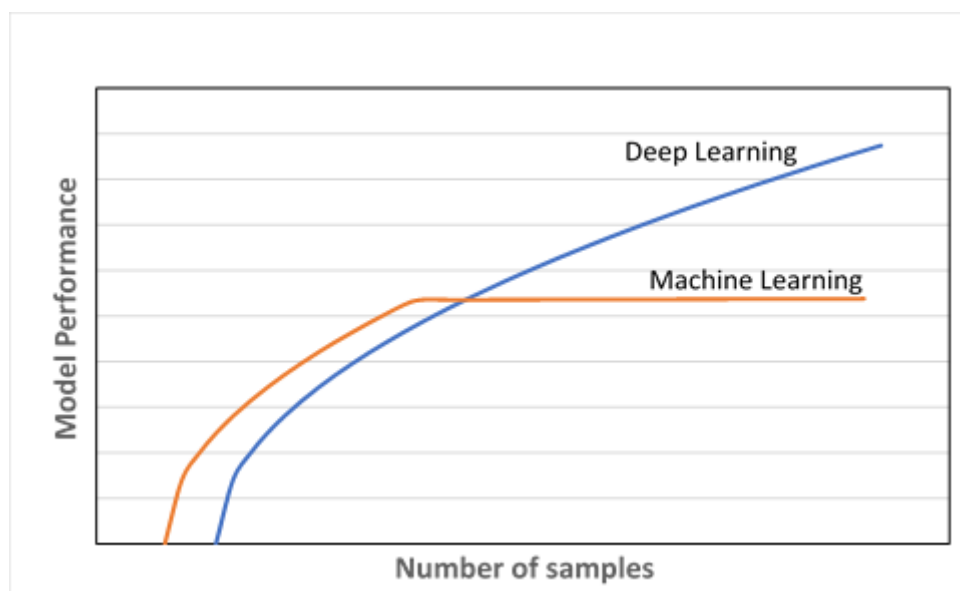
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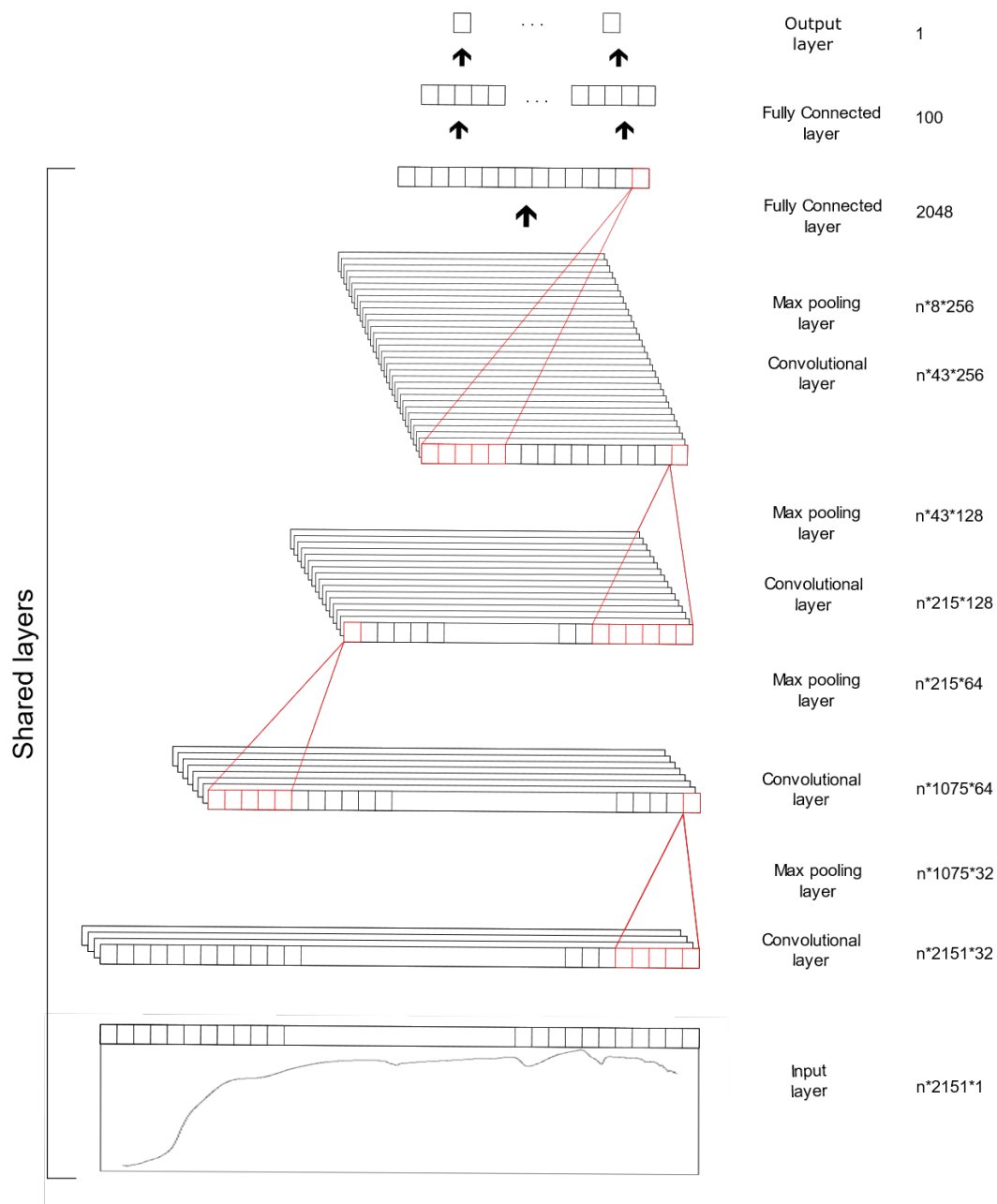


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332 **Figure 1. Model performance of deep learning vs other machine learning algorithms as a function of number of samples.**

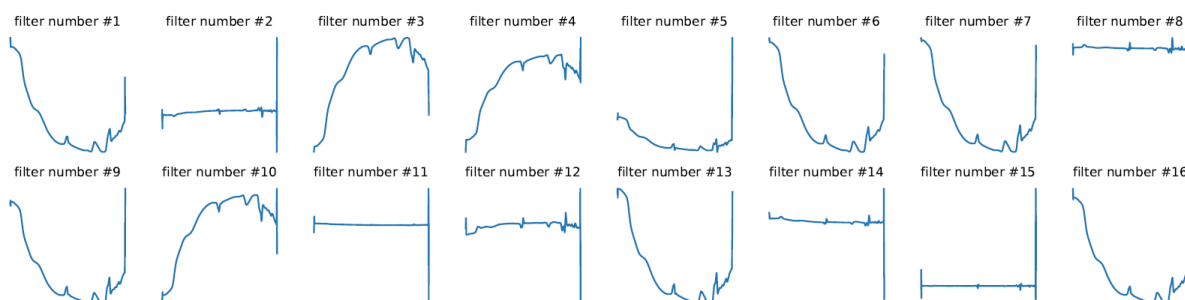
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 335 **Figure 2. Architecture of the one-dimensional Convolutional Neural Network (CNN) model.**  
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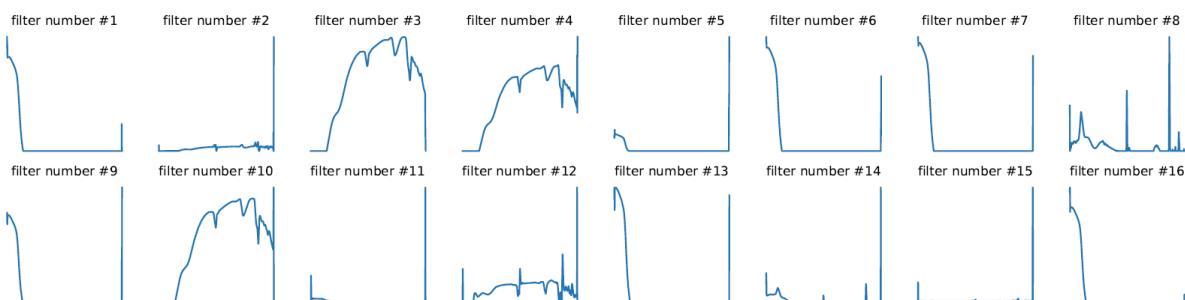


Convolution 1: A few of the 32 filters



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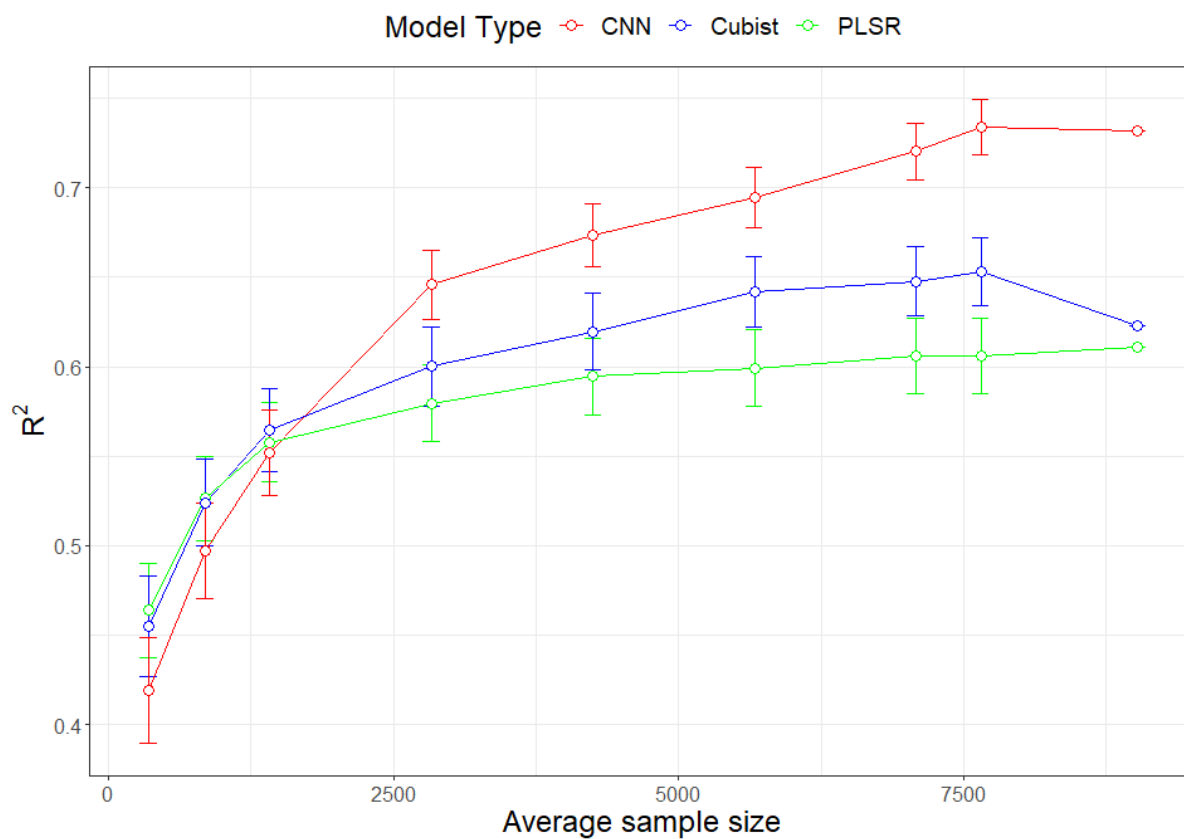
Convolution 2: A few of the 32 filters



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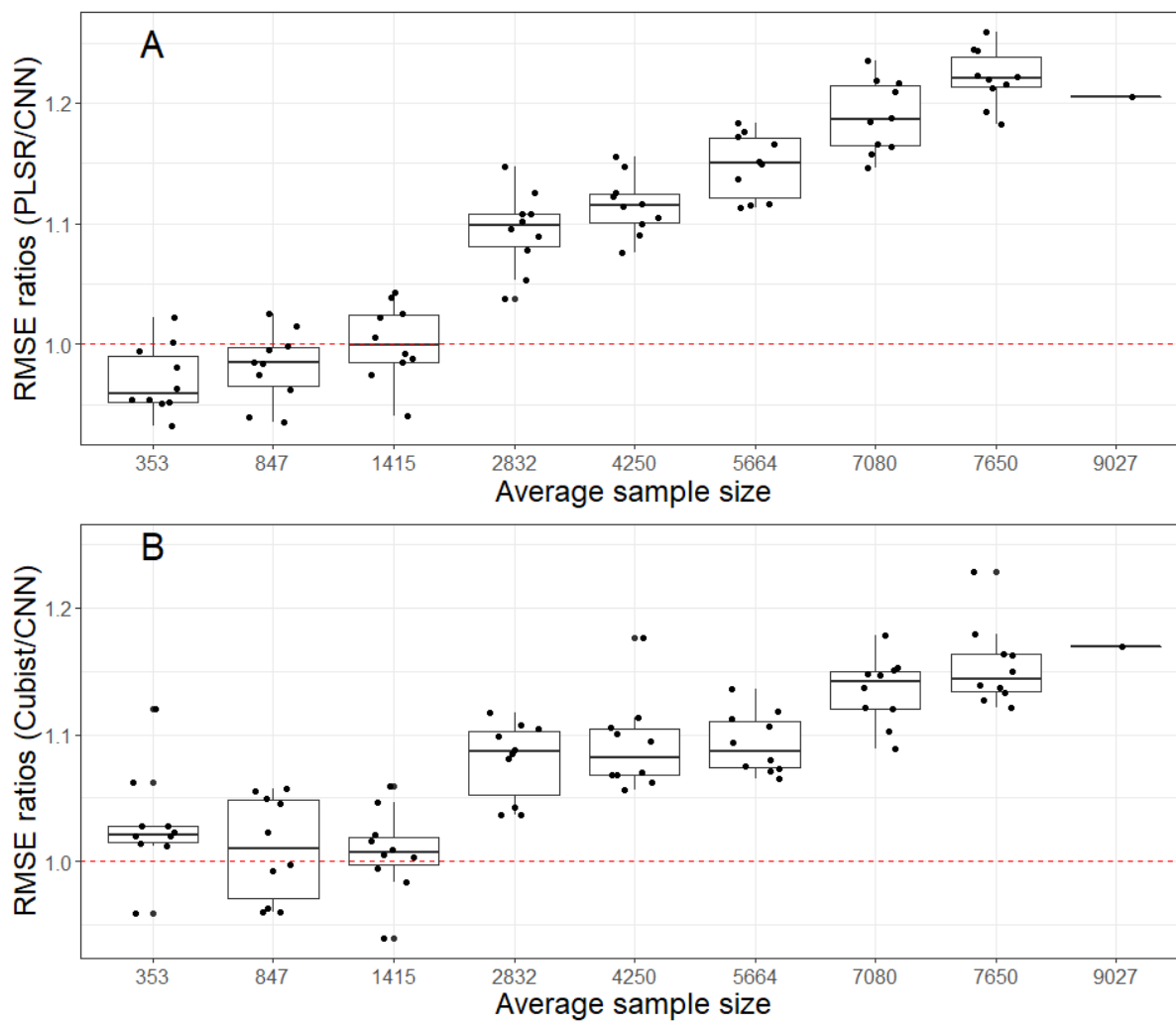
339 **Figure 3. Visualization of the filters within the convolutional layers within Convolutional Neural Network (CNN) with the visible,**  
340 **near, and shortwave infrared (VIS-NIR-SWIR) spectra data.**

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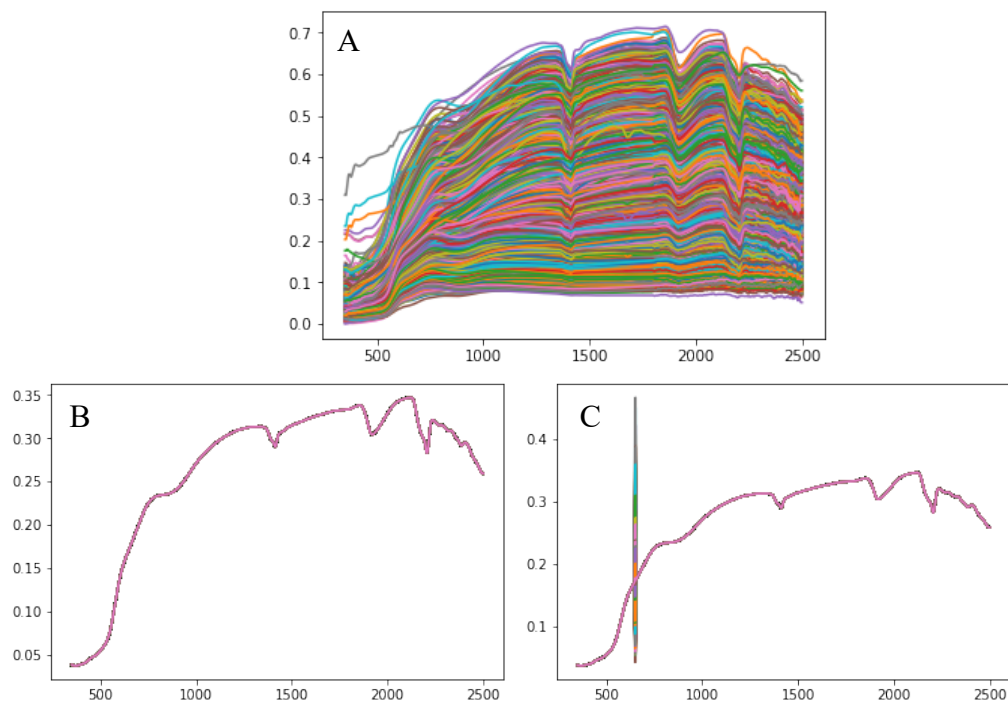
343 **Figure 4. Model performances (in terms of average  $R^2$  for five soil properties) as a function of sample size using Partial Least Squares**  
344 **Regression (PLSR), Cubist and Convolutional Neural Network (CNN) model based on ten simulations. The values for the largest**  
345 **sample size ( $n=9027$ ) is a single realization 75% of the data.**



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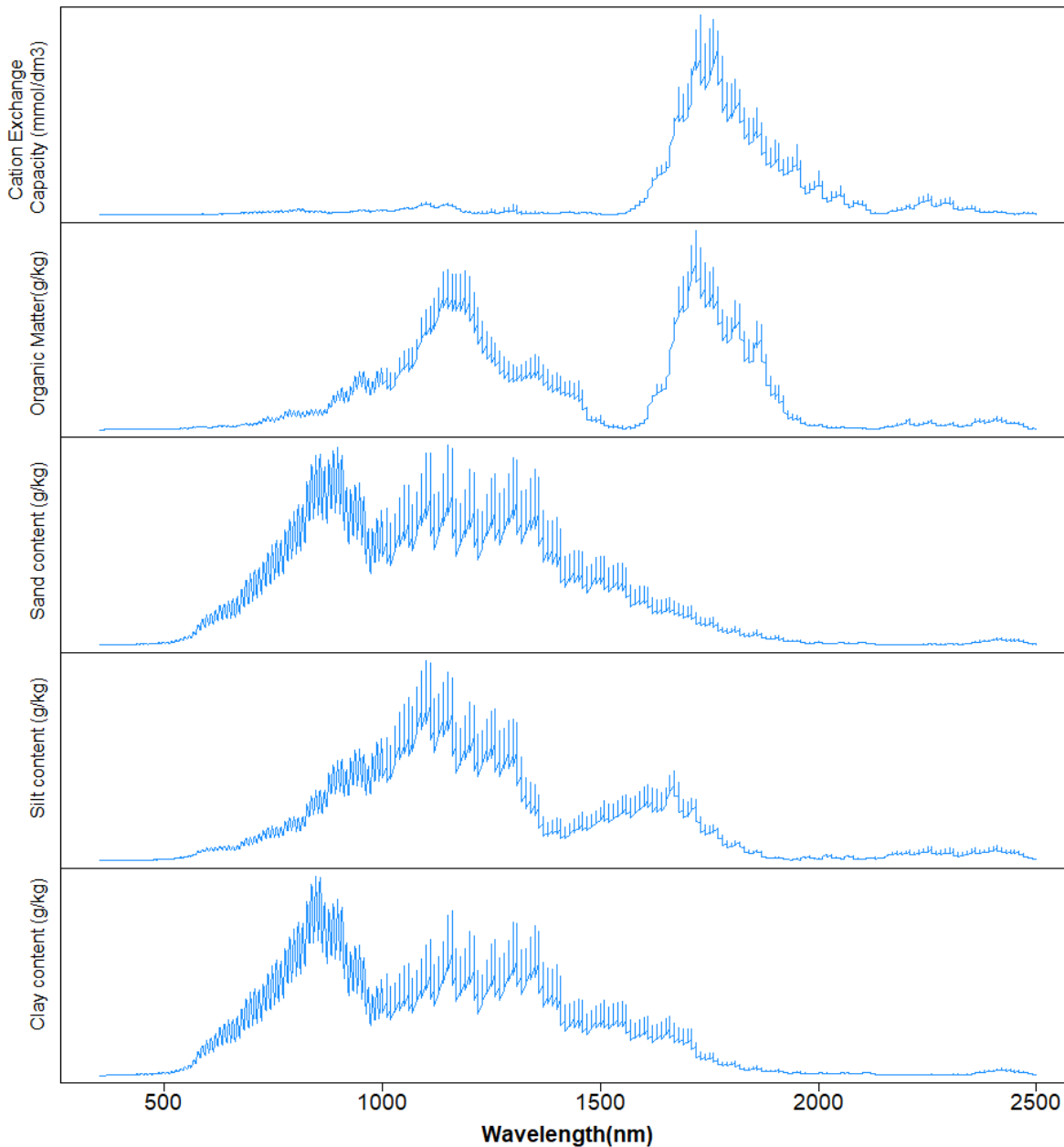
347 **Figure 5. Model performances (in terms of root mean square error (RMSE) ratios of (A) Cubist over Convolutional Neural Network**  
348 **(CNN) model and (B) Partial Least Squares Regression (PLSR) over CNN as an average of five soil properties) based on various**  
349 **sample size using ten simulations. The red - dotted line represents a 1:1 RMSE ratio.**

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351 **Figure 6. Illustration of sensitivity analysis process: (A) represents the validation spectra data, (B) represents the overall average of**  
352 **the validation spectra data and (C) represents the modified average of the validation spectra data.**

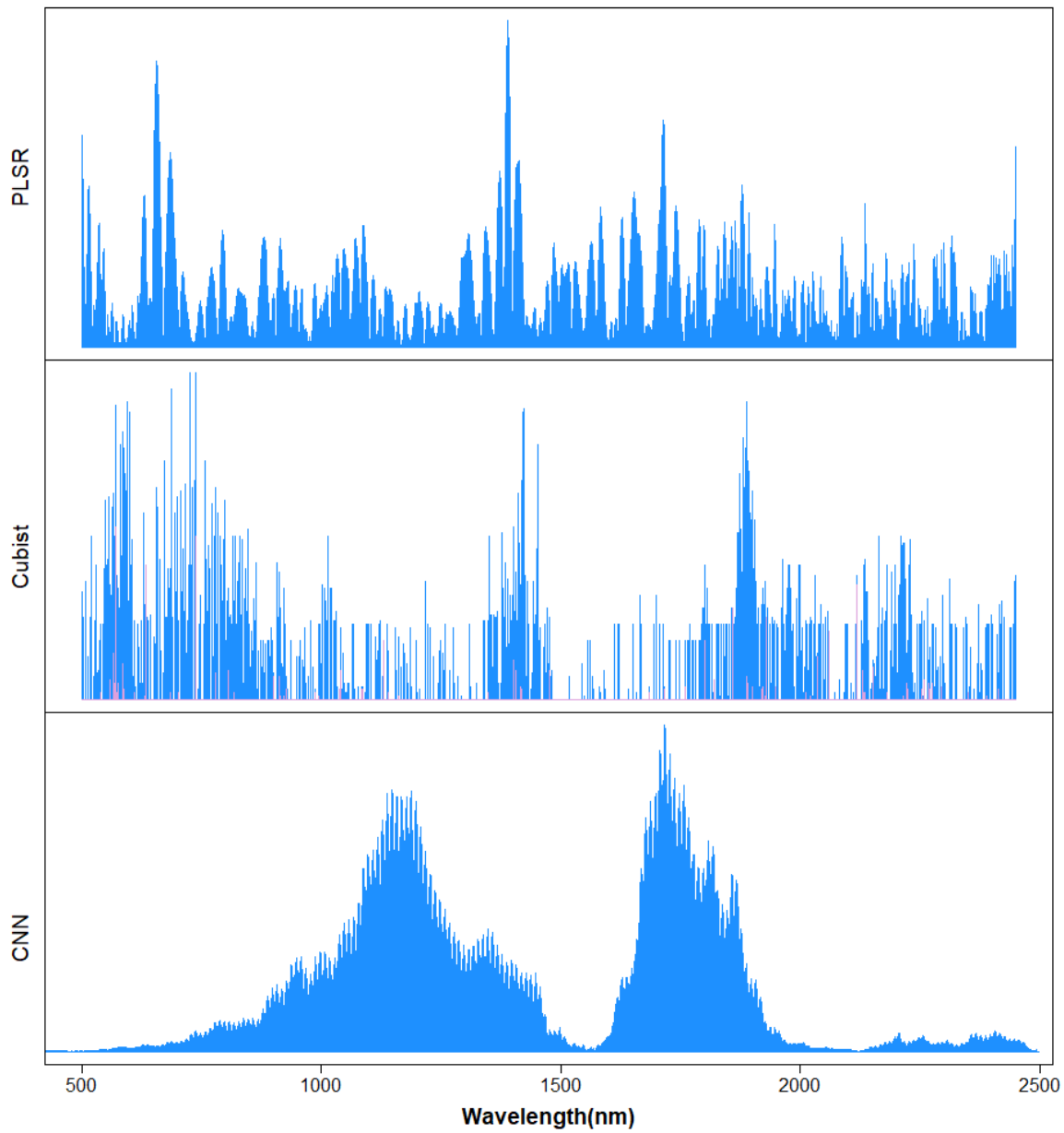




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354 **Figure 7: Sensitivity analysis of the visible, near and shortwave infrared (VIS-NIR-SWIR) spectra in predicting various soil**  
355 **properties using the Convolutional Neural Network (CNN) model. The graph depicts sensitivity index (calculated from(Eq. 2)) for**  
356 **different soil properties as a function of wavelength.**

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359 **Figure 8: Important wavelengths for the prediction of organic matter (OM) content using Partial Least Squares Regression (PLSR),**  
360 **Cubist and Convolutional Neural Network (CNN) model.**

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364 **Table 1: Descriptive statistics of the soil properties measurements.**

	<b>Sand</b>	<b>Silt</b>	<b>Clay</b>	<b>OM</b>	<b>CEC</b>
	<b>g kg<sup>-1</sup></b>			<b>mmolc kg<sup>-1</sup></b>	
<b>Minimum</b>	50.0	0.0	5.0	2.0	3.4
<b>1<sup>st</sup> Quartile</b>	644.0	31.0	112.0	6.0	22.9
<b>Median</b>	757.0	57.0	174.7	9.4	32.7
<b>Mean</b>	703.8	69.7	226.5	11.2	37.7
<b>3<sup>rd</sup> Quartile</b>	839.0	93.5	283.3	14.3	46.3
<b>Maximum</b>	969.0	562.0	840.0	69.0	375.7

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367 **Table 2: Architecture of the convolutional neural network.**

Type	Shared	Filter size	# Filters	Activation
Convolutional	Yes	20	32	ReLU
Max-pooling	Yes	2	-	-
Convolutional	Yes	20	64	ReLU
Max-pooling	Yes	5	-	-
Convolutional	Yes	20	128	ReLU
Max-pooling	Yes	5	-	-
Convolutional	Yes	20	256	ReLU
Max-pooling	Yes	5	-	-
Dropout (0.4)	Yes	-	-	-
Flatten	Yes	-	-	-
Fully-connected	No	-	100	ReLU
Dropout (0.2)	No	-	-	-
Fully-connected	No	-	1	Linear

\*ReLU: rectified linear units

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370 **Table 3: Results of model validation for the prediction of various soil attributes using the full calibration dataset.**

Model	Properties	Unit	R <sup>2</sup>	RMSE	bias	RPIQ
PLSR	Sand	g kg <sup>-1</sup>	0.79	91.47	2.74	1.29
	Silt		0.47	41.58	-1.78	0.67
	Clay		0.80	73.01	-0.65	0.87
	OM		0.48	4.98	0.04	0.70
	CEC	mmol <sub>c</sub> kg <sup>-1</sup>	0.52	16.77	-0.17	0.57
Cubist	Sand	g kg <sup>-1</sup>	0.78	89.66	1.28	1.19
	Silt		0.45	38.68	-2.06	0.67
	Clay		0.81	69.65	-0.23	0.92
	OM		0.54	4.83	-0.22	0.70
	CEC	mmol <sub>c</sub> kg <sup>-1</sup>	0.52	17.03	-0.93	0.59
CNN	Sand	g kg <sup>-1</sup>	0.85	77.28	-0.16	1.52
	Silt		0.58	37.09	-1.74	0.75
	Clay		0.86	60.78	-0.53	1.05
	OM		0.69	3.83	-0.11	0.91
	CEC	mmol <sub>c</sub> kg <sup>-1</sup>	0.68	13.73	-0.76	0.69

OM = organic matter; CEC = cation exchange capacity

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